



South Carolina
Department of Transportation

Request for Proposals for Industry Review



Long Point Road
Interchange Improvement
Design-Build Project
Project ID P041314
Charleston County
September 19, 2025

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Long Point Road Interchange Improvement

Charleston County, South Carolina

A Design-Build Project

Project ID P041314

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REQUEST FOR PROPOSALS INSTRUCTIONS

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AGREEMENT & EXHIBITS

TECHNICAL PROVISIONS

1. PURPOSE OF REQUEST FOR PROPOSALS

The purpose of this Request for Proposals (RFP) is to select a Proposer to perform the Project services and to design and construct the Project, as further described in this RFP. SCDOT desires that this Project be designed and constructed in a very efficient and timely manner. The proposed Project services are hereinafter referred to as the "Project". "Proposer," as used here, includes a firm or firms, consortia, partnerships, limited liability company, sole proprietorship, joint ventures, and other legal entities that has been short-listed and provided the opportunity by South Carolina Department of Transportation (SCDOT) to submit a Proposal in response to this RFP. Partnerships, corporations, limited liability companies (LLC), joint ventures, or other joint entities are collectively referred to herein as joint ventures. The Proposer shall become the Contractor if awarded the Contract.

It is not the intention of SCDOT to receive complete detailed Project analysis and design prior to the selection of a Proposer and the later execution of the Contract. Rather, the response to this RFP shall provide sufficient information to be evaluated by SCDOT to determine if the Proposal is in accordance with the specified process and criteria. The Proposal shall be specific enough on assumptions used in its preparation so as to provide the basis for finalizing the Contract.

2. PROJECT OVERVIEW

2.1 Project Description

The project consists of all work necessary to complete the design and reconstruction of I-526 exit 28 at S-97 (Long Point Road). The Project includes design and construction of new ramp exit and entrance points to I-526 and a new connector road to access the State Ports Authority Wando Welch Terminal. Work includes reconstruction of the existing S-97 (Long Point Road) interchange, reconstruction a portion of S-97 (Long Point Road) to intersect the new connector road, interstate widening and overlay of existing mainline pavement, new bridges over I-526 and S-97 (Long Point Road), ramps, retaining walls, noise barrier walls, sidewalks, shared use path, drainage facilities, and related roadway appurtenances. The project also includes right-of-way acquisition and coordination, design, and relocation of utilities.

SCDOT intends to enter into a contract for services as detailed in the Agreement and Agreement Exhibits. The Proposer shall be responsible for meeting all Project requirements, specifications, and other applicable criteria as set forth in the "Agreement, Technical Provisions and Technical Provisions Attachments". Technical Provisions Attachments are located on the SCDOT Design-Build website at <https://www.scdot.org/business/LongPointRoad.html>.

2.2 Project Goals

It is the intent of SCDOT to achieve the following goals and objectives for this Project.

- Improve traffic operations of I-526 mainline and the interchange at S-97 (Long Point Road),
- Reduce operational conflicts between port-related and local traffic within the interchange area,
- Align with local land use plans and projects,

- Enhance mobility for people and goods through the Project area using Complete Street Principles,
- Minimization of environmental impacts to Waters of the US,
- Minimization of relocations for residential and commercial properties,
- Minimization of impacts to the travelling public during construction,
- Minimization of impacts to utilities,
- Completion of the Project on original contract schedule and budget, and
- Use of quality construction methods to produce durable structures with enhanced service life.

2.3 Project Information

Project Information, containing electronic files applicable to the Project, is posted on the SCDOT Design-Build website. The Project Information Package will include information describing the work performed or obtained by SCDOT prior to entering into the Contract. The Project Information Package may contain additional information not provided at the RFQ stage. The Project Information Package, which is posted on the SCDOT Design-Build website, is for information only and is not part of the Contract. SCDOT makes no representations or warranties regarding the reliability or accuracy of the information contained therein and Proposers assume the risk in using this information. Any available existing roadway plans can be obtained from the SCDOT Design-Build website at <https://www.scdot.org/business/design-build.aspx>. Any available existing bridge plans will be provided to the short-listed Proposers via upload to a secured ProjectWise folder.

Proposers are encouraged to review all available information in the Project Information Package, visit the Project Site, and make any additional subsurface explorations or soil tests that the Proposer may desire for purposes of preparing the Proposal. Any information contained in Project Information Package is for information only, is not part of the contract and SCDOT makes no representation or warranties regarding such information. The Proposer shall obtain any permits or permissions required prior to any additional subsurface exploration. The Proposer shall obtain permission from any landowner prior to entering private property. The Proposer shall obtain encroachment permits for any investigations within the right of way.

2.4 SCDOT Point of Contact

Ms. Carmen Wright is the Primary point of contact (POC) and addressee for receiving all communications about the Project with copies to Mr. Brian Gambrell, Alternate #1 POC, and Mrs. Kit Scott, Alternate #2 POC. The Alternate POCs have been identified in the event of the unavailability of the Primary POC but are not intended to be substitutes for the Primary POC. No contact is allowed with any SCDOT personnel concerning this Project except for questions of an administrative nature or as expressly permitted under this RFP, in either case that shall be submitted in writing to the attention of the Primary SCDOT POC (email is acceptable) with a copy to the Alternate POCs. This restriction is in effect until the earliest of Contract award, termination of this procurement, or such other time as identified by SCDOT to the short-listed Proposers in writing. Any Proposer engaging in prohibited communications may be disqualified at the sole discretion of SCDOT. Written inquiries from the Proposer's POC (as identified in the Proposer's SOQ) shall be sent to:

Mail Delivery: Ms. Carmen Wright
Mr. Brian Gambrell; Mrs. Kit Scott
Office of Project Delivery (Office of Chief of Chief Counsel,
Office of Alternative Delivery)
South Carolina Department of Transportation
955 Park Street, Room 101 (302, 421)
Columbia, South Carolina 29202-0191

E-mail: WrightCL@scdot.org
GambrellBC@scdot.org; ScottKD@scdot.org

2.5 RFP Committal

The submittal of a Proposal in response to this RFP shall constitute the Proposer's agreement to enter into the Contract with SCDOT for the completion of the Project under the terms set forth in the Agreement, Agreement Exhibits, the Technical Provisions and Technical Provisions Attachments. The Technical Provisions Attachments are located on the SCDOT Design-Build website as "Technical Provisions Attachments".

2.6 NEPA Document/Permit

An Environmental Assessment (EA) and Finding of No Significant Impact (FONSI) were prepared for the Project by SCDOT and have been signed by FHWA. The EA and FONSI are contained in Technical Provision 160-1. The Proposer shall be responsible for complying with the NEPA determination and all environmental commitments. SCDOT is obtaining the mitigation credits to be used for this Project up to but not exceeding the total credit identified in the Section 404 Permit.

A US Army Corps of Engineers Individual Permit (IP) and DES-Bureau of Coastal Management (BCM) Critical Area Permit (CAP) will be obtained for the project. The Proposer shall be required to obtain all necessary permit modifications, including additional mitigation as required, and any other applicable authorizations. Regarding any permit or license that must be obtained in the name of SCDOT, the Proposer shall perform all functions within its power to obtain the permit or license, and SCDOT shall fully cooperate in this effort and perform any functions that must be performed by SCDOT.

2.7 Interchange Access Request

An approved IMR will be provided on the SCDOT Design-Build website and in as a Technical Provision Attachment, in advance of the Final Request for Proposals. Proposer will be responsible for any changes to IMRs or any new IMRs resulting from Proposer's design as deemed necessary by SCDOT/FHWA.

2.8 Schedule

Contract time requirements are included in Agreement Article 7.

1. GENERAL INSTRUCTIONS
2. Design-Build Selection Method

3. For this Project, SCDOT chose the two-phased selection method. Phase 1, which identified a short-list of qualified Proposers, is complete.
4. In Phase 2, SCDOT invites each of the short-listed Proposers to submit their Proposals for completion of the Project. After evaluation of the Proposals, SCDOT plans to award and execute the Contract with a single Contractor. A general overview of Phase 2 includes, but is not limited to, the following steps:
5. SCDOT releases RFP for Industry Review
6. SCDOT conducts Confidential One-on-One Meeting with Proposers to discuss Risk Register, Conceptual ATCs, and Legal Review
7. SCDOT accepts Non-confidential Questions and conducts Open-Forum Meeting with Proposers to clarify/revise RFP
8. SCDOT releases Final RFP
9. Proposers submit Preliminary Alternative Technical Concepts (ATC) and Confidential Questions
10. SCDOT conducts Confidential One-on-One Meetings with Proposers to discuss Preliminary ATCs and Confidential Questions
11. SCDOT accepts Non-confidential Questions and conducts Open-Forum Meetings with all Proposers, if necessary
12. Proposers submit Formal ATCs, Complex Bridge Peer Package, and any additional Confidential Questions
13. SCDOT conducts Confidential One-on-One Meetings with Proposers to discuss Formal ATCs and any additional Confidential Questions, if necessary
14. SCDOT accepts Non-confidential Questions and conducts Open-Forum Meetings with all Proposers, if necessary
15. SCDOT approves/disapproves Formal ATCs
16. Proposer submits Complex Bridge Independent Peer Review Package.
17. Proposers submit Proposals
18. SCDOT evaluates Proposals
19. SCDOT conducts Bid Opening, recommends, and selects a Contractor

These steps will follow the Milestone Schedule in Section 7. SCDOT reserves the right to make changes to the above steps and Milestone Schedule as appropriate to meet the needs of the procurement process. The following paragraphs provide information detailing various steps of the procurement.

2.9 RFP for Industry Review

The intent of distributing the RFP for Industry Review to the short-listed Proposers is to identify and resolve conflicts, Errors, mistakes, and/or ambiguities in the RFP, as well as review risk and commercial terms to ensure maximum competition, competitive pricing, and ultimately Project completion.

2.10 Confidential Risk Register, Conceptual ATC, and Legal Review Meeting

Following issuance of the RFP for Industry Review, SCDOT will offer a Confidential Risk Register, Conceptual ATC, and Legal Review Meeting for each team. SCDOT will reserve 1 hour and 30 minutes of meeting time on the date specified in the Milestone Schedule for each Proposer. SCDOT will provide the Proposers meeting time in advance by email to the Proposer's POC. The purpose of this meeting is to provide an opportunity to confidentially discuss items that the proposer and SCDOT consider high risk and discuss potential mitigation and/or risk sharing strategies, including discussion of other commercial terms, in advance of the release of the Final RFP. In addition, Proposers may share conceptual ATC ideas with SCDOT prior to investment of time and resources preparing Preliminary ATCs (See Section 3.8 for discussion on ATCs). SCDOT may answer questions at the meeting orally. Oral responses are for information only and are not binding. Nothing discussed at this meeting shall change the requirements in the RFP; however, risks that are identified and determined by SCDOT to be non-confidential, may result in revisions to the RFP requirements. Proposers may provide additional information prior to or during the confidential meeting to support their conceptual ATC or explain a potential risk item in PDF format only. No physical materials can be left with SCDOT. Any information the team would like SCDOT to review prior to the confidential meeting shall be submitted via electronic upload to ProjectWise two (2) business days before the scheduled meeting.

2.11 Non-Confidential Questions, Clarifications, and Open-Forum Meeting

Once the RFP for Industry Review is issued, the Proposers may submit non-confidential questions and/or comments relating to the RFP. An Open-Forum Meeting with all Proposers present will be held on the date provided in the Milestone Schedule to discuss and edit the RFP for Industry Review. Non-confidential questions will be accepted via electronic upload to ProjectWise on the dates provided in the Milestone Schedule. Proposers shall submit their questions or comments using the Questions Submittal Form which can be downloaded from the SCDOT Design-Build website under the SCDOT Design-Build Standard Forms Section. Responses posed during the Open-Forum Meeting will not be attributed to the submitting Proposer.

All Question Submittal Forms shall be named in accordance with the Design-Build File Naming Conventions from the SCDOT Design-Build website under the Design-Build Resources Section.

<https://www.scdot.org/business/design-build.aspx>

SCDOT reserves the right to accept or reject non-confidential questions received after the milestone deadline. SCDOT will review all questions and/or requests for clarification and, in its sole discretion, may incorporate the responses in the Final RFP. Prior to the Open-Forum Meeting, SCDOT will post on the SCDOT Design-Build website written responses

to the questions and request for clarifications received, without attribution to the submitting Proposer. Any new questions or requests for clarification asked during the Open-Forum Meeting shall be submitted to SCDOT in writing within 24 hours of the meeting. SCDOT's written responses to Proposers' questions and request for clarifications are for general information only, are non-binding, do not constitute legal or other advice, are subject to change with succeeding questions, answers, or addendums, do not amend or form part of the Final RFP, and SCDOT is under no obligation to address responses in revisions to the Final RFP Documents.

2.12 Final RFP

After completion of the Questions, Clarifications, and Open-Forum Meeting stage, SCDOT may incorporate the Proposers' comments into the RFP, and a Final RFP will be issued. Items that are revised, inserted, or deleted will be highlighted in the Final RFP. In addition to releasing a highlighted version of the Final RFP, SCDOT will also concurrently release a clean version of the Final RFP where all revisions, insertions, and deletions are not highlighted. It is this clean version of the Final RFP that will be utilized if any future Addendums are required.

2.13 Additional Non-confidential Questions, Clarifications and Open-Forum Meetings

Once the Final RFP is issued, SCDOT will allow Proposers to submit additional non-confidential questions or comments to point out mistakes or ambiguities in the RFP. SCDOT will review all non-confidential questions and/or requests for clarification and, in its sole discretion, may incorporate the responses in the RFP through an Addendum.

SCDOT will respond in writing to the non-confidential questions and requests for clarifications received. The written responses will be posted to the SCDOT Design-Build website within 15 Business Days of the deadline for submittal of non-confidential questions in the Milestone Schedule. If responses are provided, SCDOT may hold an Open-Forum Meeting where all Proposers will be invited to attend. This meeting may be conducted via conference call or other electronic means. A Proposers' failure to attend this meeting will not relieve the Proposer of the responsibility for estimating properly the difficulty and cost of successfully performing the Work, or for proceeding to successfully perform the Work without additional expense to SCDOT. SCDOT's written responses to Proposers' questions and requests for clarifications are for general information only, are non-binding, do not constitute legal or other advice, are subject to change with succeeding questions, answers, or addendums, do not amend or form part of the Final RFP, and SCDOT is under no obligation to address responses in revisions to the Final RFP Documents.

Non-confidential questions will be accepted via electronic upload to ProjectWise on the dates provided in the Milestone Schedule. Written questions submitted outside of these dates may not be accepted. If deemed necessary by SCDOT, Open-Forum Meetings will be held within 15 Business Days of the non-confidential questions Milestone Schedule deadline. Any new questions or requests for clarification asked during the Open-Forum Meeting shall be submitted to SCDOT in writing within 24 hours of the meeting. Proposers must use the Question Submittal Form that is provided on the SCDOT Design-Build website under the SCDOT Design-Build Standard Forms Section.

<https://www.scdot.org/business/design-build.aspx>

2.14 Confidential Questions

Once the Final RFP is issued, SCDOT will allow Proposers to submit confidential questions to provide the Proposer an opportunity to confidentially discuss the contents of its Proposal with SCDOT personnel. Confidential questions will be accepted via electronic upload to ProjectWise on the dates identified in the Milestone Schedule. Written questions submitted outside of these dates may not be accepted.

SCDOT will determine, in its sole discretion, if confidential questions submitted are considered confidential. If submitted confidential questions are determined to be of non-confidential nature or identify an Error or omission in the RFP, SCDOT, if it deems appropriate, in its sole discretion, may incorporate them in the RFP through an Addendum. If submitted confidential questions are determined to be of non-confidential nature or if non-confidential questions are asked during any confidential meeting, SCDOT will not respond and the Proposer may resubmit in accordance with Section 2.13.

SCDOT will provide written responses to the submitted confidential questions. SCDOT's written responses to Proposers' questions are for general information only, are non-binding, do not constitute legal or other advice, are subject to change with succeeding questions, answers, or addendums, do not amend or form part of the Final RFP, and SCDOT is under no obligation to address responses in revisions to the Final RFP documents.

Confidential questions received prior to Confidential One-on-One Preliminary and Formal ATC Meetings, will be discussed at those meetings. Written responses will be provided by SCDOT in advance of these meetings. Written responses to confidential questions raised during any meetings may be provided if deemed necessary; however, such questions and responses will be identified and narrowly tailored. No oral discussions during the Confidential Preliminary and Formal ATC Meetings shall be binding on SCDOT.

For confidential questions received on the allowable date(s) after the Confidential One-on-One ATC Meetings, written responses will be provided within 10 business days following receipt of the confidential questions. A Confidential One-on-One Meeting may be held if determined necessary by the SCDOT POC.

Proposers must use the Question Submittal Form that is provided on the SCDOT Design-Build website under the SCDOT Design-Build Standard Forms page.

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The intent of SCDOT is to maintain confidentiality of the one-on-one meetings. However, SCDOT reserves the right to disclose to all Proposers any issues raised during the one-on-one meetings, except to the extent that SCDOT determines, in its sole discretion, such disclosure would reveal confidential or proprietary information.

2.15 Preliminary Alternative Technical Concepts

An ATC is a confidential request by a Proposer to modify a contract requirement, specifically for that Proposer, prior to the Proposal Due Date. Requests for contract modifications that may qualify as ATC's may include, but not be limited to, changes in design criteria, changes in alignments or location of facilities, changes to review and

submittal processes, change in material or structure types, change in interchange type, etc. The ATC process provides an opportunity for Proposals to promote innovation, reduce Project costs, reduce Project duration, find the best solutions, and to maintain flexibility in the procurement process. ATCs are evaluated by SCDOT within the deadline set forth in the Milestone Schedule. In order to be approved, an ATC must be deemed, in SCDOT's sole discretion, to provide a Project that is equal or better in quality or effect on an overall basis than the Project would be without the proposed ATC. Concepts that simply delete or reduce scope, require or are premised upon an addition of a separate SCDOT project (or expand the Project's scope), lower performance requirements, lower standards, or conflict with environmental commitments, are not eligible for consideration as ATCs. SCDOT reserves the right, in its sole discretion, to reject any ATC. No ATC shall be included in the Proposal unless approved by SCDOT in writing prior to the SCDOT's Final Determination for Formal ATCs date on the Milestone Schedule.

As the environmental determination has been made for this Project, the following shall be used during the development of Proposals and ATCs:

- a. Proposer's Schematic Design contained within the Schematic Right of Way for the Project and that do not increase the environmental impacts are permissible without the submission of an ATC, provided all other RFP (including Contractual) requirements are met;
- b. Proposer's Schematic Design contained within the Schematic Right of Way for the Project but increase the environmental impacts require submittal and approval of an ATC to be eligible for implementation;
- c. Proposer's Schematic Design that requires right-of-way outside of the Schematic Right of Way will require submittal and approval of an ATC to be eligible for implementation.

2.15.1 Submittal of Preliminary ATCs

Preliminary concepts are intended to be an informal inquiry by the Proposer to explore a concept and a quick method by SCDOT to review and comment on potential development of ATCs prior to investment of time and resources by the Proposer. Preliminary concepts shall present a description, Deviations, and a range of costs (savings, additional costs), as further described in Section 2.16.1. Other items identified in Section 2.16.1 can be provided but are not required. The amount of information provided shall be constrained to the boxes provided in the Preliminary ATC Submittal Form, which may be downloaded from the SCDOT Design-Build website under the SCDOT Design-Build "Standard Forms" page. Each Preliminary ATC must be one concept. No multi-options concepts are allowed, i.e. each option is a Preliminary ATC. SCDOT will allow one single tabloid sized attachment (11" x 17") for detailed drawings or sketches. Submission of preliminary concepts does not change or extend the submission deadline of Formal ATCs.

Proposers shall be limited to one package of preliminary concepts and the total number of preliminary concepts shall not exceed 25. The proposer shall rank their Preliminary ATCs in order of importance or priority on the Preliminary ATC Submittal Form.

All Preliminary ATCs shall be submitted electronically by uploading to the 01 ATC folder in ProjectWise. Each Preliminary ATC Submittal Form and supporting information shall be combined in one PDF file per ATC that will be uploaded to ProjectWise. When uploading to ProjectWise, the Proposer shall enter appropriate attributes for each Document in the ProjectWise Client upload wizard. The web version of ProjectWise does not support ATC attribute uploads. The Document will not upload without entering the required attribute information.

<https://www.scdot.org/business/design-build.aspx>

The Proposer shall submit Preliminary ATCs for review in accordance with the Milestone Schedule.

2.15.2 Confidential Preliminary ATC Meeting

SCDOT will offer Confidential Preliminary ATC Meetings to each team. SCDOT will reserve two hours of meeting time for each Proposer. The purpose of this meeting is to provide an opportunity to confidentially discuss the preliminary ATCs and questions. Prior to the meeting, SCDOT will provide a tentative response on each Preliminary ATC. SCDOT will identify those preliminary ATCs that require additional information, which will have priority in the meeting. SCDOT may answer questions at the meeting orally. Oral responses are for information only and are not binding. Nothing discussed at this meeting shall change the requirements in the RFP. Proposers may bring additional information to the confidential meeting to support their preliminary ATC.

2.15.3 Responses to Preliminary ATCs

SCDOT will provide a response to the Proposer in accordance with the Milestone Schedule. SCDOT responses will be "Favorable," "Not Favorable," "Addendum," or "Not an ATC." If additional clarification is needed after the Preliminary ATC Meeting, Proposers are responsible for sending the clarification as soon as possible so that SCDOT has an opportunity to assess the concepts before providing a response in accordance with the Milestone Schedule. A favorable response by SCDOT in no way guarantees that the concept will become an approved Formal ATC. The favorable response may be subject to conditions.

2.16 Formal Alternative Technical Concepts

2.16.1 Submittal of Formal ATCs

Each Formal ATC submittal shall include the following.

- **Sequential number: Each ATC shall be numbered sequentially identifying the submitting Proposer. No multi-option submissions are allowed. (i.e., each option is itself an ATC)**
- **Description: A detailed description and schematic drawings of the configuration of the ATC or other appropriate descriptive information (including, if appropriate, specifications, construction tolerances, special provisions, proposed bridge types, product details, and a traffic operational analysis). Drawings shall clearly indicate what is specifically**

proposed for this Project. Samples/Examples from other projects may be used but specific commitments shall be identified for this Project (i.e. member sizes, material requirements, etc. Specification and special provisions shall be supplied if the ATC covers materials, products, etc. not commonly used by SCDOT and not covered in the RFP package or references. Traffic Analysis shall provide adequate information to clearly demonstrate the impacts of the Proposal on the Project and shall contain summaries where appropriate to aid in review.

- **Usage:** Locations where and an explanation of how the ATC would be used on the Project.
- **Deviations:** Clearly identify the sections and page numbers in the RFP which is deviated and requires submission of an ATC. Include an explanation of the nature of the proposed Deviation and a request for approval of such Deviations or a determination that the ATC is consistent with the requirements of the RFP. If consistent with the RFP, please provide the sections and page numbers in the RFP which address the concept.
- **Justification:** Justify use of the ATC and why the Deviations from the requirements of the RFP should be allowed.
- **Schedule:** Proposed changes to the Project Schedule if applicable. If early delivery is proposed, Proposer shall clearly define any proposed Liquidated Damages or effect to the Contract if the early date is not met.
- **Impacts:** Identify potential impacts on vehicular traffic, safety, community, utilities, right of way, and the Environment.
- **History:** A detailed description of other projects where the ATC has been used under comparable circumstances, the success of such usage, and names and telephone numbers of project owners that can confirm such statements.
- **Risks:** A description of added risks to SCDOT and other persons/entity associated with implementing the ATC.
- **Costs:** An estimate of the impact of the ATC on the Proposal Price and the ATC implementation costs to SCDOT, FHWA, Contractor, or other Person during construction, maintenance and operations.
- **Quality:** A description of how the ATC is equal or better in quality and performance than the requirements of the RFP.
- **Operations & Maintenance:** Any changes in operation or maintenance requirements associated with the ATC.

The technical response to each item outlined above shall be provided in the Formal ATC Submittal Form, which can be downloaded from the SCDOT Design-Build website under the SCDOT Design-Build Standard Forms section. Text submissions shall be limited to the form and shall not be provided as attachments. Only drawing details, plan sheets, charts, tables, graphs, specifications, special provisions, manufacturer data sheets, and supporting reports/analyses can be provided as an attachment to the Formal ATC Submittal Form.

A maximum number of 12 Formal ATCs may be submitted to SCDOT by the Proposer for consideration. The SCDOT will allow a 13th Formal ATC to be submitted following the final determination of Formal ATCs in accordance with the milestone schedule.

All Formal ATCs shall be submitted electronically by uploading to the 01 ATC folder in ProjectWise. Each Formal ATC Submittal Form and supporting information shall be combined in one PDF file per ATC that will be uploaded to ProjectWise. When uploading to ProjectWise, the Proposer shall enter appropriate attributes for each Document in the ProjectWise Client upload wizard. The Proposer shall not modify or populate the following fields within the wizard: Substage, Authorization Year, Implemented in Technical Proposal, Implemented in Project, or Successful Proposer. The web version of ProjectWise does not support ATC attribute uploads. The Document will not upload without entering the required attribute information.

<https://www.scdot.org/business/design-build.aspx>

The Proposer shall submit Formal ATCs in accordance with the Milestone Schedule. All information being exchanged between Proposers and SCDOT shall occur only on the specific dates shown, unless otherwise directed by the SCDOT POC.

2.16.2 Review of Formal ATCs

Review of Formal ATCs shall be in accordance with the information and Milestone Schedule provided herein.

- a. Initial Review: Upon completion of the initial review, SCDOT will make a final determination in accordance with Section 2.16.3, request more information, or provide a conditional response.
- b. More Information Needed: SCDOT may submit written questions to the Proposer as outlined in the Milestone Schedule, and/or request a one-on-one meeting in order to better understand the details of the Formal ATC. In addition, for questions related to minor clarifications, SCDOT may submit written questions to the Proposer anytime during the initial review or any subsequent review. Proposers will then have the opportunity to resubmit according to the Milestone Schedule.
- c. One-on-One Meetings: Confidential One-on-One Formal ATC meeting(s) may be scheduled to fully understand the details of any Formal ATCs. These meetings will be restricted to those persons involved in the review of the Formal ATC and limited to discussions of the Proposer's Formal ATC approach and any outstanding confidential questions. The purpose of this meeting is to discuss proposed changes, answer questions, and other relevant issues. Oral responses are for information only and are not binding. Nothing stated at any Formal ATC meeting(s) will modify the RFP or Contract Documents. SCDOT reserves the right to disclose to all Proposers any issues raised during the ATC meeting(s) in an addendum. However, SCDOT will not disclose any information pertaining to an individual Proposer's ATCs or other technical concepts to other Proposers.
- d. Conditional Response by SCDOT: If the SCDOT states a Formal ATC is not approved in its present form, it may be reconsidered for approval upon satisfaction, in SCDOT's sole discretion, of certain identified conditions that must be met or certain clarifications or modifications that must be made by

Proposer. The Proposer shall not have the right to incorporate this Formal ATC into the Proposal unless and until the Formal ATC has been resubmitted in accordance with the Milestone Schedule, with the conditions, clarification and modifications satisfied, and SCDOT has made a final determination.

- e. No Response from SCDOT: If the Proposer does not receive correspondence from SCDOT in accordance with the Milestone Schedule, the Formal ATC is deemed rejected by SCDOT, unless written notification to extend this period is given by SCDOT.

2.16.3 Final Determination of SCDOT

SCDOT will make one of the following written determinations with respect to each properly submitted ATC:

- a. The ATC is approved.
- b. The ATC is not approved.
- c. The Submittal does not qualify as an ATC but appears eligible to be included in the Proposal without an ATC (i.e., the concept appears to conform to the RFP and to be consistent with other contract requirements).
- d. The ATC is deemed to take advantage of an Error or omission in the RFP, or other Documents incorporated into the contract by reference, the ATC will not be considered, and the RFP will be revised to correct the Error or omission.
- e. More than one Formal ATC has been received on the same topic and SCDOT has elected to exercise its right to issue an addendum to the RFP to include that topic.

Once an ATC has been approved, only the entire ATC is eligible for inclusion into the Proposal. The inclusion of partial ATCs into a Proposal is not allowed, unless the individual ATCs have received separate approval by SCDOT.

Each Proposer, by submittal of its Proposal, acknowledges that the opportunity to submit ATCs was offered to all Proposers, and waives any right to object to SCDOT's determinations regarding acceptability of ATCs.

2.16.4 Incorporation into Proposal

A Proposer has the option to include any or all approved ATCs in its Proposal. If SCDOT responded to an ATC by identifying conditions for approval, Proposer may not incorporate such ATC into the Proposal unless all conditions have been met. Copies of SCDOT's ATC approvals, which shall include the ATC Summary Form for each incorporated ATC, shall be included in the Technical Proposal appendices. Proposals with or without ATCs will be evaluated against the same technical evaluation factors set forth in the Evaluation of Proposals section, and the inclusion of an ATC, including an ATC that provides added value or innovation, may or may not receive a higher technical rating. SCDOT approval of an ATC shall

not be considered a guaranty that the Proposal incorporating the ATC will be selected. SCDOT's rejection of an ATC will not entitle the Proposer to an extension of the Proposal submission deadline on the Milestone Schedule or Claim for additional costs or Delays, including development costs, loss of anticipated profits, or increased material or labor costs. The Total Cost to Complete shown in the Cost Proposal shall reflect any incorporated approved ATCs. Except for incorporating approved ATCs, the Proposal may not otherwise contain exceptions to or Deviations from the requirements of the RFP.

2.16.5 Value Engineering

Any ATC approved for incorporation, but that is not incorporated into the Proposal will not be considered a pre-approved value engineering change.

2.16.6 Abandonment of ATC by Proposer

If the approved ATC is abandoned by the Proposer, is unable to obtain required approvals, is otherwise proved to be infeasible, or fails to be constructed for any reason, the successful Proposer is obligated and required to complete the Project utilizing the original RFP requirements at the awarded cost and shall be responsible for any redesign costs.

Furthermore, if implementation of an ATC will require approval by a third party (including any Governmental Entity or other permitting authority), and if any such required third-party approval is not subsequently granted, then the Proposer must comply with the requirements of the RFP.

2.16.7 SCDOT's use of Concepts Contained in an ATC

SCDOT expressly reserves the right to adopt and use any ATC, approved or disapproved, by the successful Proposer on this contract or other contracts administered by SCDOT. By submitting a Proposal, all unsuccessful Proposers acknowledge that upon acceptance of the designated stipend, all approved or disapproved ATCs may be included in this contract or other contracts administered by SCDOT and shall become the property of SCDOT without restriction on use. Prior to contract execution, limited negotiations may be conducted as necessary to incorporate the ideas and concepts from unsuccessful Proposers, provided a stipend is accepted by the unsuccessful Proposer. After execution of the Contract, all ATCs from Proposers who have accepted a stipend will be subject to FOIA.

2.16.8 Uniform Proposer Obligations Relating to ATCs

The successful Proposer, in addition to performing all other requirements of the Contract Documents, shall:

- a. Obtain and pay the cost of obtaining all required approvals including approvals required to implement any approved ATC(s) incorporated into the Contract Documents;
- b. Obtain and pay the cost of obtaining any third-party approvals required to implement any approved ATC(s) incorporated into the Contract Documents;

- c. Unless otherwise noted in the Contract, be responsible for all costs and/or Delays of any nature associated with the implementation of any approved ATC incorporated into the Contract Documents; and
- d. Be solely responsible for reviewing the RFP and determining if the ATC deviates from the revised requirements if SCDOT revises the RFP after a Formal ATC has been approved. The Proposer must submit a request for approval of all additional variances required within five (5) Business Days of receipt of the RFP addendum.

2.17 Concepts for Non-mainline Temporary Pavement Design and Complex Bridge Independent Peer Review Package

2.17.1 Non-mainline Temporary Pavement Design

Temporary pavement is defined as existing pavement used to carry traffic in a temporary alignment or new alignment pavement used to carry temporary traffic. The Proposer shall submit non-mainline temporary pavement designs concurrently with Formal ATC submittals for review by The Department. Alternative temporary pavement design for mainline I-526 will not be considered or evaluated. Approved temporary pavement designs shall be incorporated into the Proposer's Technical Proposal. Should temporary pavements fail during construction, all costs and time are the responsibility of the Contractor.

2.17.2 Complex Bridge Independent Peer Review Package

Proposers shall submit a Peer Review Package to SCDOT in accordance with the milestone schedule and the Complex Bridge Peer Review Requirements in Technical Provisions Attachments – Structures. Confidential meetings will be held with each team, if necessary, and SCDOT will approve the Peer Review Package prior to submittal of the technical proposal.

The Peer Review Package shall consist of:

- List of bridges and components requiring Independent Peer Review (IPR), including any introduced through the ATC process.
- Qualifications of selected IPR firm (résumé's showing past experience)
- Proposed labor-hour effort (duration) for completion of IPR.
- Proposed IPR process. Describe how peer review work will be integrated into the design schedule for the project and interaction with EOR and lead design firm during peer review throughout the life of the project (i.e. revisions to RFC design). At a minimum, provide the following information:
 - i. Anticipated meetings between EOR and IPR firm and when they occur on the schedule
 - ii. Modeling software/methods for each qualifying complex bridge type/component
 - iii. Schedule for plan reviews and comment tracking method (Bluebeam, comment matrix, etc.)
 - iv. Comment escalation / issue resolution process for resolving design differences.

2.18 Confidentiality

Subject to the provisions of these instructions and public disclosure Laws applicable to the SCDOT, all Preliminary ATCs and Formal ATC Submittals, and related communications will remain confidential until the earlier of execution of the Contract or cancellation of this procurement, provided, however, that, prior to execution of the Contract, Preliminary ATCs and Formal ATC Submittals of unsuccessful Proposers that have agreed to and executed a stipend agreement will be subject to disclosure to the selected Proposer in accordance with this Section.

2.19 Stipends

By submitting a Proposal in response to the RFP, the Proposer acknowledges the following:

1. It is the intent of SCDOT to award a stipend of \$ 435,000.00 to each responsible Proposer that submits a responsive Technical Proposal and Cost Proposal subject to the terms of the Stipend Agreement set forth in Section 12 of the RFP Instructions. Shortlisting of Proposers is evidence of Proposer's responsibility.
2. If Proposer elects to receive a stipend, the Stipend Acknowledgement form and Stipend Agreement shall be signed by Proposer and submitted as part of the unsealed Technical Proposal. The Stipend Agreement will not count against the specified page limit.

If Proposer accepts a stipend, all information obtained by SCDOT under this RFP from or on behalf of such Proposer will become the property of SCDOT without restriction or limitation on its use, including Alternative Technical Concepts (ATCs). If Proposer accepts a stipend, SCDOT shall have unrestricted authority to publish, disclose, distribute, or otherwise use in whole or in part any reports, data, or other materials prepared under this RFP. SCDOT shall retain ownership of all plans, specifications, and related documents. If a Proposer elects not to accept the stipend, SCDOT has no responsibility or obligation to return the materials to the Proposer.

2.20 Changes in Proposer's Organization; Key Individuals

In order for a Proposer to remain qualified to submit a Proposal, unless otherwise approved in writing by SCDOT, the Proposer's organization as identified in the SOQ must remain intact for the duration of the procurement (i.e., until execution of the Contract). If a Proposer wishes to make changes in the Key Individuals identified in its SOQ including, without limitation, additions, deletions, reorganizations, changes in equity ownership interests and/or role changes in or of any of the foregoing, the Proposer shall submit to SCDOT a written request for its approval of the change as soon as possible but in no event later than 10 days prior to submission of the Technical Proposal as set forth in the Milestone Schedule. Any such request shall be addressed to SCDOT POC, accompanied by the information specified for such individual persons in the RFQ.

If a request is made to allow deletion of any member of its team (person or entity) identified in its RFP, the Proposer shall submit such information as may be required by SCDOT to demonstrate that the changed team or key individual meets the RFQ and RFP criteria including being equal or better. The Proposer shall submit its request by uploading the completed submittal online through ProjectWise in .pdf format through the accounts

created in connection with submission of the SOQ. SCDOT is under no obligation to approve such requests and may approve or disapprove in writing a portion of the request or the entire request at its sole discretion.

Except as provided herein and in the Contract, a Proposer may not make any changes to its team (including additions, deletions, reorganizations, changes in equity ownership interests and/or role changes) after the deadline noted above. Between such deadline and execution of the Contract, SCDOT, in its sole discretion, will consider requests by Proposers to make changes in the Proposers' organization based only on unusual circumstances beyond the Proposer's control.

In no event shall the Technical and Cost Proposal Due Dates be extended or shall SCDOT incur any liability for any disapproval of a change in Proposer's organization (including specifically any change with respect to Key Individuals).

The Key Individual positions with respect to which approval must be obtained, including the responsibilities, requirements and restrictions (including those relating to individuals filling of multiple Key Individual roles) of those individuals, are the Key Individual positions listed in the RFQ.

2.21 Organizational Conflicts of Interest; Prohibited Communications; Restricted Persons

The Proposer, and all members of Proposer's team (including all Key Individuals) are bound by the organizational conflict of interest, prohibited communications, restricted persons/entities, and related provisions under the RFQ. For any conflicts of interest identified since the submittal of the Proposer's SOQ, Proposers shall submit the required Disclosure of Potential Conflict of Interest Certification (found at <https://www.scdot.org/business/design-build.aspx>). For avoidance of doubt, any change in Proposer's organization requires submission of such certification and a determination by SCDOT.

3. PROPOSAL DEVELOPMENT AND SUBMITTAL

Proposals must be submitted separately in two parts, a Technical Proposal and a Cost Proposal. Required forms, confidentiality list, conceptual plans, and approved Formal ATCs used in preparing the Proposer's Cost Proposal shall also be incorporated in the Technical Proposal as Appendices. If a Proposer does not, at a minimum, submit a Technical Proposal Narrative and Technical Proposal Conceptual Plans, the submittal will be considered non-responsive and will not be reviewed/evaluated. Any concepts that conflict with the RFP discovered during the evaluations or after award of the Project, and which are not approved as an ATC, shall not control over the RFP and shall be resolved at no expense to SCDOT (i.e. time or cost). The determination of whether a concept conflicts with the RFP and the resolution of that conflict shall be at the sole discretion of the SCDOT.

3.1 Technical Proposal

The Technical Proposal Narrative shall contain no more than 10 pages, excluding the required appendices. Charts, tables, and schedules used to explain or expand on the Technical Proposal are to be included within the page limit and shall not be inserted into the appendices. No additional information shall be accepted, including, but not limited to,

links to external websites, video clips, or simulations/visualizations embedded within the Narrative.

The Technical Proposal Narrative shall be in English on single sided 8.5"x11" letter sized paper, with minimum eleven-point Arial font and double line spacing for text. Charts, tables, schedules, exhibits, or other illustrative information may be single spaced and shall be no smaller than 10 point font. Any Conceptual Plans shall be provided in black and white on single sided 11"x17" paper unless otherwise noted herein.

The Technical Proposal Appendices shall only include:

Appendix A – Conceptual Plans

- A.1 – Roadway Plans
- A.2 – Maintenance of Traffic Plans and/or Documents
- A.3 – Bridge Plans
- A.4 – CPM Schedule

Appendix B – Required Forms, and Confidential and Proprietary Information Page List

Appendix C – Approved Formal ATCs being incorporated into the Proposer's Cost Proposal

In the Technical Proposal Narrative, Proposers shall include a discussion on its Project Delivery and Approach or the Proposal will be considered non-responsive. The Proposer may choose to include a discussion on Innovation and Added Value; however, the decision to not discuss Innovation and Added Value will not result in a non-responsive Proposal. The Technical Proposal Narrative shall be developed in the following sequence:

1. Describe the Project Delivery & Approach by discussing/providing the following.
 - a. Identify the proposed schedule for implementing the Project. Include the sequence of construction. Describe methods that will allow a reduction in the overall construction schedule for the Project. As part of the Technical Proposal Appendices, provide Critical Path Method (CPM) Schedule, graphically, that shows the expected plan. Include the following items at a minimum:
 - Design phases/breakdown
 - Start and finish milestones for all segments, sections, or phases
 - Details of traffic control plans
 - Traffic shifts
 - Utility windows
 - Right of way acquisitions/right of entry
 - Special contract requirements
 - Known or expected risks
 - Other activities or relationships that are critical to the Proposer's Project design or construction
 - b. Describe Team's approach for maintaining traffic on interstate mainline while avoiding and minimizing impacts to the traveling public.
 - c. Describe the proposed design Submittal process and include in the CPM schedule in Appendices all anticipated deliverables in sequence that will allow

- SCDOT to conduct efficient and complete reviews. Include discussion of how the design review process is related to any proposed Project phasing.
- d. Discuss the strategies the Proposer's team will implement to mitigate or eliminate what the Proposer's team deems to be the top three risks on the Project. Describe the role that the Proposer expects SCDOT or other agencies to have in addressing these Project risks.
2. Describe the Proposer's Innovation and Added Value to the Project that clearly provides additional benefit to SCDOT or the public. In order to receive Quality Credit Points, the Proposer may respond to the items below within the Quality Credit Matrix. The quality credit points assigned will be based on commitments beyond the minimum requirements of the RFP which are summarized in the Quality Credit Matrix. The required Quality Credit Matrix may be downloaded from the SCDOT Design-Build Website under the SCDOT Design-Build Standard Forms Section at <https://www.scdot.org/business/design-build.aspx>. An innovative feature of a Proposal means proposing practices that are not standard or customary to the industry, nonetheless safely, efficiently, and effectively accomplish the same goal. Innovation may include the Proposer's means and methods, roadway alignments, approach to Project, etc. If the Proposer wishes SCDOT to consider items, including Formal ATCs previously authorized for inclusion in the Proposal, to be innovative or to provide additional quality, these items shall be included in the Quality Credit Matrix. However, SCDOT reserves the right to assign points for all items deemed innovative and favorable to SCDOT or the public that have not been identified in this section. In addition, the Proposer should consider, but not be limited to, the following items, which SCDOT considers as potential Innovation and Added Value to the Project.
 - a. Avoid or minimize impacts to utilities. Credit will not be awarded in this section for paying prior rights, only for avoidance of impacts. Discuss any in contract utilities that are avoided or that have reduced impacts.
 - b. Avoid or minimize impacts to right of way. Credit will only be awarded, for avoidance of impacts. Discuss any avoidance or minimization of Right-of-Way. The following tracts are of special interest:
 - Tract 79
 - Tract 82
 - Tract 90
 - Tract 136
 - Tract 164 and 165
 - Tract 236
 - Tract 238
 - c. Schedule Certainty
 - d. Minimizing and avoiding impacts to traffic during all construction and demolition activities.
 - e. Avoid or minimize additional environmental impacts.
 - f. Avoid or minimize impacts to third parties.

In the Technical Proposal Appendices, Proposers shall provide the following items:

1. Appendix A.1: Provide Conceptual Roadway Plans. The intent of scoring Proposer's conceptual roadway plans is for SCDOT to understand that the proposer clearly demonstrates its understanding of requirements of the RFP and the Team's approach to meet those requirements. The quality of the plans will be reviewed and scored for compliance with RFP requirements, including Formal ATC's authorized for inclusion in the proposal, if any, rather than plan development/preparation conformance. The following shall be provided.

- a. Typical sections for all roadways shall include as a minimum (11"x17" plan sheets):

- Design speed
- Functional classification
- Lane configuration and widths
- Shoulder and median widths
- Cross slopes
- Point of grade
- Notes and details as necessary

- b. Plan and profile for the entire Project Limits including interchange layout (11"x17" plan sheets).

Plan view shall include as a minimum:

- Geometric layout with reference data
- Superelevation data
- Geometric information including stations, PCs, PTs, alignment names, etc.
- Taper lengths
- Deceleration/acceleration lengths
- Construction limits
- Control of Access Limits (mainline and interchange)
- Existing and proposed Right of Way
- Lane alignment
- Clear zone limits
- Horizontal clearance at obstructions (any critical locations)
- Roadside barriers (location and type)
- Bridge and box culverts
- Limits of retaining walls
- Noise Barrier Walls
- Indicate any Design Exceptions approved in the RFP
- Material Staging and Laydown Areas

Profile view shall indicate:

- Grades & elevations
- Vertical curvature (PI station & elevation, length & K value, stopping sight distance design speed met)
- Bridge clearance envelopes

- c. Cross sections in accordance with the Roadway Design Manual.

- d. Special emphasis details (where needed to clearly demonstrate understanding and approach- e.g. noise walls, construction tie-ins at the SCPA) (11"x17" plan sheets). Colorized prints will be accepted for the Submittal of these special emphasis details.
- e. Plan and profile roll plots for the entire Project Limits including interchange layout. The plans should be at a scale of 1" = 150' on 36" x 8' length sheets.

Plan view shall include as a minimum:

- Geometric layout with reference data
- Superelevation data
- Geometric information including stations, PCs, PTs, alignment names, etc.
- Taper lengths
- Deceleration/acceleration lengths
- Construction limits
- Control of Access Limits (mainline and interchange)
- Existing and proposed Right of Way
- Lane alignment
- Clear zone limits
- Horizontal clearance at obstructions (any critical locations)
- Roadside barriers (location and type)
- Bridge and box culverts
- Limits of retaining walls
- Noise Barrier Walls
- Indicate any Design Exceptions approved in the RFP
- Material Staging and Laydown Areas

Profile view shall indicate:

- Grades & elevations
- Vertical curvature (PI station & elevation, length & K value, stopping sight distance design speed met)
- Bridge clearance envelopes

2. Appendix A.2: Provide Conceptual Maintenance of Traffic Plans. The plans shall depict the number of construction stages and a staging narrative within those plans to include duration of each stage. The plans may be color coded and can be provided on roll plots at a minimum scale of 1" = 150' on 36" width x 8' length sheets for the entire Project Limits including interchanges. Plan scale and detail for critical areas shall be appropriate for demonstrating transitions, directional flow, and all items below.
 - a. Plan for areas deemed critical by the design team for staging concerns. These areas may require cross sections for more detail.
 - b. Plan for maintaining ramp traffic.
 - c. Plan for maintaining positive temporary drainage during stages.
 - d. Plan for placing girders over the I-526.
 - e. Plan for conceptual overhead signage needs along mainlines as necessary for traffic stages.

- f. Narrative for notifying the traveling public of upcoming stages.
3. Appendix A.3: Provide Conceptual Bridge Plans which shall consist of the following:
 - a. Plan and profile of bridge showing horizontal and vertical clearances and expansion joint locations and types of joint materials.
 - a. Superstructure cross sections and substructure elevations showing pertinent structural Elements, dimensions, and types of bearings.
 - b. Construction staging plan for bridge Work including dimensions of temporary roadway widths both on the bridges and, where applicable, on the roadway beneath the bridges.
 - c. Bridge construction access plan showing areas used to access the bridge Work and showing proposed equipment and material handling locations and staging.
 - d. Retaining wall envelopes at the bridge ends showing top of wall, ground lines, and bottom of wall (required only where retaining walls are proposed).
 4. Appendix A.4: CPM Schedule (in color), include the following items at a minimum:
 - a. Design phases/deliverables Submittals/Submittal review period/RFC Submittal
 - b. Start and finish milestones for all segments, sections, or phases
 - c. Details of Traffic Control Plans
 - d. Traffic shifts
 - e. Utility windows
 - f. Right-of-Way acquisitions/right-of-entry
 - g. Special contract requirements
 - h. Known or expected risks
 - i. Other activities or relationships that are critical to the Proposer's Project design or construction
 5. Appendix B: Required Forms and Confidential and Proprietary Information include:
 - a. Stipend Acknowledgment Form
 - b. Stipend Agreement
 - c. EEO Certificate
 - d. Non-Collusion Certificate
 - e. Notice of Receipt of Addendum
 - f. Updated Organization Chart and Notarized Statement of Availability of Key Individuals
 - The Proposer shall provide a copy of the table reflected in Exhibit 5 of the Agreement, updated to reflect the names of each individual associated with the identified Key Personnel positions.
 - If SCDOT has approved the replacement of Key Individuals since short-listing, provide an updated organizational chart from the Proposer's Statement of Qualifications incorporating the approved changes by the SCDOT.

- The Proposer shall include a written statement from each direct employer, cosigned by Proposer's Project Manager, with respect to each Key Individual indicating that each such Key Individual identified on the original organizational chart submitted with the SOQ or the updated organizational chart reflecting SCDOT approvals will be available, barring any unforeseen circumstances, at the earliest of the times and durations identified in the RFQ and RFP, until expiration of the Warranty period, or such earlier date as the Contract is terminated or SCDOT releases, in writing, such Key Individual from this requirement.
- g. Confidential and Proprietary Information Page List (See Section 3.4)
 - h. Prequalification certificate for Proposer (lead contracting entity) identified in the organization chart. If the Proposer is a Joint Venture, prequalification certificates for each individual member and/or partner, as well as for the Joint Venture.
 - i. A copy of the joint venture organizational agreement, if not already submitted with the SOQ. Regardless if previously submitted, if a joint venture, consortium, limited liability company, or corporation, Proposer shall demonstrate clearly the joint and several liability to SCDOT of its joint venture, consortium, or limited liability company members or corporation's shareholders, which may be evidenced in organizational Documents or by separate certificate signed by Proposer and Proposer's members or shareholders.
 - j. Quality Credit Matrix.
6. Appendix C: Copies of approved Formal ATCs being incorporated into the Proposer's Cost Proposal.

The Technical Proposal Narrative, Conceptual Plans, and Quality Credit Matrix submitted as a part of the Technical Proposal will be considered a commitment and shall become part of the Contract. If awarded the Project, the Proposer commits to deliver this Project as set forth in their Technical Proposal and further agrees to correct all non-conforming aspects, omitted items, and deficiencies at no additional cost to SCDOT. After award, if subsequent revisions to the Technical Proposal are desired by the Proposer, even if within the parameters of the RFP requirements, SCDOT approval will be required. SCDOT reserves the right to utilize the Contract Change Request process to approve any desired revision. Additionally, after award the Proposer's Innovation and Added Value reflected in the Quality Credit Matrix, as defined in Section 3.1, will become the Proposal Commitments table as shown in Exhibit 2-3 of the Agreement.

3.2 Cost Proposal

The Cost Proposal shall include the completed Cost Proposal Bid Form and Bid Bond Form. The Cost Proposal Bid Form is provided in Section 8 of this document while the Bid Bond form is located on the SCDOT Design-Build website at: [Design-Build Bid Bond Form.pdf \(scdot.org\)](#). The Cost Proposal Bid Form and Bid Bond shall be included with your electronic submittal per the Milestone Schedule.

3.2.1 Bid Bond

Bid Bonds must be issued by an Eligible Bid Bond Surety (as defined below) registered and authorized to do business in the State of South Carolina. Any Person signing a bid bond as an attorney-in-fact shall include with the bid bond

evidence of authority to bind the Surety. An original, or a photocopy or facsimile of an original, power of attorney is sufficient evidence of such authority. Electronic, mechanically-applied and printed signatures, seals and dates on the power of attorney shall be considered original signatures, seals and dates, without regard to the order in which they were affixed. Proposers shall ensure that the bid bond/Proposal is written by a corporate surety company licensed for surety authority by the Chief Insurance Commissioner of the South Carolina Department of Insurance and has a rating of "A" or better assigned by A.M. Best Company on its most recent Best's Key Rating Guide (collectively such qualifications constituting an "Eligible Bid Bond Surety"); otherwise, the bond will not be accepted. Proposers shall ensure that the bid bond/Proposal guaranty is fully executed and indicates the name of the Proposer/Principle, the name of the Surety, the project for which the bond is issued, the penal amount of the bond, the date bond was executed, date of bid, surety registry service, bond verification number, amount of bond, and that the bond guaranties and names the South Carolina Department of Transportation as the obligee. Proposal guarantees must be included in the Proposer's response to the RFP on the required form and submitted as part of the sealed Cost Proposal. Failure to furnish a bid bond in the proper form and amount with the response to the RFP may be cause for rejection of the Proposal. Bid bonds shall be payable to SCDOT, shall be for at least five percent (5%) of the total amount of the Cost Proposal, and shall serve as a guarantee deposit that the offer will be carried out to Contract execution.

Failure to execute the Contract, or failure to meet and submit insurance and bond requirements per 2025 Standard Specification 103.5 - 103.7 within 20 days of receipt of the Notification of Award, shall result in its bid security being forfeited, and the Notification of Award will be rescinded and awarded to another Proposer. Withdrawal or attempted withdrawal of a Proposal after the receipt of the Cost Proposal may also result in forfeiture of bid security.

A Proposal submitted without the Bid Bond Form may be deemed non-responsive.

3.3 Proposal Submittal

Proposals must be submitted separately in two parts, a Technical Proposal and a Cost Proposal. Proposers are required to upload the Technical Proposal, signed forms, and appendices, online through ProjectWise in fully searchable PDF format. One original completed submittal shall be uploaded by either the lead contracting entity or lead design firm. The original Proposal Documents that are uploaded to ProjectWise shall be named in accordance with the Design-Build File Naming Conventions. If the Proposer elects to waive payment of the Stipend, an additional redacted completed submittal will be accepted and shall be uploaded by either the lead contracting entity or lead design firm. The redacted Proposal Documents shall be uploaded to ProjectWise using the format outlined in the Design-Build File Naming Conventions. The naming conventions requirements can be found at <https://www.scdot.org/business/design-build.aspx>. Proposers are advised of the time required to set up new account. All requests for new accounts must be received 72 hours prior to the Proposal deadline indicated in the Milestone Schedule. More information is available at <https://www.scdot.org/business/design-build-projectwise.aspx>.

Proposers are to email one electronic copy of the Cost Proposal by the date and time specified in the Milestone Schedule. Deliver to: DBCostProposals@scdot.org

Proposers shall receive an “Email Received Receipt Notification” as confirmation of the submittal. If confirmation is not received, it will be the Proposer’s responsibility to contact the POC prior to the delivery deadline. If the POC is unavailable, it will be the Proposer’s responsibility to contact an alternate POC listed below. Late submissions will be rejected. SCDOT accepts no responsibility for misdirected or lost Proposals.

Ms. Carmen Wright; WrightCL@scdot.org, 803-737-1428

Alternative POCs:

(GambrellBC@scdot.org, ScottKD@scdot.org); 803-737-1268, 803-737-1138

3.4 Confidentiality of Proposals

Proposers shall specifically mark as “Confidential” any elements of their respective Technical Proposal and Cost Proposal, as well as any pre-proposal exchanges of information, that they consider to contain confidential or proprietary information, and the release of which would constitute an unreasonable invasion of privacy. All markings must be conspicuous; use color, bold, underlining, or some other method in order to conspicuously distinguish the mark from the other text. Do not mark the entire Proposal as confidential or proprietary. **In the Technical Proposal appendix, Proposer shall include a list of page numbers that contain confidential and/or proprietary information. Failure to include this list in the Technical Proposal appendix waives the confidentiality protection and subjects the information to disclosure in accordance with the law.** In determining whether to release documents, the SCDOT will rely on the Proposer’s marking of each page or portions of pages of documents, as required by these instructions, as being either “Confidential” or “Trade Secret”. Proposer shall be prepared upon request to provide justification of why such materials shall not be disclosed under the South Carolina Freedom of Information Act, Section 30-4-10, et seq., South Carolina Code of Laws (1976) as amended. Proposals will be kept confidential and will not be disclosed, except as may be required by law. Blanket designations of confidentiality (i.e. that do not identify the specific information believed to be confidential) may be disregarded.

If the Proposer elects to waive payment of the Stipend, the Proposer must submit one complete copy of its Proposal from which it concealed such “Confidential” information, i.e. the redacted copy. The redacted copy should (i) reflect the same pagination as the original, (ii) show the empty space from which information was redacted, and (iii) be submitted electronically. Except for the information concealed, the redacted copy must be identical to its original Proposal, and the SCDOT POC must be able to view, search, copy and print the redacted copy without a password.

3.5 Non-collusion and Equal Employment Opportunity Certification

Proposers shall certify that they have not participated in any collusion or otherwise taken any action in restraint of free competitive bidding in connection with the submission of this Proposal on this Project. A Proposal submitted without the non-collusion certification may be deemed non-responsive. The non-collusion certification form provided as part of this document shall be submitted as part of the Technical Proposal and will not count against the specified page limit. Each joint venture team member shall submit a separate non-collusion certification.

Proposers shall complete the Equal Employment Opportunity (EEO) Performance Certification form provided as part of this document. A Proposal submitted without the EEO certification may be deemed non-responsive. The EEO certification form shall be submitted as part of the Technical Proposal and will not count against the specified page limit. Each joint venture team member shall submit a separate EEO certification.

4. EVALUATION OF PROPOSALS

4.1 Evaluation Committee

An Evaluation Committee (“Committee”) has been appointed by SCDOT to review the Proposals. The voting committee members will be comprised of SCDOT employees. The committee may consult with SCDOT employees, Project stakeholders, and/or outside consultants having expertise in the various disciplines required by the Project, including FHWA.

4.2 Proposal Review

The Committee will review the Technical Proposals and determine responsiveness based on the Proposal Development criteria in Section 3. Cost Proposals will be accepted from those Proposers deemed to have responsive Technical Proposals. Proposers that submit a non-responsive Technical Proposal will be sent a letter with an explanation as to the reasons for determining non-responsiveness. All original copies of the non-responsive Technical Proposal(s) will be retained by SCDOT. Reasons for determining a Technical and/or Cost Proposal to be non-responsive may result from, but are not limited to, the following: failure to provide all information requested in the Proposal (including specifically correct completion of the Cost Proposal Bid Form), failure to conform to the material requirements of the RFP, conflict of interests, conditional Proposals. Technical and/or Cost Proposals which impose conditions that modify material requirements of the RFP may be rejected as non-responsive. Proposers will not be given an opportunity to correct any material finding of non-responsiveness. SCDOT reserves the right, in its sole discretion, to disregard, seek clarification on, or waive any minor informality, irregularity, nonconformity, discrepancy, omission, or apparent clerical mistake that, in each case, is unrelated to the substantive content of the Proposal.

4.3 Technical Proposal Evaluation

Technical Score

All Technical Proposals will be evaluated and scored on a scale of 0 to 100 points prior to opening the Cost Proposals. A Technical Proposal Narrative outlining the Proposer’s Project Approach and Delivery which meets the minimum expectations of SCDOT as described in the RFP will be scored at 20 points. Conceptual Plans providing a quality design through sound engineering principles and practices will be scored at 80 points. Sound engineering principles and practices are those design concepts consistent with the RFP criteria and industry standards that are reliable, safe, and work efficiently as determined by SCDOT. Points will be deducted from the Project Approach and Delivery Technical Narrative and Conceptual Plans for aspects that do not conform to the RFP requirements, have omitted items, and contain deficiencies. At SCDOT’s discretion, points will also be deducted for aspects of a Proposal that lack sound engineering judgement, as determined by SCDOT, in accomplishing the scope of Work, incorporate

minimal design values in areas where higher values are more commensurate with the design context, or provide unsuitable quality. A Proposer will be deemed non-responsive if the evaluation of their Technical Proposal results in a score less than 70. The following criteria will be used in determining the Technical Score.

Project Delivery and Approach	20 points
Conceptual Roadway Plans	20 points
Conceptual MOT Plans	30 points
Conceptual Bridge Plans	30 points
Required Forms	<u>Pass/Fail</u>
Total Available	100 points

Each member of the Committee will examine each Proposal in detail to measure its contents against the evaluation factors and assign a score to each factor. The Committee will then meet and formulate its collective conclusions. The Committee must discuss significant variations in evaluator's scores or assessments of technical merit and resolve discrepancies or fully explain them. The Committee will assign the final score for each Technical Proposal by consensus.

The Proposer may be deemed non-responsive if all fully completed required forms are not included in the Technical Proposal Appendices. SCDOT may, but is not required, to seek omitted required forms prior to evaluation. If the Proposer does not provide the omitted forms in the time allotted by SCDOT, the Proposer shall be deemed non-responsive.

Quality Credit Score

The Proposer's Innovation and Added Value shown in the Technical Proposal that, in the opinion of SCDOT, provide additional benefits and added value to the Project beyond that specified in the RFP requirements will be awarded Quality Credits up to 100 points.

4.4 Presentations

Proposers who have submitted Technical Proposals in accordance with the requirements of the RFP will be invited by the Committee to make a presentation on the date identified in the Milestone Schedule. The Committee may prepare Clarification and/or Communication questions and these questions may be sent to the Proposers by the SCDOT POC prior to the presentation. The purpose is to highlight the key Elements of its Proposal and to provide an opportunity to orally answer questions through an open dialogue. Proposers will be permitted to ask questions during the presentation. The presentation will be scheduled for 1 hour. SCDOT will terminate the presentation promptly at the end of the allotted time. The format for the Proposer's presentations is:

- Informal Introduction of Key Individuals (Proposer)
- Clarification and Communication Q&A, Open Dialogue (SCDOT and Proposer)
- Optional Highlight of Key Elements (maximum of 20 minutes) (Proposer)
- Wrap-up (Proposer)

The Proposer's attendees may consist of the Proposer's POC, Key Individuals, and other personnel shown on the Proposer's organization chart. However, the number of attendees shall not exceed 12 individuals when in person presentations are used.

The presentation will not constitute Discussions or negotiations. The Technical Proposal submitted electronically to the SCDOT will be made available to the Proposer for the presentation. SCDOT will provide up to two large monitors with HDMI cables suitable for display to the Committee and the Proposer's attendees. Proposers should bring in their own computer in order to navigate through their Proposal and plans. If this meeting is held via conference call, Proposers are responsible for providing their technical proposal, as previously submitted to SCDOT, for display purposes. The Proposers will be able to navigate through their Proposal and plans during the presentations.

The Proposers shall not bring additional information, including additional copies of the Proposal, additional plan sheets, design calculations or handouts to the presentation and shall limit their presentation to the material provided as a part of their Technical Proposal, and any questions posed by SCDOT.

4.5 Clarifications

SCDOT, at its sole discretion, shall have the right to seek clarifications from any Proposer to fully understand information contained in its response to the RFP. Clarifications mean a written exchange of information which takes place after the receipt of Proposals when award without Discussions is contemplated. For this Project, Proposals are intended to be evaluated and award made without Discussion unless Discussions are determined to be necessary by the SCDOT POC. Therefore, Proposer's initial offer should contain the Proposer's best terms from a cost and technical standpoint. At its discretion, SCDOT may elect to hold Discussions, despite conducting clarifications, when circumstances dictate. Clarifications do not have to be sought with any specific number of Proposers and do not have to address specific issues. The purpose of clarifications is to address minor or clerical revisions in a Proposal. Examples include, but are not limited to, transposing numbers, incomplete sentences, and contradictions. The SCDOT POC may submit written questions to any Proposer to clarify a specific section of the Proposal and the Proposer shall respond in writing. SCDOT will acknowledge in writing receipt of the response. Responses will be used by the Evaluation Committee in scoring the Proposal. Clarifications will be incorporated into the Contract and will not alter the Contract requirements. The SCDOT POC shall have exclusive discretion regarding whether clarification is needed. Clarification can be used by the SCDOT POC at any point in the procurement process. Responses to any request for clarification that would serve to expand or modify the original Proposal, or to impermissibly add, detail, or additional content, will be disregarded.

4.6 Communications

SCDOT, at its sole discretion, shall have the right to seek communications from any Proposer to fully understand information contained in their responses to the RFP. Communications do not have to be held with any specific number of Proposers and do not have to address specific issues. Communications are written exchanges, between SCDOT and Proposers, after receipt of Proposals. The purpose of Communications is to:

- enhance the Evaluation Committee's understanding of Proposals; allow reasonable interpretation of the Proposal; or facilitate the evaluation process;

- address ambiguities in the Proposal or other concerns (e.g., perceived deficiencies, weaknesses, Errors, omissions, or mistakes).

Communications shall not be used to cure Proposal deficiencies or material omissions, materially alter the technical or cost elements of the Proposal, and/or otherwise revise the Proposal. The SCDOT POC may submit written questions to any Proposer to seek Communication exchanges on perceived deficiencies, weaknesses, Errors, omissions, mistakes, or lack of sound engineering judgement in the Proposal. The Communication process can include an oral exchange. However, the Proposer shall respond in writing to conclude the Communication process. The written responses shall become part of the Contract Documents.

SCDOT reserves the right to conduct Discussions if clarifications, presentation or communication exchanges reveal the need to amend the RFP.

4.7 Technical and Cost Proposal Analysis

Upon delivery of the Proposer's Cost Proposal at the time and date outlined in the Milestone Schedule, the Technical Score and the Quality Credit Score will be provided confidentially in a sealed envelope to each Proposer. After distribution of the scores, SCDOT will convene a closed session meeting; at which time, the Cost Proposals of Proposers with responsive Technical Proposals will be opened so that the analysis may be conducted.

The Total Cost to Complete and the other weighted criteria factors listed under the Technical Proposal Evaluation Section for each respective Proposer will be entered into the weighted criteria formula to determine a total weighed criteria score. Each Total Cost to Complete will be compared against the confidential SCDOT Engineer's Estimate. SCDOT will analyze the Proposals to determine if an award is justified. Upon completion of the analysis, the closed session will be adjourned and a public announcement will be made at the time and date outlined in the Milestone Schedule.

If upon analysis, there are no apparent concerns with the Proposals, the total weighted criteria score and the Cost Proposal information along with the Technical Score and Quality Credit Score for each Proposer will be read aloud during the public announcement. SCDOT intends to award the Contract to the Proposer with the highest Weighted Criteria Score. However, the Project may be cancelled after opening, but prior to the issuance of an award, when such action is determined in writing pursuant to the Design-Build Procurement Manual to be in the best interest of the SCDOT. If the RFP is cancelled, Cost Proposals may be returned to the Proposers and a new solicitation may be conducted for the Project.

If upon analysis, there are concerns with the Proposals, the Cost Proposal information, Technical Scores and Quality Credit Scores will not be read aloud and the Proposers will be advised whether SCDOT will hold discussions or cancel the procurement.

4.8 Weighted Criteria Determination

Award of the Contract, if made, will be made to the responsible and qualified Proposer whose Submittals generate the highest Weighted Criteria Score. To determine the Weighted Criteria Score, the Proposer's Total Cost to Complete will have a weight of 50,

the Proposer's Technical Score will have a weight of 20 and the Proposer's Quality Credit Score will have a weight of 30 for a Total Weight of 100.

The Proposer submitting the lowest Total Cost to Complete will be awarded the maximum number of points, 50. The next-lowest Total Cost to Complete will be awarded points based on the product of: (a) the ratio of the lowest Total Cost to Complete divided by the next-lowest Total Cost to Complete; and (b) 50 points (i.e., the points awarded for the lowest Total Cost to Complete), with such product rounded to the nearest one hundredth of a point. The process will continue for each of the remaining Proposer's Total Cost to Complete, with points being awarded based on the product of: (a) the ratio of the lowest Total Cost to Complete divided by the respective Proposer's Total Cost to Complete; and (b) 50 points (i.e., the points awarded for the lowest Total Cost to Complete), with such product rounded to the nearest one hundredth of a point.

The Technical Score and Quality Credit Score for each Proposer is converted to a percentage and multiplied by the point value assigned to each category, with such product rounded to the nearest one hundredth of a point.

The following formula will be used to determine the Weighted Criteria Scores.

$$\text{Weighted Criteria Score} = \left(\frac{A_{\text{low}}}{A_n} \times x_A \right) + \left(\frac{D}{100} \times x_D \right) + \left(\frac{E}{100} \times x_E \right)$$

A_{low} the lowest value from the Proposals being scored

A_n the Proposal that is being scored

x weight expressed as point values define above in this section

A Total Cost to Complete all work to be performed under the Contract

D Technical Score

E Quality Credit Score

Example for Determining the Weighted Criteria Score

Proposal	Total Cost to Complete (A)	Weighted Cost Score (x=45)	Quality Credit Score (E)	Weighted Quality Credit Score (x=15)	Technical Score (D)	Weighted Technical Score (x=40)	Total Weighted Criteria Score
A	\$30,000,000	45	56	8.40	70.00	28.00	81.4
B	\$35,000,000	38.57	N/A	N/A	65.00	26.00	N/A
C	\$38,000,000	35.53	64	9.60	85.00	34.00	79.13
D	\$31,000,000	43.55	72	10.80	100.00	40.00	94.35

Note: In this example, Proposal B was determined to be non-responsive and Proposal D was determined to be the highest Weighted Criteria Score.

In the event that two or more Proposers are determined to have the same Total Weighted Criteria Score, the award, if made, will be made to the Proposer with the highest weighted score for the criterion with the highest weight.

4.9 Discussions

If necessary, after the Technical and Cost Proposal analyses, SCDOT may hold confidential Discussions with each responsive Proposer relating to aspects of its respective Proposal. "Discussions" are written or oral exchanges with the intent of allowing the Proposers to revise their Proposals. However, after Discussions are concluded, SCDOT reserves the right to proceed with award without revisions to the Proposals.

Discussions are tailored to each Proposer's Proposal. The Discussion process is intended to assure that Proposers fully understand the requirements of the RFP and that the evaluation team fully understands each qualified Proposer's Technical Proposal and the Proposer's ability to perform as needed. Discussions involve only a limited exchange of information. Discussions are not negotiations. The SCDOT POC may discuss with each Proposer deficiencies, significant weaknesses, and other aspects of a Proposal that could be altered or explained in its Proposal. However, the SCDOT POC is not required to discuss every area where the Proposal could be improved. The scope and extent of Discussions are a matter of the SCDOT POC's judgment. If SCDOT determines that Discussions are necessary, SCDOT will forward a written invitation to the responsive Proposers.

SCDOT reserves the right to hold multiple Discussions at any length of time with all of Proposers. All Discussions shall be controlled by the SCDOT POC. Proposers shall not communicate with any other SCDOT employees regarding these Discussions except at the appropriate Discussion meetings.

At the conclusion of Discussions, SCDOT may either, 1) issue a Request for Best and Final Offers or 2) cancel the procurement.

4.10 Best and Final Offer

At the conclusion of Discussions, if SCDOT determines that Proposal revisions are warranted, SCDOT may issue a Request for Best and Final Offer (BAFO). Regardless of the length or number of Discussions, there will be only one request for a BAFO. If necessary, SCDOT may also issue an addendum to revise the RFP to allow revisions to clarify and document understandings reached during Discussions. The Request for BAFO will include instructions for preparing and submitting the BAFO and will include a new Milestone Schedule. Proposers submitting a BAFO will not be requested to re-submit any Documents which are unchanged from their initial Proposals. Proposers should provide necessary changes to individual paragraphs, as briefly as possible, together with a table of contents, which clarifies where within the initial Proposal the additional information or changed Documents would be placed. Proposal revisions shall include a BAFO Acknowledgement Form that acknowledges receiving all RFP amendments, if applicable. If only Cost Proposal revisions are requested, Proposers will revise and resubmit the Cost Proposal, and SCDOT will analyze the Cost Proposals as outlined in Section 4.7. If Technical Proposal revisions are warranted, Proposers will revise and resubmit, and the procurement process will return to Section 4. A new bid bond shall be submitted only if the final Proposal revisions to the Proposer's Cost Proposal are greater than its initial Cost Proposal.

4.11 Protest

4.11.1 Grounds for Protest

Protest of Contents of Solicitation (Invitation For Bids or RFPs or other solicitation Documents, whichever is applicable, or any amendment to it, if the amendment is at issue): Any Proposer who is aggrieved in connection with a solicitation document shall file a written protest to SCDOT's Chief Procurement Officer (CPO), PO Box 191, Columbia, SC 29202, within five Business Days of the date of posting of the solicitation, RFQ, RFP, or other solicitation document or any addendums to it on the SCDOT design-build website.

Protest of Short-listing: Any Protestant who is aggrieved in connection with the selection of short-listed Proposers shall file a written protest with the CPO within five Business Days of the date the short-list is posted on SCDOT design-build website. Any matter that could have been raised pursuant to the Protest of Contents of Solicitation, section above, may not be raised as a protest of the selection of the short-list. The number of Proposers short-listed is not grounds for a protest.

Protest of Award: Any Protestant who is aggrieved in connection with the award of the Contract shall file a written protest with the CPO within five Business Days of the date the Notice of Award is posted on SCDOT design-build website. Any matter that could have been raised pursuant to the protest of contents of solicitation or short-listing, section above, may not be raised as a protest of award.

Exclusive remedy: The rights and remedies granted in this section to Proposers, either actual or prospective, are to the exclusion of all other rights and remedies of Proposers against the SCDOT.

Failure to file a timely protest: If protestant fails to request a protest within the five Business Days, the short-list and award shall be final.

4.11.2 SCDOT Procedures for Protest

Protest: A protest must be in writing, filed with the CPO, and set forth the grounds of the protest and the relief requested with enough specificity to give Notice of the issues to be decided. The protest must be received by the CPO within the time provided.

Burden of Proof: The protestant bears the burden of proving the validity of the protest or Claim against the SCDOT.

Duty and Authority to Attempt to Settle Protests: Before commencement of an administrative review, the CPO, or a designee of the CPO, may attempt to settle by mutual agreement a protest of an aggrieved Protestant, actual or prospective, concerning the solicitation, short-listing, or award of the Contract. Any settlement reached by mutual agreement shall be approved by the CPO.

Administrative Review and Decision: If, after reasonable attempt, a protest cannot be settled by mutual agreement, the CPO, or a designee, shall promptly conduct

an administrative review. The CPO shall commence the administrative review no later than five Business Days after a reasonable settlement attempt and shall issue a decision in writing within five Business Days of completion of the review. The decision must state the reasons for the action taken. The decision shall include findings of fact and conclusions of Law, separately stated. A copy of the decision along with a statement of appeal rights set forth below must be mailed or otherwise furnished immediately to the protestant.

Finality of Decision and Appeal: The SCDOT's decision pursuant to the above paragraph is final and conclusive. A Person adversely affected by the final decision can appeal to circuit court and hereby waives a trial by jury regarding any protest arising out of this procurement and any such trial will be a non-jury trial before the South Carolina Circuit Court in Richland County.

Stay of Award: The Contract award is stayed until issuance of a final decision by the SCDOT. Once a final decision is issued, the filing of a petition to appeal that decision does not stay enforcement of SCDOT's decision to award the Contract.

All Freedom of Information (FOIA) requests will be sent to the FOIA Officer in the SCDOT Office of Chief Counsel.

5. SELECTION OF CONTRACTOR

The Chair of the Committee will present a report regarding the review of the Proposals along with the Technical and Cost Proposal Analysis results to SCDOT Director of Alternative Delivery and recommend selection of the Proposer with the highest Weighted Criteria Score. Prior to Contract execution, the Office of Alternative Delivery may conduct limited negotiations on any issues regarding scope, schedule, financing, inclusion of ATCs, inclusion of any concepts submitted by another Proposer (provided a stipend is accepted by the unsuccessful Proposer), or any information provided by the selected Proposer. If, however, no limited negotiations are initiated, then in submitting its Proposal, the recommended Proposer agrees to execute and deliver the Contract in the form as existed, as in the Final RFP (or last addendum, if applicable). The Office of Alternative Delivery will prepare a Secretary of Transportation Record of Approval Form requesting authorization to award and execute a Contract. Upon approval by the SCDOT Secretary of Transportation, SCDOT will offer a Contract to the selected Proposer. However, if the selected Proposer refuses to execute and deliver the Contract (absent limited negotiations-driven revisions) or if the revised Contract terms are not accepted by the selected Proposer or if the selected Proposer is unable to fulfill the Contract requirements, then Proposer agrees that this constitutes a withdrawal and SCDOT may offer a contract to the Proposer with the next highest Weighted Criteria Score and SCDOT may elect to call upon Proposer's bid bond/Proposal guaranty pursuant to the terms thereof.

6. GENERAL INFORMATION

6.1 Reserved Rights

SCDOT reserves the right to terminate the evaluation of one or more of the Proposals if it is determined to be in the best interest of the State to do so.

SCDOT reserves the right, at its sole discretion, to either cancel this solicitation or to re-advertise in another public solicitation when it is in the best interest of the State to do so.

SCDOT reserves the right to reject any and all Proposals, or parts thereof, and/or to discontinue Contract execution with any Party at any time prior to final Contract execution.

Except as to stipends under, and subject to the terms and conditions of executed Stipend Agreement, SCDOT assumes no liability and will not reimburse costs incurred by firms, whether selected or not, in developing Proposals or in Contract execution.

SCDOT reserves the right to request or obtain additional information about any and all Proposals. SCDOT may also issue addendums to the RFP, which will be posted on the Design-Build website and emailed to all Proposers' Points of Contact.

SCDOT reserves the right to modify all dates indicated or projected in this RFP.

SCDOT reserves the right to appoint subcommittees, composed as it sees fit, and to engage outside experts and consultants, in each case to assist the Committee in evaluation of Proposals

SCDOT reserves the right to make independent calculations with respect to numbers and calculations submitted in a Proposal for purposes of evaluation.

SCDOT reserves the right to require confirmation of information furnished by a Proposer, and to require additional information from a Proposer.

SCDOT reserves the right to seek or obtain information from any source that, in SCDOT's view, has the potential to improve SCDOT's understanding and evaluation of Proposals.

SCDOT reserves the right to disqualify any Proposer from the solicitation for violating any rules or requirements hereof, specified in this RFP or under applicable Law.

SCDOT reserves the right to add to the short-list any Proposer that submitted an SOQ, in order to replace a short-listed Proposer that withdraws or is disqualified from further participation in this solicitation.

SCDOT reserves the right to disclose information submitted or afforded to SCDOT under this RFP or pursuant to applicable Law.

SCDOT reserves the right to exercise any other right reserved or afforded to SCDOT under this RFP and applicable Law.

SCDOT reserves the right to exercise its discretion in relation to the matters that are the subject of this RFP as it considers necessary or expedient in light of all circumstances prevailing at the time that SCDOT considers to be relevant.

SCDOT reserves the right to revise or amend the RFP (or any processes hereunder), specifications and/or drawings, including changes to the date the Proposal is due. Such changes, if any, will be announced by an addendum(s) to this RFP. All information relating to this RFP, including pertinent changes/addendums and other applicable information will be posted on SCDOT's Design-Build website <https://www.scdot.org/business/design-build>

[build.aspx](#). If changes are made to the RFP within 10 days of the due date, the dates identified in the Milestone Schedule may be adjusted accordingly. Proposers are advised to check this site frequently to ensure they have the latest information.

6.2 Other General Terms:

Receipt of an addendum by the Proposer must be acknowledged in the space provided on the Addendum Notice to Proposer Transmittal Form posted on the SCDOT Design-Build website for this Project. Proposers shall submit the signed Notice with its Technical Proposal response to this RFP. Failure to acknowledge an addendum may result in rejection of the Proposal. Explanations or instructions given in a form other than an addendum or ATC response letter shall not be binding.

After award, if an unsuccessful Proposer would like to schedule a debriefing, Proposer shall submit a request within three Business Days from the date the award notification is posted on the SCDOT Design-Build website for this Project. Only written requests (emails are acceptable) for a debriefing will be scheduled. Failure to request a debriefing within the three Business Day period waives the opportunity for a debriefing.

Proposer shall be held responsible for the validity of all information supplied in its Proposal, including that provided by potential Subcontractors. Should SCDOT subsequently learn that the facts and conditions were not as stated, the Proposal may be rejected or contract terminated for default if after award, in addition to any other remedy available under the contract or by Law.

Proposer, by submitting a Proposal, represents that it has read and understands the RFP, its exhibits, attachments and addendums, and that its Proposal is made in compliance with the criteria of the RFP. Proposers are expected to examine the RFP, its exhibits, attachments and addendums thoroughly and should request an explanation of any ambiguities, discrepancies, Errors, omissions, or conflicting statements therein. Failure to do so will be at the Proposer's risk. Proposer is responsible for any patent ambiguity in the RFP, its exhibits, attachments and addendums that Proposer does not bring to SCDOT's attention.

Proposal Acceptance Period - By submitting a Proposal, Proposer agrees to hold the Proposal offer available for acceptance a minimum of 90 calendar days after the submission of its Cost Proposal. If a BAFO is requested, Proposer agrees to hold the BAFO available for acceptance a minimum of 90 calendar days after the submission of its BAFO Cost Proposal.

Submission of a Proposer's bid is not considered complete until both the Technical and Cost Proposals are received by SCDOT.

If a Proposer withdraws any time during the procurement, the stipend shall be forfeited and, if the Proposer is the selected Proposer, following evaluation, SCDOT may call upon the bid bond/Proposal security pursuant to the terms thereof.

This RFP does not commit SCDOT to enter into the Contract or proceed with the solicitation. SCDOT and the State of South Carolina assume no obligations, responsibilities or liabilities, fiscal or otherwise, to reimburse all or part of the costs incurred

or alleged to have been incurred by Parties considering a response to or responding to this RFP. All such costs shall be borne solely by each responding Proposers.

In no event will SCDOT be bound by, or liable for, any obligations with respect to the Project until such time (if at all) as the Contract has been executed by SCDOT and, then, only to the extent provided in the Contract.

A Business Day is hereby defined as a day in which SCDOT Headquarters is open for business.

ET is the current Eastern Time as observed in the Eastern Time Zone for this Project.

7. MILESTONE SCHEDULE

Milestone Schedule	Date/Time
Provide RFP for Industry Review to Short-list Proposers	Friday, September 19, 2025
Deadline for Proposers to submit Non-Confidential Questions on the RFP for Industry Review	No later than Friday, October 03, 2025 by 7:30am ET
Confidential Risk Register / Concept ATCs / Legal Review One-on-One Meetings with Proposers	Wednesday, October 15, 2025 Team 1 at 8AM, Team 2 at 10:15AM, Team 3 at 1PM
Open-Forum Meeting with Proposers for RFP for Industry Review Non-Confidential Questions/Clarifications	Tuesday, October 21, 2025 at 10:30am ET
Issue Final RFP	Wednesday, November 05, 2025
Deadline to Submit Preliminary ATC Package and Confidential Questions	No later than Thursday, November 13, 2025 by 7:30am ET
Confidential Preliminary ATC and Confidential Questions One-on-One Meetings with Proposers	Thursday, December 04, 2025 Team 1 at 8AM, Team 2 at 10:15AM, Team 3 at 1PM
SCDOT Responds to Preliminary ATCs and Confidential Questions	Friday, December 12, 2025
Submittal of Non-Confidential Questions	No later than Friday, December 12, 2025 by 2:00pm ET
Submittal of Formal ATCs for Initial Review, and Confidential Questions	No later than Thursday, January 09, 2026 by 7:30am ET
Open-Forum Meeting to Respond to Non-Confidential Questions	Thursday, January 08, 2026 at 10:00am ET
SCDOT Responds to Confidential Questions and to Formal ATCs with final determination, asks questions/requests information, or provides conditional responses	Monday, January 26, 2026
Submittal of Non-Confidential Questions	No later than Friday, January 26, 2026 by 2:00pm ET
Confidential Formal ATC One-on-One Meetings	Tuesday, January 27, 2026 Team 1 at 8:30AM, Team 2 at 10:15AM, Team 3 at 1:45PM
Open Forum Meeting to Respond to Non-Confidential Questions	Monday, February 09, 2026 at 10:30am ET
Resubmittal of Formal ATCs in its final form (no revisions allowed after this Submittal, unless requested by SCDOT)	No later than Monday, February 02, 2026 by 2:00pm ET
SCDOT's Final Determination for Formal ATCs	Wednesday, February 18, 2026
Submittal of 13 th Formal ATC	No later than Friday, February 20, 2026
Submittal of Complex Bridge Independent Peer Review Package	Friday, February 20, 2026
SCDOT's Final Determination for 13 th Formal ATC	Friday, February 27, 2026
Submittal of Non-Confidential and Confidential Questions	No later than Tuesday, March 03, 2026 by 7:30am ET
Confidential One-on-One Meetings	Tuesday, March 10, 2026 8AM for Team 1, 10 AM for Team 2, 1 PM for Team 3
Open Forum Meeting to Respond to Non-Confidential Questions	Thursday, March 19, 2026 at 10:30am ET

Milestone Schedule	Date/Time
Submittal of Technical Proposals	No later than Tuesday, March 31, 2026 by 2:00pm ET
SCDOT Provides Committee Questions Prior to Presentations	Thursday, April 23, 2026
Technical Proposal Presentations	Wednesday, April 29, 2026 Team 1 at 9AM, Team 2 at 10:30AM, Team 3 at 1:30PM
Submittal of Cost Proposals	Thursday, May 21, 2026 by 10:00am ET
Public Announcement of the Technical and Cost Proposal Analysis (with team Representatives present)	Tuesday, May 26, 2026 at 10:00am ET

8. COST PROPOSAL BID FORM

**Long Point Road Interchange Improvement
Charleston County**

CONTRACTOR: _____

ADDRESS: _____

Provide full Project scope as described in the Contract Documents.

TOTAL COST TO COMPLETE (A) = _____

No conditional or qualified Bids will be accepted, nor Bids with reservations, assumptions, or that are premised upon changes to the terms of the Contract, and all such Bids will be rejected.

Signature

Date

Printed Name

9. NON-COLLUSION CERTIFICATION**NON-COLLUSION CERTIFICATION****Project ID: P041314**

IN ACCORDANCE WITH THE PROVISIONS OF S.C. CODE ANN. §§ 39-3-10 ET. SEQ., 39-5-10 ET. SEQ., 15 U.S.C. §45; 23 C.F.R. §635.112(F); AND 28 U.S.C. §1746, I HEREBY ACKNOWLEDGE THAT I AM AN OFFICER OF THE PROPOSER FIRM AND, UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES AND SOUTH CAROLINA, DECLARE, BY MY CERTIFICATION BELOW, THAT THE FOLLOWING IS TRUE AND CORRECT, AND FURTHER, THAT THIS JOINT-VENTURE, FIRM, PARTNERSHIP, ASSOCIATION OR CORPORATION, OR ANY OTHER LEGAL ENTITY HAS NOT, EITHER DIRECTLY OR INDIRECTLY, ENTERED INTO ANY AGREEMENT, PARTICIPATED IN ANY COLLUSION, OR OTHERWISE TAKEN ANY ACTION IN RESTRAINT OF FREE COMPETITIVE BIDDING IN CONNECTION WITH THE SUBMISSION OF A BID PROPOSAL ON THE ABOVE REFERENCED PROJECT.

BY CHECKING THIS BOX ☐ , I CERTIFY THAT I HAVE READ, UNDERSTAND, ACCEPT, AND ACKNOWLEDGE ALL OF THE ABOVE STATEMENTS.

Executed on

(Date)

Signed:

(Officer/Proposer)

(Title)

(Address)

10. EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**(COMPLETE THIS SECTION FOR FEDERAL PROJECTS ONLY)
EQUAL EMPLOYMENT OPPORTUNITY PERFORMANCE**

Select the Certification that applies to the PROPOSER:

Certification (1) ☐ or Certification (2) ☐

Select the appropriate responses in the applicable Certification:

Certification (1): Pursuant to 41 C.F.R. §60-1.7(b)(1), Previous Equal Employment Opportunity Performance

Certification, as the Prospective Prime Contractor, I HEREBY CERTIFY THAT I:

(a) **(HAVE / HAVE NOT)** developed and filed an Affirmative Action Program pursuant to 41C.F.R. §60-2 and/or 60-4;

(b) **(HAVE / HAVE NOT)** participated in a previous contract or subcontract subject to the equal opportunity clause;

(c) **(HAVE / HAVE NOT)** filed with the Joint Reporting Committee, the Director of Office of Federal Contract Compliance, or the Equal Employment Opportunity Commission, all reports due under the applicable filing requirements,

OR

Certification (2): I, HEREBY CERTIFY that as the Prospective Prime Contractor submitting this Proposal, **(CLAIM / DO NOT CLAIM)** exemption from the submission of the Standard Form 100 (EEO-1) due to the fact that it employs a total of less than fifty (50) employees under C.F.R. §60-1.7, or qualifies for an exempted status under 41 C.F.R. §60-1.5.

I FURTHER CERTIFY that the above Certification will be made part of any Subcontract Agreement, or other agreement involved with this project.

Executed on _____, 20 ____ .

Signed: _____
(Officer/PROPOSER)

Title: _____

Company: _____

Address: _____

Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by PROPOSERS only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposers, Primary Members, or proposed Subcontractors (any tier) and Consultants who have participated in a previous contract subject to the Executive Orders and have not filed the required reports shall note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

11. STIPEND ACKNOWLEDGEMENT FORM**Stipend Acknowledgement Form****Long Point Road Interchange Improvement
Charleston County**

Proposer: _____

Address: _____

The undersigned Proposer, hereby:

☐ Waives the stipend for this Project.☐ Accepts the stipend for this Project.

By accepting the stipend for this Project, Proposer agrees:

1) to execute and include the Stipend Agreement in Section 13 of the RFP with its RFP response;

2) to submit an invoice with FEIN number for the stipend amount to the SCDOT POC after SCDOT's posting of the Notice of Award on SCDOT's Design-Build Website;

3) to transfer all rights to its Work Product used to develop the Proposal as of the date of this acknowledgement. "Work Product" means all submittals, including ATCs, ideas, innovations, solutions, methods, processes, design concepts, materials, electronic files, marked up drawings, cross sections, quantity lists and Intellectual Property, made by Proposer during the RFP process, including the Proposal, exchange of information during the pre-Proposal and post-Proposal period.

SCDOT will pay the stipend to each eligible Proposer, who has signed a Stipend Agreement, within ninety (90) days after execution of the Contract or the decision to not award a contract.

Date_____
Proposer_____
Signed_____
Print Name

12. STIPEND AGREEMENT

STIPEND AGREEMENT Project ID: P041314 Long Point Road Interchange Improvement Charleston County

THIS STIPEND AGREEMENT (the "Agreement") is made and entered into as of the ____ day of _____, 20__, by and between the SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION (hereinafter "SCDOT"), and _____ ("Proposer"), with reference to the following facts:

SCDOT issued a Request for Proposal ("RFP") for design and construction of the above-referenced Design-Build Project ("Project"), pursuant to procurement authority granted in Section 57-5-1625 of the S.C. Code of Laws, 1976, as amended. The RFP provided for payment of stipends as provided herein. Capitalized terms used, but not defined, have the meanings ascribed in the RFP.

NOW, THEREFORE, Proposer hereby agrees as follows:

1. Work Product.

1.1 Proposer shall prepare and submit a responsible and responsive Technical Proposal and Cost Proposal that conforms in all material respects to the requirements and provisions of the RFP, as determined by SCDOT, and are timely received by SCDOT in accordance with the RFP Milestone Schedule.

1.2 By signing this Stipend Agreement, Proposer agrees to transfer full and complete ownership to SCDOT of all Work Product. The Work Product (as defined below) shall become the property of SCDOT without restriction or limitation on its use, without further compensation or consideration, and can be used in connection with this Project or any future projects by SCDOT. Neither Proposer nor any of its team members shall copyright any of the material developed under this Agreement.

1.3 The term "Work Product" shall mean the Proposal and all material, electronic files, marked up drawings, cross sections, quantity lists, submittals, Alternative Technical Concepts (ATC), ideas, innovations, solutions, methods, processes, design concepts, trade secrets or confidential information, and Intellectual Property, made by or produced for Proposer in the development and submission of the Technical and Cost Proposal, including exchanges of information during the pre-Proposal and post-Proposal period.

2. Compensation and Payment.

2.1 A stipend to Proposer for the Work Product described herein shall be \$ 390,000 and is payable to Proposer that was determined to be responsible and (1) submitted a responsive Technical Proposal and responsive Cost Proposal to the RFP which is not selected for award of this Project, or (2) was awarded the Contract but the Contract was terminated by SCDOT for convenience after the Submittal of Proposal Due Date (See Final RFP Milestone Schedule) but prior to the Notice to Proceed #1. Responsibility of Proposers and responsiveness of the Technical Proposal and Cost Proposal will be determined by SCDOT as a condition of payment.

2.2 SCDOT will pay the stipend to Proposer as follows, subject (as applicable) to the following conditions:

(a) Proposer has submitted this signed Stipend Agreement, unchanged with its response to the RFP.

(b) After posting of the Notice of Award on SCDOT's Design-Build Website, Proposer has submitted to SCDOT an invoice, with FEIN Number, for the Stipend amount.

(c) After execution of the Contract or the decision not to award a contract, SCDOT will pay the invoice for the stipend amount to the unsuccessful Proposer meeting the criteria of Section 2.1 within 90 calendar days of receipt of the invoice from Proposer.

(d) If the procurement is suspended or cancelled prior to the Proposal Due Date (see Final RFP Milestone Schedule), no stipend will be paid to Proposer.

(e) After the submittal of Proposals, but prior to award, if the procurement is cancelled, all Proposers that provide a responsive Technical Proposal and Cost Proposal to the Final RFP and submitted a signed Stipend Agreement with their RFP shall receive the stipend

(f) In the event of a Best and Final Offer, only one stipend will be paid to each Proposer that executed a Stipend Agreement and met the other criteria and conditions herein.

(g) No stipends will be paid for submitting RFQ responses.

(h) No stipends will be paid to a Proposer who withdraws at any time from this procurement.

2.3 Acceptance by the Proposer of payment of the stipend amount from SCDOT shall constitute a waiver by Proposer of any and all right, equitable or otherwise, to bring any claim in connection with this procurement, procurement process, award of the Contract, or cancellation of this procurement.

2.4 The Proposer awarded the contract shall be not eligible to receive a stipend.

2.5 If Proposer elects to waive payment of the stipend, SCDOT will not use the ideas or information contained in that Proposer's Proposal for this Project. However, the Proposer's Proposal will be subject to the South Carolina Freedom of Information Act.

3. Indemnities.

3.1 Subject to the limitations contained in Section 3.2, Proposer shall indemnify, protect and hold harmless SCDOT and its directors, officers, employees and contractors from, and Proposer shall defend at its own expense, all claims, costs, expenses, liabilities, demands, or suits at law or equity arising, in whole or in part, from the negligence or willful misconduct of Proposer or any of its agents, officers, employees, Representatives or subcontractors or breach of any of Proposer's obligations under this Agreement.

3.2 This indemnity shall not apply with respect to any claims, demands or suits arising from use of the Work Product by SCDOT.

4. Compliance With Laws.

4.1 Proposer shall comply with all federal, state, and local laws, ordinances, rules, and regulations applicable to the work performed or paid for under this Agreement and covenants and agrees that it and its employees shall be bound by the standards of conduct provided in applicable laws, ordinances, rules, and regulations as they relate to work performed under this Agreement. Proposer agrees to incorporate the provisions of this paragraph in any subcontract into which it might enter with reference to the work performed pursuant to this Agreement.

4.2 The Proposer agrees (a) not to discriminate in any manner against an employee or applicant for employment because of race, color, religion, creed, age, sex, marital status, national origin, ancestry or disability of a qualified individual with a disability; (b) to include a provision similar to that contained in subsection (a) in any subcontract; and (c) to post and to cause subcontractors to post in conspicuous places available to employees and applicants for employment, notices setting forth the substance of this clause.

5. Assignment.

Proposer shall not assign this Agreement without SCDOT's prior written consent. Any assignment of this Agreement without such consent shall be null and void.

6. Miscellaneous.

6.1 Proposer and SCDOT agree that Proposer, its team members, and their respective employees are not agents of SCDOT as a result of this Agreement.

6.2 This Agreement, together with the RFP, as amended from time to time, the provisions of which are incorporated herein by reference, embodies the entire agreement of the parties. There are no promises, terms, conditions, or obligations other than those contained herein or in the RFP, and this Agreement shall supersede all previous communications, representation, or agreements, either oral or written, between the parties hereto.

6.3 It is understood and agreed by the parties hereto that if any part, term, or provision of this Agreement is by the courts held to be illegal or in conflict with any law of the State of South Carolina, the validity of the remaining portions or provisions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if the Agreement did not contain the particular part, term, or provisions to be invalid.

6.4 This Agreement shall be governed by and construed in accordance with the laws of the State of South Carolina.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

Witness:

SOUTH CAROLINA DEPARTMENT
OF TRANSPORTATION

By: _____
Chris Gaskins
Director of Alternative Delivery

Recommended:

Proposer:

Kit Scott

AGREEMENT

FOR THE DESIGN & CONSTRUCTION

of

**LONG POINT ROAD INTERCHANGE IMPROVEMENT
PROJECT (P041314)**

CHARLESTON COUNTY, SOUTH CAROLINA

A DESIGN-BUILD PROJECT

BY AND BETWEEN

SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION

and

Dated as of: _____, 20__

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DESIGN-BUILD AGREEMENT
LONG POINT ROAD INTERCHANGE IMPROVEMENT PROJECT

This Design-Build Agreement ("Agreement") is entered into and effective as of _____ 20__, by and between the South Carolina Department of Transportation ("SCDOT"), an agency of the State of South Carolina ("the State"), and XXXX ("Contractor") ("SCDOT" and "Contractor," collectively "Parties").

RECITALS

- A. The State of South Carolina wishes to improve the safety and operation of the State Highway System;
- B. SCDOT, as a servant of the people of the State of South Carolina, wishes to see the Project completed.
- C. SCDOT wishes to enter into an agreement with a private sector design-build contractor to develop, design, and construct the Long Point Road Interchange Improvement Project, which consists generally in Charleston County (the "Project").
- D. SCDOT has identified this Project as one that needs an expeditious and streamlined process that integrates designers and builders into one contract.
- E. SCDOT, working with the Federal Highway Administration ("FHWA"), will utilize an innovative process to allow commencement and completion of the Project in a timely and cost-effective manner with a Design-Build collaborative approach.
- F. Pursuant to S.C. Code Ann. § 57-5-1625 (the "Statute"), SCDOT is awarding this Project using a Design-Build procedure.
- G. Pursuant to the Statute, SCDOT issued a Request for Qualifications (as amended, the "RFQ") on June 13, 2025.
- H. In response to the RFQ, SCDOT received (6) statements of qualifications on July 14, 2025, and subsequently shortlisted (3) respondents.
- I. On August 21, 2025, SCDOT issued to the shortlisted proposers a Request for Proposals (as subsequently amended by addenda, the "RFP") to develop, design, and construct the Project.
- J. On March 27, 2026, SCDOT received (X) responses to the RFP, including the response of Contractor (the "Proposal")
- K. Contractor wishes to enter into an agreement with SCDOT to design and construct the Project.
- L. It is the intent of the Parties that this Agreement and the other Contract Documents (defined in Section 1.2.1) collectively constitute a "design-build contract that provides for the design, right-of-way acquisitions, permitting, and construction of a Project by a single entity", as contemplated under the Statute, and are entered into in accordance with the provisions of the RFP.
- M. The Parties warrant that these foregoing recitals are true and correct. The Parties further agree the foregoing recitals are an integral and material part of the Contract Documents,

1 without which they would not have executed the Contract Documents. Accordingly, these
2 recitals are hereby incorporated into the Contract Documents by this reference as if fully-
3 set forth herein.

4 NOW, THEREFORE, in consideration of the sums to be paid by SCDOT to Contractor,
5 the Work to be performed by Contractor, the foregoing premises, covenants, and agreements set
6 forth herein, and other consideration, the receipt and sufficiency being hereby acknowledged by
7 the Parties, the Parties hereby agree as follows:

Article 1.
DEFINITIONS; CONTRACT DOCUMENTS; INTERPRETATION OF CONTRACT
DOCUMENTS

1.1 Definitions

Definitions for the terms used in this Agreement and the other Contract Documents are contained in Exhibit 1.

1.2 Contract Documents; Order of Precedence

1.2.1 The term "Contract Documents" shall mean the Documents listed in this Section 1.2. Each of the Contract Documents is an essential part of the agreement between the Parties, and a requirement occurring in one is as binding as though occurring in all. The Contract Documents are intended to be complementary and to describe and provide for a complete agreement subject to Section 1.2.4. The order of precedence, from highest to lowest as set forth below, shall control if there exists any conflict among the Contract Documents:

(a) Change Orders and Agreement amendments (excluding amendments to the Technical Provisions which are separately addressed in subparagraphs (d) and (e), below), and all exhibits and attachments thereto;

(b) The Agreement (including all Requests for Qualifications, Statement of Qualifications, Requests for Proposals, Responses to Request for Proposals, exhibits and the executed originals of exhibits that are contracts, except Exhibit 2);

(c) Contractor's Proposal Commitments and ATCs (as set forth in Exhibit 2);

(d) Technical Provisions amendments, and all exhibits and attachments to such amendments;

(e) Technical Provisions, and all exhibits and attachments to the Technical Provisions (except Section 1000 of the Technical Provisions);

(f) SCDOT Special Provisions (as set forth in Section 1000 of the Technical Provisions);

(g) SCDOT Supplemental Technical Specifications;

(h) Special provisions in publications and manuals to the extent incorporated by reference into the Technical Provisions;

(i) Publications and manuals to the extent incorporated by reference into the Technical Provisions;

(j) RFC Documents to be developed in accordance with the Contract Documents, provided that: (a) specifications contained therein shall have precedence over Plans; (b) no conflict shall be deemed to exist between the RFC Documents and the other Contract Documents with respect to requirements of the RFC Documents that SCDOT determines are more beneficial than the requirements of the other Contract Documents; and (c) any other Deviations contained in the RFC Documents shall have priority over conflicting requirements of other Contract Documents only to the extent that the conflicts are specifically identified to SCDOT by Contractor and SCDOT approves such Deviations in writing in its discretion;

- (k) SCDOT Standard Drawings;
- (l) SCDOT Supplemental Specifications; and
- (m) SCDOT Standard Specifications

Notwithstanding the order of precedence among Contract Documents set forth in Section 1.2.1, in the event and to the extent that Exhibit 2-3 expressly specifies that it is intended to supersede specific provisions in the Contract Documents, including approved Deviations expressly listed in Exhibit 2-3, Exhibit 2-3 shall control over specific provisions of the Contract Documents. Moreover, if a Contract Document contains differing provisions on the same subject matter than another Contract Document of the same priority, the provisions that establish the higher quality, manner or method of performing the Work or use more stringent standards in SCDOT's judgment shall prevail.

In the event of a conflict among any standards, criteria, requirements, conditions, procedures, specifications or other provisions applicable to the Project established by reference to a described manual or publication within a Contract Document or set of Contract Documents, the standard, criterion, requirement, condition, procedure, specification or other provision offering higher quality, more stringent or better performance in SCDOT's judgment will apply. Any such conflict shall be resolved through the procedure set forth in Section 3.9.1.

1.2.2 Proposal

Contractor shall fully perform all of the obligations as set forth in the Proposal, including Contractor's Schematic Design, in compliance with the Contract Documents. This performance obligation extends to all statements, offers, terms, concepts or designs in the Proposal including those that can reasonably be interpreted as offers to provide higher quality items or more advantageous terms to SCDOT than otherwise required by the other Contract Documents.

1.2.3 Obligations Regarding Reporting of Conflicts, Ambiguities, Inconsistencies

A Party that becomes aware of any conflict, ambiguities, omissions, or inconsistencies within the Contract Documents shall notify the other Party in writing of the conflict within five (5) Business Days. SCDOT will issue a written determination resolving the conflict within fifteen (15) Business Days after SCDOT receives Notice or becomes aware of any such conflict.

1.3 Construction and Interpretation of Contract Documents

1.3.1 Interpretation

The language in all parts of the Contract Documents shall be construed simply, as a whole and in accordance with its plain, ordinary and popular meaning and not strictly for or against any Party. The Parties acknowledge and agree that the Contract Documents are the product of an extensive series of thorough, arm's length exchange of ideas, questions, answers, information and drafts during the Proposal preparation process, that each Party has been given the opportunity to independently review the Contract Documents with legal counsel, and that each Party has the requisite experience and sophistication to negotiate, understand, interpret and agree to the particular language of the provisions of the Contract Documents. Accordingly, it is the Parties' intent that any interpretation or construction of any perceived conflict, ambiguity, or inconsistency in, between, or among the Contract Documents, whether by Dispute Resolution or otherwise, shall not be against the Party preparing it, and instead shall be by other rules of

1 interpretation and construction. SCDOT's final answers to the questions posed by any Party
2 during the Proposal preparation process are not part of the Contract Documents and shall not be
3 relevant in interpreting the Contract Documents.

4 **1.3.2 Number and Gender**

5 In this Agreement, terms defined in the singular have the corresponding plural meaning
6 when used in the plural and vice versa, and words in one gender include all genders.

7 **1.3.3 Headings**

8 The division of this Agreement into parts, articles, sections and other subdivisions is for
9 convenience of reference only and shall not affect the construction or interpretation of this
10 Agreement. The headings in this Agreement are not intended to be full or precise descriptions of
11 the text to which they refer and shall not be considered part of this Agreement.

12 **1.3.4 References to this Agreement**

13 The words "herein", "hereby", "hereof", "hereto" and "hereunder" and words of similar
14 import refer to this Agreement as a whole and not to any portion of it. The words "Article",
15 "Section", "paragraph", "sentence", "clause" and "Exhibit" mean and refer to the specified article,
16 section, paragraph, sentence, clause or exhibit of, or to, this Agreement. A reference to a
17 subsection or clause "above" or "below" refers to the denoted subsection or clause within the
18 Section in which the reference appears.

19 **1.3.5 References to Agreements and Other Documents**

20 Unless specified otherwise, a reference to an agreement or other Document is a reference
21 to such agreement or other Document (including any schedules or exhibits thereto) as it may be
22 amended, modified or supplemented from time to time in accordance with its terms to the extent
23 any such original Documents, amendments, modifications and supplements are consistent with
24 the Contract Documents. If there is a conflict, then the order of priority as set forth in Section 1.2
25 *et seq.* shall control.

26 **1.3.6 References to Any Person**

27 A reference in this Agreement to any Person at any time refers to such Person's permitted
28 successors and assigns.

29 **1.3.7 Meaning of Terms**

30 In this Agreement, words shall be given their plain, ordinary, and popular meaning unless
31 specifically defined in Definitions in Exhibit 1.

32 **1.3.7.1 Words of inclusion**

33 The words "include," "including," and "includes" means "including without
34 limitations" and shall not be considered to set forth an exhaustive list. This Agreement specifically
35 rejects the South Carolina doctrine "*expressio unius est exclusio alterius*" or "*inclusio unius est*
36 *exclusio alterius*."

37 **1.3.7.2 Words of mandatory action versus permissive action**

38 The words "shall" and "must" are words that mean the action is mandatory
39 action unless used in conjunction with "not." The words "may" or "might" mean the action is

1 permissive and discretionary unless used in conjunction with “not.” The use of “not” in either
2 circumstance means the action is forbidden and not discretionary.

3 **1.3.8 Meaning of Discretion**

4 In this Agreement, except as otherwise stated herein, the word “discretion” with respect to
5 any Person or Party means the sole and absolute individual choice or good judgment of such
6 Person or Party exercised reasonably and in accordance with the implied warranty of good faith
7 and fair dealing. “Discretion” does not include any choice or judgment that violates any relevant
8 professional standard of care.

9 **1.3.9 Computation of Periods**

10 If a specified date to perform any act or give any Notice in the Contract Documents
11 (including the last date “within” a specified time period) falls on a non-Business Day, such act or
12 Notice may be timely performed on the next succeeding Business Day; provided, however, that
13 non-Business Day deadlines contained in the Contract Documents for actions to be taken in the
14 event of an Emergency or other circumstances, where it is clear that performance is intended to
15 occur on a non-Business Day, shall not be extended to the next succeeding Business Day.

16 **1.3.10 Meaning of Promptly**

17 In this Agreement, the word “promptly” means as soon as reasonably practicable
18 considering then-prevailing circumstances. The Parties agree “promptly” means within two (2)
19 Business Days absent unusual circumstances.

20 **1.3.11 Trade Meanings**

21 Unless otherwise defined herein, words or abbreviations that have well-known trade
22 meanings are used herein accordance with those meanings.

23 **1.3.12 Dimensions**

24 On Plans, working drawings, and standard Plans, calculated dimensions shall prevail over
25 scaled dimensions.

26 **1.3.13 Laws**

27 Unless specified otherwise, a reference to a Law is considered to be a reference to a
28 Federal or South Carolina statute and/or regulation: (a) such Law as it may be amended, modified,
29 supplemented or interpreted by the courts from time to time; (b) all regulations and rules pertaining
30 to or promulgated pursuant to such Law; (c) the successor to the Law resulting from recodification
31 or similar reorganizing of Laws; and (d) all future Laws pertaining to the same or similar subject
32 matter.

33 **1.3.14 Time Zones**

34 Unless specified otherwise, references in the Agreement to time or hours shall be to
35 prevailing Eastern Time.

36 **1.4 Referenced Manuals, Publications, Standards, Policies and Specifications**

37 **1.4.1** References in the Technical Provisions to manuals or other publications
38 governing the Work shall mean the most recent edition or revision thereof and amendments and
39 supplements thereto in effect on the Final RFP release date, unless otherwise expressly provided.

1 **1.4.2** In interpreting standards, policies and specifications referenced in the
2 Technical Provisions, the following apply:

3 (a) References to the “project owner” shall mean SCDOT; and

4 (b) References to “plan(s)” shall mean the RFC Documents.

5 **1.5 Errors, Omissions, and Misdescriptions**

6 **1.5.1** Contractor acknowledges that prior to the Effective Date it has had the
7 opportunity to identify any Errors, omissions, and potentially unsafe provisions in the Technical
8 Provisions and other Contract Documents, and the opportunity and duty to notify SCDOT of such
9 fact and of the changes to the provisions that Contractor believed were the minimum necessary
10 to render the provisions correct and safe. Contractor shall take no advantage of or benefit from
11 any Error or omission in the Contract Documents that Contractor knew of or, through the exercise
12 of reasonable care, should know of prior to the Effective Date.

13 **1.5.2** If it is necessary to adopt changes to the Technical Provisions after the
14 Effective Date to make the provisions correct and safe, such changes shall not be grounds for
15 any adjustment to the Contract Price, Completion Deadlines or other Claim; provided, however,
16 that adoption of such a change shall be treated as an SCDOT-Directed Change if: (a) Contractor
17 neither knew nor had reason to know prior to the Effective Date that the provision was erroneous
18 or created a potentially unsafe condition; or (b) Contractor knew of and reported to SCDOT the
19 erroneous or potentially unsafe provision prior to the Effective Date, and SCDOT did not adopt
20 necessary changes. If Contractor commences or continues any Work affected by such a change
21 after the need for the change was discovered or suspected or should have been discovered or
22 suspected through the exercise of reasonable care, Contractor shall bear any additional costs
23 associated with redoing the Work already performed.

24 **1.5.3** Contractor shall promptly notify SCDOT if Contractor identifies any Errors in
25 the Contract Documents and obtain specific instructions from SCDOT regarding any such Error
26 before proceeding with the affected Work.

27 **1.5.4** If Contractor determines that the SCDOT drafted Contract Documents do not
28 detail or describe sufficiently the Work or any matter relative thereto, Contractor shall request
29 further explanation from SCDOT and shall comply with any explanation thereafter provided by
30 SCDOT. The fact that the Contractor drafted Contract Documents omit or misdescribe any details
31 of any Work that are necessary to carry out the intent of the Contractor drafted Contract
32 Documents shall not relieve Contractor from performing such omitted Work (no matter how
33 extensive) or misdescribed details of the Work. Contractor shall be deemed to have known or
34 have had reason to know of such omission or misdescription within Contractor drafted Contract
35 Documents prior to the Effective Date, and shall perform such Work as if the details were fully
36 and correctly set forth and described in the Contractor drafted Contract Documents without
37 entitlement to a Change Order, except as specifically allowed under Article 14.14. Contractor shall
38 be entitled to a Change Order if the misdescription or omission within SCDOT drafted Contract
39 Documents could not have been known to Contractor prior to the Effective Date through the
40 exercise of reasonable care.

41 **1.5.5** Errors in the Schematic Design that require a Necessary Schematic ROW
42 Change are governed by Section 6.4.3 and Section 14.4.1.

1 **1.5.6** Inconsistent or conflicting provisions of the Contract Documents shall not be
2 treated as erroneous provisions under this Section 1.5, but instead shall be governed by Section
3 1.2.

4 **1.6 Project Information Package**

5 **1.6.1** SCDOT has provided the Project Information Package to Contractor.

6 **1.6.2** Except as provided in Section 1.6.3, Contractor acknowledges and agrees that:

7 (a) The Project Information Package is provided for informational purposes
8 only;

9 (b) The Project Information Package is not mandatory or binding on
10 Contractor or SCDOT;

11 (c) Contractor is not entitled to rely on the Project Information Package as
12 presenting any design, engineering, or construction solutions or other direction, means or
13 methods for complying with the requirements of the Contract Documents, Governmental
14 Approvals or Law;

15 (d) SCDOT will not be liable—and Contractor specifically waives—for any
16 causes of action, Claims or Losses suffered by any Contractor-Related Entity by reason of any
17 use of information contained in, or any action or forbearance in reliance on, the Project Information
18 Package;

19 (e) SCDOT has not verified the information in the Project Information
20 Package, and does not represent or warrant that the information contained in the Project
21 Information Package is accurate, comprehensive, complete, free from Error or omission or that
22 such information is in conformity with the requirements of the Contract Documents, NEPA
23 Approval, other Governmental Approvals or Laws;

24 (f) Without limiting clause (e) above, SCDOT makes no representations or
25 warranties as to any surveys, data, reports or other information provided by SCDOT or other
26 Persons concerning surface conditions and subsurface conditions, including information relating
27 to Utilities, Hazardous Materials, contaminated groundwater, archeological, paleontological,
28 cultural and historic resources, unexploded ordnance, seismic conditions, and Threatened or
29 Endangered Species, affecting the Work, the Site or surrounding locations;

30 (g) Contractor shall have no right to additional compensation or Completion
31 Deadline adjustment based on any Error in the Project Information Package;

32 (h) Contractor can conduct and is obligated hereunder to conduct a
33 Reasonable Investigation to verify or supplement the Project Information Package;

34 (i) Contractor represents it has conducted its own Reasonable Investigation
35 to verify or supplement the Project Information Package or specifically waives the right to conduct
36 a Reasonable Investigation into the accuracy of the Project Information Package, and

37 (j) If and to the extent Contractor or anyone on Contractor's behalf in any way
38 uses information in the Project Information Package, such use is made on the basis that such use
39 is entirely at Contractor's own risk and at its own discretion and that Contractor, not SCDOT, is
40 responsible for such information.

1 **1.6.3** Section 1.6.2 shall not adversely affect the specific relief available to
2 Contractor under Article 14 for Relief Events under clauses (g), (h), (j), (k), (l) and (p) of the
3 definition of Relief Event.

4 **1.7 Professional Services Licensing Requirements**

5 SCDOT does not intend to contract for, pay for, or receive any Professional Services that
6 are in violation of any professional licensing or registration Laws. Contractor acknowledges by
7 execution of this Agreement that SCDOT has no such intent to violate any Laws regarding
8 professional licensing or registration. It is the intent of the Parties that Contractor is fully
9 responsible for furnishing the Professional Services of the Project through itself or through
10 Subcontracts with licensed/registered Professional Service firm(s) as provided herein. Any
11 reference to Contractor's responsibilities or obligations to "perform" the Professional Services
12 portions of the Work shall mean that Contractor shall "furnish" the Professional Services for the
13 Project as described in this Section 1.7. The terms and provisions of this Section 1.7 shall control
14 and supersede every other provision of all Contract Documents.

15 **1.8 Federal Requirements**

16 Contractor shall comply with and require its Subcontractors to comply with all Federal
17 Requirements, including those requirements set forth in Section 1000 of the Technical Provisions.
18 In the event of any conflict between any applicable Federal Requirements and the other
19 requirements of the Contract Documents, the Federal Requirements as the supreme Law of the
20 land shall prevail, shall take precedence and be in force over and against any such conflicting
21 provisions to the extent necessary to eliminate the conflict with the Contract Documents with the
22 non-conflicting portions of the Contract Documents remaining in full force and effect.

23 **1.9 Incorporation of ATCs**

24 **1.9.1** If the Contract Documents incorporate any ATCs and either: (a) Contractor
25 does not comply with one or more SCDOT conditions for pre-approval for the ATC or (b)
26 Contractor does not obtain the required third-party approval for the ATC, then Contractor shall
27 comply with the requirements in the Contract Documents that would have applied in the absence
28 of such ATC, including acquiring Contractor-Designated ROW and Additional ROW necessary to
29 comply with the Contract Documents, and such compliance shall be without any increase in the
30 Contract Price, extension of the Completion Deadlines or any other Change Order.

31 **1.9.2** ATCs contained in Proposals submitted by unsuccessful Proposers maybe
32 presented to Contractor as a Request for Change Proposal in accordance with Section 15.1.2 or
33 Force Account Directive Letter in accordance with Section 15.3.

34 **1.10 SCDOT Monetary Obligations**

35 SCDOT represents that it has sufficient funds through appropriations, taxes, fees, loans,
36 grants and other funding sources to satisfy all monetary obligations to pay for the Work as required
37 by the Contract Documents. SCDOT will obtain any necessary additional funding through
38 whatever source it deems appropriate if the cost exceeds the funds already designated to pay for
39 the Work. The Contract Documents do not create a debt for the State of South Carolina under
40 the South Carolina Constitution.

1.11 Standards Relating to SCDOT Discretion

1.11.1 Reasonableness Standard. In all cases, where approvals, consents, determinations, acceptance, decisions, or other action are required to be provided or made by SCDOT under the Contract Documents, including with respect to Submittals, such approvals, consents, determinations, acceptance, decisions, or other action shall not be withheld unreasonably except in cases where a different standard is specified in the Contract Documents.

1.11.2 Good Faith Discretion Standard. If the approvals, consents, determinations, acceptance, decisions, or other action is subject to the good faith discretion of SCDOT, then its approvals, consents, determinations, acceptance, decisions, or other action shall be binding, unless it is finally determined through the Dispute Resolution Procedures that SCDOT action was arbitrary or capricious. The use of the word “discretion” with any qualifier except the qualifier of “sole” is intended to mean the exercise of good faith discretion subject to the Dispute Resolution Procedures. If the decision is determined to be arbitrary and capricious and causes Delay, it will constitute and be treated as a SCDOT-Caused Delay.

1.11.3 Sole Discretion Standard. In cases where sole discretion is specified, the decision shall not be subject to the Dispute Resolution Procedures or other legal challenge. No use of the word discretion herein shall be construed to be the exercise of sole discretion absent the “sole” qualifier.

1.11.4 Failure to Act. In all cases where SCDOT approvals, consents, determinations, acceptance, decisions, or other actions are required (regardless as to whether subject to the reasonableness, good faith, or sole discretion standards) and SCDOT fails to act, such failure to act shall constitute a disapproval, lack of consent, rejection, or the equivalent.

Article 2.
TERM; GENERAL OBLIGATIONS OF CONTRACTOR; REPRESENTATIONS AND
WARRANTIES

2.1 Term

This Agreement shall take effect on the Effective Date and shall remain in effect until the earlier to occur of: (a) expiration of the Warranty Term; or (b) the date that this Agreement is terminated as provided herein (the "Term").

2.2 General Obligations of Contractor

2.2.1 Design & Construction (D&C) Work

As more fully described in the Contract Documents, Contractor shall perform the D&C Work. The D&C Work shall include, but it is not limited to, the following: the development, design, alteration, repairing, maintenance, modification and construction of the Project or any roadway, bridge, tunnel or other structure or improvement to real property, or demolition or excavation including the furnishing of surveying, architectural, engineering or landscape design, planning or management services, labor or materials, in connection with such Work connected therewith conforming to the Basic Configuration and otherwise complying with the requirements of the Contract Documents, except as otherwise approved by SCDOT in its discretion. All materials, services and efforts necessary to achieve Substantial Completion and Final Completion of the Project on or before the applicable Completion Deadline shall be solely Contractor's responsibility, except as otherwise specifically provided in the Contract Documents. Contractor shall plan, schedule, and execute all aspects of the D&C Work and shall coordinate its activities with all Persons who are directly impacted by the D&C Work. Subject to the terms of Article 14, the cost of all D&C Work, including such materials, services and efforts as are necessary for the D&C Work, are included in the Contract Price.

2.2.2 Maintenance Services

Contractor shall perform all maintenance (referred herein as "Maintenance Services") within all of right of way acquired for the Project for all Elements existing and Work within the Site. Contractor shall commence Maintenance Services beginning with installation of uncovered permanent construction signs and concluding with the issuance of Notice of Final Completion. The Maintenance Services shall comply with corresponding requirements set forth in the Contract Documents, except as otherwise approved by SCDOT in its discretion. Subject to the terms of Article 14, the cost of all Maintenance Services, including all materials, services and efforts necessary to perform the Maintenance Services, are included in the Contract Price.

2.2.3 The Work Generally

Contractor shall comply, and cause all Subcontractors to comply, with applicable Laws, applicable Safety Standards, and shall perform, and cause all Subcontractors to perform, the Work in accordance with the requirements contained in the Contract Documents and Good Industry Practice.

2.3 Representations, Warranties and Covenants of Contractor

Contractor makes the following representations, warranties and covenants:

2.3.1 Contractor and its Subcontractors shall possess and maintain during all periods necessary for the performance of the Work all requisite professional ability, knowledge, skill, experience, training, or education, authority, licenses, registrations, and capacity to perform the Work in accordance with the requirements contained in the Contract Documents and Good Industry Practice.

2.3.2 Contractor has made a Reasonable Investigation and has evaluated all of the possible constraints affecting design and construction of the Project as of the Effective Date. Contractor has specifically evaluated the limits of the Project ROW and the NEPA Approval. After this Reasonable Investigation, Contractor believes that the Project can be designed and built within the Project ROW excepting the Contractor-Designated ROW proposed by Contractor during the ATC process and Additional ROW. Contractor has evaluated the feasibility of performing the D&C Work within the Completion Deadlines and for the Contract Price, accounting for constraints affecting the Project, and has reasonable grounds for believing and does believe that such performance (including achievement of Substantial Completion and Final Completion by the applicable Completion Deadlines for the Contract Price) is feasible and practicable, subject to Contractor's right to seek relief for Necessary Schematic ROW Changes under Article 14.

2.3.3 Contractor conducted a Reasonable Investigation prior to the Proposal Due Date and in accordance with Good Industry Practice and as a result of such Reasonable Investigation is familiar with and accepts the physical requirements of the Work, subject to Contractor's right to seek relief under Article 14.

2.3.4 Contractor has familiarized itself with the requirements of any and all applicable Laws and the conditions of any required Governmental Approvals prior to entering into this Agreement. As of the Effective Date, Contractor has no reason to believe that any Governmental Approval required to be obtained by Contractor will not be granted in due course and thereafter remain in effect to enable the Work to proceed in accordance with the Contract Documents.

2.3.5 All Work furnished by Contractor shall be performed by or under the supervision pursuant to S.C. Code Ann. § 40-11-270(E) of Persons who hold all necessary and valid licenses to perform the Work in the State, by personnel who are careful, skilled, experienced and competent in their respective trades or professions, who are professionally qualified to perform the Work in accordance with the Contract Documents and who shall assume professional responsibility for the accuracy and completeness of the Design Documents, Construction Documents and other Documents prepared or checked by them.

2.3.6 As of the Effective Date, Contractor is a business organization duly organized and validly existing under the Laws of a state including but not limited to the State of South Carolina. Contractor is properly registered to do business within the State of South Carolina with all requisite power and all required licenses to carry on its present and proposed obligations under the Contract Documents and has full power, right and authority to execute and deliver the Contract Documents and the subcontracts to which Contractor is (or will be) a Party and to perform each and all obligations of Contractor provided for herein and therein.

2.3.7 Contractor is duly qualified to do business, and is in good standing, in the State as of the Effective Date, and will remain in good standing throughout the Term and for as long thereafter as any obligations remain outstanding under the Contract Documents. The failure of

Contractor to maintain good standing is a material term of this Contract and constitutes a Material Breach thereof.

2.3.8 Reserved

2.3.9 Reserved

2.3.10 Reserved

2.3.11 The execution, delivery and performance of the Contract Documents and the subcontracts to which Contractor is (or will be) a Party have been (or will be) duly authorized by all necessary corporate action of Contractor; each Person executing the Contract Documents and the subcontracts on behalf of Contractor has been (or at the time of execution will be) duly authorized to execute and deliver each such Document on behalf of Contractor; and the Contract Documents and the subcontracts have been (or will be) duly executed and delivered by Contractor.

2.3.12 Neither the execution and delivery by Contractor of the Contract Documents or the subcontracts to which Contractor is (or will be) a Party, nor the consummation of the transactions contemplated hereby or thereby, is (or at the time of execution will be) in conflict with or has resulted or will result in a default under or a violation of the governing instruments or organizational documents of Contractor or a breach or default under any indenture or loan or credit agreement or other material agreement or instrument to which Contractor is a party or by which its properties and assets may be bound or affected.

2.3.13 Each of the Contract Documents and the subcontracts to which Contractor is (or will be) a Party constitutes (or at the time of execution and delivery will constitute) the legal, valid and binding obligation of Contractor, enforceable against Contractor, in accordance with its terms, subject only to applicable bankruptcy, insolvency and similar Laws affecting the enforceability of the rights of creditors generally and to general principles of equity.

2.3.14 As of the Effective Date, there is no action, suit, proceeding, investigation or litigation pending and served on Contractor which challenges Contractor's authority to execute, deliver or perform, or the validity or enforceability of the Contract Documents or subcontracts to which Contractor is a Party, or which challenges the authority of any of Contractor's officials that are executing the Contract Documents or subcontracts; and Contractor has disclosed to SCDOT prior to the Effective Date any pending and un-served or threatened action, suit, proceeding, investigation or litigation with respect to such matters of which Contractor is aware.

2.3.15 As of the Proposal Due Date, Contractor has disclosed to SCDOT in writing all organizational conflicts of interest of Contractor and its Subcontractors of which Contractor was aware; and between the Proposal Due Date and the Effective Date, Contractor has not obtained knowledge of any additional organizational conflict of interest, and there have been no organizational changes to Contractor, or its Subcontractors identified in its Proposal which have not been approved in writing by SCDOT. For this purpose, an organizational conflict of interest has the same meaning as set forth in the RFP.

2.3.16 Reserved

2.4 Survival of Representations and Warranties

The representations and warranties of Contractor contained herein shall survive expiration or earlier termination of this Agreement.

Article 3.
MANAGEMENT SYSTEMS AND OVERSIGHT

3.1 Submittal, Review and Approval Terms and Procedures

3.1.1 General

This Section 3.1 sets forth uniform terms and procedures that shall govern all Submittals to SCDOT pursuant to the Contract Documents and component plans thereunder. In the event of any irreconcilable conflict between the provisions of this Section 3.1 and any other provisions of the Contract Documents and component plans thereunder concerning submission, review and approval procedures, this Section 3.1 shall exclusively govern and control, except to the extent that the conflicting provision expressly states otherwise.

3.1.2 Time Periods

3.1.2.1 Except as otherwise provided in this Section 3.1.2 or in Section 7.5, whenever SCDOT is entitled to review, comment on, review and comment on, or to affirmatively approve or accept, a Submittal, SCDOT will have a period of fifteen (15) Business Days to act after the date SCDOT receives an accurate and complete Submittal in conformity with the Contract Documents, and all necessary or requested information and documentation concerning the subject matter. SCDOT's review period for Contractor's resubmission of a previously submitted or complete Submittal shall be ten (10) Business Days. If SCDOT determines that a Submittal is not complete, SCDOT will notify Contractor of such determination within fifteen (15) Business Days of receipt of such Submittal. The Parties shall agree in good faith upon any necessary extensions of the review-comment-and-approval period to accommodate particularly complex or comprehensive Submittals.

3.1.2.2 If any other provision of the Contract Documents expressly provides a longer or shorter period for SCDOT to act, such period shall control over the time periods set forth in Section 3.1.2.1. If the time period for SCDOT to act should end on a day when SCDOT is closed, the time period shall automatically be extended to the next day when SCDOT is open.

3.1.2.3 If at any given time SCDOT is in receipt of more than (a) 60 concurrent Submittals in the aggregate (or other number of aggregate concurrent Submittals mutually agreed in writing by SCDOT and Contractor), or (b) the maximum number of concurrent Submittals of any particular type set forth in any other provision of the Contract Documents, SCDOT may extend the applicable period for it to act to that period in which SCDOT can reasonably accommodate the Submittals under the circumstances, or such other period of extension set forth in any other provision of the Contract Documents, and no such extension shall constitute an SCDOT-Caused Delay, SCDOT-Directed Change, Relief Event or other basis for any Claim. Whenever SCDOT is in receipt of excess concurrent Submittals, Contractor may establish by written Notice to SCDOT an order of priority for processing such Submittals; and SCDOT will attempt to comply with such order of priority.

3.1.2.4 All time periods for SCDOT to act shall be extended by the period of any Delay caused by any Relief Event (for this purpose modified, where applicable, to refer to Contractor acts or omissions rather than SCDOT's) or caused by Delay, act, omission, breach, fault or negligence of any Contractor-Related Entity.

3.1.2.5 SCDOT will reasonably endeavor to accommodate a written request from Contractor for expedited action on a specific Submittal, within the practical limitations

on availability of SCDOT personnel appropriate for acting on the types of Submittal in question; provided Contractor sets forth in its request specific, abnormal circumstances, not caused by a Contractor-Related Entity, demonstrating the need for expedited action. This provision shall not apply, however, during any time described in Section 3.1.2.4.

3.1.3 SCDOT Discretionary Approvals

3.1.3.1 If the Submittal is one where the Contract Documents indicate prior approval or consent or acceptance is required from SCDOT in its discretion, then SCDOT's lack of approval, determination, decision or other action within the applicable time period described in Section 3.1.2 shall be deemed disapproval. If approval is subject to the sole discretion of SCDOT, then SCDOT's decision shall be final, binding and not subject to Dispute resolution and such decision shall not constitute an SCDOT-Caused Delay, SCDOT-Directed Change, Relief Event or other basis for any Claim.

3.1.4 Other SCDOT Approvals

3.1.4.1 Whenever the Contract Documents indicate that a Submittal or other matter is subject to SCDOT's approval or consent, but the approval or consent is one not governed by Section 3.1.3 concerning discretionary approvals, then SCDOT's lack of approval, determination, decision or other action within the applicable time period shall be deemed disapproval.

3.1.4.2 Whenever the reasonableness standard applies and SCDOT delivers no approval, consent, determination, decision, or other action within the applicable time period under Section 3.1.2, then Contractor may deliver to SCDOT a written Notice stating the date within which SCDOT was to have decided or acted and that if SCDOT does not decide or act within five (5) Business Days after receipt of the Notice, Delay from and after lapse of the applicable time period under Section 3.1.2 may constitute SCDOT-Caused Delay for which Contractor will be entitled to issue a Relief Event Notice under Section 14.1.

3.1.5 SCDOT Review and Comment

Whenever the Contract Documents indicate that a Submittal or other matter is subject to SCDOT's review, comment, review and comment, disapproval or similar action not entailing a prior approval and SCDOT delivers no comments, exceptions, objections, rejections or disapprovals within the applicable time period under Section 3.1.2, then Contractor may proceed thereafter at its election and risk, without prejudice to SCDOT's rights to later object or disapprove in accordance with Section 3.1.7.1. The failure or Delay by SCDOT in delivering comments, exceptions, objections, rejections or disapprovals within the applicable time period under Section 3.1.2 shall constitute an SCDOT-Caused Delay, SCDOT-Directed Change, Relief Event or other basis for any Claim. When used in the Contract Documents, the phrase "completion of the review and comment process", "comments addressed", "respond to the comments", "comments (are) (have been) resolved" or similar terminology means either (a) SCDOT has reviewed, provided comments, exceptions, objections, rejections or disapprovals, and all the same have been fully resolved, or (b) the applicable time period has passed without SCDOT providing any comments, exceptions, objections, rejections or disapprovals.

3.1.6 Submittals Not Subject to Prior Review, Comment or Approval

Whenever the Contract Documents indicate that Contractor is to deliver a Submittal to SCDOT but express no requirement for SCDOT review, comment, disapproval, prior approval or other SCDOT action, then Contractor is under no obligation to provide SCDOT any period of time

1 to review the Submittal or obtain approval of it before proceeding with further Work, and SCDOT
2 will have the right, but is not obligated, to at any time review, comment on, take exception to,
3 object to, reject or disapprove the Submittal in accordance with Section 3.1.7.1. No failure by
4 SCDOT in delivering comments, exceptions, objections, rejections or disapprovals with respect
5 to the Submittal shall constitute an SCDOT-Caused Delay, SCDOT-Directed Change, Relief
6 Event or other basis for any Claim.

7 **3.1.7 Resolution of SCDOT Comments and Objections**

8 3.1.7.1 If the Submittal is not governed by Section 3.1.3, then SCDOT's
9 exception, objection, rejection or disapproval shall be deemed reasonable, valid and binding if
10 based on, but are not limited to, any of the following grounds or other grounds set forth elsewhere
11 in the Contract Documents:

12 (a) The Submittal or subject provision thereof fails to comply, or is
13 inconsistent, with any applicable covenant, condition, requirement, standard, term or provision of
14 the Contract Documents or Project Management Plan or component plans thereunder;

15 (b) The Submittal or subject provision thereof is not to a standard equal to or
16 better than Good Industry Practice;

17 (c) The Submittal contains a Defect that could constitute a breach of any
18 applicable standard of care;

19 (d) Contractor has not provided the content or information required or
20 reasonably requested in respect of the Submittal or subject provisions thereof, in which case
21 Contractor shall have the subsequent opportunity to resubmit the Submittal with the required
22 content or information;

23 (e) Adoption of the Submittal or subject provision thereof, or of any proposed
24 course of action thereunder, would result in a conflict with or violation of any Law or Governmental
25 Approval; or

26 (f) In the case of a Submittal that is to be delivered to a Governmental Entity
27 as a proposed Governmental Approval, or in order to obtain, modify, amend, supplement, renew,
28 extend, waive or carry out a Governmental Approval, it proposes commitments, requirements,
29 actions, terms or conditions that are (i) inconsistent with the Contract Documents, the Project
30 Management Plan (or component plans thereunder), applicable Law, the requirements of Good
31 Industry Practice, or SCDOT practices for design-build contracting, or (ii) not usual and customary
32 arrangements that SCDOT offers or accepts for addressing similar circumstances affecting its
33 projects (except if usual and customary for SCDOT regarding its projects delivered via public-
34 private contracting).

35 (g) Other grounds set forth elsewhere in the Contract Documents.

36 3.1.7.2 Contractor shall respond in writing to all SCDOT comments,
37 exceptions, disapprovals and objections to a Submittal and, except as provided below, make
38 modifications to the Submittal as necessary to fully reflect and resolve all such comments,
39 exceptions, disapprovals and objections, in accordance with the review processes set forth in this
40 Section 3.1 and Section 110.5.6 of the Technical Provisions. However, if the Submittal is not
41 governed by Section 3.1.3, the foregoing shall in no way be deemed to obligate Contractor to
42 incorporate any comments or resolve exceptions, disapprovals or objections that: (a) are not on
43 any of the grounds set forth in Section 3.1.7.1 (and not on any other grounds set forth elsewhere

1 in the Contract Documents); (b) are otherwise not reasonable with respect to subject matter or
2 length; and (c) would result in a Delay to the Critical Path on the Project Schedule, in Extra Work
3 Costs or in Delay Costs, except pursuant to an SCDOT-Directed Change. If Contractor does not
4 accommodate or otherwise resolve any comment, exception, disapproval or objection, Contractor
5 shall deliver to SCDOT within a reasonable time period, not to exceed thirty (30) days after receipt
6 of SCDOT's comments, exceptions, disapprovals or objections, a written explanation why
7 modifications based on such comment, exception, disapproval or objection are not required. The
8 explanation shall include the facts, analyses and reasons that support the conclusion. This written
9 explanation shall be submitted as a separate Notice outside of the Submittal.

10 3.1.7.3 Contractor is not obligated by the foregoing to incorporate any
11 comments or resolve exceptions, disapprovals and objections that would render the Submittal
12 erroneous, defective or less than Good Industry Practice.

13 3.1.7.4 SCDOT may deliver to Contractor a written Notice stating the date
14 by which Contractor was to have addressed SCDOT's comments if Contractor fails to notify
15 SCDOT within the time period set forth in Section 3.1.7.2. The failure to address SCDOT's
16 comments within fifteen (15) Business Days after receipt of this additional written Notice shall
17 constitute Contractor's agreement to make all changes necessary to accommodate and resolve
18 the comment or objection and full acceptance of all responsibility for such changes without right
19 to an SCDOT-Caused Delay, Change Order, Relief Event or other Claim, including any Claim that
20 SCDOT assumes design or other liability.

21 3.1.7.5 The Parties shall attempt in good faith to informally resolve the
22 Dispute if SCDOT is not satisfied with Contractor's explanations provided pursuant to Section
23 3.1.7.2, Section 3.1.7.3, and Section 3.1.7.4. The Dispute shall be resolved according to the
24 Dispute Resolution Procedures if the Parties are unable to informally resolve the Dispute and the
25 Submittal is not one governed by Section 3.1.3.1.

26 **3.1.8 Limitations on Contractor's Right to Rely**

27 3.1.8.1 SCDOT does not waive, either by omission or action, any legal or
28 equitable right under the Contract Documents, at Law, in equity by or through any review,
29 comment, objection, rejection, approval, disapproval, acceptance, concurrence, certifications
30 (including certificates of Substantial Completion and Final Completion), or Oversight by or on
31 behalf of SCDOT. SCDOT is entitled to complete and accurate Submittals; to remedies for
32 unapproved Deviations, Nonconforming Work and Contractor Defaults; and to identify and require
33 additional Work which must be done to bring the Work and Project into compliance with
34 requirements of the Contract Documents, regardless of whether previous review, comment,
35 objection, rejection, approval, disapproval, acceptance, concurrence, certification or Oversight
36 were conducted or given by SCDOT. Contractor shall have an independent duty and obligation
37 to fulfill the requirements of the Contract Documents regardless of any such activity or failure to
38 conduct any such activity by SCDOT.

39 Contractor agrees and acknowledges that any such activity or failure to
40 conduct any such activity by SCDOT:

- 41 (a) Is solely for the benefit and protection of SCDOT;
- 42 (b) Does not relieve Contractor of its responsibility for the selection and the
43 competent performance of all Contractor-Related Entities;

1 (c) Does not create or impose upon SCDOT any duty, standard of care or
2 obligation toward Contractor to cause it to fulfill the requirements of the Contract Documents or
3 toward any other Person, all of which are hereby expressly disclaimed.;

4 (d) Shall not be deemed or construed as any kind of Warranty, express or
5 implied, by SCDOT;

6 (e) May not be relied upon by Contractor or used as evidence in determining
7 whether Contractor has fulfilled the requirements of the Contract Documents;

8 (f) Shall not be deemed or construed as any assumption of risk by SCDOT
9 as to design, construction, operations, maintenance, performance or quality of Work or materials;
10 and

11 (g) May not be asserted by Contractor against SCDOT as a defense, legal
12 or equitable, to, or as a waiver of or relief from Contractor's obligation to fulfill the requirements of
13 the Contract Documents.

14 3.1.8.2 Contractor shall not be relieved or entitled to reduction of its
15 obligations to perform the Work in accordance with the Contract Documents, or any of its other
16 liabilities and obligations, including its indemnity obligations, as the result of any activity identified
17 in Section 3.1.8.1 or failure to conduct any such activity by SCDOT. Such activity by SCDOT will
18 not relieve Contractor from liability for, and responsibility to cure and correct any unapproved
19 Deviations, Nonconforming Work or Contractor Defaults.

20 3.1.8.3 To the maximum extent permitted by Law, Contractor hereby
21 releases and discharges SCDOT from any and all duty and obligation to cause Contractor's Work,
22 Submittals or the Project to satisfy the standards and requirements of the Contract Documents.

23 3.1.8.4 Notwithstanding the provisions of Section 3.1.8.1, Section 3.1.8.2,
24 and Section 3.1.8.3:

25 (a) Contractor shall be entitled to rely on written approvals and acceptances
26 from SCDOT (i) for the limited purpose of establishing that the approval or acceptance occurred,
27 or (ii) that are within its discretion, but only to the extent that Contractor is prejudiced by a
28 subsequent decision of SCDOT to rescind such approval or acceptance;

29 (b) Contractor shall be entitled to rely on specific written Deviations SCDOT
30 approves under Section 6.2.4;

31 (c) Contractor shall be entitled to rely on the certificates of Substantial
32 Completion and Final Completion from SCDOT for the limited purpose of establishing that
33 Substantial Completion and Final Completion, as applicable, have occurred, and the respective
34 dates thereof, nevertheless without prejudice to any rights and remedies available to SCDOT
35 respecting unapproved Deviations, Nonconforming Work and Contractor Defaults;

36 (d) SCDOT is not relieved from any liability arising out of a knowing and
37 intentional material misrepresentation under any written statement SCDOT delivers to Contractor;
38 and

39 (e) SCDOT is not relieved from performance of its express responsibilities
40 under the Contract Documents in accordance with all standards applicable thereto.

3.2 Role of Construction Management and SCDOT Consultants

The Construction Management Consultant will assist SCDOT in the management and Oversight of the Project and the Contract Documents. Further, SCDOT may retain other consultants to provide services to SCDOT relating to the Project. Contractor shall cooperate with SCDOT Consultants in the exercise of their respective duties and responsibilities in connection with the Project.

3.3 Role of and Cooperation with FHWA

Contractor acknowledges and agrees that FHWA will have certain approval rights with respect to the Project (including rights to approve the Project design and certain Change Orders), as well as the right to provide certain Oversight and technical services with respect to the Project. Contractor shall cooperate with FHWA in the reasonable exercise of FHWA's duties and responsibilities in connection with the Project.

3.4 Traffic Management

3.4.1 Transportation Management Plan

Contractor shall be responsible for the management of traffic on the Project or impacted by the Work in accordance with applicable Technical Provisions, Laws, Governmental Approvals and the Transportation Management Plan. Contractor shall prepare the Transportation Management Plan in accordance with Section 600 of the Technical Provisions. Throughout the Term, Contractor shall carry out all Construction Work and Maintenance Services in accordance with the Transportation Management Plan or, if required pursuant to Section 8.4.1, updates thereto. In accordance with Technical Provision 600, all components of the Transportation Management Plan prepared by the Contractor are required and shall be submitted for review by SCDOT and must be approved before any construction activities can begin.

3.4.2 SCDOT's Rights

Notwithstanding the foregoing, SCDOT and other relevant federal, state, and local agencies pursuant to Article 8 will have at all times, and without obligation or liability to Contractor, the right to provide traffic management and operations on the Project, including via Dynamic Message Signs or other means, traveler and driver information, and other public information (e.g., Amber alerts).

3.5 Oversight, Inspection and Testing

3.5.1 SCDOT has the right to conduct Oversight to: (a) comply with FHWA or other applicable federal agency requirements; and (b) to verify Contractor's compliance with the Contract Documents and Project Management Plan. SCDOT may designate any Person or Persons, including its consultants and independent auditors, to carry out any Oversight on SCDOT's behalf. Contractor shall fully cooperate with SCDOT, its consultants and its independent auditors including providing all Documents requested, making available employees for interviews, and providing responses to any queries for information. SCDOT will conduct Oversight in accordance with Contractor's safety procedures and manuals, and in a manner that does not unreasonably interfere with normal Project construction activity or normal Project operation and maintenance activity.

1 **3.5.2** SCDOT's Oversight rights shall include the following:

2 (a) Monitoring and auditing Contractor, Contractor-Related Entities and their
3 Books and Records, except for Documents designated as confidential and privileged, as more
4 particularly set forth in Section 22.5;

5 (b) Conducting periodic reviews of Project documentation and files;

6 (c) Conducting material tests, according to SCDOT's test methods, to verify
7 Contractor's compliance with all testing frequencies and requirements, including performance
8 and acceptance testing, set forth in the Contract Documents, the accuracy of the tests,
9 inspections and audits performed by or on behalf of Contractor pursuant to the approved
10 Professional Services Quality Management Plan and approved Construction Quality
11 Management Plan, and compliance of materials incorporated into the Project with the applicable
12 requirements, conditions and standards of the Contract Documents, Governmental Approvals,
13 and Law;

14 (d) Reviewing and commenting on Submittals;

15 (e) Reviewing and confirming compliance with DBE and EEO/OJT goals, the
16 Davis-Bacon Act, and conducting wage rate interviews;

17 (f) Participating in meetings described in Section 3.10 to discuss design
18 progress, construction progress, Contractor's quality control and quality acceptance processes,
19 audit activities, and other Project related issues;

20 (g) Accompanying Contractor on inspections, conducting its own inspections,
21 assessing and scoring Contractor's records of inspections, Maintenance Services and Project
22 conditions;

23 (h) Attending and witnessing Contractor's other tests and inspections,
24 including system start-up and acceptance tests and inspections;

25 (i) Reviewing Contractor's certification of Record Drawings and surveys and
26 As-Built Schedules; and

27 (j) Investigating and confirming Contractor's compliance with the Safety
28 Management Plan.

29 **3.5.3** SCDOT has the right to conduct formal reviews of every Design Document and
30 Construction Document. SCDOT will have the right to conduct reviews of Design Documents and
31 other Submittals in accordance with Section 110.5.6 of the Technical Provisions. However, no
32 review by or on behalf of SCDOT shall constitute acceptance by SCDOT of materials or Work or
33 waiver of any legal or equitable right under the Contract Documents, at Law, or in equity. Whether
34 or not reviews are conducted, Contractor always shall have an independent duty and obligation
35 to fulfill the requirements of the Contract Documents.

36 **3.5.4** Contractor shall not interfere with, any review, inspection or Oversight of
37 Submittals or of Work that the FHWA, SCDOT or any regulatory agency with jurisdiction may
38 desire to conduct pursuant to their agreements with SCDOT and applicable Law. Nothing in the
39 Contract Documents shall be construed or interpreted to mean that Contractor may refuse,
40 decline or interfere with any such review, inspection or Oversight of Submittals or of the Work by
41 FHWA, SCDOT, or any other regulatory agency with jurisdiction to conduct the same. Any

1 questions regarding the jurisdiction of any other regulatory agency to conduct a review, Oversight
2 or inspection shall be determined by SCDOT within its reasonable discretion.

3 **3.6 Rights of Cooperation and Access**

4 **3.6.1** Contractor shall coordinate and cooperate, and require its Subcontractors to
5 coordinate and cooperate, with SCDOT, its Authorized Representative and its designees to
6 facilitate SCDOT Oversight activities. Contractor shall cause its Representatives to be available
7 at all reasonable times for consultation with SCDOT and its designees.

8 **3.6.2** Without limiting the foregoing, SCDOT, its Authorized Representative and its
9 designees shall have the right to, and Contractor shall afford them: (a) safe and unrestricted
10 access to the Project at all times, (b) safe access during normal business hours to Contractor's
11 Project offices and operations buildings and those of its Subcontractors, and (c) unrestricted
12 access to data respecting the Project design, Project ROW acquisition, Project construction, and
13 other Work, and the Utility Adjustment Work.

14 **3.7 Testing and Test Results**

15 SCDOT, its Authorized Representative and its designees shall have the right to attend
16 and witness any tests and verifications to be conducted pursuant to the Technical Provisions.
17 Contractor shall provide to SCDOT all test results and reports (which may be provided in
18 electronic format in accordance with the Technical Provisions) in accordance with approved
19 Construction Quality Management Plan.

20 **3.8 Interpretive Engineering Decisions**

21 **3.8.1** Contractor may apply in writing to SCDOT for approvals of an Interpretive
22 Engineering Decision concerning the meaning, scope, interpretation and application of the
23 Technical Provisions (an "Interpretive Engineering Decision"). If, however, meaning, scope,
24 interpretation or application of the Technical Provisions is uncertain because of irreconcilable
25 conflict, ambiguity or inconsistency among the Contract Documents or provisions within other
26 Contract Documents, then this Section 3.9 shall not apply and, instead, the provisions of Section
27 1.2 shall apply. SCDOT may issue a written approval of Contractor's proposed Interpretive
28 Engineering Decision (if any), may issue its own Interpretive Engineering Decision or may
29 disapprove any Interpretive Engineering Decision Contractor proposes. No Document, including
30 any field directive, shall be valid, effective or enforceable as an Interpretive Engineering Decision
31 unless expressly identified as an "Interpretive Engineering Decision" and signed by SCDOT or
32 SCDOT's consultant for the Project.

33 **3.8.2** Within ten (10) Business Days after Contractor applies for an Interpretive
34 Engineering Decision, or such other time period as SCDOT and Contractor may agree to at the
35 time of such application, SCDOT will provide its written determination including explanation of any
36 disapproval of such application or any differing interpretation. If SCDOT does not respond within
37 such time period, the request shall be deemed disapproved. If Contractor disputes SCDOT's
38 disposition of the application, such Dispute shall be subject to resolution in accordance with the
39 Dispute Resolution Procedures.

40 **3.8.3** Accepted Interpretive Engineering Decisions shall constitute provisions of the
41 Technical Provisions and shall not constitute an SCDOT-Directed Change or entitle Contractor to
42 any additional compensation, time or deadline extension or other Claim or relief. Subsequent

1 SCDOT written orders and directives that are signed by SCDOT or SCDOT's consultants for the
2 Project, and contrary to the Interpretive Engineering Decision shall constitute an SCDOT-Directed
3 Change.

4 **3.9 Meetings**

5 **3.9.1** Contractor shall conduct or participate in various Project meetings with SCDOT
6 in accordance with Section 100.5.3 of the Technical Provisions. In addition, each Party shall
7 conduct or participate in any other meeting set forth in other sections of the Technical Provisions
8 or other Contract Document. At SCDOT's request, Contractor shall require other Subcontractors
9 and engineers of record to attend any such meetings.

10 **3.9.2** Contractor shall conduct monthly DBE/OJT meetings with SCDOT during the
11 design and construction.

12 **3.9.3** Further, SCDOT and Contractor, through their respective Authorized
13 Representatives, shall meet from time to time at the other Party's request to discuss and resolve
14 matters relating to the Design Work, Construction Work, Maintenance Services, other
15 Professional Services, or the Project.

16 **3.9.4** For all meetings that SCDOT will or has the option to attend, Contractor shall
17 schedule such meetings on dates and at times and place reasonably convenient to both Parties.
18 Except in the case of urgency, Contractor shall provide SCDOT with written Notice and a Meeting
19 Agenda in advance of each meeting, as set forth in Section 100.5.3 of the Technical Provisions.

20 **3.9.5** Contractor acknowledges and agrees that FHWA will have certain approval
21 rights with respect to the Project, including the right to provide certain Oversight and technical
22 services with respect to the Work. Contractor shall cooperate with FHWA in the reasonable
23 exercise of FHWA's duties and responsibilities in connection with the Project and shall provide
24 such assistance and information as may be required by SCDOT to comply with FHWA reporting
25 requirements. SCDOT will have the right to include Representatives of FHWA or other
26 Governmental Entities in any SCDOT meetings with Contractor or Subcontractors. Such
27 Representatives shall have the right to fully participate in such meetings and to raise questions,
28 concerns and opinions without restriction; provided, however, that such Representatives shall not
29 have the right to direct or control such meetings, and Contractor shall take direction (if any) only
30 from SCDOT regarding performance of the Work.

31 **3.10 Software Compatibility & Electronic Data Security**

32 **3.10.1** Unless otherwise specifically stated in the Contract Documents, Contractor is
33 responsible for assuring that all software it uses for any aspect of the Project is compatible with
34 software used by SCDOT. Prior to using any software or version of software not then in use by
35 SCDOT, Contractor must obtain approval from SCDOT. In addition, Contractor shall provide to
36 SCDOT staff, at Contractor's cost, working electronic copies of the software, any necessary
37 licenses for SCDOT's use of the software required under Section 22.7.3.1, and any training
38 reasonably necessary to assure that SCDOT is able to implement compatible usage of all
39 software utilized by Contractor.

40 **3.10.2** Contractor shall submit all Documents, correspondence and Submittals to
41 SCDOT through a SCDOT-maintained Document storage or FTP internet site for the Project.

1 **3.10.3** The Contractor and its designated employees, as well as any Subcontractors
2 of any tier, having access to SCDOT electronic data, is required to follow SCDOT'S Acceptable
3 Computer Usage Policy located on the internet at the following link
4 (http://iwww.dot.state.sc.us/pdf/departmental_directives/updated/DD37.pdf) which establishes
5 guidelines for acceptable use and confidentiality of SCDOT'S information technology for data
6 entry into SCDOT'S computer system; provided that the section of the Policy pertaining to
7 SCDOT's right to inspect any users email at any time is qualified to reserves unto SCDOT the
8 right to inspect Contractor and Subcontractor emails that are SCDOT business related, including
9 emails that are related to the services with which Contractor is under contract.

10 **3.10.4** The Contractor and its designated employees, as well as any Subcontractors
11 of any tier, having access to SCDOT electronic data, is required to also follow SCDOT'S IT
12 Security Policy (http://iwww.dot.state.sc.us/pdf/IT_Security_Policies_09042012.pdf), which sets
13 forth SCDOT IT Security Policy including Network Security Policy, Network Access and
14 Authentication Policy, Physical Security Policy, Backup Policy, Incident Response Policy,
15 Corporate Security Policies, VPN Site-to-Site Policy, Wireless Access Policy, Remote Access
16 Policy, Confidential Data Policy, Guest Access Policy, Third Party Connection Policy, Outsourcing
17 Policy, and Mobile Device Policy; the South Carolina Act 190 of 2008; the Financial and Identity
18 Theft Protection Act; and the Personal Financial Security Act. Prior to access to the SCDOT
19 network, each Person designated by the Contractor is required to sign an acknowledgment of the
20 DD37 policy requirements.

21 **3.10.5** The Contractor's obligations with respect to the provisions of computer use and
22 data confidentiality shall survive termination or expiration of the contract. Without limiting any
23 rights SCDOT may have, and notwithstanding any other term of this contract, the Contractor
24 agrees that SCDOT may have no adequate remedy at Law for a breach of the Contractor's
25 obligations under this clause and therefore SCDOT shall be entitled to pursue equitable remedies
26 in the event of a breach.

27 **3.10.6** Contractor is responsible for ensuring that it, as well as any Subcontractors of
28 any tier, having access to SCDOT electronic data, is required to manage and reduce risk by
29 employing and using good cyber threat preventative measures. Contractor and Subcontractors
30 and shall use the National Institute of Standards and Technology's Risk Management Framework
31 (NIST RMF) as its cybersecurity framework or use other comparable frameworks and standards
32 for cyber security protection. Contractor shall insert a NIST RMF or equivalent framework
33 requirement provision in all Subcontracts for this Project which require or allow a Subcontractor
34 to have access to SCDOT data. Contractor shall provide SCDOT, upon request, third party
35 certifications to verify implementation of an industry recognized cyber security framework during
36 the Project. Other comparable cyber security frameworks include: NIST RMF; NIST CSF; ISO
37 IES 27001/ISO 27002; SOC 2; IASME Governance; CIS Controls version 7; COBIT 5; FedRAMP;
38 HIPAA; GDPR; FISMA; NERC CIP; HITRUST CSF.

Article 4.

**PROJECT PLANNING, GOVERNMENTAL APPROVALS; ENVIRONMENTAL COMPLIANCE;
PUBLIC INFORMATION**

4.1 Planning and Engineering Activities

4.1.1 Contractor, through appropriately qualified and licensed design professionals, shall perform or cause to be performed all Professional Services necessary to develop the Project and the Utility Adjustments included in the Design Work and the Construction Work in accordance with the Contract Documents and Good Industry Practice.

4.1.2 Before commencing any Work on a particular portion or aspect of the Project, Contractor shall verify all governing dimensions of the Site and shall examine all Related Transportation Facilities and adjoining Work (including Adjacent Work) that may have an impact on such Work. Contractor shall ensure that any Design Documents and Construction Documents furnished as part of the Work accurately depict all governing and adjoining dimensions.

4.2 Site Conditions

4.2.1 Contractor shall bear the risk of any incorrect or incomplete review, examination and investigation by Contractor of the Site and surrounding locations (even if Contractor conducted a Reasonable Investigation), and of any incorrect or incomplete information contained in the Project Information Package resulting from preliminary engineering activities conducted by Contractor, SCDOT or any other Person. SCDOT shall bear the risk of any incorrect or incomplete review, examination, and preliminary engineering activities conducted by SCDOT contained within any Technical Provision. Contractor shall bear the risk of preliminary engineering activities it conducts based on incorrect or incomplete information contained within the Technical Provisions if such incorrectness or incompleteness could have been discovered by conducting a Reasonable Investigation. SCDOT shall bear the risk of all Errors in Contractor's preliminary engineering if any such incorrectness or incompleteness could not have been discovered by a Reasonable Investigation.

4.2.2 Contractor shall bear the risk of changes in surface topography, variations in subsurface moisture content, subsurface conditions, and variations in groundwater levels.

4.2.3 The provisions of this Section 4.2 do not apply to, and shall not adversely affect, the specific relief available to Contractor under Article 14 for Relief Events under clauses (g), (h), (j), (k), (l), and (p) of the definition of Relief Event.

4.3 Governmental Approvals

4.3.1 SCDOT obtained NEPA Approval for the Project based on the Schematic Design. Contractor acknowledges it received and is familiar with the NEPA Approval and supporting documentation as contained in the Technical Provision Attachments.

4.3.2 Contractor hereby assumes responsibility for, and shall obtain/maintain:

(a) All Environmental Approvals, other than the NEPA Approval, required in connection with Contractor's Schematic Design or Final Design, the Project, the Project ROW, the Work or any Relief Event;

1 (b) All reevaluations, amendments and supplements of the NEPA Approval
2 required in connection with Contractor's Schematic Design or Final Design, the Project, the
3 Project ROW, the Work or a Relief Event; and

4 (c) All other Governmental Approvals required in connection with Contractor's
5 Schematic Design or Final Design, the Project, the Project ROW or the Work.

6 **4.3.3** SCDOT shall deliver to Contractor within three (3) Business Days of receipt
7 true and complete copies of all new or amended Governmental Approvals, including
8 reevaluations, amendments and supplements of the NEPA Approval.

9 **4.3.4** Contractor shall submit all necessary supporting environmental studies,
10 analyses and data to SCDOT to assist SCDOT in obtaining Governmental Approval.

11 **4.3.5** Contractor shall be fully responsible to SCDOT for all necessary actions, and
12 Contractor shall bear all risk of Delay and all risk of increased cost, required due to, resulting from
13 or arising out of: (1) any differences between Contractor's Final Design for any portion of the
14 Project and the Schematic Design or Contractor's Schematic Design, including differences due
15 to any Alternative Technical Concepts approved by SCDOT and included in Contractor's
16 Schematic Design, but excluding any differences due to an SCDOT-Directed Change; or (2)
17 differences between the construction means and methods (including temporary works) Contractor
18 chooses for any portion of the Project and those set forth, referred to or contemplated in the NEPA
19 Approval, excluding any differences due to an SCDOT-Directed Change. Such Contractor actions
20 and risks shall include:

21 (a) Any associated with change in the Project location due to Contractor's
22 design;

23 (b) Conducting all necessary environmental studies and re-evaluations and
24 preparing all necessary environmental Documents in compliance with applicable Environmental
25 Laws;

26 (c) Obtaining, maintaining, and complying with all necessary new
27 Governmental Approvals;

28 (d) Obtaining, maintaining, and complying with all necessary modifications,
29 renewals and extensions of the NEPA Approval or other existing Governmental Approvals; and

30 (e) All risk and cost of litigation.

31 **4.3.6** In the event Contractor is unable after making a good faith effort to obtain any
32 of the items described in Section 4.3.5(c) or Section 4.3.5(d), then Contractor shall be obligated
33 to design and construct the Project based on the Schematic Design (with changes as necessary
34 to comply with the Technical Provisions and applicable standards) and the construction means
35 and methods (including temporary works) set forth, referred to or contemplated in the NEPA
36 Approval, or such other design, means and methods for which Contractor is able to obtain
37 necessary Governmental Approvals and that comply with the Contract Documents. None of the
38 foregoing circumstances described in this Section 4.3.6 shall: (a) constitute an SCDOT-Caused
39 Delay or SCDOT-Directed Change, Relief Event or other basis for any Claim; or (b) result in any
40 representation or Warranty by SCDOT as to the feasibility, accuracy or completeness of, or
41 absence of Errors in, the Schematic Design.

42 **4.3.7** If Contractor pursues Contractor-Designated ROW, Temporary Construction
43 Easements outside the Project ROW, Additional Areas, Replacement Utility Property Interests, or

1 any other modification of or Deviation from any Governmental Approvals, including the NEPA
2 Approval, Contractor shall first comply with, and obtain any consent or waiver required pursuant
3 to, then-existing agreements between SCDOT and other Governmental Entities.

4 **4.3.8** At Contractor's request and subject to Section 4.3.9, SCDOT will reasonably
5 assist and cooperate with Contractor in obtaining the Governmental Approvals (including any
6 modifications, renewals and extensions of existing Governmental Approvals) that Contractor is
7 required to obtain under the Contract Documents. Such assistance and cooperation shall include:

8 (a) Joining in conferences and meetings with applicable Governmental
9 Entities;

10 (b) Sharing data, information and Documents available to SCDOT that are
11 relevant to the application for the Governmental Approvals and are not deemed confidential;

12 (c) Coordinating and working with elected and other public officials, as
13 necessary and allowable;

14 (d) Assisting with evaluation and definition of solutions;

15 (e) If necessary, acting as the lead agency and directly coordinating with
16 applicable Governmental Entities; and

17 (f) Otherwise partnering to facilitate issuance of such Governmental
18 Approvals.

19 **4.3.9 SCDOT's obligation to assist and cooperate under Section 4.3.8 shall not**
20 **require SCDOT to:**

21 (a) Take a position which it believes to be inconsistent with the Contract
22 Documents, the Project Management Plan (and component plans thereunder), applicable Law,
23 Governmental Approval(s), the requirements of Good Industry Practice or SCDOT, or State,
24 practices for public-private partnership contracting;

25 (b) Take a position that is not usual and customary for SCDOT to take in
26 addressing similar circumstances affecting its own projects (except if usual and customary for
27 SCDOT regarding its projects delivered via public-private partnership contracting); or

28 (c) Refrain from concurring with a position taken by a Governmental Entity if
29 SCDOT believes that position to be correct.

30 **4.3.10 Litigation involving Environmental Approvals is subject to the provisions**
31 **in Section 4.3.10.1, Section 4.3.10.2, and Section 4.3.10.3.**

32 4.3.10.1 In the event any pending Environmental Approval is denied, then
33 (a) the Parties shall promptly confer to analyze the circumstances and determine what further
34 action to take, and (b) either Party may elect to appeal such denial and to bring legal action
35 challenging the denial. If either Party elects, or both Parties elect, to appeal and bring legal action,
36 then the Parties shall reasonably assist and cooperate with one another, each at its own expense,
37 in the conduct of such appeal and legal action. The Parties may mutually choose, but are not
38 obligated, to be jointly represented by legal counsel or to enter into a joint prosecution agreement
39 in such appeal and legal action.

40 4.3.10.2 In the event any administrative proceeding, litigation or other legal
41 action is or has been brought by a third party challenging the issuance of an Environmental

1 Approval for the Project, excluding the NEPA Approval, the Parties shall actively assist and
2 cooperate with one another, each at its own expense, to defend their interests and the subject
3 Environmental Approval and to settle such administrative proceeding, litigation or other legal
4 action. The Parties may mutually choose, but are not obligated, to be jointly represented by legal
5 counsel or to enter into a joint defense agreement in such administrative proceeding, litigation or
6 other legal action.

7 4.3.10.3 In the event a third party brings or has brought any administrative
8 proceeding, litigation or other legal action challenging the issuance of the NEPA Approval,
9 Contractor shall, at the request of SCDOT, reasonably and actively assist and cooperate with
10 SCDOT to defend SCDOT's interest and the NEPA Approval. Contractor's assistance and
11 cooperation shall be at SCDOT's expense unless the administrative proceeding, litigation or other
12 legal action is based, in whole or in part, on Contractor's design, but only to the extent Contractor's
13 design differs from the Schematic Design.

14 **4.3.11** Certain Governmental Entities may require that Governmental Approvals
15 issued by such Governmental Entities be applied for or issued in SCDOT's name, or that SCDOT
16 directly coordinate with such Governmental Entities in connection with obtaining the
17 Governmental Approvals. In such event, Section 4.3.8 and Section 4.3.9 shall apply, and
18 Contractor at its expense shall provide all necessary support and efforts to apply for and obtain
19 the Governmental Approvals. Such support shall include conducting necessary field
20 investigations, preparing mitigation analyses and studies and Plans, preparing surveys, and
21 preparing any required reports, applications and other Documents in form approved by SCDOT.
22 Such support also may include joint coordination and joint discussions and attendance at
23 meetings with the applicable Governmental Entity.

24 **4.3.12** Contractor shall be solely responsible for compliance with all applicable Laws
25 in relation to Additional Areas and for obtaining any Environmental Approval or other
26 Governmental Approval required in connection with Additional Areas.

27 **4.4 Environmental Compliance**

28 **4.4.1** Except as provided otherwise in Section 4.4.2, SCDOT delegates to
29 Contractor, and Contractor accepts, all SCDOT obligations, commitments and responsibilities
30 under all Environmental Approvals. Except as provided otherwise in Section 4.4.2, throughout
31 the Term and the course of the Work, Contractor shall at its sole cost and expense:

- 32 (a) Comply with all Environmental Laws;
- 33 (b) Comply with all conditions and requirements imposed by all Environmental
34 Approvals;
- 35 (c) Perform all commitments and mitigation measures set forth in all
36 Environmental Approvals; and
- 37 (d) Undertake all actions required by, or necessary to maintain in full force and
38 effect, all Environmental Approvals.

39 **4.4.2** SCDOT retains sole responsibility for payment and performance of the
40 environmental obligations, commitments and responsibilities expressly identified as not delegated
41 to Contractor in the Project Environmental Commitment Requirements.

1 **4.4.3** Contractor shall perform or cause to be performed all environmental mitigation
2 measures required under the Contract Documents.

3 **4.4.4** Contractor shall comply with the provisions, requirements and obligations
4 regarding environmental compliance set forth in Section 160 of the Technical Provisions.

5 **4.4.5** Contractor expressly acknowledges that the Project Environmental
6 Commitment Requirements may not contain an exhaustive or accurate list of all environmental
7 obligations, commitments and responsibilities that apply to the Project. SCDOT does not warrant
8 or represent the completeness or accuracy of the Project Environmental Commitment
9 Requirements, which is made available to Contractor as a convenience to assist Contractor in
10 preparing the Environmental Management Plan. Contractor is solely responsible for the
11 completeness and accuracy of the Environmental Management Plan, including the correction of
12 any Errors or omissions in Section 160.4 of the Technical Provisions. Neither incompleteness
13 nor inaccuracy of the Project Environmental Commitment Requirements shall alter or limit the
14 scope of Contractor's environmental compliance obligations as set forth in the Contract
15 Documents or shall entitle Contractor to any Claim or relief.

16 **4.5 Community Outreach and Public Information**

17 Contractor's obligations regarding public outreach, stakeholder communications and
18 construction relations are set forth in Section 130 of the Technical Provisions.

Article 5.

**RIGHT OF WAY ACQUISITION; ACCESS TO PROJECT RIGHT OF WAY; UTILITY
ADJUSTMENTS; RELATED TRANSPORTATION FACILITIES**

5.1 SCDOT's Right-of-Way Service Responsibilities

5.1.1 SCDOT shall perform ROW Services with respect to the following properties:

(a) Parcels identified on SCDOT ROW Plans as set forth in the Technical Provision Attachments (Right of Way Plans) designated as Tracts 14, 55, 64, 64A, 65, 66, 67, 68, 69, 71, 79, 80, 81, 162, 163, 198, 202, 258, 260 & 261.;

5.1.2 SCDOT will provide Contractor with a ROW Certification, included in the Technical Provision Attachments, which will identify Hold-off Parcels and provide a date the Contractor will have access to the parcel post award. SCDOT will update monthly, the Right of Way Certification to inform the Contractor of the status of the Right of Way Services on Hold-off Parcels.

5.2 Contractor Responsibilities

5.2.1 Contractor shall be responsible for all ROW Services for the following:

(a) all remaining tracts not listed above or previously acquired by SCDOT.

(b) Contractor-Designated ROW; and

(c) Additional ROW.

(d) Acquisition of any Additional Areas, to include the following:

i. As needed for the construction of the Project such as a Contractor's temporary work area (i.e., laydown yard); permissions for the temporary construction of outfall ditches, slopes, drainage structures, erosion control or entrance construction; and Rights of Entry.

ii. Acquisition of any Additional Areas shall be the sole responsibility of Contractor, and any agreement for purchase or lease shall be secured in writing in the name of the Contractor.

iii. Contractor shall provide SCDOT the location and documentation for these Additional Areas when purchased or leased.

iv. Contractor shall furnish SCDOT a copy of any agreements for the use of Additional Areas in conjunction with the construction of the Project. Any necessary permit modifications are the responsibility of the Contractor.

v. Contractor shall also be responsible for the removal of all temporary Elements constructed outside of the Project ROW, as constructed by the Contractor for the Project, unless the property owner agrees in writing the temporary Elements can remain.

(e) ROW Activity Plan. Within forty-five (45) days after the execution of the Contract, Contractor shall submit a ROW Activity Plan to SCDOT and it shall include the following:

- i. Establish a clear zone adjacent to properties in which construction equipment shall not be operated or parked,
 - ii. Establish a clear zone for construction to minimize undue impacts or hardships,
 - iii. Establish a method of protecting equipment and property from vandalism or unauthorized use,
 - iv. Provide reasonable and safe access to neighboring residences or businesses until such time as the Work is complete, and
 - v. Respect for the property rights of landowners of adjacent properties.
- (f) Cooperation in all respects with SCDOT and shall cause all personnel to be available to and assist SCDOT in connection with condemnation—both direct and inverse--related proceedings, including testifying as an expert witness for the duration of the Project, relating to:
- i. Contractor-Designated ROW,
 - ii. Additional Areas,
 - iii. Additional ROW, and
 - iv. ROW areas applicable to Necessary Schematic ROW Change;
- (g) Observation and/or implementation, as applicable, of all commitments in the Right of Way instruments included in the Technical Provision Attachments, and
- (h) Observation and/or implementation, as applicable, of any commitments in the Right of Way instruments secured by SCDOT (excluding Contractor-Designated ROW and Additional ROW) after submittal of the Cost Proposal shall be performed under a Contract Change Request.
- (i) For each request for Additional ROW, Contractor shall include an identification of the parcel(s)/rights(s), right of way plan sheets and a justification for its need related to the Project.
- (j) For each request for Contractor-Designated ROW, Contractor shall include an identification of the parcel(s)/rights(s), right of way plan.
- (k) For each request for Necessary Schematic ROW Change, Contractor shall include an identification of the parcel(s)/rights(s), right of way plan sheets and a justification for its need related to the Project.

5.3 SCDOT Right-of-Way Costs:

5.3.1 SCDOT shall be responsible for the following costs:

- (a) 100% of the costs of ROW Services necessary to secure the right of way identified on the SCDOT ROW Plans set forth in the Technical Provision Attachments, designated as Tracts 14, 55, 64, 64A, 65, 66, 67, 68, 69, 71, 79, 80, 81, 162, 163, 198, 202, 258, 260 & 261.

(b) 100% of the costs for Just Compensation, and Eligible Relocation Assistance Payments, to secure the parcels identified on the SCDOT ROW Plans set forth in the Technical Provision Attachments;

(c) 100% of the costs of all commitments in the ROW Services secured by SCDOT after the date of the last Addendum (excluding Contractor-Designated ROW and Additional ROW);

(d) 100% of closing costs associated with parcel purchases, in accordance with the Uniform Act and SCDOT policies;

(e) 50% of the combined costs of all ROW services for Additional ROW from Hold-off Parcels that SCDOT is actively negotiating.

5.4 Contractor's Right-of-Way Costs:

5.4.1 Contractor shall be responsible for the following ROW costs:

(a) 100% of the cost of ROW Services necessary to secure the right of way required for the project but not previously acquired by SCDOT or listed above and designated as Tracts 14, 55, 64, 64A, 65, 66, 67, 68, 69, 71, 79, 80, 81, 162, 163, 198, 202, 258, 260 & 261.

(b) 100% of the combined costs of Moving and Demolition Items as listed in Section 809 of the Technical Provisions Attachments

(c) Costs of Contractor-Designated ROW:

- i. 100% of ROW Services costs;
- ii. 100% of the cost of providing expert witnesses, necessary for condemnation actions. ;
- iii. 100% of costs for all Governmental Approvals for the acquisition of Contractor-Designated ROW;
- iv. 100% of demolition and clearance costs of the parcels that SCDOT is acquiring per TP 100; and

(d) Costs of Additional ROW:

- i. 100% costs for ROW Services;
- ii. 100% of the cost of providing expert witnesses, necessary for condemnation actions.;
- iii. 100% of demolition and clearance costs;
- iv. 100% of the costs of Additional Areas and associated costs; and
- v. 100% of the costs for all commitments in ROW Services.

5.5 Access to Parcels:

5.5.1 Contractor shall not enter any parcel prior to Contractor's receipt of the SCDOT right of way certification for that parcel. Only in exceptional circumstances will a certification be approved by SCDOT based on a right of entry. Certification may be on a tract-by-tract basis.

1 **5.5.2** If Contractor enters any property in connection with the Project without having
2 obtained the SCDOT right of way certification, in addition to all other rights and remedies provided
3 by Law or equity or available under the Contract or otherwise, Contractor shall be responsible for
4 its costs and all costs incurred by SCDOT as a result thereof. The Contractor shall not be entitled
5 to an extension of time in such cases where the Contractor's entry onto such property causes,
6 results in, or contributes to a Delay by SCDOT in acquiring said property or parcel, or any other
7 property or parcel owned by the same owner.

8 **5.6 Schedule Delays:**

9 **5.6.1** SCDOT makes no guarantees or warranties when the delivery date will be for
10 SCDOT to acquire and certify the SCDOT acquired tracts designated as Tracts 14, 55, 64, 64A,
11 65, 66, 67, 68, 69, 71, 79, 80, 81, 162, 163, 198, 202, 258, 260 & 261. Contractor is responsible
12 for allocating sufficient time and arranging its CPM schedule to avoid impacts caused by access
13 to Contractor-Designated ROW and Additional ROW.

14 **5.6.2** Contractor shall not be entitled to schedule impacts, Delays or extension of
15 time to the Project caused by its acquisition of Additional Areas.

16 **5.6.3** SCDOT may notify Contractor of a revised projected date for delivery of access
17 to the extent that SCDOT has not provided access to SCDOT Acquired ROW or is unable to
18 provide access to the SCDOT Acquired ROW on or prior to the date set forth on the ROW
19 Certification in the Technical Provision Attachments. Relief will be given for SCDOT's failure to
20 provide access to any parcel within the SCDOT Acquired ROW as established in the most recently
21 approved Project Schedule which is based on the durations given in the Technical Provisions.

22 Upon such Notice or, in the absence of such Notice, upon the failure to provide access on
23 the date specified on the ROW Certification, Contractor shall:

24 (a) take immediate action to minimize any cost and time impact and shall work
25 around such parcel until access can be provided, including rescheduling and re-sequencing the
26 Work to minimize or avoid any Delay to the Project; and

27 (b) provide SCDOT written Notice, within fifteen (15) Business Days after
28 receipt of such Notice from the SCDOT or upon SCDOT's failure to meet the date specified on
29 the Right of Way Certification whether the lack of access will result in a Delay to Substantial
30 Completion of the Project. Contractor's failure to provide such Notice shall bar the Contractor
31 from asserting a Delay or seeking Delay damages for lack of access to the parcel.

32 **5.7 Costs of SCDOT Additional Properties**

33 **5.7.3.1** Property outside of the Schematic ROW that is acquired for
34 drainage easements shall be treated as Contractor-Designated ROW except as required as a
35 direct result of an SCDOT-Directed Change or a Necessary Schematic ROW Change.

36 **5.7.3.2** Contractor shall pay the invoices within thirty (30) days after
37 delivery by SCDOT for any costs and expenses incurred by SCDOT on behalf of the Contractor.
38 Alternatively, SCDOT may deduct the amount of such costs and expenses from any sums owed
39 by SCDOT to Contractor pursuant to this Agreement.

40 **5.7.4** Contractor shall be responsible for and shall pay directly all costs and
41 expenses of ROW Services, and all other costs and expenses, in connection with acquiring,
42 renting, using, maintaining, insuring, rehabilitating and disposing of Additional Areas that

1 Contractor determines necessary or desirable for its convenience in constructing the Project.
2 Contractor shall not be entitled to any adjustment in the Contract Price on account of such costs
3 and expenses.

4 **5.7.5** Contractor shall not be entitled to any increase in the Contract Price or any
5 Completion Deadline adjustment as a result of: (a) Site conditions associated with any Contractor-
6 Designated ROW or Additional Areas (including those relating to Hazardous Materials, Differing
7 Site Conditions or Utilities);(b) any Delay, inability or cost associated with the acquisition of
8 Additional Areas; and (c) any Delay, inability or cost associated with the acquisition of any
9 Contractor-Designated ROW, including Contractor-Designated ROW required to implement any
10 ATCs, to the extent caused by Contractor.

11 **5.7.6** If at any time, Contractor or any Contractor-Related Entity directly or indirectly
12 (a) acquires or has previously acquired any interest in real property likely to be parcels of the
13 Project ROW or the remainders of any such parcels, (b) loans or has previously loaned money to
14 any interest holder in any real property likely to be a Project ROW parcel and accepts as security
15 for such loan the parcel, or the remainder of any such parcel that is not a whole acquisition, or (c)
16 purchases or has previously purchased from an existing mortgagee the mortgage instrument that
17 secures an existing loan against real property likely to be a Project ROW parcel, or the remainder
18 of any such parcel, Contractor shall promptly disclose the same to SCDOT. If Contractor, or any
19 subsidiary or parent company of Contractor, acquires a real property interest, whether title or
20 mortgage, in parcels of the Project ROW, the real property interest acquired or a release of
21 mortgage as the case may be, shall be conveyed to the State of South Carolina without the
22 necessity of eminent domain and without payment to Contractor or the subsidiary or parent
23 company of Contractor. Contractor shall not acquire or permit the acquisition by Contractor or
24 any Contractor-Related Entity of any real property interest in a Project ROW parcel, whether in
25 fee title or mortgage, for the purpose of avoiding compliance with the Laws, practices, guidelines,
26 procedures and methods described in SCDOT's Right of Way Acquisition Manual.

27 **5.7.7** Subject to Section 5.6.3, the Contract Price is inclusive of all of Contractor's
28 costs of performing ROW Services.

29 **5.7.8** If a parcel acquired by SCDOT includes both (a) property for which SCDOT is
30 responsible for paying the price of acquisition (e.g., SCDOT Additional Properties), and (b)
31 property for which Contractor is responsible for paying the price of acquisition (e.g., Contractor-
32 Designated ROW), then Contractor shall reimburse SCDOT a *pro rata* share of the parcel's total
33 purchase price, severance damages, relocation costs, Hazardous Materials management costs,
34 and related fees and costs based on the ratio of the physical area of the property referenced in
35 clause (b) above to the physical area of the entire acquired parcel.

36 **5.8 Reserved**

37 **5.9 Reserved**

38 **5.10 Reserved**

39 **5.11 Reserved**

5.12 Water Wells

5.12.1 General

Water wells held for public use are Utilities under the Contract Documents and are subject to the provisions therefor. Water wells held for private use are real property subject to the Uniform Act and real property acquisition provisions of the Contract Documents, and SCDOT has the power to condemn such water wells that may need closure or abandonment in order to build the Project.

5.13 Access to Project ROW; Delays

5.13.1 Physical Possession of Project ROW; Transfer of Title to Improvements

5.13.1.1 Upon obtaining knowledge of any anticipated Delay in the dates for acquisition of any Project ROW, the Party obtaining knowledge shall promptly notify the other Party in writing. In such event, Contractor shall immediately determine whether the Delay impacts the Critical Path and, if so, to what extent it might be possible to avoid such Delay through re-sequencing, reallocation or other alternative construction methods or otherwise (which, in the case of a Relief Event, shall be subject to Section 14.8.3). Contractor shall promptly meet with SCDOT to determine the best course of action and prepare a written report setting forth its recommendations, which recommendations shall be subject to SCDOT's written approval.

5.13.1.2 Contractor is entitled to retain any salvage value from its demolition of improvements if SCDOT has not made prior arrangements for disposal of same.

5.14 Access to Project ROW

5.14.1 To the extent that Contractor has not been provided with access to portions of the SCDOT Acquired ROW on or prior to the date set forth on the Project Schedule, Contractor shall work around such SCDOT Acquired ROW with the goals of minimizing Delay to the completion of the Project. Except for Delays caused by the types of events described in clause (d) of the definition of "SCDOT-Caused Delay" Contractor shall not be entitled to any increase in the Contract Price or Completion Deadline adjustment for Delays caused by the failure or inability of SCDOT to provide SCDOT Acquired ROW.

5.14.2 Contractor may make a written request to obtain SCDOT written permission to negotiate directly or assist SCDOT to obtain with the property owners or occupants of any SCDOT Acquired ROW for access or temporary right of entry agreement at its sole expense for which access or acquisition by SCDOT is incomplete. All conditions for early access or temporary use must comply with all applicable Law and the terms and conditions set forth in the Contract Documents including, but not limited to the Uniform Act and Section 5.14.1. SCDOT shall reasonably provide written permission to negotiate or assist with those property owners or occupants for early access or temporary use when so requested by Contractor. SCDOT is under no obligation to obtain early access or temporary use of any SCDOT Acquired ROW without a request from Contractor. SCDOT will not be bound by the terms and conditions agreed upon by Contractor and any property owner or occupant until such time as SCDOT has expressly so indicated in writing (and then, only to the extent expressly set forth therein).

5.15 Utility Adjustments

5.15.1 Contractor's Responsibility

5.15.1.1 Contractor is responsible for all Utility Adjustment Work, including all In-Contract Utility Adjustment Work, and shall coordinate and complete all Utility Adjustments necessary for the timely delivery of the Project, in accordance with the Contract Documents. Contractor shall complete, in accordance with the Project Schedule, all Utility Adjustments necessary to accommodate delivery of the Project, as located under the Final Design. All Utility Adjustment Work performed by Contractor or a Utility Company shall comply with the Contract Documents. Contractor shall coordinate, monitor and otherwise undertake the necessary efforts to cause Utility Companies performing Utility Adjustment Work to perform such work timely, in coordination with the Work, and in compliance with the standards of design and construction and other applicable requirements specified in the Contract Documents. However, regardless of the arrangements made with the Utility Companies and except as otherwise provided in Article 14, Contractor shall continue to be the responsible Party to SCDOT for timely performance of all Utility Adjustment Work so that upon completion of the Construction Work, all Utilities that might impact the Project are compatible with the Project.

5.15.1.2 Except with respect to Contractor's rights to claim a Relief Event for Utility Company Delays pursuant to Section 14.4.3, or for Inaccurate Utility Information pursuant to Section 14.4.4, Contractor shall not be entitled to submit a Claim for Extra Work Costs, Delay Costs, Completion Deadline adjustment or other relief related to the Utility Adjustment Work, inaccuracy of the Utility Information or Utilities located within or outside the Project ROW or otherwise impacted by, or having an impact on, the Project or the Work.

5.15.2 Utility Memoranda of Agreement; Utility Agreements

5.15.2.1 Prior to the Setting Date, SCDOT conducted coordination meetings with Utility Companies. Based on these coordination meetings, SCDOT documented discussion items to serve as the bases for separate Utility Memoranda of Agreement between SCDOT and the Utility Companies. The corresponding terms and conditions of the Utility Memoranda of Agreement, if any, are included in Section 140 of the Technical Provision Attachments with the relative Utility Adjustment scopes of work associated with each Utility Memoranda of Agreement included in the Project Information Package. Contractor is hereby delegated, and hereby accepts and assumes, the responsibilities and obligations of SCDOT set forth in the terms and conditions of the Utility Memoranda of Agreement, if any. Contractor shall comply with, be bound by and timely perform all such responsibilities and obligations except to the extent specifically changed by a Utility Agreement between Contractor and the applicable Utility Company.

5.15.2.2 Contractor is responsible for preparing, negotiating and entering into Utility Agreements with Utility Companies affected by Utility Adjustment Work, regardless of whether the Utility Companies are identified in the Technical Provisions or Project Information Package. Contractor is not required to enter into Utility Agreements with Utility Companies to perform In-Contract Utility Adjustment Work documented in Utility Memoranda of Agreement between SCDOT and Utility Companies. The general procedures and framework for preparing the Utility Agreements and processing utility issues within the Project area shall follow the standard practices of the respective Utility Companies for such Utility Agreements. Contractor shall prepare and provide all written or plan information concerning the Project necessary to negotiate Utility Agreements. Contractor shall cause each Utility Agreement it negotiates and executes to name SCDOT as an intended third-party beneficiary of Contractor's rights and

1 interests thereunder. A sample SCDOT Utility Agreement form (Form 3068-A) is available on the
2 SCDOT website.

3 5.15.2.3 Reserved

4 5.15.2.4 SCDOT agrees to cooperate, at its own cost, as reasonably
5 requested by Contractor in pursuing Utility Agreements, including attendance at negotiation
6 sessions and review of Utility Agreements. Contractor shall keep SCDOT Design Manager for
7 Project informed of the status of any such negotiations and shall deliver to SCDOT, within ten
8 (10) days after execution, a true and complete copy of each Utility Agreement entered by
9 Contractor. Except as provided in Section 5.16.2.5 or Section 5.16.2.6, SCDOT will not be a
10 Party to such Utility Agreements, and Contractor shall cause each Utility Agreement to expressly
11 provide that SCDOT will have no liability under the Utility Agreement unless and until SCDOT
12 receives a written assignment of the Contractor's interests in the Utility Agreement and assumes
13 in writing Contractor's obligations thereunder; provided, however, that Contractor shall cause the
14 Utility Agreements to designate SCDOT as an intended third-party beneficiary thereof and to
15 permit assignment of Contractor's right, title and interest thereunder to SCDOT without necessity
16 for Utility Company consent. Contractor shall not enter into any agreement with a Utility Company
17 that purports to bind SCDOT in any way, unless SCDOT has executed such agreement as a Party
18 thereto (SCDOT's signature indicating approval or review of an agreement between Contractor
19 and a Utility Company, or its status as a third-party beneficiary, shall not satisfy this requirement).

20 5.15.2.5 If a Utility Company has proper Prior Rights Documentation in
21 connection with a Utility Adjustment, then SCDOT, together with Contractor and the Utility
22 Company, will be a Party to the corresponding Utility Agreement. In such a case, SCDOT will be
23 a signatory to the Utility Agreement for the sole purpose of indicating its consent thereto and
24 agreeing to the terms and conditions in the Utility Agreement respecting the Utility Company's
25 Prior Rights. If a Utility Company does not have proper Prior Rights Documentation in connection
26 with a Utility Adjustment, then SCDOT will choose whether to be a Party to the corresponding
27 Utility Agreement, provided that it will be a Party, together with Contractor and the Utility
28 Company, if the Utility Company refuses to execute a Utility Agreement only with Contractor. In
29 such case, SCDOT will be a signatory to the Utility Agreement for the sole purpose of indicating
30 its consent thereto and agreeing to the terms and conditions in the Utility Agreement respecting
31 any encroachment permit the Utility Company will need from SCDOT.

32 5.15.2.6 If Contractor has prepared and negotiated an instruction-specific,
33 construction-detailed Utility Agreement with a Utility Company and such Utility Company refuses
34 to enter into the Utility Agreement with Contractor but is willing to enter into the Utility Agreement
35 with SCDOT, SCDOT may in its discretion enter into the Utility Agreement directly with the Utility
36 Company and delegate its obligations to Contractor, in which case Contractor shall accept such
37 delegation and assume such obligations.

38 5.15.2.7 Contractor shall be solely responsible for the terms and conditions
39 of all Utility Agreements into which it enters or for which it assumes obligations. Contractor shall
40 comply with and timely perform all obligations imposed on Contractor by any Utility Agreement to
41 which it is a Party or which it assumes. Utility Agreements entered into by Contractor shall not be
42 considered Contract Documents.

43 5.15.2.8 Contractor shall ensure that the Utility Adjustment Work is
44 completed in accordance with the Contract Documents, regardless of the nature or provisions of
45 the Utility Agreements and regardless of whether Contractor or its Subcontractors, or the Utility
46 Company or its contractors, performs the Utility Adjustment Work.

1 5.15.2.9 If a conflict occurs between the terms of a Utility Agreement and
2 those of the Contract Documents, the terms that establish the higher quality, manner or method
3 of performing Utility Adjustment Work, establish better Good Industry Practice, or use more
4 stringent standards shall prevail between Contractor and SCDOT. If the foregoing criteria are not
5 relevant to the terms at issue, then the Contract Documents shall prevail, unless expressly
6 provided otherwise in the Contract Documents.

7 5.15.2.10 If a Utility Company performs Utility Adjustment Work (self-
8 performs) for which it has proper Prior Rights Documentation, then Utility Company will invoice
9 SCDOT directly for the cost of said Utility Adjustment Work of which it has Prior Rights, and if no
10 prior rights exist, the Utility Company will be responsible for those costs. The cost of said Utility
11 Adjustment Work shall not be included in the Contract Price.

12 **5.15.3 Requirements**

13 Each Utility Adjustment (whether performed by Contractor or by the Utility Company) shall
14 comply with the Utility Adjustment Standards, including applicable Changes in Utility Adjustment
15 Standards. Contractor is solely responsible for negotiating any terms and conditions of its Utility
16 Agreements that might limit a Utility Company's Changes in Utility Adjustment Standards, if an
17 applicable Utility Memorandum of Agreement does not provide such limits. In addition, all Utility
18 Adjustment Work shall comply with all applicable Laws, the applicable Utility Agreement(s), and
19 all other relevant requirements specified in the Technical Provisions.

20 **5.15.4 Utility Adjustment Risk**

21 5.15.4.1 Contractor's right to Claims in connection with the Utility Adjustment
22 Work is limited to the relief this Agreement provides for Utility Company Delays and Inaccurate
23 Utility Information, and subject to further limitations pursuant to Section 14.4.3 and Section 14.4.4.

24 5.15.4.2 Contractor shall: (a) perform at its own cost (subject to payments
25 out of the Contract Price) the In-Contract Utility Adjustment Work itself in accordance with Section
26 140.4.54 of the Technical Provisions (except that any assistance provided by any Contractor-
27 Related Entity to the Utility Company in acquiring Replacement Utility Property Interests shall be
28 provided outside of the Work); or (b) reimburse (out of the Contract Price or otherwise) the Utility
29 Company for its Utility Adjustment Work within the time and in the manner required by the
30 applicable Utility Agreement, limited by the conditions set forth in Section 5.16.2.10. However,
31 Contractor has no obligation to reimburse a Utility Company for Utility Adjustment costs for any
32 Service Line Adjustment for which the affected property owner has been compensated in
33 connection with Project ROW acquisition. Contractor is solely responsible for collecting directly
34 from the Utility Company any reimbursement due to Contractor for Utility Improvement costs or
35 other costs incurred by Contractor for which the Utility Company is responsible under applicable
36 Law.

37 5.15.4.3 For each Utility Adjustment, the eligibility of Utility Company costs
38 (both indirect and direct) for reimbursement by Contractor, as well as the determination of any
39 Utility Improvement or other costs due to Contractor, shall be established in accordance with
40 applicable Law and the applicable Utility Agreement(s).

41 5.15.4.4 For each Utility Adjustment, Contractor shall compensate the Utility
42 Company for each Existing Utility Property Interest relinquished, to the extent SCDOT would be
43 required to do so by applicable Law or to the extent required by the applicable Utility Agreement
44 and provided that SCDOT has approved the Utility Company's claim. Contractor is advised that

1 in some cases reimbursement of the Utility Company's acquisition costs for a Replacement Utility
2 Property Interest will satisfy this requirement.

3 5.15.4.5 SCDOT may declare a Contractor Default under clause (i) of
4 Section 18.1.1 if Contractor breaches any covenant in this Section 5.16.4 respecting
5 reimbursement of Utility Company costs.

6 5.15.4.6 If for any reason Contractor is unable to collect any amounts due to
7 Contractor from any Utility Company, then: (a) SCDOT will have no liability for such amounts; (b)
8 Contractor shall have no right to collect such amounts from SCDOT or to offset such amounts
9 against amounts otherwise owing from Contractor to SCDOT; and (c) Contractor shall have no
10 right to stop Work or to exercise any other remedies against SCDOT on account of such failure
11 to pay.

12 5.15.4.7 If any local Governmental Entity is participating in any portion of
13 Utility Adjustment costs, Contractor shall coordinate with SCDOT and such local Governmental
14 Entity regarding accounting for and approval of those costs.

15 5.15.4.8 Contractor shall maintain a complete set of records for the costs of
16 each Utility Adjustment (whether incurred by Contractor or by the Utility Company), in a format
17 compatible with the estimate attached to the applicable Utility Agreement and in sufficient detail
18 for analysis. For both Utility Company costs and Contractor costs, the totals for each cost
19 category shall be shown in such manner as to permit comparison with the categories stated on
20 the estimate. Contractor also shall indicate in these records the source of funds used for each
21 Utility Adjustment. All records with respect to Utility Adjustment Work shall comply with the record
22 keeping and audit requirements of the Contract Documents and applicable Law, including 23 CFR
23 Part 645, Subpart A.

24 **5.15.5 Federal Utility Requirements**

25 5.15.5.1 SCDOT is under the information and belief that:

26 (a) The Project will be subject to 23 CFR Part 645 Subpart A
27 (including its requirements as to Plans, specifications, estimates, charges, tracking of costs,
28 credits, billings, records retention, and audit) and FHWA's associated policies;

29 (b) Utility Agreements for Utilities shall incorporate by reference 23
30 CFR Part 645 Subparts A and B and assign the obligations arising thereunder;

31 (c) Contractor shall comply (and shall require the Utility Companies
32 to comply) with 23 CFR Part 645 Subparts A and B as necessary for any Utility Adjustment costs
33 to be eligible for reimbursement from any federal financing or funding;

34 (d) Each Utility Agreement shall include the requirement for the Utility
35 Company to meet the Buy America requirements (as specified in 23 USC 313 and 23 CFR §
36 635.410), except to the extent such requirements establish an exemption for the particular Utility
37 Adjustment. Each such Utility Agreement shall require a definitive statement to be provided by
38 Contractor, the Utility Company or contractor performing any relocation Work, about the origin of
39 all products permanently incorporated into the Project, covered under the Buy America
40 requirements.

1 5.15.5.2 Contractor acknowledges, however, that:

2 (a) It is not anticipated that Contractor will be eligible for FHWA
3 reimbursement of any Utility Adjustment outlays; and

4 (b) Contractor will not have any share in any reimbursement from FHWA or
5 other federal financing or funding that SCDOT may receive on account of Utility Adjustments.

6 **5.15.6 Utility Enhancements**

7 5.15.6.1 Contractor shall address any requests by Utility Companies that
8 Contractor design or construct Utility Improvements or Utility Company Projects (collectively,
9 "Utility Enhancements"). Any Utility Improvement performed as part of a Utility Adjustment,
10 whether by Contractor or by the Utility Company, shall be subject to the same standards and
11 requirements as if it were a necessary Utility Adjustment, and shall be addressed in the
12 appropriate Utility Agreement. Contractor shall perform any work on a Utility Company Project
13 only by separate contract outside of the Work, and such work shall be subject to Section 5.16.9.
14 Under no circumstances shall Contractor proceed with any Utility Enhancement that is
15 incompatible with the Project in its final configuration or is not in compliance with applicable Law,
16 the Governmental Approvals or the Contract Documents, including the Completion Deadlines.
17 Under no circumstances will Contractor be entitled to any additional compensation or Completion
18 Deadline adjustment hereunder as the result of any Utility Enhancement, whether performed by
19 Contractor or by the Utility Company. Contractor may, but is not obligated to, design and construct
20 Utility Enhancements. Contractor shall be responsible for and liable to SCDOT for any
21 deficiencies relating to any Utility Enhancements.

22 **5.15.7 Failure of Utility Companies to Cooperate**

23 5.15.7.1 Contractor shall use diligent efforts to obtain the cooperation of
24 each Utility Company as necessary for Utility Adjustments. Contractor shall notify SCDOT
25 immediately if:

26 (a) Contractor is unable (or anticipates that it will be unable), after diligent
27 efforts, to reach agreement with a Utility Company on a necessary Utility Agreement within a
28 reasonable time;

29 (b) Contractor reasonably believes for any other reason that any Utility
30 Company will not undertake or permit a Utility Adjustment in a manner consistent with the timely
31 completion of the Project or in accordance with Law, the Governmental Approvals or the Contract
32 Documents;

33 (c) Contractor becomes aware that any Utility Company is not cooperating in
34 a timely manner to provide agreed-upon or necessary Work or approvals; or

35 (d) Any other Dispute arises between Contractor and a Utility Company with
36 respect to the Project, despite Contractor's diligent efforts to obtain such Utility Company's
37 cooperation or otherwise resolve such Dispute.

38 (e) This Notice may include a request that SCDOT assist in resolving the
39 Dispute or in otherwise obtaining the Utility Company's timely cooperation. Contractor shall
40 provide SCDOT with such information as SCDOT requests regarding the Utility Company's
41 failure to cooperate and the effect of any resulting Delay on the Project Schedule. After delivering
42 to SCDOT any Notice or request for assistance, Contractor shall continue to use diligent efforts
43 to pursue the Utility Company's cooperation.

1 5.15.7.2 If Contractor requests SCDOT's assistance pursuant to Section
2 5.16.7.1, then, subject to Section 5.16.7.3, the following provisions shall apply:

3 (a) Contractor shall provide evidence reasonably satisfactory to SCDOT that:
4 i. the subject Utility Adjustment is necessary;
5 ii. the time for completion of the Utility Adjustment in the Project
6 Schedule was, in its inception, a reasonable amount of time for completion of such Work;
7 iii. Contractor has made diligent efforts to obtain the Utility Company's
8 cooperation; and

9 (b) the Utility Company is not cooperating (the foregoing clauses (a)(i)
10 through (iv) are referred to herein as the "conditions to assistance"). Following SCDOT's receipt
11 of satisfactory evidence, SCDOT will take such reasonable steps as Contractor may request to
12 assist Contractor in obtaining the cooperation of the Utility Company or resolving the Dispute;
13 provided, however, that SCDOT will have no obligation to prosecute eminent domain or other
14 legal proceedings, or to exercise any other remedy available to it under applicable Law or existing
15 contract, unless SCDOT elects to do so in its discretion.

16 (c) If SCDOT holds contractual or property rights that might be used to
17 enforce the Utility Company's obligation to cooperate, and if SCDOT elects in its discretion not
18 to exercise those rights, and if such rights are assignable, then SCDOT will assign those rights
19 to Contractor upon Contractor's request; provided, however, that such assignment shall be
20 without any representation or warranty as to the enforceability of such rights.

21 (d) Any assistance SCDOT provides shall not relieve Contractor of its sole
22 responsibility for satisfactory compliance with its obligations respecting Utility Adjustment Work
23 and timely completion thereof, except as otherwise expressly set forth herein.

24 5.15.7.3 If SCDOT objects in writing to a request for assistance made
25 pursuant to Section 5.16.7.1 based on Contractor's failure to satisfy one or both of the conditions
26 to assistance described in Section 5.16.7.2(a)(i) and Section 5.16.7.2(a)(ii), then Contractor shall
27 take such action as is appropriate to satisfy the condition(s) and shall then have the right to submit
28 another request for assistance on the same subject matter. If SCDOT objects in writing to a
29 request for assistance made pursuant to Section 5.16.7.1 based on Contractor's failure to satisfy
30 at least one of the conditions to assistance described in Section 5.16.7.2(a)(iii) and Section
31 5.16.7.2(a)(iv), then Contractor shall take such action as Contractor deems advisable during the
32 next ten (10) days to obtain the Utility Company's cooperation and shall then have the right to
33 submit another request for assistance on the same subject matter. Notwithstanding the foregoing,
34 no resubmittal will be accepted unless all SCDOT's objections have been addressed in
35 accordance with the preceding two sentences. This process shall be followed until Contractor
36 succeeds in obtaining the Utility Company's cooperation or in otherwise resolving the Dispute or
37 until SCDOT determines, based on evidence Contractor presents, that the conditions to
38 assistance have been satisfied. Contractor shall have the right to submit the question of the
39 reasonableness of SCDOT's determination for resolution according to the Dispute Resolution
40 Procedures.

41 5.15.7.4 In certain cases where a Utility Company is not cooperating with
42 Contractor or SCDOT, SCDOT may, in its discretion and where applicable Law authorizes
43 SCDOT to take unilateral action, issue a Force Account Directive Letter directing Contractor to
44 proceed with a Utility Adjustment without a Utility Agreement or other written consent by the Utility

1 Company. If SCDOT directs Contractor to perform Work pursuant to this Section 5.15.7.4, then
2 Contractor, without cost to SCDOT, shall proceed with such Work as if Contractor has entered
3 into a Utility Agreement providing for Contractor to perform such Work, and shall perform such
4 Work in accordance with applicable Utility Adjustment Standards and the requirements of the
5 Contract Documents otherwise applicable to Contractor's performance of Utility Adjustment Work.

6 **5.15.8 Security for Utility Adjustment Costs; Insurance**

7 5.15.8.1 Contractor shall satisfy all requirements in the Utility Memoranda of
8 Agreement and Utility Agreements to provide security for reimbursement of Utility Adjustment
9 costs to which the Utility Company is entitled, in form, type and amount and on terms provided by
10 the Utility Memoranda of Agreement and Utility Agreements.

11 5.15.8.2 Contractor shall satisfy all requirements in Utility Memoranda of
12 Agreement and Utility Agreements to provide liability insurance for the protection of the Utility
13 Company.

14 **5.15.9 Applications for Utility Permits**

15 5.15.9.1 It is anticipated that Utility Companies will apply to SCDOT for utility
16 permits and other agreements and approvals to install new Utilities that would cross or
17 longitudinally occupy the Project ROW, or to modify, upgrade, relocate or expand existing Utilities
18 within the Project ROW for reasons other than to accommodate the Project. The provisions of
19 Section 5.16.9.2 through Section 5.16.9.5 shall apply to all such Utility Company applications. No
20 Work or services required of Contractor, and no accommodation of new Utilities or of
21 modifications, upgrades, relocations or expansions of existing Utilities, pursuant hereto, shall
22 entitle Contractor to additional compensation, Completion Deadline adjustment or other Claim
23 hereunder.

24 5.15.9.2 For all Utility Company applications described in Section 5.16.9.1
25 and pending as of or submitted after the Effective Date, Contractor shall: (a) furnish to the
26 applicants the most recent pertinent Project design information or Record Drawings, as
27 applicable; (b) assist the applicants with information regarding the location of other proposed and
28 existing Utilities; and (c) use commercially reasonable efforts to coordinate work schedules with
29 the applicants so that the applicants' activities do not interfere with the Project Schedule.
30 Contractor shall keep records of its costs related to new Utilities separate from other costs.

31 5.15.9.3 Contractor shall assist SCDOT in deciding whether to approve a
32 permit or other agreement or approval applied for by a Utility Company. Within ten (10) Business
33 Days after receiving an application for a utility permit or other agreement or approval, Contractor
34 shall analyze the application and provide to SCDOT a recommendation (together with supporting
35 analysis) as to whether it should be approved, denied, or approved subject to conditions.
36 Contractor shall limit the grounds for its recommendation of denial or conditions to approval to the
37 grounds (as SCDOT communicates to Contractor from time to time) on which SCDOT is legally
38 entitled to deny or condition approval of the application.

39 5.15.9.4 SCDOT will impose conditions in any approved permit or other
40 agreement or approval to the extent permitted by Law: (a) prohibiting the Utility Company from
41 interfering with Contractor's schedule for D&C Work or Contractor's performance of the D&C
42 Work; (b) requiring the Utility Company to compensate Contractor for the adverse impact to
43 Contractor of any prohibited interference; (c) requiring the Utility Company and its contractors to
44 cooperate and coordinate with Contractor and its Subcontractors; and (d) requiring the Utility

1 Company to adhere to Contractor's on-Site Safety Standards and procedures whenever the Utility
2 Company or its Subcontractors are in any active Work zone of Contractor or its Subcontractors.

3 5.15.9.5 If Contractor and SCDOT disagree on the response to a utility
4 application, such disagreement shall be resolved according to the Dispute Resolution Procedures;
5 provided, however, that if Contractor recommends against issuance of the permit or other
6 agreement or approval and SCDOT determines issuance is appropriate or required, then:

7 (a) SCDOT's determination shall control unless issuance is arbitrary and
8 capricious and not required by Law;

9 (b) SCDOT may elect to issue the utility permit or other agreement or approval
10 in advance of resolution of the Dispute, but if it is finally determined that such issuance was
11 arbitrary and capricious and not required by Law, such issuance shall be deemed an SCDOT-
12 Directed Change (and therefore a potential Relief Event); and

13 (c) If SCDOT elects to delay issuance of a utility permit or other agreement
14 or approval pending final resolution of the Dispute, Contractor's indemnity under Section 20.1.1(j)
15 shall be deemed to apply with respect to any applicant Claim of wrongful Delay or denial.

16 **5.15.10 Assignment of Rights against Utility Companies**

17 In the event of bona fide Claims on behalf of Contractor for wrongful actions or inactions
18 of a Utility Company within the Project ROW, SCDOT agrees that, upon receipt of a written
19 request from Contractor, SCDOT in its reasonable discretion will assign to Contractor SCDOT's
20 rights of recovery, as such may exist, under any existing agreement between SCDOT and a Utility
21 Company, including any utility permits, utility relocation agreements, or other agreements.

22 **5.15.11 Utility Services**

23 5.15.11.1 Contractor shall provide all Utility service facilities (both on-Site and
24 off-Site) required to carry out the D&C Work. The service facilities include those needed for
25 power, gas, communications, water, sewage and drainage. Except for incremental additional
26 costs directly attributable to a Relief Event, Contractor is responsible for all costs of such other
27 Utility service facilities and Utility services, including costs of design and construction (both on-
28 Site and off-Site), Governmental Approvals, connection fees, testing, inspection, and certification,
29 and Utility service/usage fees and charges.

30 5.15.11.2 Reserved.

31 **5.15.12 Reserved**

32 **5.16 Integration with Related Transportation Facilities**

33 **5.16.1** Contractor shall locate, configure, design and maintain the termini,
34 interchanges, ramps, intersections, crossings, entrances and exits of the Project so that the
35 Project will be compatible and integrated with the location, configuration, design, operation and
36 maintenance of, and provide a smooth, safe and orderly transition of traffic to and from, Related
37 Transportation Facilities. The design for the Project shall include and provide for such
38 compatibility, integration and transition. The design and construction of the Project shall comply
39 with all provisions of the Contract Documents and Project Management Plan relating to
40 compatibility, integration and transition with or at Related Transportation Facilities, including those
41 concerning signage, signaling and communications with users.

1 **5.16.2** Without limiting the foregoing, Contractor shall cooperate and coordinate with
2 SCDOT and any third party that owns, manages, operates or maintains Related Transportation
3 Facilities about the construction, maintenance and repair programs and schedules for the Project
4 and the Related Transportation Facilities, in order to minimize disruption to the operation of the
5 Project and the Related Transportation Facilities.

6 **5.16.3** To assist Contractor, SCDOT will provide to Contractor during normal working
7 hours, reasonable access to Plans, surveys, drawings, record drawings, specifications, reports
8 and other Documents and information in the possession of SCDOT or its contractors and
9 consultants pertaining to Related Transportation Facilities. Contractor, at its expense, shall have
10 the right to make copies of the same. Contractor shall conduct such other inspections,
11 investigations, Document searches, surveys and other Work as may be necessary to identify the
12 Related Transportation Facilities and achieve such compatibility, integration and transition.

13 **5.16.4** At Contractor's request from time to time, SCDOT will provide reasonable
14 assistance to Contractor in obtaining cooperation and coordination from third parties that own,
15 manage, operate or maintain Related Transportation Facilities and in enforcing rights, remedies
16 and warranties that Contractor may have against any such third parties. Such assistance may
17 include SCDOT's participation in meetings and discussions. In no event shall SCDOT be required
18 to bring any legal action or proceeding against any such third party. At Contractor's request,
19 SCDOT and Contractor shall work jointly to establish a scope of work and budget for SCDOT's
20 Recoverable Costs in connection with providing such cooperation to Contractor. Subject to any
21 agreed scope of work and budget, Contractor shall reimburse SCDOT for all costs, including
22 SCDOT's Recoverable Costs, it incurs in connection with rendering such assistance within ten
23 (10) days after written request therefor.

24 **5.16.5** SCDOT and other Governmental Entities shall always have, without obligation
25 or liability to Contractor, the right to conduct traffic management activities on their respective
26 Related Transportation Facilities and all other facilities of the State, regional or local transportation
27 network in the area of the Project in accordance with their respective standard traffic management
28 practices and procedures in effect from time to time.

Article 6.
DESIGN AND CONSTRUCTION

6.1 General Obligations of Contractor

Contractor, in addition to performing all other requirements of the Contract Documents, shall:

6.1.1 Furnish all design and other services, provide all materials, equipment and labor and undertake all efforts necessary or appropriate (excluding only those materials, services and efforts that the Contract Documents expressly specify will be undertaken by SCDOT or other Persons) to design and construct the Project, and maintain the Project during construction, in accordance with the requirements of the Contract Documents so as to achieve Substantial Completion and Final Completion by the applicable Completion Deadlines.

6.1.2 At all times provide an SCDOT-approved Project Manager who: (a) will have full responsibility for the prosecution of the Work; (b) will act as agent and be a single point of contact in all matters on behalf of Contractor; (c) will be present (or its approved designee will be present) at the Site at all times that D&C Work is performed, and (d) will be available to timely respond to SCDOT or SCDOT's Authorized Representatives.

6.1.3 Comply with, and require that all Subcontractors comply with, all requirements of all Laws applicable to the D&C Work, including but not limited to: Environmental Laws, Labor Laws, Civil Rights Laws, and the Americans with Disabilities Act of 1990 (42 U.S.C. § 12101 et seq.), as amended.

6.1.4 Cooperate with SCDOT, all SCDOT hired Consultants, and Governmental Entities with jurisdiction in all matters relating to the Work, including their review, inspection and Oversight of the design and construction of the Project and the design and construction of the Utility Adjustments.

6.1.5 Use commercially reasonable efforts to mitigate Delay to design and construction of the Project and mitigate damages due to Delay in all circumstances, to the extent possible, including by re-sequencing, reallocating, or redeploying Contractor's and its Subcontractors' forces to other work, as appropriate.

6.1.6 Obtain and pay the cost of obtaining all Governmental Approvals that are required in connection with the Project and not previously obtained by SCDOT.

6.1.7 Ensure labor harmony on the Site during the Term, including taking appropriate steps to prevent strikes, walkouts, work stoppages, work slowdowns, work curtailments, cessations or interruptions of production due to labor disputes or other labor-related matters.

6.1.8 Perform the D&C Work, Maintenance Services, and Professional Services in a manner that gives prime importance to the safety of the public, convenience of the traveling public, and a safe work environment for all maintenance workers.

6.2 Performance, Design and Construction Standards; Deviations

6.2.1 Contractor shall furnish all aspects of the Design Work and all Design Documents, without limiting the Warranty itself, in accordance with Good Industry Practice in such a manner that the Project is constructible as designed. Contractor shall construct the Project and Utility Adjustments included in the Construction Work as designed, free from Defects, and in

1 accordance with: (a) Good Industry Practice; (b) the requirements, terms and conditions set forth
2 in the Contract Documents; (c) the Project Schedule; (d) all Laws; (e) the requirements, terms
3 and conditions set forth in all Governmental Approvals; (f) the Safety Management Plan; and (g)
4 all other applicable safety, environmental and other requirements, taking into account the Project
5 ROW limits and other constraints affecting the Project.

6 **6.2.2** Contractor also shall construct the Project and Utility Adjustments in
7 accordance with (a) the RFC Documents, and (b) the Construction Documents, in each case
8 considering the Project ROW limits and other constraints affecting the Project.

9 **6.2.3** The Project design and construction shall be subject to certification pursuant
10 to the procedure contained in SCDOT-approved Quality Management Plan.

11 **6.2.4** Contractor may apply for SCDOT approval of Deviations from applicable
12 Technical Provisions regarding the design or construction of the Project. The Deviation approval
13 process shall be as follows:

14 (a) All applications for Deviations shall be in writing. Where Contractor applies
15 for a Deviation, Contractor shall specifically identify and label the proposed Deviation.

16 (b) SCDOT will consider but has no obligation to approve, any such
17 application for a Deviation. Contractor shall bear the burden of persuading SCDOT that the
18 Deviation sought constitutes sound and safe engineering consistent with Good Industry Practice
19 and achieves SCDOT's applicable Safety Standards and criteria.

20 (c) No Deviation shall be deemed approved or be effective unless and until
21 stated in writing signed by SCDOT's Authorized Representative.

22 (d) SCDOT's lack of issuance of an approval for any Deviation within ten (10)
23 Business Days after Contractor applies therefore shall be deemed a disapproval of such
24 application.

25 (e) SCDOT's denial or disapproval of a requested Deviation shall be final and
26 not subject to the Dispute Resolution Procedures.

27 **6.2.5** Except as set forth in Section 1.5 or Section 6.2.4, any changes to the
28 Technical Provisions that materially affect the Design Work or Construction Work prior to the
29 Substantial Completion Date shall be subject to the Change Order process in accordance with
30 Article 15.

31 **6.3 Changes in Basic Configuration**

32 **6.3.1** Contractor shall not make any change in the Basic Configuration of the Project,
33 except as approved by SCDOT in its discretion and authorized by a Change Order in accordance
34 with Article 15. A Change Order is required regardless of the reason underlying the change and
35 regardless of whether the change increases, decreases or has no effect on Contractor's costs.

36 **6.3.2** No Change Order shall be required for any non-material changes in the Basic
37 Configuration that SCDOT approves in writing as part of the design review process, unless
38 Contractor claims that it is entitled to Extra Work Costs in connection with a proposed change in
39 accordance with Section 14.4.1, or unless the proposed change constitutes a Contract Change
40 Request under Section 15.2. Contractor acknowledges and agrees that constraints set forth in
41 the NEPA Approval, Technical Provisions and other Contract Documents, as well as Site

conditions and the Schematic Design, will impact Contractor's ability to make non-material changes in the Basic Configuration.

6.3.3 If a Contract Change Request results in a material change in the Basic Configuration, any cost savings that result from such Contract Change Request, as accepted by SCDOT, shall be deducted from the Contract Price through a Change Order in accordance with Article 15.

6.4 Design Requirements; Responsibility for Design

6.4.1 Design Implementation and Submittals

6.4.1.1 Contractor, through the appropriately qualified and licensed design professionals identified in Exhibit 5 and Contractor's Project Management Plan shall prepare designs, Plans and specifications in accordance with the Contract Documents. Contractor shall cause the engineers of record, as applicable, for the Project to sign and seal all RFC Documents.

6.4.1.2 Contractor shall deliver to SCDOT accurate and complete duplicates of all interim, revised and Final Design Documents (including the RFC Documents), Plans and Construction Documents within seven (7) days after Contractor completes preparation thereof. Contractor shall construct the Project in accordance with the RFC Documents and the Construction Documents. The RFC Documents may be changed only with prior approval of SCDOT. Contractor may modify the Construction Documents without prior approval of SCDOT but must deliver the modifications to SCDOT in advance of performance of the applicable D&C Work.

6.4.2 Contractor Responsibility for Design

Contractor agrees that it has full responsibility for the design of the Project and that Contractor will furnish the design of the Project, although aspects of the Schematic Design have been provided to Contractor as a preliminary basis for Contractor's design. Contractor specifically acknowledges and agrees that:

(a) Contractor is not entitled to rely on: (i) the Schematic Design except as specified otherwise in Section 6.4.3; (ii) the other Project Information Package; or (iii) any other Documents or information provided by SCDOT, except to the extent specifically permitted in the Contract Documents;

(b) Contractor is responsible for correcting any Errors in the Schematic Design through the design or construction process;

(c) Contractor shall not be entitled to any increase in the Contract Price or extension of a Completion Deadline for Errors in the Schematic Design, except only for the right to a Change Order with respect to Necessary Schematic ROW Changes as set forth in Section 14.4.1, and subject to the requirements and limitations of Article 14;

(d) Contractor's warranties and indemnities hereunder cover Errors in the Project even though they may arise from or be related to Errors in the Schematic Design; and

(e) Contractor is responsible for verifying all calculations and quantity takeoffs contained in the RFP Documents or otherwise provided by SCDOT.

6.4.3 Changes to Schematic Design and Schematic ROW

6.4.3.1 Contractor agrees the requirements and constraints set forth in the Contract Documents and in the Governmental Approvals, as well as Site conditions, will impact Contractor's ability to revise the concepts contained in the Schematic Design. Contractor, however, may modify the Schematic Design without SCDOT's prior written approval if the proposed modification:

- (a) Meets the requirements of the Technical Provisions;
- (b) Requires no revision, modification or amendment to the NEPA Approval;
- (c) Does not constitute a Design Exception or Design Variance; and
- (d) Does not deviate from the design concepts included in the Proposal.

6.4.3.2 Contractor may rely on the Schematic ROW limits, as shown on the Schematic Design, and that it is feasible to design and develop the Project within said Schematic ROW limits. Accordingly, Contractor shall have the right to certain relief due to Necessary Schematic ROW Changes, to the extent provided in Section 14.4.1; provided, however that Contractor acknowledges that "feasible to design and develop the Project" is not intended to mean or be limited to Contractor's design approach set forth in its Proposal or Contractor's preferred design approach.

6.4.3.3 Contractor acknowledges that the Schematic Design is preliminary and subject to refinement through the Final Design process, and that Contractor is not entitled to additional compensation or Completion Deadline adjustment in connection with changes in the Schematic Design, except as provided for Necessary Schematic ROW Changes to the extent allowed under Section 14.4.1.

6.5 Cooperation with Other Contractors

6.5.1 Contractor Duty of Cooperation

6.5.1.1 Contractor acknowledges that SCDOT may award or plans to award contracts for construction and other work at or near the Site, and that other projects at or near the Site may be in various stages of design and construction.

6.5.1.2 Contractor shall, and shall cause the Contractor-Related Entities to, in good faith to cooperate and coordinate the Work with SCDOT whose projects or work may affect the Project or the Work. Contractor shall schedule and sequence the Work as reasonably necessary to accommodate the projects and work of such contractors. Further, Contractor shall conduct its Work, dispose of the materials, and perform its obligations under the Contract Documents as set forth herein.

6.5.1.3 Contractor shall satisfactorily join work and in proper sequence with the work of other contractors. Contractor shall ensure all planning or modification to an existing plan facilitates efficient completion of the work by all parties.

6.5.1.4 SCDOT has included and agrees to include within its contracts with SCDOT Contractors and other contractors' provisions like this Section 6.5.1, imposing a similar duty of cooperation among contractors.

6.5.2 Interference by Other Contractors, Resolution by SCDOT

1 6.5.2.1 If Contractor asserts that any of SCDOT's other contractors have
2 caused damage to the Work then Contractor shall immediately notify SCDOT so that SCDOT can
3 seek recourse against the responsible contractor. Contractor shall submit a Change Order for the
4 repair and Delay Costs to assist SCDOT in recovering these amounts.

5 6.5.2.2 Contractor may request SCDOT to resolve a conflict between
6 Contractor and any of SCDOT's other contractors where Work being performed by SCDOT's
7 other contractors and Contractor is in conflict that cannot be resolved by those parties if:

8 (a) Contractor reasonably believes for any other reason that SCDOT's other
9 contractor will not undertake or permit a schedule modification in a manner consistent with the
10 timely completion of the Project;

11 (b) Contractor becomes aware that SCDOT's other contractor is not
12 cooperating in a timely manner to provide agreed-upon or necessary work, approvals, or other
13 cooperation;

14 (c) Contractor asserts the existence of a Dispute unrelated to a direct Claim
15 for interference between Contractor and SCDOT's other contractor with respect to the Project.

16 6.5.2.3 SCDOT will resolve any conflict between Contractor and SCDOT's
17 other contractors in the exercise of good faith discretion and determine whether either party is
18 entitled to relief of a SCDOT-Caused Delay upon Contractor demonstrating the following:

19 (a) The schedule conflict is of such a nature that both projects cannot proceed
20 to Substantial Completion (i.e., critical path);

21 (b) Contractor has made diligent efforts to avoid the conflict by altering,
22 amending, or otherwise revising its own schedule such as:

23 (c) Contractor has used diligent efforts to obtain the cooperation of SCDOT's
24 other contractors as necessary to avoid a schedule conflict;

25 (d) Contractor is unable (or anticipates it will be unable), after diligent efforts,
26 to reach agreement with SCDOT's other contractors to avoid schedule conflict within a
27 reasonable time;

28 (e) Provide written Notice to SCDOT and SCDOT's other contractor seeking
29 SCDOT's assistance in resolving the Dispute or in otherwise obtaining timely cooperation.
30 Include in the Notice information relating to Contractor's attempts to resolve the scheduling
31 Dispute, SCDOT's other contractor's failure to cooperate and SCDOT's other contractor's
32 responses to Contractor's position on the schedule conflict; and,

33 (f) SCDOT's other contractor has an opportunity to respond in writing to
34 SCDOT within seven (7) days of Contractor's Notice to SCDOT.

35 6.5.2.4 The respective rights of the various interests involved will be
36 established by SCDOT to secure the completion of the various portions of the Work in general
37 harmony. SCDOT may assess costs to Contractor and/or SCDOT's other contractor to remediate
38 the conflict if SCDOT determines the parties refused to resolve the conflict in good faith.

39 6.5.2.5 The failure of Contractor to satisfactorily prove entitlement to relief
40 for a SCDOT-Caused Delay does not relieve Contractor from its obligation to abide by SCDOT's
41 decision regarding the resolution of the conflict.

1 **6.5.3 SCDOT Contractor Impacts**

2 6.5.3.1 Contractor will not be entitled to a Relief Event if it fails to materially
3 comply with its obligations to cooperate and resolve conflicts with SCDOT Contractors within
4 Section 6.5.1.2 and Section 6.5.2.

5 **6.5.4 Coordination with Utility Companies and Adjacent Property Owners**

6 Contractor shall coordinate with Utility Companies and owners of property adjoining the
7 Project, and with their respective contractors, as more particularly described in the Contract
8 Documents.

9 **6.6 Substantial Completion; Punch List; Final Completion**

10 **6.6.1 Reserved**

11 **6.6.2 Substantial Completion**

12 6.6.2.1 SCDOT will issue a written Certificate of Substantial Completion on
13 the date that all the following conditions precedent to Substantial Completion have been met:

14 (a) All major safety features are installed and functional, such major safety
15 features to include shoulders, guard rails, striping and delineations, concrete traffic barriers,
16 bridge railings, cable safety systems, metal beam guard fences, safety end treatments, terminal
17 anchor sections and crash attenuators;

18 (b) All required illumination is installed and functional;

19 (c) All required signs and signals are installed and functional;

20 (d) The need for temporary traffic controls or for Lane Closures at any time
21 has ceased (except for any then-required for Maintenance Services, so long as Contractor has
22 complied with the Notice requirements set forth in Section 6.6.2.2) and such need for controls or
23 Lane Closures is not due to any act or failure to act by any Contractor-Related Entity, and except
24 for temporary Lane Closures during hours of low traffic volume in accordance with and as
25 permitted by the Transportation Management Plan solely in order to complete Punch List items;

26 (e) All lanes of traffic (including ramps, interchanges, overpasses,
27 underpasses, other crossings and frontage roads) set forth in the Design Documents are in their
28 final configuration and traffic can move unimpeded through the Project at the normal, posted
29 speed;

30 (f) Reserved;

31 (g) Reserved;

32 (h) Contractor has otherwise completed the D&C Work in accordance with the
33 Contract Documents and Design Documents, such that the Project is in a condition that it can
34 be used for safe vehicular travel in all lanes at the normal, posted speed and at all points of entry
35 and exit, subject only to Punch List items and other items of Work that do not affect the ability to
36 safely open for such normal use by the traveling public;

37 (i) Reserved;

1 (j) All commitments related to the Work as required pursuant to all
2 Governmental Approvals, including NEPA Approvals, have been completed in accordance
3 therewith and the Contract Documents;

4 (k) The Punch List (under Section 6.6.3) has been prepared and agreed
5 between the Parties

6 (l) All other Submittals required under the contract Documents to be
7 submitted to SCDOT prior to Substantial Completion have been so submitted, and accepted by
8 SCDOT; and

9 (m) All required reports to all Governmental Entities have been completed,
10 submitted, and approved.

11 6.6.2.2 The procedures for notification of Substantial Completion are as
12 follows:

13 (a) Contractor shall provide SCDOT with not less than sixty (60) days' prior
14 notification of the date Contractor determines it will satisfy all conditions to Substantial
15 Completion (other than issuance by SCDOT of a Certificate of Substantial Completion). During
16 such 60-day period, Contractor and SCDOT will meet and confer and exchange information on
17 a regular cooperative basis with the goal being SCDOT's orderly, timely inspection and review
18 of the Project and the RFC Documents and Construction Documents, and SCDOT's issuance of
19 a Certificate of Substantial Completion.

20 (b) During such 60-day period, SCDOT will conduct an inspection of the
21 Project and its components, a review of the applicable RFC Documents and Construction
22 Documents and such other investigation as may be necessary to evaluate whether Substantial
23 Completion is achieved.

24 (c) Contractor shall provide SCDOT a second notification when Contractor
25 determines it has met all conditions to Substantial Completion, other than issuance by SCDOT
26 of a Certificate of Substantial Completion. Within five (5) days after expiration of the 60-day
27 period and SCDOT's receipt of the second notification, SCDOT will either: (A) issue the
28 Certificate of Substantial Completion; or (B) notify Contractor, setting forth, as applicable, why
29 the Project has not reached Substantial Completion. If SCDOT and Contractor cannot agree as
30 to the date of Substantial Completion, such Dispute shall be resolved according to the Dispute
31 Resolution Procedures.

32 **6.6.3 Punch List**

33 Punch List procedures and schedules shall conform to the following provisions.

34 6.6.3.1 The schedule for preparation of the Punch List either shall be
35 consistent and coordinated with the inspections regarding Substantial Completion or shall follow
36 such inspections.

37 6.6.3.2 Contractor shall prepare and maintain the Punch List. Contractor
38 shall deliver to SCDOT not less than five (5) days' prior Notice stating the date when Contractor
39 will commence Punch List field inspections and Punch List preparation. SCDOT will participate
40 and assist in the development of the Punch List. Each Party shall have the right to add items to
41 the Punch List, but neither shall remove any item added by the other Party without such other
42 Party's express permission. If Contractor objects to the addition of an item by SCDOT, the item
43 shall be noted as included under protest, and if the Parties thereafter are unable to reconcile the

1 protest, the Dispute shall be resolved according to the Dispute Resolution Procedures. Contractor
2 shall deliver to SCDOT a true and complete copy of the Punch List, and each modification thereto,
3 as soon as it is prepared.

4 6.6.3.3 Contractor shall immediately commence Work on the Punch List
5 items and diligently prosecute such Work to completion, consistent with the Contract Documents,
6 and in any case by the Final Completion Deadline.

7 **6.6.4 Final Completion**

8 6.6.4.1 Promptly after achieving Substantial Completion, Contractor shall:

- 9 (a) Perform all remaining Work, including completion of all Punch List items;
10 (b) Settle and/or resolve all Contractor related ROW issues such as
11 remediation of Additional Areas, make final payments to any property owner, etc.; and
12 (c) Complete all Maintenance to preexisting and newly constructed Elements
13 within the Project;
14 (d) Perform all other tasks necessary to put the Project into use as part of the
15 State Highway System as directed by SCDOT; and
16 (e) Submit and receive SCDOT's Concurrence and Approval of As-Built CPM
17 schedule.

18 6.6.4.2 SCDOT will issue a Certificate of Final Completion at such time as
19 all of the following conditions have been satisfied in respect of the Project:

- 20 (a) SCDOT has issued a Certificate of Substantial Completion for the Project;
21 (b) All Punch List items shall have been completed and delivered to the
22 reasonable satisfaction of SCDOT;
23 (c) Submit and receive SCDOT's Concurrence and Approval of the final pay
24 application;
25 (d) SCDOT has received a complete set of the Record Drawings, and a
26 complete, indexed set of all Proprietary Intellectual Property pursuant to Section 22.7.1.2;
27 (e) All Utility Adjustment Work and other Work that Contractor is obligated to
28 perform for or on behalf of third parties with respect to the Project has been accepted by such
29 third parties, and Contractor has paid for all Work by third parties that Contractor is obligated to
30 pay for, other than disputed amounts and amounts owed to Utility Companies that have not yet
31 been invoiced to Contractor, despite Contractor's diligent efforts to obtain invoices therefore;
32 (f) All component parts, plans and documentation of the Project required to
33 be prepared, submitted and approved prior to Final Completion have been so prepared,
34 submitted and approved;
35 (g) All Submittals required by the Contract Documents to be submitted to and
36 approved by SCDOT prior to Final Completion have been submitted to and approved by SCDOT,
37 in the form and content required by the Contract Documents;
38 (h) All personnel, supplies, equipment, waste materials, rubbish and
39 temporary facilities of each Contractor-Related Entity shall have been removed from the Project

1 ROW, Contractor has restored and repaired all damage or injury arising from such removal to
2 the satisfaction of SCDOT, and the Site is in good working order and condition;

3 (i) Contractor has delivered to SCDOT a certification representing that there
4 are no outstanding Claims (for purposes of this certification, the term "Claim" shall include all
5 facts which may give rise to a Claim) of Contractor or claims or stop Notices of any Subcontractor,
6 Supplier, laborer, Utility Company or other Persons with respect to the D&C Work, other than:

7 i. any previously submitted unresolved Claims of Contractor and any
8 claims or stop Notices of a Subcontractor, Supplier, laborer, Utility Company or other Persons
9 being contested by Contractor (in which event the certification shall include a list of all such
10 matters with such detail as is requested by SCDOT and, with respect to all claims or stop Notices
11 of a Subcontractor, Supplier, laborer, Utility Company and other Person, shall include a
12 representation by Contractor that it is diligently and in good faith contesting such matters by
13 appropriate legal proceedings which shall operate to prevent the enforcement or collection of the
14 same); and

15 ii. amounts owed to Utility Companies that have not yet been invoiced
16 to Contractor, despite Contractor's diligent efforts to obtain invoices therefore;

17 (j) Contractor has paid in full all Liquidated Damages that are then due and
18 owing to SCDOT pursuant to this Agreement and are not in Dispute, and has provided to SCDOT
19 reasonable security for the full amount of Liquidated Damages that may then be the subject of
20 an unresolved Dispute;

21 (k) There exists no uncured Contractor Defaults other than those that would
22 be cured by the achievement of Final Completion;

23 (l) SCDOT has received from Contractor and accepted all of Contractor's
24 DBE Quarterly Reports including Contractor's Final DBE Quarterly Report and, if applicable,
25 Good Faith Effort documentation;

26 (m) SCDOT has received from Contractor and accepted all of the Contractor's
27 Monthly Training Status Reports for all trainees and, if applicable, Good Faith Effort
28 documentation; Trainee Termination Forms; and

29 (n) All of Contractor's other obligations under the Contract Documents (other
30 than obligations which by their nature are required to be performed after Final Completion) shall
31 have been satisfied in full or waived by SCDOT.

32 6.6.4.3 Contractor shall provide SCDOT with thirty (30) days' Notice of the
33 date when Contractor expects to achieve all conditions to Final Completion other than issuance
34 by SCDOT of a Certificate of Final Completion. During the 30-day period following receipt of such
35 notification, Contractor and SCDOT will meet and confer and exchange information on a regular
36 cooperative basis with the goal being the orderly, timely inspection and review of the Project and
37 the Record Drawings, and SCDOT's issuance of a Certificate of Final Completion.

38 6.6.4.4 During such 30-day period, SCDOT will conduct an inspection of
39 the Punch List items, a review of the Record Drawings and such other investigation as may be
40 necessary to evaluate whether the conditions to Final Completion are satisfied.

41 6.6.4.5 Within five (5) days after expiration of such 30-day period, SCDOT
42 will either: (i) issue a Certificate of Final Completion for the Project; or (ii) notify Contractor setting
43 forth, as applicable, why Final Completion has not been achieved. If SCDOT and Contractor

cannot agree as to the date of Final Completion, such Dispute shall be resolved according to the Dispute Resolution Procedures.

6.7 Nonconforming and Defective Work

6.7.1 SCDOT has the right to direct Contractor at Contractor's sole cost and without Claim of any kind against SCDOT to rectify any Nonconforming Work that SCDOT or its agents may discover so that it complies with the Contract Documents.

6.7.2 If SCDOT agrees to accept Nonconforming Work without requiring it to be fully corrected, SCDOT shall be entitled to reimbursement of a portion of the Price in an amount equal to either, at SCDOT's discretion, (a) the amount deemed appropriate by SCDOT to provide compensation for known impacts to all affected Persons (including SCDOT) such as future maintenance and other costs relating to the Nonconforming Work, or (b) the amount of the Price reasonably allocable to such Work had it been performed in accordance with the Contract Documents. In determining Contractor's cost savings, the Parties shall consider all avoided costs of Contractor, including avoided design, material, equipment, labor, construction, testing, commissioning, acceptance and overhead costs and avoided costs due to time savings. SCDOT will have the right to deduct such cost savings from any sums owed by SCDOT to Contractor pursuant to this Agreement.

6.7.3 The disposition of all Nonconforming Work shall be documented by a Non-Conformance Report.

6.7.4 Subject to Section 19.7 and Section 19.8, nothing contained in the Contract Documents shall in any way limit the right of SCDOT to assert Claims for damages resulting from patent or latent Defects in the Work for the period of limitations prescribed by applicable Law, and the foregoing shall be in addition to any other rights or remedies SCDOT may have hereunder or under Law.

6.8 Hazardous Materials Management

6.8.1 Without limiting SCDOT's role or responsibilities set forth in Section 6.8.6, Section 6.8.7 and Section 14.4.5, and except as provided otherwise below, Contractor shall undertake Hazardous Materials Management of all Hazardous Materials and Recognized Environmental Conditions, including contaminated groundwater, in accordance with applicable Law, Governmental Approvals, the Hazardous Waste Management Plan (HWMP), and all applicable provisions of the Contract Documents.

6.8.2 Contractor shall have the following duties to avoid or mitigate adverse financial and schedule impacts of Hazardous Materials and Recognized Environmental Conditions.

6.8.2.1 Without cost to SCDOT, Contractor shall adopt, using Good Industry Practice, design and construction techniques for the Project that, to the maximum extent possible, avoid the need for Hazardous Materials Management.

6.8.2.2 If, having met its obligation under Section 6.8.2.1, Contractor is unable to avoid Hazardous Materials or Recognized Environmental Conditions, Contractor shall use Good Industry Practice, including design modifications and construction techniques, to minimize costs of Hazardous Materials Management, including minimization of SCDOT's long-term costs for Hazardous Materials Management.

1 6.8.2.3 Where Hazardous Materials Management is unavoidable or is
2 required by applicable Law, Contractor shall utilize appropriately trained Subcontractors or
3 personnel to conduct the Hazardous Materials Management activities.

4 **6.8.3** Contractor shall stop Work immediately in the affected area and duly notify
5 SCDOT in writing and advise SCDOT of any obligation to notify State or federal agencies under
6 applicable Law if during the course of the Work, Contractor encounters any Unexpected
7 Hazardous Materials or Recognized Environmental Conditions in connection with the Project, the
8 Site or Work, in an amount, type, quality or location that would require reporting or notification to
9 any Governmental Entity or other Person or taking any preventive or remedial action, in each
10 case under applicable Law, Governmental Approvals, the Hazardous Waste Management Plan
11 or any applicable provision of the Contract Documents. SCDOT will promptly notify Contractor in
12 writing if during the Term SCDOT discovers Unexpected Hazardous Materials or Recognized
13 Environmental Conditions in connection with the Project, the Site or the Work.

14 **6.8.4** The right of SCDOT to step in to carry out the Hazardous Materials
15 Management obligations of Contractor are as set forth in Section 6.8.4.1 and Section 6.8.4.2,
16 below.

17 6.8.4.1 SCDOT may provide Contractor with written Notice that SCDOT will
18 undertake the Hazardous Materials Management itself if, within a reasonable time after discovery
19 of Hazardous Materials or Recognized Environmental Conditions, taking into consideration the
20 nature and extent of the contamination, the type and extent of action required and the potential
21 impact upon Contractor's schedule to perform the Work, Contractor has not undertaken the
22 Hazardous Materials Management required of it under Section 6.8.1. SCDOT thereafter may
23 undertake the Hazardous Materials Management actions in compliance with a remediation plan
24 prepared by SCDOT and approved by applicable Governmental Entities and in compliance with
25 applicable Laws. Without limiting SCDOT's role or responsibilities set forth in Section 6.8.6,
26 Contractor shall reimburse to SCDOT on a current basis within thirty (30) days of request
27 therefore, the reasonable costs, including SCDOT's Recoverable Costs, that SCDOT incurs in
28 carrying out such Hazardous Materials Management actions for Contractor Release of Hazardous
29 Materials. SCDOT will have no liability or responsibility to Contractor arising out of SCDOT's
30 Hazardous Materials Management actions for Contractor Release of Hazardous Materials and
31 such actions shall in no event constitute the basis of a Relief Event or other Claim.

32 6.8.4.2 Notwithstanding the foregoing, if Contractor notifies SCDOT that
33 Contractor desires to preserve claims against other potentially responsible parties, then SCDOT
34 will undertake all commercially reasonable efforts to preserve such claims consistent with either
35 the National Oil and Hazardous Substances Pollution Contingency Plan, 40 CFR § 300, or
36 comparable State regulations and standards; and a reasonable period of time for Contractor to
37 perform the Hazardous Materials Management actions shall include a sufficient period for
38 Contractor to comply with the National Oil and Hazardous Substances Pollution Contingency Plan
39 or such comparable State regulations and standards.

40 **6.8.5** Refer to Section 14.4.5 regarding Contractor's rights to compensation and
41 Completion Deadline adjustment with respect to Unexpected Hazardous Materials.

42 **6.8.6** Off-site disposal of Hazardous Materials is subject to the provisions of Section
43 6.8.6.1 through Section 6.8.6.3, inclusive, below.

44 6.8.6.1 Except as provided otherwise in Section 6.8.9, as between
45 Contractor and SCDOT, SCDOT will be considered the sole generator and arranger under 40

CFR Part 262 and will sign manifests for the off-site disposal of Hazardous Materials other than for: (a) Contractor Release of Hazardous Materials identified in sub-part (b) of that definition; (b) Hazardous Materials that migrate from points of origin located outside the boundaries of the Project ROW where the source of such Hazardous Materials is a Contractor-Related Entity in the course of performing Work; and (c) Hazardous Materials that Contractor handles and disposes of in violation of any applicable provision of the Contract Documents, of Governmental Approvals or of Law. Notwithstanding the foregoing, SCDOT may elect, by written Notice to Contractor, to have another responsible party (instead of SCDOT, and other than a Contractor-Related Entity) assume generator and arranger status and liability, or sign manifests, for which SCDOT is otherwise responsible under this Section 6.8.6.1.

6.8.6.2 Contractor shall not be entitled to any compensation from SCDOT for any SCDOT-Caused Delay arising out of or relating to any Dispute over whether Hazardous Materials are Known or Suspected. Contractor will be entitled to a Relief Event pursuant to Section 14.4.5 if Contractor discovered or encounters Unexpected Hazardous Materials.

6.8.6.3 To the extent permitted by applicable Law, as between SCDOT and Contractor, SCDOT will take and assume sole responsibility and liability for third-party claims, causes of action and Losses arising out of or resulting from the off-site disposal of Hazardous Materials for which SCDOT is the generator pursuant to this Section 6.8.6, specifically excluding liability for any actual and threatened Contractor Release of Hazardous Materials and liability for off-site disposal that SCDOT elects to have a responsible Party assume as provided in Section 6.8.6.1. It is the intent of the Parties that Contractor have no exposure to any such third-party claims, causes of action and Losses.

6.8.7 Contractor shall not be required to engage in Hazardous Materials Management with respect to Release of Hazardous Materials onto the Project or Project ROW at any time during the Term by a Person other than a Contractor-Related Entity in the course of performing Work (a "third party"), where such Release is from a vehicle operating or located within the Project ROW or from such vehicle's cargo.

6.8.8 SCDOT has exclusive decision-making authority regarding selection of the destination facility to which Hazardous Materials will be transported whenever it acts as generator or arranger. The foregoing shall not preclude or limit any rights or remedies that SCDOT may have against Contractor-Related Entities (other than Contractor), Governmental Entities or other third parties, including prior owners, lessees, licensees and occupants of any parcel of land that is or becomes part of the Project ROW.

6.8.9 As between Contractor and SCDOT, Contractor shall be considered the sole generator and arranger and shall sign manifests for: (a) each Contractor Release of Hazardous Materials identified under sub-part (b) of that definition; and (b) Hazardous Materials that migrate from points of origin located outside the boundaries of the Project ROW where the source of such Hazardous Materials is a Contractor-Related Entity in the course of performing Work. The foregoing shall not preclude or limit any rights or remedies that Contractor may have against any Governmental Entity or any other third parties, including existing or prior owners, lessees, licensees and occupants of any parcel of land that is or becomes part of the Project ROW, excluding, however, the State, SCDOT and their respective agents. To the extent permitted by applicable Law, Contractor shall indemnify, save, protect and defend SCDOT from Claims, demands, causes of action and Losses arising out of or resulting from the off-site disposal of such Hazardous Materials for which Contractor is considered the generator or arranger pursuant to this Section 6.8. The foregoing indemnity shall survive the expiration or termination of this Agreement.

1 **6.8.10** In the event of good-faith and bona fide claims on behalf of Contractor related
2 to Third-Party Releases of Hazardous Materials who is not a Contractor-Related Entity, SCDOT
3 agrees that, upon receipt of a written request from Contractor, SCDOT in its reasonable discretion
4 will assign and subrogate its rights of recovery to Contractor, as such may exist.

5 **6.9 Hazardous Materials; Demolitions; Removal and Disposal of Structures**

6 **6.9.1 Identified Hazardous Materials**

7 6.9.1.1 The Contractor is referred, in addition to this Section 6.9 and
8 Section 6.8, to Sections 160 of the Technical Provisions and Technical Provisions Attachments
9 for information and requirements regarding Hazardous Materials inspections and other
10 environmental documentation regarding Hazardous Materials. The Contractor shall be
11 responsible for handling, storage, remediation, and disposal of any materials, wastes, substances
12 and chemicals deemed to be a solid waste or hazardous waste under applicable Law, (hereinafter
13 "Hazardous Materials") encountered at the Site which were identified in the Hazardous Materials
14 inspections or other environmental documentation regarding Hazardous Materials provided in
15 Sections 160 of the Technical Provisions and Technical Provisions Attachments and the cost of
16 these activities shall be included in the Contract Price.

17 6.9.1.2 If the Contractor's plan includes demolition, removal, or disposal of
18 existing structures not previously inspected by SCDOT, the Contractor is required to perform lead-
19 based paint and asbestos inspections on the existing structures prior to performing those
20 activities. The cost of the lead-based paint and asbestos inspections shall be included in the
21 Contract Price. Removal of lead-based paint and asbestos and lead-based paint and asbestos
22 containing materials identified by inspections shall be performed by a qualified independent firm
23 retained by the SCDOT or by negotiating a Contract Change Request with the Contractor
24 pursuant to the requirements in Article 15. The Contractor is referred to Section 160 of the
25 Technical Provisions Attachments for asbestos containing materials and lead-based paint reports
26 conducted by SCDOT.

27 6.9.1.3 If the Contractor's plan includes demolition, removal, or disposal of
28 existing structures previously surveyed by SCDOT, but the asbestos inspection reports have
29 expired, the Contractor is required to perform new asbestos inspections on the existing structures
30 prior to performing those activities. The cost of the asbestos inspections shall be included in the
31 Contract Price. The cost of removal, handling, storage, remediation, and disposal of asbestos
32 containing materials identified in the expired inspection reports shall be included in the Contract
33 Price.

34 6.9.1.4 A copy of the lead-based paint and asbestos inspection reports and
35 Notice of Demolition form must be submitted to the South Carolina Department of Environmental
36 Services (SCDES) at least ten (10) Business days prior to demolition of an existing structure.
37 Prior to submitting the reports and forms to SCDES, the Contractor shall obtain the SCDOT
38 Construction Manager - signature. The Contractor is responsible for obtaining all Governmental
39 Approvals to proceed with the Work.

40 6.9.1.5 The Contractor is responsible for all necessary containment,
41 removal, transportation, and disposal of the subsurface and surface Hazardous Materials
42 identified in inspections or other environmental documentation provided in Section 160 of the
43 Technical Provisions Attachments in compliance with all applicable Law and Governmental
44 Approvals for Hazardous Materials and worker health and safety.

6.9.2 Demolitions; Removal and Disposal of Structures

Contractor shall be responsible for the demolition, removal and disposal of all structures and their appurtenances within the Project ROW necessary for the completion of the Project. Structures shall include the bridges identified in Section 700.4.1 of the Technical Provisions and all buildings (if any) acquired for the Project and identified in the Contract Documents. All necessary permitting shall comply with Article 4 and all handling and disposal of Hazardous Materials shall be in accordance with Section 6.8 and this Section 6.9.

6.10 Title

Contractor warrants that it owns, or will own, and has, or will have, good and marketable title to all materials, equipment, tools and supplies furnished, or to be furnished, by it and its Subcontractors that become part of the Project or are purchased for SCDOT for the operation, maintenance or repair thereof, free and clear of all Liens. Title to all such materials, equipment, tools and supplies which are delivered to the Site shall pass to SCDOT, free and clear of all Liens, upon the sooner of: (a) incorporation into the Project, or (b) payment by SCDOT to Contractor of invoiced amounts pertaining thereto. Notwithstanding any such passage of title, Contractor shall retain sole care, custody and control of such materials, equipment, tools and supplies and shall exercise due care with respect thereto until Substantial Completion or, with respect to such materials, equipment, tools and supplies which are necessary for Contractor to satisfy its obligations under the Agreement, until such obligations are satisfied or until Contractor is terminated pursuant to Article 18 or Article 23.

6.11 Site Security

Upon mobilizing personnel to Project site Contractor shall provide appropriate security for the Site, and shall take all reasonable precautions and provide protection to prevent damage, injury, or Loss to the D&C Work and materials and equipment to be incorporated therein, as well as all other property at or on the Site, whether owned by Contractor, SCDOT, or any other Person. Contractor shall comply with SCDOT's security requirements and protocols.

6.12 Risk of Loss or Damage

Upon mobilizing personnel to Project site Contractor shall be responsible for maintenance of the D&C Work and the Site; provided, however, that Contractor's maintenance responsibility for portions the D&C Work owned by third parties shall extend until the control of and maintenance responsibility for such portions are officially transferred to the respective third parties.

6.13 Clayton Act Assignment

Contractor shall assign to SCDOT all right, title and interest in and to all Claims and causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15), arising from purchases of goods, services or materials pursuant to the Contract Documents or any Subcontract. This assignment shall become automatically effective when SCDOT tenders Final Payment to Contractor, without further documentation or acknowledgment by the Parties.

6.14 UAS Requirements

6.14.1 Authorization to Use UASs for the Project. Contractor may use a drone, classified as a Small Unmanned Aircraft System ("UAS") as defined in 14 C.F.R., Part 107 in

performing the Work, subject to the terms and conditions set forth in this Section 6.14. For avoidance of doubt, nothing in this Section 6.14 authorizes, nor shall be deemed or construed to authorize, any Contractor-Related Entity to use a UAS under any existing FAA Section 6, 14 CFR Part 107 "Operational Waiver" or other FAA authorization relating to use of UASs.

6.14.2 UAS Use and Flight Planning.

6.14.2.1 Contractor shall submit UAS use plans for the Project to SCDOT as a condition to the first instance of UAS operations within the Airspace of the Project Limits. Contractor shall obtain SCDOT's prior, written authorization to deviate from the UAS use plan submitted. Acceptance of the UAS use plan, as may be thereafter amended, authorizes UAS operations within the Airspace of the Site, subject to the restrictions (if any) as may apply to a parcel due to FAA regulations, 14 CFR Part 107. SCDOT's shall provide a written response to UAS usage plans within five (5) Business Days of receipt. SCDOT's acceptance of Contractor's UAS usage plan constitutes written Notice and authorization of UAS usage pursuant to the relevant FAA regulations.

6.14.2.2 Contractor shall file all flight and related plans with the FAA prior to any UAS operations if required by relevant regulations. All flight activities shall be conducted in compliance with FAA guidance and requirements on UAS operations.

6.14.2.3 Except as and when authorized by SCDOT under Section 6.14.2.1, prior to each use of any UAS, and to the extent authorized under a submitted UAS use plan and FAA flight plan:

(a) Contractor shall provide written notice to all real property owners except SCDOT over which the UAS is to operate in connection with the Work.

(b) Contractor shall also obtain written authorization from the owner of all parcels except SCDOT over which the UAS will transit while in flight prior to each use of any UAS.

(c) Contractor shall coordinate directly with any other contractors or other Persons with encroachment or other permits/permissions to be on the Site.

(d) Contractor shall carry insurance covering the use of all UASs with coverage limits as specified by SCDOT within the Project.

6.14.3 Compliance with Law; Governmental Approvals; Other Third-Party Approvals. Contractor shall comply with all applicable Laws relating to ownership, use, and operation of UASs. Contractor shall obtain, maintain, and comply with all such additional Governmental Approvals that are required for UAS operations by or on behalf of Contractor.

6.14.4 UAS Risks, Liabilities, Culpability. As between Contractor and SCDOT, Contractor bears all risks and shall indemnify SCDOT relating to UAS operations, including specifically any civil liabilities or culpabilities in tort and criminal liabilities that may result (e.g., battery, trespass).

6.14.5 UAS Safety. Contractor shall conduct a preflight inspection, to include specific aircraft and control station systems checks, to ensure the UAS is in a condition for safe operation pursuant to 14 CFR Part 107. Contractor shall comply with all clearances given and as may be conditioned or restricted in the Airspace. Notwithstanding the foregoing, and only to extent not expressly directed otherwise by the FAA air traffic controllers during any UAS operations, Contractor shall not, nor shall Contractor permit, UAS operations (a) higher than 400 feet, (b)

1 within 25 feet of pedestrians, moving vehicles, or public infrastructure, (c) at or within specific No
2 Drone Zone locations, and (d) as otherwise restricted under the Technical Provisions.

3 **6.14.6 Damage or Loss of a UAS.** Contractor shall report any potential or actual
4 Loss of any UAS promptly to SCDOT and to such other Governmental Entities as may be required
5 under applicable Law. To the extent possible, Contractor shall comply with any requirements
6 resulting from report, including recovery of the damaged UAS.

7 **6.14.7 UAS-involved Damages.** In addition to other requirements under applicable
8 Law and pursuant to insurance requirements, Contractor shall report to the FAA (with a copy of
9 such report delivered concurrently to SCDOT) within ten (10) days of any operation of a UAS that
10 results in at least serious injury, loss of consciousness, or property damage of at least \$500.

11 **6.15 Intellectual Property and Books and Records Matters**

12 All information, materials (including electronic materials), and other work product
13 (including maps, computations, computer discs, printouts, flight logs, and other data prepared by,
14 or for or any Contractor-Related Entity under the terms of this Agreement arising out of, relating
15 to, or resulting from the use of the UAS are Books and Records and any Intellectual Property
16 disclosed or embodied within is Owner Intellectual Property and not Proprietary Intellectual
17 Property. In addition to SCDOT's audit rights hereunder, Contractor shall also make available to
18 the FAA, upon request, the UAS itself for inspection or testing, as well as maintain and provide to
19 the FAA any related Books and Records.

Article 7.

TIME; NOTICES TO PROCEED; PROJECT SCHEDULE AND PROGRESS

7.1 Time of Essence

7.1.1 As a material consideration for entering into this Agreement, Contractor hereby commits, and SCDOT is relying upon Contractor's commitment, to design and construct the Project by August 31, 2030 to achieve Substantial Completion. Except where this Agreement expressly provides for an extension of time, the time limitations set forth in the Contract Documents for Contractor's performance of its covenants, conditions and obligations are of the essence, and Contractor waives any right at Law or in equity to tender or complete performance beyond the applicable time period, or to require SCDOT to accept such performance.

7.1.2 Without limiting Section 3.1.8, Contractor's obligations under Section 7.1, and, with respect to any Claim for additional time or costs in performance of the Work under this Agreement, any acceptance by SCDOT of any Project-related schedule shall not, and shall not be construed to, bind SCDOT to any improper logic, improper activity durations, or Errors in the expression of the Critical Path or otherwise be used as a defense by or on behalf of Contractor in any Dispute hereunder. Without limiting Contractor's other obligations under the Contract Documents, Contractor shall correct any improper logic, improper activity durations, or Errors in its next schedule Submittal.

7.1.3 For avoidance of doubt, only the most recently SCDOT approved Project Baseline Schedule (and no other schedule Submittal) is relevant to measuring the duration of any Delay hereunder; provided, however, that such other schedule Submittals may be relevant to determinations as to whether (a) Contractor mitigated any such Delay, and (b) a portion of the Work identified on the Project Baseline Schedule was completed.

7.2 Notice to Proceed

Authorization allowing Contractor to proceed with Work shall be provided through SCDOT's issuance of NTP. SCDOT will have no liability to Contractor under this Agreement for any Delay or failure in the issuance of a NTP except as provided otherwise in Section 23.5.1. Contractor further acknowledges and agrees that SCDOT's liability under this Agreement for the Delay or failure in the issuance of a NTP shall be limited to payment owing for Work authorized under NTP actually issued.

7.3 Issuance of NTP

SCDOT will issue NTP no later than forty-five (45) days from the Effective Date of the Agreement. The following items are required in relation to the NTP:

- (a) Preliminary Quality Management Plan (e.g., for general requirements, Professional Services and Construction Work components)
- (b) Preliminary Community and Public Relations Support Plan;
- (c) Preliminary ROW Activity Plan,
- (d) Preliminary Environmental Management Plan,
- (e) Preliminary Safety Management Plan and

- (f) Preliminary Transportation Management Plan;
- (g) Prepare the detailed, resource and cost loaded Project Baseline Schedule;
- (h) Prepare the Submittal Schedule;
- (i) Project kick-off meeting (10 days after NTP);
- (j) Basis of Design Report and Site Documentation per TP 100
- (k) All representations and warranties of Contractor set forth in Section 2.3 shall be and remain true and correct in all material respects;
- (l) There exists no uncured Contractor Default for which Contractor has received written Notice from SCDOT; and
- (m) Reserved
- (n) Reserved

7.4 Reserved

7.4.1 SCDOT anticipates issuing the NTP when all of the following conditions have been satisfied:

- (a) A Partnering Meeting has been conducted as set forth in Article 21;

7.5 \Conditions to Design Work Review and Payment

7.5.1 Notwithstanding any contrary provision of Section 3.1.2, SCDOT will have no obligation to commence its review of, or pay Contractor for, any Design Work until all of the following conditions precedent have been satisfied:

- (a) SCDOT has issued the NTP;
- (b) SCDOT has received and approved, as provided in the Technical Provisions, the Quality Management Plan, and the Basis of Design Report; and
- (c) Contractor, and its Subcontractors performing Design Work, have obtained the insurance coverage required under Article 11 for Design Work, and Contractor has provided, and caused such Subcontractors to provide, to SCDOT evidence that the required insurance is in effect (and where required, SCDOT has approved such insurance).

7.5.2 SCDOT may reject without review any Design Document submitted to SCDOT before the date such conditions precedent are satisfied. All time periods available to SCDOT for review or approval of any Design Document submitted to SCDOT before such date shall begin to run on such date and shall be subject to Section 3.1.2.3.

7.6 Conditions to Commencement of Construction

7.6.1 Construction Work Generally

Except to the extent authorized under Section 7.6.4 or otherwise expressly permitted in writing by SCDOT, Contractor shall not commence or permit or suffer commencement of

1 construction of the Project or applicable portion thereof until SCDOT issues the NTP and all of
2 the following conditions have been satisfied:

3 (a) All Governmental Approvals necessary to begin Construction Work in the
4 applicable portion of the Project have been obtained, and Contractor has furnished to SCDOT
5 fully executed copies of such Governmental Approvals;

6 (b) SCDOT has (i) obtained an order for immediate possession, (ii) closed the
7 acquisition of the parcel, or (iii) otherwise obtained permanent right of entry through settlement,
8 negotiation, the condemnation process or otherwise for Project ROW necessary to commence
9 construction of the applicable portion of the Project;

10 (c) Contractor has satisfied for the applicable portion of the Project all
11 applicable pre-construction requirements contained in the Environmental Approvals and other
12 Governmental Approvals;

13 (d) Contractor has caused to be developed and delivered to SCDOT and
14 SCDOT has approved, in accordance with Section Error! Reference source not found., the c
15 omponent parts, plans and documentation Article 7.3.;

16 (e) Contractor has delivered to SCDOT all Submittals relating to the
17 applicable Construction Work required by the Contract Documents, in the form and content
18 required by the Contract Documents;

19 (f) Contractor has adopted written policies establishing ethical standards of
20 conduct for all Contractor-Related Entities, including Contractor's supervisory and management
21 personnel in dealing with (i) SCDOT and the SCDOT's Consultants and (ii) employment relations,
22 in accordance with Section 9.9;

23 (g) Contractor, and its Subcontractors performing Construction Work, have
24 obtained the insurance coverage required under Article 11 for Construction Work, and Contractor
25 has provided, and caused such Subcontractors to provide, to SCDOT evidence that the required
26 insurance is in effect (and where required, SCDOT has approved such insurance); and

27 (h) Contractor has provided to SCDOT at least ten (10) days advance written
28 notification of the date Contractor determines that it will satisfy all of the conditions set forth in
29 this Section 7.6.1.

30 **7.6.2 ITS Improvements**

31 Refer to TP 685 for Project requirements.

32 7.6.2.1 Reserved

33 7.6.2.2 Reserved

34 7.6.2.3 Reserved

35 7.6.2.4 Reserved

36 **7.6.3 Utility Adjustments**

37 Contractor shall not commence or permit or suffer commencement of construction of a
38 Utility Adjustment included in the Construction Work until SCDOT issues the NTP, all of the
39 conditions set forth in Section 7.6.1 that are applicable to the Utility Adjustment (reading such

provisions as if they referred to the Utility Adjustment) have been satisfied, and the following additional requirements have been satisfied:

(a) Except as otherwise provided in Section 5.15.7.4, the Utility Adjustment is covered by an executed Utility Agreement;

(b) Contractor has submitted to SCDOT the Submittals described in Sections 110.5.3 and 140.5 of the Technical Provisions with respect to the Utility Adjustment; and

(c) Contractor has obtained SCDOT review and approval of any other matters respecting the Utility Adjustment that are required under any applicable Federal Requirements.

7.6.4 Advanced Construction Activities

To reduce and minimize the impacts to the traveling public, SCDOT may, in its sole discretion, authorize Contractor to perform Advanced Construction Activities. In the event SCDOT authorizes Contractor to perform Advanced Construction Activities, Contractor shall satisfy and comply with the conditions and requirements imposed by SCDOT, which may include certain conditions and requirements identified in Section 7.6.1 and 7.6.3 and shall have provided Performance Bonds and Payment Bond in accordance with Sections 10.1.1 and 10.1.2, prior to commencing such activities.

7.7 Reserved

7.8 Reserved

7.9 Completion Deadlines

7.9.1 Substantial Completion Deadline

Contractor shall achieve Substantial Completion of the Project not later than August 31, 2030.

7.9.2 Final Completion Deadline

Contractor shall achieve Final Completion of the Project not later than the Final Completion Deadline of one hundred eighty (180) days from the date of Substantial Completion.

7.9.3 No Completion Deadline Adjustment

7.9.3.1 SCDOT is not obligated to adjust a Completion Deadline for any reason except as otherwise specifically provided in Article 15. Contractor shall not be relieved of its obligation to comply with the Project Schedule, to achieve Substantial Completion, and to achieve Final Completion of the Project by the applicable Completion Deadlines for any reason except as otherwise specifically provided in Article 15.

7.10 Scheduling of Design, Construction and Payment

7.10.1 Project Schedule

The Work shall be undertaken and completed in accordance with the Project Schedule as described in Section 110.6 of the Technical Provisions. The Project Schedule shall be used by the Parties for planning and monitoring the progress of the Work and as the basis for determining the amount of monthly progress payments to be made to Contractor.

1 **7.10.2 Float**

2 All Float contained in the Project Schedule, as shown in the initial Project Baseline
3 Schedule or as generated thereafter, shall be a shared, jointly owned Project resource available
4 to either Party or both Parties as needed to absorb Delay caused by Relief Events or any other
5 event, achieve schedule milestones, interim completion dates and Completion Deadlines. All
6 Float and corresponding Controlling Work Items shall be shown as such in the Project Schedule
7 on each affected schedule path. SCDOT will have the right to examine the identification of (or
8 failure to identify) Float and Controlling Work Items on the Project Schedule in determining
9 whether to approve the Project Schedule. Once identified, Contractor shall monitor, account for
10 and maintain Float in accordance with Critical Path methodology.

11 **7.10.3 Progress Payment Scheduling**

12 The Project Schedule shall provide for payment of the Contract Price to be made solely
13 on the basis of progress by Contractor and agreed by SCDOT.

14 **7.11 Recovery Schedule**

15 **7.11.1** The Contractor will comply with Section 110.6.13 of the Technical Provisions
16 with respect to any Recovery Schedule requirements.

Article 8.
ADDITIONAL CONTRACTOR OBLIGATIONS

8.1 Security

8.1.1 Policing

(a) Contractor acknowledges that all relevant law enforcement agencies, with jurisdiction over the Project ROW including but not limited to the South Carolina Department of Public Safety, the South Carolina Highway Patrol, the South Carolina State Transport Police, the State Law Enforcement Division (SLED), the Town of Mt Pleasant, and Charleston County are empowered to enforce all applicable Laws and to enter the Project and Project ROW at any and all times to carry out their law enforcement duties. No provision of this Agreement is intended to surrender, waive or limit any police powers of all relevant law enforcement agencies with jurisdiction over the Project ROW, including but not limited to the South Carolina Department of Public Safety, the South Carolina Highway Patrol, the South Carolina State Transport Police, the State Law Enforcement Division (SLED), Town of Mt Pleasant and Charleston County or any other Governmental Entity, and all such police powers are hereby expressly reserved.

(b) SCDOT and third parties with responsibility for traffic regulation and enforcement shall have the right to install, operate, maintain and replace cameras or other equipment on the Project that relate to traffic regulation or enforcement. Contractor shall coordinate and cooperate, and require its Subcontractors to coordinate and cooperate, with any such installation, maintenance and replacement activities.

8.1.2 Security and Incident and Emergency Response

(a) Contractor is responsible for the safety and security of the Project, Contractor-Related Entity personnel and the public during all construction activities under the control of any Contractor-Related Entity.

(b) Contractor shall comply with all applicable Laws and all rules, directives and guidance of the U.S. Department of Homeland Security, South Carolina Department of Public Safety, South Carolina Department of Environmental Services (SCDES), and all comparable county agencies. Contractor shall coordinate and cooperate with all Governmental Entities providing security, first responder and other public Emergency response services.

(c) Contractor shall perform and comply with the provisions of Section 120.2.1 of the Technical Provisions concerning Incident and Emergency response, safety and security.

8.2 Traffic Management

8.2.1 Traffic Control

The Contractor shall maintain traffic throughout the length of the Project as required by the Manual on Uniform Traffic Control Devices and other applicable SCDOT Standards for traffic control. Contractor shall prepare and submit to SCDOT for its review, comment and approval the individual traffic control Plans for such Work thirty (30) days before the start of any Work requiring full or partial Lane Closures. Contractor shall prepare the individual Traffic Control Plans in accordance with the requirements set forth in Section 600.5 of the Technical Provisions. Resolution of SCDOT's comments on an individual Traffic Control Plan and SCDOT's approval of the timing of the corresponding full or partial Lane Closures are conditions precedent to

commencement of the corresponding Work. Contractor shall implement the Traffic Control Plans to promote safe and efficient operation of the Project. Contractor is responsible for coordination of traffic control. SCDOT will resolve all conflicts in scheduling with adjacent projects and SCDOT will assign priority as necessary. Contractor is not entitled to relief from Delays resulting from this coordination.

8.2.2 Traffic Operation Restrictions

8.2.2.1 Contractor shall keep the number of Lane Closures to a minimum and shall keep each Lane Closure to the shortest time necessary for safe and efficient operations. The requirements for and restrictions on Lane Closures are set forth in Section 600.3 of the Technical Provisions. If Contractor violates such requirements and restrictions, Contractor shall be subject to Liquidated Damages in accordance with Section 19.2.

8.2.2.2 Contractor shall take all actions necessary to open the roadway as soon as possible and shall repair any damage to the affected Elements should an Emergency occur during Contractor's performance of construction activities, including vehicle accidents and structural failures.

8.2.2.3 SCDOT may deny a Lane Closure for any reason, including but not limited to, an Emergency, evacuation, a special event or any other public activities.

8.2.2.4 SCDOT will have at all times, without obligation or liability to Contractor, the right to: (a) issue Force Account Directive Letters to Contractor regarding traffic management and control (with which Contractor shall comply), or directly assume traffic management and control of the Project during any period that SCDOT's Project director determines such action will be in the public interest as a result of an Emergency or natural disaster; and (b) provide on the Project, via message signs or other means consistent with Good Industry Practice, traveler and driver information, and other public information (e.g., Amber alerts).

8.3 Contractor Inspection, Testing and Reporting

8.3.1 Contractor shall carry out inspections of the Project in accordance with the Technical Provisions and the Project Management Plan. Contractor shall use the results of inspections to maintain preexisting and new asset condition and service levels during the performance of the Work.

8.3.2 Contractor shall submit all reports relating to the Work, in the form, with the content and within the time required under the Contract Documents.

8.4 Safety Compliance; Emergency Repair Work

8.4.1 Safety Compliance

8.4.1.1 SCDOT may, as needed, issue Safety Compliance Orders to Contractor with respect to the Project to correct a specific safety condition or risk involving the Project that SCDOT has reasonably determined exists through investigation or analysis.

8.4.1.2 SCDOT will inform Contractor at the earliest practicable time of any circumstance or information relating to the Project that in SCDOT's reasonable judgment is likely to result in a Safety Compliance Order. Except in the case of Emergency, SCDOT will consult with Contractor prior to issuing a Safety Compliance Order concerning the risk to public or worker

1 safety, alternative compliance measures, cost impacts, and the availability of Contractor
2 resources to fund the Safety Compliance work.

3 8.4.1.3 SCDOT may issue Safety Compliance Orders to Contractor at any
4 time from and after the Effective Date subject to conducting such prior consultation unless
5 excused in the case of Emergency.

6 8.4.1.4 Contractor shall implement each Safety Compliance Order as soon
7 as reasonably possible following its issuance. Contractor shall diligently prosecute the work
8 necessary to achieve such Safety Compliance until completion. In no event shall Contractor be
9 entitled to claim that any Force Majeure Event relieves Contractor from compliance with any
10 Safety Compliance Order except where Contractor's compliance with such Safety Compliance
11 Order is delayed due to an ongoing Force Majeure Event and only so long as such Force Majeure
12 Event is continuing.

Article 9.
SUBCONTRACTING AND LABOR PRACTICES

9.1 Non-Discrimination; Equal Employment Opportunity

9.1.1 Contractor shall not, and shall cause the Subcontractors to not, discriminate on the basis of race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability in the performance of the Work under the Contract Documents.

9.1.2 Contractor shall comply with Form FHWA-1273. Form FHWA-1273 is incorporated as part of the Contract Documents by reference herein and is physically included in Section 1000 of the Technical Provisions.

9.1.3 Contractor shall include and comply with the SCDOT DBE Supplemental Specification as set forth in Section 1000 of the Technical Provisions and follow its directions in regard to DBE inclusions in every Subcontract with a Subcontractor that may further subcontract any portion of its Work, so that such provisions will be binding upon each Subcontractor.

9.1.4 Contractor shall include and comply with the SCDOT Training Special Provision (TSP) in every Subcontract to implement the On-the-Job (OJT) program.

9.2 DBE Requirements and Small Business Opportunity

9.2.1 The DBE Goals for the Project, which Contractor commits to achieve or use Good Faith Efforts to achieve, are calculated and shall be credited in relation to the portion of the total Contract Price, allocated to the components of the Work as listed below, in this Section 9.2.1.

9.2.1.1 The DBE Goal for the Project is 13.15% as allocated as follows:

(a) Professional Services DBE Goal – 0.15% of the total Contract Price allocated to Professional Services

(b) Construction DBE Goal – 13% of the total Contract Price allocated to Construction Work or other services.

9.2.1.2 The Contractor shall provide their DBE Utilization Commitment Plan within thirty (30) days of contract execution.

9.2.1.3 The Contractor shall provide their Professional Services DBE committals within thirty (30) days of contract execution.

9.2.1.4 The Contractor shall provide all Construction DBE committals no later than thirty 30 days prior to the start of construction activity.

9.2.1.5 SCDOT will have the right to audit all documentation and compliance regarding DBE participation in the Project. Contractor must fully cooperate with SCDOT's DBE auditing requests.

9.2.2 For purposes of Section 9.2.1.1(a) and Section 9.2.1.1(b), the Contract Price shall be allocated between Professional Services and Construction Work according to the allocations in SCDOT-approved Project Baseline Schedule; and the sum of such allocations shall equal the total Contract Price.

1 **9.2.3** SCDOT strongly encourages Contractor to use additional DBEs above the
2 DBE Goals in an effort to help SCDOT meet its overall DBE goals and help SCDOT meet the
3 maximum feasible portion of its DBE Goals through race neutral means as outlined in 49 CFR
4 Part 26.

5 **9.2.4** SCDOT's DBE Special Provisions, applicable to the Project, are set forth in
6 Section 1000 of the Technical Provisions. Contractor shall comply with all applicable
7 requirements set forth in SCDOT's DBE Special Provisions and Supplemental Specifications and
8 49 CFR 26.

9 **9.2.5** Any conflict in the language between the Contract and SCDOT's DBE Special
10 Provisions and Supplemental Specifications shall be resolved in favor of the SCDOT's DBE
11 Special Provisions.

12 **9.2.6** Contractor shall not cancel or terminate any Subcontract with a DBE firm
13 except in accordance with all requirements and provisions applicable to cancellation or
14 termination of Subcontracts with DBE firms set forth in SCDOT's DBE Supplemental
15 Specifications.

16 **9.3 On-the-Job Training**

17 **9.3.1** SCDOT's Training Special Provision (TSP) applicable to the Project, is set forth
18 in Section 1000 of the Technical Provisions. The purpose of SCDOT's Training Provision is to
19 ensure that inexperienced and untrained workers have a substantial opportunity to participate in
20 the performance of the Construction Work through apprenticeships, training and similar measures
21 to maintain and grow a diverse, skilled work force. Contractor shall perform and comply with all
22 requirements set forth in the OJT Special Provisions and the provisions in Contractor's approved
23 OJT Utilization Plan. Contractor and all Subcontractors shall abide by SCDOT's TSP which is
24 incorporated by reference herein.

25 **9.3.2** SCDOT has established goals for OJT participation in the Construction Work
26 ("OJT Goals"). The OJT Goals for the Project, which Contractor commits to achieve or use Good
27 Faith Efforts to achieve, are:

- 28 (a) Minimum of 1,560OJT Trainee hours on the Project;
- 29 (b) Minimum of 11 Roadway OJT Trainees must each complete at least 520
30 hours on the Project in the same trade or work classification; and
- 31 (c) Minimum of 9 Bridge OJT Trainees must each complete at least 1,040
32 hours on the Project in the same trade or work classification.

33 **9.4 Subcontracts**

34 **9.4.1** Contractor shall retain or cause to be retained only Subcontractors that are
35 approved by SCDOT, licensed, qualified, experienced and capable in the performance of the
36 portion of the Work assigned. Contractor shall assure that each Subcontractor has at the time of
37 execution of the corresponding Subcontract and maintains at all times during performance of the
38 assigned Work, all licenses required by applicable Laws.

39 9.4.1.1 For each Subcontract (regardless of tier), Contractor shall submit to
40 SCDOT a completed Subcontractor/Hauler Approval Form, as applicable, not later than seven (7)

1 days before the Subcontractor commences Work. The Subcontractor/Hauler Request Form is
2 provided in Exhibit 4.

3 9.4.1.2 For each Subcontractor (regardless of tier) that performs
4 Construction Work, Contractor shall submit to SCDOT written Notice of the Subcontractor's start
5 date not later than 48 hours before the Subcontractor commences Work or, for those
6 Subcontractors identified in the Proposal and starting on or within 48 hours of the Effective Date,
7 not later than 48 hours after the start date.

8 **9.4.2** The retention of Subcontractors by Contractor will not relieve Contractor of its
9 responsibility hereunder or for the quality of the Work or materials provided by it. Contractor shall
10 supervise and be fully responsible to SCDOT for the acts, omissions, negligence, intentional
11 misconduct, or breach of applicable Law, contract or Governmental Approval by any Contractor-
12 Related Entity or by any member or employee of Contractor or any Contractor-Related Entity, as
13 though Contractor directly employed all such individuals. No Subcontract entered into by
14 Contractor will impose any obligation or liability upon SCDOT to any such Subcontractor or any
15 of its employees. Nothing in this Agreement will create any contractual relationship between
16 SCDOT and any Subcontractor of Contractor.

17 **9.4.3** Reserved

18 **9.4.4** Each Subcontract shall:

19 (a) Set forth a standard of professional responsibility or a standard for
20 commercial practice equal to the requirements of the Contract Documents and Good Industry
21 Practice for work of similar scope and scale and shall set forth effective procedures for Claims
22 and Change Orders.

23 (b) Require the Subcontractor to carry out its scope of Work in accordance
24 with the Contract Documents, the Governmental Approvals and applicable Law, including the
25 applicable requirements of the DBE Performance Plan.

26 (c) Include Form FHWA-1273.

27 (d) Incorporate the general wage decisions applicable to the Project and set
28 forth in Section 1000 of the Technical Provisions (Federal Prevailing Wage Rates).

29 (e) Without cost to Contractor or SCDOT, expressly permit assignment to
30 SCDOT or its successor, assign or designee of all Contractor's rights under the Subcontract,
31 contingent only upon delivery of request from SCDOT following termination of this Agreement,
32 allowing SCDOT or its successor, assign or designee to assume the benefit of Contractor's rights
33 with liability only for those remaining obligations of Contractor accruing after the date of
34 assumption, such assignment to include the benefit of all Subcontractor warranties, indemnities,
35 and professional responsibility.

36 (f) Expressly state that any acceptance of assignment of the Subcontract to
37 SCDOT or its successor, assign or designee shall not operate to make the assignee responsible
38 or liable for any breach of the Subcontract by Contractor or for any amounts due and owing under
39 the Subcontract for Work or services rendered prior to assumption (but without restriction on the
40 Subcontractor's rights to suspend Work or demobilize due to Contractor's breach).

41 (g) Not be assignable by the Subcontractor to any Person other than SCDOT
42 (or its assignee) without Contractor's and SCDOT's prior consent.

1 (h) Expressly include requirements that the Subcontractor will: (i) maintain
2 usual and customary Books and Records for the type and scope of business operations in which
3 it is engaged (e.g., constructor, equipment Supplier, designer, service provider); (ii) permit audit
4 thereof with respect to the Project or Work by each of Contractor and SCDOT pursuant to Section
5 22.5; (iii) provide progress reports to Contractor appropriate for the type of Work it is performing
6 sufficient to enable Contractor to provide the reports it is required to furnish SCDOT under this
7 Agreement; and the failure to comply with (i)-(iii) constitutes a Material Breach of its subcontract
8 with Contractor.

9 (i) Include the right of Contractor or SCDOT to terminate the Subcontract in
10 whole or in part upon any Termination for Convenience of this Agreement without liability of
11 Contractor or SCDOT for the Subcontractor's lost profits or business opportunity.

12 (j) Expressly require the Subcontractor to participate in meetings between
13 Contractor and SCDOT, upon SCDOT's request, concerning matters pertaining to such
14 Subcontract or its Work, provided that all direction to such Subcontractor shall be provided by
15 Contractor, and provided further that nothing in this clause (k) shall limit the authority of SCDOT
16 to give such direction or take such action which, in its sole opinion, is necessary to remove an
17 immediate and present threat to the safety of life or property.

18 (k) Include an agreement by the Subcontractor to give evidence in any
19 Dispute Resolution proceeding pursuant to Article 21, if such participation is requested by either
20 SCDOT or Contractor.

21 (l) Expressly include a provision prohibiting cross-contract offset between the
22 parties thereto, meaning that if a Subcontractor is performing work on multiple contracts for the
23 other party to the Subcontract or the other party's Affiliates, the other party or its Affiliate shall
24 not withhold payment from the Subcontractor on its Subcontract because of Disputes or claims
25 on another contract.

26 (m) Expressly include Section 9.1.1;

27 (n) Expressly include in every Subcontract (including purchase orders and in
28 every Subcontract of any Contractor-Related Entity for the Work), provisions to effectuate the
29 DBE requirements and shall require that they be included in all Subcontracts at lower tiers, so
30 that such provisions will be binding upon each Subcontractor. All Subcontracts of any tier,
31 including those with Suppliers and DBE firms, shall include the DBE Special Provisions and
32 require compliance with 49 CFR Part 26. The foregoing shall not apply to Subcontracts at any
33 tier with SCDOT or Governmental Entities.

34 (o) Expressly include in every Subcontract for Construction Work (including
35 purchase orders and in every Subcontract of any Contractor-Related Entity for Construction
36 Work), provisions to effectuate the OJT requirements, and shall require that they be included in
37 all Subcontracts at lower tiers, so that such provisions will be binding upon each such
38 Subcontractor. All Subcontracts for Construction Work of any tier, including those with Suppliers
39 and DBE firms, shall include the DBE Special Provisions, and the OJT Special Provisions and
40 require compliance with the provisions of Form FHWA-1273, 23 USC § 140(a) and 23 CFR
41 §230.111. The foregoing shall not apply to Subcontracts at any tier with SCDOT or
42 Governmental Entities.

43 (p) Expressly require the Subcontractor to make payments to sub-
44 Subcontractors, and be liable for interest payments to sub-Subcontractors, in the same manner
45 as set forth in Section 13.5.1 and Section 13.5.2, respectively.

(q) Contain no waiver of the prompt payment protections for the Subcontractor provided under Section 13.5.

(r) Expressly provide that all claims and charges of the Subcontractor and its subcontractors at any time shall not attach to any interest of SCDOT in the Project or the Project ROW.

(s) Reserved.

(t) Be consistent in all other respects with the terms and conditions of the Contract Documents to the extent such terms and conditions are applicable to the scope of Work of such Subcontractors, and include all provisions required by this Agreement.

(u) Expressly include a provision to indemnify SCDOT consistent with Article 20 of the Contract Documents.

(v) Expressly adopt by reference SCDOT Standard Specification, Supplemental Specifications, DBE Special Provision, and other relevant Special Provisions as may be necessary for the completion of the Work.

9.4.5 Contractor shall not amend any Subcontract with respect to any of the foregoing matters without the prior consent of SCDOT.

9.4.6 Contractor shall not enter into any Subcontracts with any Person then debarred or suspended from submitting bids by any agency of the State.

9.5 Responsibility for Contractor-Related Entities

Contractor shall supervise and be responsible for the acts, omissions, negligence, intentional misconduct, or breach of applicable Law, contract or Governmental Approval by any Contractor-Related Entity, as though Contractor directly employed all such Persons.

9.6 Key Personnel

9.6.1 Availability of Key Personnel

9.6.1.1 Contractor acknowledges and agrees that SCDOT's award of this Agreement to Contractor was based, in large part, on the qualifications and experience of the Key Personnel and Contractor's commitment that such Key Personnel are available to undertake and perform the Work.

9.6.1.2 Except as provided in Section 9.6.4.1, (a) Contractor represents, warrants and covenants that all Key Personnel are available for and will perform the roles identified for them in the Proposal, and (b) Contractor shall not replace or permit replacement of any individual filling a Key Personnel position without SCDOT's prior written approval.

9.6.1.3 Contractor shall cause the individuals filling Key Personnel positions to maintain active involvement in the prosecution and performance of the Work sufficient for satisfactory performance of the tasks to be performed by such Key Personnel.

9.6.1.4 Contractor shall provide phone, e-mail addresses and mobile telephone numbers for all Key Personnel. SCDOT requires the ability to contact all Key Personnel 24 hours per day, seven (7) days per week.

9.6.2 Reserved

9.6.3 Reserved

9.6.4 Failure to Timely Replace Key Personnel

SCDOT may withhold a percentage of progress payments or Monthly Disbursements (as applicable) owing to Contractor if Contractor does not fill vacated Key Personnel positions within certain deadlines after the positions are vacated, regardless of why vacated, as specified in Section 9.7.4.1 and Section 9.7.4.2.

9.6.4.1 SCDOT may withhold 3% of progress payments owing to Contractor if at any time the position of Project Manager, Construction Manager, Lead Design Engineer or Structural Engineer is vacated and remains unfilled for:

(a) One hundred twenty (120) days after the position is vacated because of the individual's death, retirement, injury or termination of employment by the applicable Contractor-Related Entity (except where the individual moves to an affiliated company); or

(b) Sixty (60) days after the position is vacated for any other reason (including where the individual moves to an affiliated company).

9.6.4.2 SCDOT may withhold 2% of progress payments or Monthly Disbursements owing to Contractor if at any time any Key Personnel position not listed in Section 9.7.4.1 is vacated and remains unfilled for:

(a) Sixty (60) days after the position is vacated because of the individual's death, retirement, injury or termination of employment by the applicable Contractor-Related Entity (except where the individual moves to an affiliated company); or

(b) Thirty (30) days after the position is vacated for any other reason (including where the individual moves to an affiliated company).

9.6.4.3 SCDOT's right to withhold progress payments or Monthly Disbursements under Section 9.7.4.1 and Section 9.7.4.2 will end when the Key Personnel position is filled with an SCDOT-approved replacement. Contractor may include such withheld amounts in the next month's Pay Request after Contractor fills the position with an SCDOT-approved replacement.

9.7 Subcontracts with Affiliates

9.7.1 Contractor shall have the right to have Work and services performed by Affiliates only under the following terms and conditions (in addition to all other general requirements for Subcontracts set forth in this Agreement):

(a) Contractor shall execute a written Subcontract with the Affiliate;

(b) The Subcontract shall comply with all applicable provisions of this Article 9, be consistent with Good Industry Practice, and be in form and substance substantially similar to Subcontracts then being used by Contractor or Affiliates for similar Work or services with unaffiliated Subcontractors;

(c) The Subcontract shall set forth the scope of Work and services and all the pricing, terms and conditions respecting the scope of Work and services;

1 (d) The pricing, scheduling and other terms and conditions of the Subcontract
2 shall be no less favorable to Contractor than those that Contractor could reasonably obtain in an
3 arms' length, competitive transaction with an unaffiliated Subcontractor. Contractor shall bear
4 the burden of proving that the same are no less favorable to Contractor;

5 (e) No Affiliate shall be engaged to perform any Work or services which any
6 Contract Documents or the Project Management Plan or any component part, plan or other
7 documentation thereunder indicates are to be performed by an independent or unaffiliated party
8 . No Affiliate shall be engaged to perform any Work or services which would be inconsistent with
9 Good Industry Practice; and

10 (f) Contractor warranties and guarantees all Work or services performed by
11 Affiliate; and

12 (g) Affiliate shall name SCDOT as an additional insured on a primary and non-
13 contributory basis to all insurance policies purchased pursuant to Article 11.

14 **9.7.2** Before entering into a written Subcontract with an Affiliate or any supplement
15 or amendment thereto, Contractor shall submit a true and complete copy of the proposed
16 Subcontract to SCDOT for review and comment. SCDOT will have thirty (30) days after receipt
17 to deliver its comments to Contractor.

18 **9.7.3** Contractor shall make no payments to Affiliates for Work or services in advance
19 of provision of such Work or services, except for reasonable mobilization payments or other
20 payments consistent with arm's length, competitive transactions of similar scope.

21 **9.8 Labor Standards**

22 **9.8.1** In the performance of its obligations under the Contract Documents, Contractor
23 at all times shall comply, and require by Subcontract that all Subcontractors and Suppliers comply,
24 with all applicable federal and State labor, occupational safety and health standards, rules,
25 regulations and federal and State orders.

26 **9.8.2** All individuals performing Work shall have the skill and experience and any
27 licenses required to perform the Work assigned to them.

28 **9.8.3** If any individual employed by Contractor or any Subcontractor is not performing
29 the Work in a proper, safe and skillful manner, then Contractor shall, or shall cause such
30 Subcontractor to, remove such individual and such individual shall not be re-employed on the
31 Work. If, after Notice and reasonable opportunity to cure, such individual is not removed or if
32 Contractor fails to ensure that skilled and experienced personnel are furnished for the proper
33 performance of the Work, then SCDOT may suspend the affected portion of the Work by delivery
34 of Notice of such suspension to Contractor. Such suspension shall be considered a suspension
35 for cause and shall in no way relieve Contractor of any obligation contained in the Contract
36 Documents or entitle Contractor to any additional compensation or Completion Deadline
37 adjustment hereunder.

38 **9.9 Ethical Standards**

39 **9.9.1** Within ninety (90) days after the Effective Date, Contractor shall adopt written
40 policies establishing ethical standards of conduct applicable to all Contractor-Related Entities,
41 including Contractor's supervisory and management personnel, in dealing with: (a) SCDOT and
42 the CEI Consultant; and (b) employment relations. Such policy shall be subject to review and

comment by SCDOT prior to adoption. Such policy shall include standards of ethical conduct concerning the following:

(a) Restrictions on gifts and contributions to, and lobbying of, SCDOT, the South Carolina State Transportation Board, all SCDOT Consultants and any of their respective commissioners, directors, officers and employees consistent with S.C. Code Ann. § 8-13-10, et. seq., S.C. Code Ann. § 57-1-40; and SCDOT Departmental Directives 6 and 45 (and all amendments or revisions thereto);

(b) Protection of employees from unethical practices in selection, use, hiring, compensation or other terms and conditions of employment, or in firing, promotion and termination of employees;

(c) Protection of employees from retaliatory actions (including discharge, demotion, suspension, threat, harassment, pay reduction or other discrimination in the terms and conditions of employment) in response to reporting of illegal (including the making of a false claim), unethical or unsafe actions or failures to act by any Contractor-Related Entity;

(d) Restrictions on directors, members, officers or supervisory or management personnel of any Contractor-Related Entity engaging in any transaction or activity, including receiving or offering a financial incentive, benefit, loan or other financial interest, that is, or to a reasonable Person appears to be, in conflict with or incompatible with the proper discharge of duties or independence of judgment or action in the performance of duties, or adverse to the interests of the Project or employees;

(e) Restrictions on use of office or job position for a purpose that is, or would to a reasonable Person appear to be, primarily for the private benefit of a director, member, officer or supervisory or management person, rather than primarily for the benefit of Contractor or the Project, or primarily to achieve a private gain or an exemption from duty or responsibility for a director, member, officer or supervisory or management person; and

(f) Restrictions on directors, members, officers or employees of any Contractor-Related Entity performing any of the Work if the performance of such services would be prohibited under SCDOT's published conflict of interest rules and policies applicable to the Project or would be prohibited under applicable Laws.

9.9.2 Contractor shall cause its directors, members, officers and supervisory and management personnel, and include contract provisions requiring those of all other Contractor-Related Entities, to adhere to and enforce the adopted policy on ethical standards of conduct. Contractor shall establish reasonable systems and procedures to promote and monitor compliance with the policy.

9.10 Prevailing Wages

9.10.1 Contractor shall pay or cause to be paid to all applicable workers employed by it or its Subcontractors to perform the Work not less than the prevailing rates of wages, as provided in the statutes and regulations applicable to public work contracts, including the Davis-Bacon Act, and as provided in Section 1000 of the Technical Provisions ("prevailing wages"). Contractor shall comply and cause its Subcontractors to comply with all Laws pertaining to prevailing wages. For the purpose of applying such Laws, the Project shall be treated as a public work paid for in whole or in part with public funds (regardless of whether public funds are used to

1 pay for the Project). The foregoing shall not apply to Subcontracts at any tier with SCDOT or
2 Governmental Entities.

3 **9.10.2** It is Contractor's sole responsibility to determine the wage rates required to be
4 paid. In the event rates of wages and benefits change while this Agreement is in effect, Contractor
5 shall bear the cost of such changes and shall have no Claim against SCDOT on account of such
6 changes. Without limiting the foregoing, no Claim will be allowed which is based upon
7 Contractor's lack of knowledge or a misunderstanding of any such requirements or Contractor's
8 failure to include in the Contract Price adequate increases in such wages over the duration of this
9 Agreement.

10 **9.10.3** Contractor shall comply and cause its Subcontractors to comply with all Laws
11 regarding notice and posting of intent to pay prevailing wages, of prevailing wage requirements
12 and of prevailing wage rates.

13 **9.11 Uniforms**

14 Any uniforms, badges, logos and other identification worn by personnel of Contractor-
15 Related Entities shall bear colors, lettering, design or other features to assure clear differentiation
16 from those of the employees, consultants, agents of SCDOT and SCDOT's sub-consultants.

Article 10.
PERFORMANCE AND PAYMENT BONDS

10.1 Provision of Bonds

Contractor has provided or shall provide to SCDOT both Performance Bond and Payment Bond securing Contractor's obligations prior to Final Completion and thereafter during the Warranty Term, and Contractor shall maintain such bonds in full force and effect as described in this Section 10.1.

These bonds shall be in accordance with the requirements of S.C. Code Ann. §57-5-1660, (1976 as amended) and S.C. Code Ann. §29-6-250 (2000). An "Eligible Surety" is defined as a surety company licensed for surety authority within the State of South Carolina by the Chief Insurance Commissioner of the South Carolina Department of Insurance with an "A" minimum rating of performance as provided in the most current publication of "A.M. Best Key Rating Guide, Property Liability" and is listed on the current U.S. Department of the Treasury Bureau of Fiscal Service list of approved bonding companies (found here: <https://www.fiscal.treasury.gov/surety-bonds/list-certified-companies.html>) as approved for an amount equal to or greater than the amount for which it obligates itself in the Bond. If Surety qualifies by virtue of its Best's listing, the amount of the Bond may not exceed ten percent of policyholders' surplus as shown in the latest A.M. Best's Key Rating Guide and signed by the Surety's agency or attorney-in-fact.

Contractor shall use the Performance and Payment Bond Forms (Surety Bond Forms) provided in Exhibit 6-1 (Form of Performance and Indemnity Bond) and Exhibit 6-2 (Form of Payment Bond) of this Agreement.

10.1.1 Performance Bond

10.1.1.1 On (or before) the Effective Date, Contractor delivered or shall deliver to SCDOT the Performance Bond from an Eligible Surety or sureties in the amount of the Contract Price.

10.1.1.2 SCDOT will provide a release of the Performance Bond provided that (and upon such date thereafter that) all of the following have occurred:

- (a) Final Completion has occurred;
- (b) there exists no Contractor Default;
- (c) no event has occurred that with the giving of Notice or passage of time, or both, would constitute a Contractor Default; and
- (d) Contractor has delivered to SCDOT a Warranty Bond bonding performance of Contractor's Warranty obligations. The Warranty Bond must be (i) in form and substance acceptable to SCDOT, (ii) in the face amount of \$10,000,000.00 and (iii) issued by a Surety meeting the requirements set forth in Section 10.1.3. The Warranty Bond shall remain in effect for the duration of the Warranty Term and thereafter until satisfaction of any amount due SCDOT as set forth in Section 12.1.3 and the end of any Warranty Term for re-done Work as set forth in Section 12.2.

10.1.2 Payment Bond

10.1.2.1 On or before the Effective Date, Contractor delivered or shall deliver to SCDOT the Payment Bond from an Eligible Surety or sureties in the amount of the Contract Price.

10.1.2.2 SCDOT will provide a release of the Payment Bond upon:

(a) Receipt of (i) evidence satisfactory to SCDOT that all Persons eligible to file a claim against the Payment Bond have been fully paid, and (ii) unconditional releases of claims and stop Notices from all Subcontractors who filed preliminary Notices of a claims against the Payment Bond (or evidence satisfactory to SCDOT that any such claims and stop Notices have been separately bonded around); and

(b) Expiration of the statutory period for Subcontractors to file a claim against the Payment Bond, if no claims have been filed.

10.1.3 Each Performance Bond and Payment Bond required hereunder, and any Warranty Bond, shall be issued by a Surety that is: (a) licensed and authorized to do business in the State; (b) listed on the "Department of the Treasury's Listing of Approved Surety's (Department Circular 570)" (found at www.fiscal.treasury.gov/fsreports/ref/suretybnd/c570.htm); and (c) rated "A" or higher by at least two nationally-recognized rating agencies (Fitch Ratings, Moody's Investor Service and Standard & Poor's) or rated at least A minus ("A-") or better and Class VIII or better according to A.M. Best and Company's Financial Strength Rating and Financial Size Category, or as otherwise approved by SCDOT in its discretion. If any bond previously provided becomes ineffective, or if the Surety that provided the bond no longer meets the foregoing requirements, Contractor shall provide a replacement bond in the same form and, if applicable, with the same multiple obligee rider, issued by a Surety meeting the foregoing requirements, or other assurance satisfactory to SCDOT in its discretion.

10.1.4 If the Contract Price is increased in connection with a Change Order, SCDOT may, in its discretion, require a corresponding and proportionate increase in the amount of each Performance Bond and Payment Bond, or alternative security.

10.2 No Relief of Liability

Notwithstanding any other provision set forth in the Contract Documents, performance by a Surety of any of the obligations of Contractor that meets the requirements of the Agreement shall not relieve Contractor of any of its other obligations hereunder, including the payment of Liquidated Damages.

Article 11.
INSURANCE; CLAIMS AGAINST THIRD PARTIES

11.1 General Insurance Requirements

Contractor shall procure and keep in effect, or cause to be procured and kept in effect, the insurance policies in accordance with the requirements in this Article 11 and Exhibit 7, to protect Contractor from claims that may arise out of or result from Contractor's operations under the Contract, whether such operations be performed by Contractor or by any Subcontractor or by anyone directly or indirectly employed by any of them or by anyone for whose acts any of them may be liable:

(a) Claims under workers' or workmen's compensation, disability benefit and other similar employee benefit acts;

(b) Claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees;

(c) Claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees;

(d) Claims for damages insured by usual personal injury liability coverage which are sustained (i) by any person as a result of an offense directly or indirectly related to the employment of such person by Contractor, or (ii) by any other person;

(e) Claims for damages, other than to the work itself, because of injury to or destruction of tangible property, including loss of use resulting therefrom;

(f) Claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle;

(g) Claims involving contractual liability insurance applicable to the Contractor's obligations under the indemnity provisions of this contract; and

(h) Claims involving professional liability.

11.1.1 SCDOT as Additional Insured

Every insurance policy (except professional liability and workers compensation) required for this Project shall name SCDOT as an additional insured on a primary and non-contributory basis unless otherwise noted herein or in Exhibit 7.

11.1.2 Qualified Insurers

Each of the insurance policies required herein from insurance companies that maintain an A.M. Best rating of not less than A-VII with coverage forms acceptable to SCDOT. The insurance described below shall be maintained uninterrupted for the Term of the Contract, including Warranty Terms (except for Builder's Risk in regards to the Warranty Term) and as otherwise specified below and in Exhibit 7, and shall protect Contractor from claims as described in Section 11.1.

11.1.3 Premiums and Deductibles

Contractor shall timely pay, or cause to be paid, the premiums for all insurance required under this Agreement. Subject to Section 11.3, Article 14, and Article 15, Contractor shall be

1 responsible for and SCDOT will have no liability for any deductibles and Loss amounts in excess
2 of the coverage provided.

3 Contractor's deductibles shall not exceed \$1,000,000 without written consent of the
4 SCDOT and that the certificates show the deductible amounts. Any deductible shall be shown on
5 any evidence of insurance provided to SCDOT.

6 **11.1.4 Reserved**

7 **11.1.5 Primary Coverage**

8 Each insurance policy shall provide that the coverage is primary and noncontributory
9 coverage with respect to any other insurance available to SCDOT and the other Indemnified
10 Parties, except for coverage that by its nature cannot be written as primary or such primary
11 coverage is unavailable such as professional liability and workers compensation insurance. For
12 each property policy, such policy shall provide that the coverage thereof is primary and
13 noncontributory with respect to all insureds as their interest may appear. Any insurance beyond
14 that specified in this Agreement that is maintained by an insured or any such additional insured
15 shall be excess of such insurance and shall not contribute with it.

16 **11.1.6 Project-Specific Insurance**

17 Except as expressly provided otherwise in Exhibit 7, all insurance policies required
18 hereunder shall be purchased specifically and exclusively for the Project—excepting automobile
19 liability, workers compensation, and professional liability insurance—and extend to all aspects of
20 the Work, with coverage limits devoted solely to the Project. Insurance coverages under corporate
21 insurance programs with dedicated Project-specific limits and identified allocation of premiums to
22 the Project are acceptable, provided that they otherwise meet all requirements described in this
23 Section 11.1 and Exhibit 7.

24 **11.1.7 Verification of Coverage**

25 11.1.7.1 At each time Contractor is required to initially obtain or cause to be
26 obtained each insurance policy, in no case later than commencement of the applicable Work, and
27 thereafter not later than fifteen (15) days prior to the expiration date of each insurance policy,
28 Contractor shall deliver to SCDOT a certificate of insurance evidencing the required insurance
29 coverages. Each required certificate must meet the requirements of SCDOT and, to the extent
30 permitted under applicable Laws, state the identity of all carriers, named insureds and additional
31 insureds required under the Contract Documents, state the type and limits of coverage,
32 deductibles and cancellation provisions of the policy, include as attachments all additional insured
33 and waiver of subrogation endorsements required under the Contract Documents, and be signed
34 by an authorized Representative of the insurance company shown on the certificate or its agent
35 or broker.

36 11.1.7.2 Each required certificate of insurance evidencing coverage must be
37 signed by an authorized Representative or agent of the insurance company. Contractor shall
38 include a signed letter affirming to SCDOT all insurances represented in the certificate of
39 insurance fully comply with all provisions of this Article 11 and Exhibit 7. Contractor shall maintain
40 such evidence until the fifth anniversary of the expiration of the last Warranty Term, at which time
41 Contractor shall forward to SCDOT all collected evidence of insurance relating to the Project, or
42 copies thereof.

1 11.1.7.3 Within forty five (45) days of Contract award, Contractor shall
2 deliver to SCDOT: (i) a complete certified copy of each such insurance policy or modification, or
3 renewal or replacement insurance policy and all endorsements thereto and (ii) satisfactory
4 evidence of payment of the premium.

5 11.1.7.4 SCDOT may also require that Contractor deliver on behalf of its
6 Subcontractors (or cause its Subcontractors that are obligated to place insurance coverages
7 under the Contract Documents to deliver) certificates of insurance meeting the requirements listed
8 in Section 11.1.7.1 and Exhibit 7. Contractor shall also permit, and cause each such
9 Subcontractor to permit, SCDOT to inspect any insurance policies that have not been delivered
10 to SCDOT.

11 11.1.7.5 If Contractor has not provided (or caused to be provided to) SCDOT
12 with the foregoing proof of coverage and payment within five (5) days after SCDOT delivers to
13 Contractor written request therefor or Notice of a Contractor Default under Section 18.1.1 and
14 demand for the foregoing proof of coverage, SCDOT may, in addition to any other available
15 remedy, without obligation or liability and without further inquiry as to whether such insurance is
16 actually in force: (a) obtain such an insurance policy; and Contractor shall reimburse SCDOT for
17 the cost thereof upon demand, to include an administrative charge equal to 10% of the cost, and
18 (b) suspend all or any portion of Work for cause and close the Project until SCDOT receives from
19 Contractor such proofs of coverage in compliance with this Section 11.1 (or until SCDOT obtains
20 an insurance policy, if it elects to do so).

21 **11.1.8 Review of Coverage**

22 SCDOT may review the coverage, form, and amount of insurance required under Exhibit
23 7 at any time, and may require Contractor to make changes in such insurance reasonably
24 sufficient in coverage, form, and amount to provide adequate protection against the kind and
25 extent of risk that exists at that time. SCDOT may change the insurance coverages, terms and
26 limits required under Exhibit 7 by Notice to Contractor, whereupon Contractor shall, within sixty
27 (60) days after dispatch of such Notice date, procure the additional or modified insurance. Upon
28 such change, any additional cost from such change shall be paid by SCDOT and any reduction
29 in cost shall reduce the Contract Price pursuant to a Change Order.

30 **11.1.9 Subcontractor Insurance Requirements**

31 11.1.9.1 Contractor shall cause each Subcontractor to provide insurance
32 that complies with the requirements for Contractor-provided insurance in Exhibit 7 in
33 circumstances where Subcontractor acts or omissions are not covered by Contractor-provided
34 insurance, including but not limited to automobile liability and any off-Site work.

35 11.1.9.2 Contractor has the responsibility for determining whether the limits
36 of coverage required to be obtained by Subcontractors should exceed the minimum amounts set
37 forth in Exhibit 7. Contractor's determination of Subcontractor's policy limits above the minimum
38 amount shall be made in accordance with reasonable and prudent business practices.

39 11.1.9.3 Contractor shall cause each such Subcontractor to include SCDOT,
40 the State of South Carolina and their elected or appointed officers, officials, employees,
41 volunteers and agents as additional insureds on a primary and non-contributory basis under such
42 Subcontractor's general liability, automobile liability, and excess liability insurance policies.

43 11.1.9.4 Each Subcontractor shall provide that SCDOT and Contractor may
44 each contact the Subcontractors directly in order to verify the above coverage. Contractor's

1 determination of such insurance shall not be interpreted as relieving Contractor or its insurer of
2 any liability otherwise imposed on Contractor or its insurers under this the Contract Documents.

3 11.1.9.5 If any Subcontractor fails to procure and keep in effect the
4 insurance required of it under Exhibit 7 and SCDOT asserts the same as a Contractor Default
5 hereunder, Contractor may, within the applicable cure period, cure such Contractor Default by: (i)
6 causing such Subcontractor to obtain the requisite insurance and providing to SCDOT proof of
7 insurance; (ii) procuring the requisite insurance for such Subcontractor and providing to SCDOT
8 proof of insurance; or (iii) terminating the Subcontractor and removing its personnel from the Site.

9 **11.1.10 Policies with Insureds in Addition to Contractor**

10 All insurance policies that are required to insure Persons (whether as named or additional
11 insureds) in addition to Contractor shall be endorsed to comply with the following provisions:

12 (a) The insurance shall apply separately to each named insured and
13 additional insured against which a claim is made or suit is brought, except with respect to the
14 limits of the insurer's liability.

15 (b) All endorsements adding SCDOT as an additional insured on a primary
16 and non-contributory basis as required by the Contract Documents to the required insurance
17 policies shall contain no limitations, conditions, restrictions or exceptions to coverage in addition
18 to those that apply under the insurance policy generally, and shall state that the interests and
19 protections of each such additional insured shall not be affected by any misrepresentation, act or
20 omission of a named insured or any breach by a named insured of any provision in the policy that
21 would otherwise result in forfeiture or reduction of coverage.

22 (c) Otherwise, the insured status for insureds under any insurance policy shall
23 be as specified in Exhibit 7.

24 **11.1.11 Additional Insurance Terms and Conditions**

25 11.1.11.1 Each insurance policy shall be endorsed to state that coverage
26 cannot be canceled, voided, suspended, adversely modified, non-renewed, or reduced in
27 coverage or in limits by the insurance company except after thirty (30) days' prior Notice (or ten
28 (10) days in the case of cancellation for non-payment of premium) by registered or certified mail,
29 return receipt requested, has been given to, at a minimum, SCDOT and Contractor; provided,
30 however, that (a) no such Notice from the insurer shall be required for reduction in limits due to
31 claims payments, and (b) Contractor may obtain as comparable an endorsement as possible if it
32 establishes unavailability of this endorsement as set forth in Section 11.1.15. Such endorsement
33 shall not include any limitation of liability of the insurer for failure to provide such Notice.

34 11.1.11.2 Each insurance policy shall provide coverage on an "occurrence"
35 basis and not a "claims made" basis (with the exception of professional liability), and, if required,
36 pollution liability).

37 11.1.11.3 Contractor shall promptly secure alternative coverage that complies
38 with the insurance requirements in Exhibit 7 to avoid any lapse in insurance coverage if an insurer
39 providing any of the insurance policies required under this Exhibit 7 becomes the subject of
40 bankruptcy proceedings, becomes insolvent, or is the subject of an order or directive given by any
41 Governmental Entity, including the State, limiting the insurer's business activities.

11.1.12 Waivers of Subrogation

Contractor waives all rights against the Indemnified Parties, for any claims, including third-party claims, to the extent covered by insurance obtained pursuant to this Article 11, except such rights as the Parties may have to the proceeds of such insurance. For the avoidance of doubt, such mutual waivers shall not apply to claims denied by the insurer, or otherwise not covered by insurance obtained pursuant to this Section 11.1.12. Contractor shall require all Subcontractors to provide similar waivers in writing each in favor of all other Persons enumerated above. Subject to Section 11.1.15, each policy, including workers' compensation if permitted under the applicable worker's compensation insurance Laws, shall include a waiver of any right of subrogation against the Indemnified Parties or the insurer's consent to the insured's waiver of recovery in advance of Loss (or "before Loss").

11.1.13 No Recourse

There shall be no recourse against SCDOT for payment of premiums, deductibles or other amounts with respect to the insurance required to be provided by Contractor hereunder, except to the extent of SCDOT's obligation to pay the Contract Price or to the extent such costs are recoverable under Section 11.1.5, Article 14, or Article 15. The Contractor accepts the responsibility to provide the liability insurance policies and endorsements as specified in this Article 11 and Exhibit 7. Failure of SCDOT to identify a deficiency in the Certificate of Insurance submitted by the Contractor's insurance agent as evidence of the specified insurance or to request other evidence of full compliance with the liability insurance specified shall not be construed as a waiver of the Contractor's obligation to provide and maintain the required insurance for the duration of the Contract. The Contractor shall assess its own risks and if it deems appropriate and/or prudent, maintain higher limits and/or broader coverages. The Contractor is not relieved of any liability or other obligations assumed or pursuant to the Contract by reason of its failure to obtain or maintain insurance in sufficient amounts, duration, or types.

11.1.14 Support of Indemnifications

The insurance coverage provided, or caused to be provided, hereunder by Contractor is not intended to limit Contractor's indemnification obligations under the Contract Documents.

11.1.15 Inadequacy or Unavailability of Required Coverages

11.1.15.1 SCDOT makes no representation that the limits of liability specified for any insurance policy to be carried pursuant to this Agreement or approved variances therefrom are adequate to protect Contractor against its undertakings under the Contract Documents, to SCDOT, or any other Person. No such limits of liability or approved variances therefrom shall preclude SCDOT from taking any actions as are available to it under the Contract Documents or otherwise at Law. Nothing contained within this Agreement prohibits or prevents Contractor or Subcontractors from obtaining additional insurance coverage at their own expense. Any additional insurance obtained which covers any risk associated with the Project shall name SCDOT as an additional insured on a primary, non-contributory basis as appropriate.

11.1.15.2 If Contractor demonstrates to SCDOT's satisfaction that it has used diligent efforts in the global insurance and reinsurance markets to maintain the insurance coverages Contractor is required to provide hereunder, and if, despite such diligent efforts and through no fault of Contractor, any of such coverages (or any of the required terms of such coverages, including insurance policy limits) become unavailable, or unavailable at commercially reasonable rates, during the Term or as specified in this Article 11 and Exhibit 7, SCDOT will consider granting Contractor an interim written variance from such requirements under which

Contractor shall obtain and maintain or cause to be obtained and maintained alternative insurance packages and programs that provide risk coverage as comparable to that contemplated in this Article 11 as is commercially reasonable under then-existing insurance market conditions. If the required insurance coverage is available in the market, SCDOT's decision to approve or disapprove a variance from the requirements of this Article 11 shall be final and not subject to the Dispute Resolution Procedures. For the avoidance of doubt, no increase in insurance premiums attributable to Claims or loss experience of any Contractor-Related Entity or Affiliate, whether under an insurance policy required by this Article 11 or Exhibit 7 or in connection with any unrelated Work or activity of Contractor-Related Entities or Affiliate, shall be considered in determining whether required insurance is commercially unavailable.

11.1.15.3 Contractor shall not be entitled to any extension of the Completion Deadlines resulting from the unavailability of coverage and the requirement to provide acceptable alternatives.

11.1.15.4 SCDOT will be entitled to a reduction in the Contract Price if it agrees to accept alternative policies providing less than equivalent coverage with the amount to be determined by extrapolation using the insurance quotes included in the EPDs (or based on other evidence of insurance premiums as of the Proposal Due Date if the EPDs do not provide adequate information).

11.1.15.5 Contractor shall not be entitled to any increase in the Contract Price for increased costs resulting from the unavailability of coverage and the requirement to provide reasonably acceptable alternatives.

11.1.16 Defense Costs

No Primary and Project-Specific insurance policy (other than professional liability and pollution liability) shall include a burning, wasting, defending-within-limits, declining, eroding, shrinking or diminishing limits provision. All Primary and Project-Specific insurance policies shall separate costs of defense from all indemnity limits. No defense costs shall be included within or erode the limits of coverage of any of the insurance policies except professional and pollution liability policies.

11.1.17 Contesting Denial of Coverage

If any insurance carrier under an insurance policy denies coverage with respect to any claims reported to such carrier, upon Contractor's request, SCDOT and, to the extent necessary, the other Indemnified Parties shall cooperate in good faith to establish whether and to what extent to contest, and how to fund the cost of contesting, the denial of coverage; provided, however, that if the reported claim is a matter covered by an indemnity in favor of an Indemnified Party, then Contractor shall bear all costs of contesting the denial of coverage.

11.1.18 Umbrella and Excess Policies

Contractor shall have the right to satisfy the requisite insurance coverage amounts for liability insurance through a combination of primary policies and umbrella or excess policies. Umbrella and excess policies shall follow form of underlying policies and shall comply with all insurance requirements, terms and provisions set forth in this Agreement for the applicable type of coverage.

11.1.19 Additional Insurance Policies

If Contractor carries insurance coverage in addition to that required under this Agreement, then Contractor shall include SCDOT and its members, directors, officers, employees, agents and SCDOT Consultants as additional insureds thereunder, if and to the extent they have an insurable interest, unless SCDOT grants an exception in writing. The additional insured endorsements shall be as described in Section 11.1.10(c); and Contractor shall provide to SCDOT the proofs of coverage and copy of the policy described in Section 11.1.7. The provisions of Section 11.1.7, Section 11.1.10, Section 11.1.12, Section 11.1.13, Section 11.1.17, and Section 11.2 shall apply to all such policies of insurance coverage.

11.1.20 Special Provisions for Builder's Risk Insurance

11.1.20.1 Contractor must purchase Builder's Risk Insurance to insure the Work.

11.1.20.2 Contractor's Builder's Risk Insurance must:

(a) The policy must meet the policy requirements for the builder's risk insurance set forth in Exhibit 7;

(b) Contractor shall ensure that SCDOT (a) is named as loss payee under all builder's risk insurance policies as required by this Agreement;

(c) The policy shall be in effect at the start of construction and must be maintained for the duration of the Project until the Project has reached Final Completion;

(d) Contractor will promptly provide to SCDOT the verifications of coverage described in Section 11.1.7.3;

(e) SCDOT will pay all required insurance premiums in accordance with Section 13.3.3;

(f) Contractor will continue to have primary responsibility for prosecution of claims under the builder's risk policy as provided in Section 11.2; and

(g) All other provisions of this Article 11 and Exhibit 7 pertaining to the builder's risk insurance shall continue to apply without change.

11.2 Prosecution of Claims

11.2.1 SCDOT shall submit Claims and tenders of defense and indemnity under applicable insurance policies to Contractor and, if necessary, all relevant insurance companies providing coverage to Contractor. Contractor shall report and process all potential Claims by SCDOT or Contractor against the insurance policies. Contractor agrees to report timely to the insurer(s) under such policies any and all matters that may give rise to a Claim by Contractor or SCDOT, and to promptly and diligently pursue such Insurance Claims in accordance with the Claims procedures specified in such policies, whether for defense or indemnity or both. Contractor shall enforce all legal rights against the insurer under the applicable insurance policies in order to collect thereon, including pursuing necessary litigation and enforcement of judgments.

11.2.2 Contractor shall immediately provide to SCDOT Notice, and thereafter keep SCDOT fully informed, of any Incident, occurrence, Claim, or other matter of which Contractor becomes aware that involves or could conceivably involve SCDOT or the State of South Carolina

1 as a defendant. Contractor shall cooperate with SCDOT and the State of South Carolina
2 regarding form of and timely response to Claims.

3 **11.2.3** SCDOT shall provide to Contractor Notice of Incidents, potential Claims
4 against SCDOT or the State of South Carolina and matters of which SCDOT is aware and that
5 may give rise to an insurance claim, or to a right of defense and indemnification under Section
6 20.2. Delivery of any such Notice will constitute a tender of defense of the Claim to Contractor
7 and the insurer under any applicable insurance policies, subject to the rights of SCDOT or the
8 State of South Carolina to control their own defense to the extent provided in Section 20.2 or by
9 Governmental Rules. SCDOT shall cooperate with Contractor as necessary for Contractor to
10 fulfill its duties under this Agreement, including providing Contractor a copy of all written materials
11 SCDOT receives asserting a Claim against SCDOT or the State of South Carolina that is subject
12 to defense by an insurer under an insurance policy or by Contractor under Section 20.2.

13 **11.3 Risk of Loss or Damage to Project; Use of Insurance Proceeds**

14 **11.3.1** Throughout the Term, Contractor shall rebuild, repair, restore or replace all
15 loss, damage or destruction to the Project, or to materials, equipment, supplies and maintenance
16 equipment purchased for permanent installation in, or for use during construction or maintenance
17 of, the Project, whether within or outside the Project ROW, regardless of who has title thereto
18 under the Contract Documents and regardless of the cause of the loss, damage or destruction;
19 provided, however, that, upon acceptance by a third party, Contractor shall not be responsible for
20 rebuilding, repairing, restoring or replacing Project-related property that will be maintained by such
21 third party, unless such property is damaged due to negligent or willful acts of a Contractor-
22 Related Entity.

23 **11.3.2** Contractor shall bear all costs, including Extra Work Costs and Delay Costs, to
24 repair or replace, and shall not be entitled to any compensation for Delay due to, any loss, damage
25 or destruction caused by a Relief Event or other event (except SCDOT's gross negligence,
26 recklessness or willful misconduct) to:

27 (a) Any tools, machinery, equipment facilities, protective fencing, job trailers,
28 scaffolding or other items of any Contractor-Related Entity used in the performance of the Work
29 but not intended for permanent installation into the Project;

30 (b) Any machinery, equipment, facilities, materials, inventory, supplies and
31 other property of any Contractor-Related Entity outside the Project ROW; or

32 (c) Any machinery, equipment, facilities, materials, inventory, supplies and
33 other property of any Contractor-Related Entity while in transit to the Site.

34 **11.3.3** Contractor's rights, if any, to Completion Deadline adjustment in the event of
35 loss, damage or destruction to the Project shall be limited to situations where the loss, damage
36 or destruction is caused by a Relief Event and shall be subject to the applicable provisions of
37 Article 14.

38 **11.4 Claims against Third Parties**

39 **11.4.1** In connection with Contractor's performance of its obligations under the
40 Contract Documents, including repair of damage to the Project caused by collision (e.g., motor
41 vehicle, aircraft or railroad train), vandalism or other acts of destruction or damage by third parties,

1 Contractor shall have the right, in its reasonable discretion, to lawfully pursue claims against such
2 third parties for damage caused to the Project.

3 **11.4.2** If SCDOT receives payment pursuant to a third-party claim regarding damage
4 to the Project caused by the third party, SCDOT will hold and use such funds in the same manner
5 as provided for holding and using insurance proceeds under Section 11.3.

6 **11.5 SCDOT's Right to Remedy Contractor Breach Regarding Insurance**

7 If Contractor or any Subcontractor fails to provide insurance as required herein, SCDOT
8 may elect to either purchase such insurance or to suspend Contractor's right to proceed with the
9 Work until proper evidence of insurance is provided. SCDOT's costs in respect thereof, at
10 SCDOT's option, shall be deducted from amounts payable to Contractor or reimbursed by
11 Contractor upon demand from SCDOT. Nothing herein shall preclude SCDOT from exercising
12 its rights and remedies under Article 18 as a result of the failure of Contractor or any Subcontractor
13 to satisfy its insurance obligations herein. The suspension of time for failing to purchase insurance
14 shall not be used as a basis for an extension of time.

Article 12.
WARRANTIES

12.1 Warranties

12.1.1 Warranty

12.1.1.1 In addition to any other express warranties provided elsewhere in the Contract Documents, Contractor warrants that:

(a) The Design Work shall be (i) designed in accordance with Good Industry Practice and in such a manner that the Project is constructible as designed (ii) the Construction Work shall be free of Defects, (iii) all Work shall be free of Deviations, changes, modifications, alterations or exceptions from applicable Technical Provisions that have not been approved, in writing, by SCDOT; and (iv) all Work shall be fit for use for the purposes, objectives, functions, uses, and requirements set forth in or reasonably inferred from the Contract Documents;

(b) All materials and equipment furnished under the Contract Documents shall be (i) of good quality and new when installed; are available to ensure compliance with the requirements of the Contract Documents, under normal conditions and reasonably anticipated abnormal conditions, and (ii) fit for the purposes, objectives, functions, uses, and requirements set forth in or reasonably inferred from the Contract Documents; and

(c) All D&C Work shall otherwise meet all of the requirements of the Contract Documents hereinafter referred to collectively as "the Warranty;"

(d) All implied warranties are in effect and not disclaimed.

12.1.2 Warranty Term

Subject to extension under Section 12.2, the Warranty will commence upon Final Completion and shall remain in effect until five years after Final Completion ("Warranty Term"). SCDOT and Contractor shall conduct a walkthrough of the Project prior to expiration of the Warranty Term and shall produce a Punch List of those items requiring corrective Work.

12.1.3 Warranty Work

Within seven (7) days after Contractor receives Notice from SCDOT specifying a failure of any of the Work to satisfy the Warranties, or of the failure of any Subcontractor representation, warranty or obligation pertaining to the Work that Contractor is responsible to enforce, Contractor and SCDOT will mutually agree when and how Contractor shall remedy such failure; provided, however, that in case of an Emergency requiring immediate curative action or a situation which poses a significant safety risk, Contractor shall implement such action as it deems necessary and shall notify SCDOT of the urgency of a decision. Contractor and SCDOT will promptly meet in order to agree on a remedy. If Contractor does not use its best efforts to proceed to effectuate such remedy within the agreed time or should Contractor and SCDOT fail to reach such an agreement within such seven-day period (or immediately in the case of Emergency conditions), SCDOT may elect to perform or have performed by third parties the necessary remedy, and the costs thereof shall be borne by Contractor. Reimbursement therefor shall be payable to SCDOT within ten (10) days after Contractor's receipt of an invoice therefor. Alternatively, SCDOT may deduct the amount of such costs and expenses from any sums owed by SCDOT to Contractor pursuant to this Agreement. SCDOT may agree to accept Nonconforming Work in accordance with Section 6.7.2.

12.1.4 Permits and Costs

Contractor shall be responsible for obtaining any required encroachment permits and required consents from any other Persons in connection with the performance of Work addressed under this Section 12.1. Contractor shall bear all costs of such Work, including additional testing and inspections, and shall reimburse SCDOT or pay SCDOT's expenses made necessary thereby, including any costs incurred by SCDOT for independent quality acceptance or quality control with respect to such Work. Contractor shall pay such amounts to SCDOT within ten (10) days after Contractor's receipt of invoices therefore (including, subject to the limitations in Section 19.7 and Section 19.8, any Liquidated Damages arising from or relating to such Work). Alternatively, SCDOT may deduct the amount of such costs and expenses from any sums owed by SCDOT to Contractor pursuant to this Agreement.

12.2 Applicability of Warranties to Re-Done Work

The Warranties shall apply to all Work that is re-done, repaired, corrected or replaced pursuant to the terms of this Agreement. Following acceptance by SCDOT of re-done, repaired, corrected or replaced Work, the Warranties as to each re-done, repaired, corrected or replaced portion of the Work shall be the longer of one year from the date of the repair or replacement of the component or the remainder of the original Warranty Term. In no event shall the total period of the warranties for all Re-Done Work exceed a period of four years after Final Completion.

12.3 Subcontractor Warranties

12.3.1 Warranty Requirements

12.3.1.1 Without in any way derogating the Warranties and Contractor's own representations and warranties and other obligations with respect to all of the Work, Contractor shall obtain from all Subcontractors for the D&C Work, for periods at least coterminous with the Warranties, appropriate representations, warranties and obligations with respect to design, materials, workmanship, equipment, tools and supplies furnished by such Subcontractors to effectuate the provisions in this Article 12.

12.3.1.2 Contractor shall cause Subcontractor warranties to be extended to SCDOT; provided, however, that the foregoing requirement shall not apply to standard, pre-specified manufacturer warranties of mass-marketed materials, products (including software products), equipment or supplies where the Warranty cannot be extended to SCDOT using commercially reasonable efforts. SCDOT agrees to forebear from exercising remedies under any such Warranty so long as Contractor is diligently pursuing remedies thereunder.

12.3.1.3 All representations, warranties, and obligations of Subcontractors shall be written so as to survive all SCDOT inspections, tests and approvals. Contractor hereby assigns to SCDOT all of Contractor's rights and interests in all extended warranties for periods exceeding the applicable Warranty Term which are received by Contractor from any of its Subcontractors. Contractor shall be responsible for correcting any Defect if a Subcontractor Warranty would be voided by reason of Contractor's negligence or failure to comply with the Contract Documents in incorporating material or equipment into the Work.

12.3.2 Enforcement

Upon receipt from SCDOT of Notice of a failure of any of the Work to satisfy any Subcontractor warranty, representation, or obligation, Contractor shall enforce or perform any

1 such representation, warranty, or obligation, in addition to Contractor's other obligations
2 hereunder. SCDOT's rights under this Section 12.3.2 shall commence at the time such
3 representation, warranty, or obligation is furnished and shall continue until the expiration of the
4 Warranty Term (including extensions thereof under Section 12.2). Contractor shall be required
5 to replace or repair defective equipment, material or workmanship furnished by Subcontractors at
6 Contractor's expense until the expiration of the Warranty. Contractor's expenses associated with
7 these repairs include, but are not limited to, all equipment, materials, demolition, hauling, disposal,
8 labor (including re-engineering and redesign,) and shipping (including charges for expedited
9 delivery.) This provision does not limit Contractor's remedies for indemnity, contribution, or any
10 other cause of action it may have against Subcontractor.

11 **12.4 Effect of SCDOT or Third-Party Activities on Warranties**

12 Contractor acknowledges and agrees that SCDOT and third parties may perform certain
13 operations or maintenance work on or adjacent to the Project during the period in which the
14 Warranties are in effect and agrees that the Warranties shall apply notwithstanding such activities;
15 provided, however, that SCDOT or Contractor do not hereby waive any rights, Claims or remedies
16 to which it may be entitled as a result of such activities.

17 **12.5 No Limitation of Liability or Remedies**

18 Subject to Section 19.7 and Section 19.8, the Warranties and Subcontractor warranties:

19 (a) Are in addition to all rights and remedies available under the Contract Documents
20 or applicable Law or in equity;

21 (b) Shall not limit Contractor's liability or responsibility imposed by the Contract
22 Documents or applicable Law or in equity with respect to the Work, including liability for design
23 Defects, construction Defects, strict liability, breach, negligence, intentional misconduct or fraud;
24 and

25 (c) Do not constitute a contractual or other limitation or repose period on any Claims,
26 rights or remedies available to SCDOT for patent or latent Errors, Defects or deficiencies in
27 design, construction or other Work of Contractor, which Claims, rights and remedies are subject
28 only to applicable statutes of limitation and statutes of repose.

29 **12.6 Damages for Breach of Warranty**

30 Contractor shall be liable for actual damages resulting from any breach of Warranty or any
31 Defect in the Work, including the cost of performance of such obligations by others subject to
32 Section 19.7 and Section 19.8 and in addition to SCDOT's other rights and remedies hereunder
33 at Law or in equity.

Article 13.
PAYMENT FOR SERVICES

13.1 Contract Price

13.1.1 Amount

As full compensation for the D&C Work and all related obligations to be performed by Contractor under the Contract Documents, SCDOT will pay to Contractor the lump sum "Contract Price." The Contract Price as used herein shall mean the lump sum amount of \$_____, subject to adjustment from time to time to account for adjustments in Change Orders. The Contract Price shall be increased or decreased only by a Change Order issued in accordance with Article 14 or Article 15. The Contract Price shall be paid in accordance with Section 13.2 and Section 13.4.

13.1.2 Items Included in Contract Price

13.1.2.1 Contractor acknowledges and agrees that, subject only to Contractor's rights under Article 14, the Contract Price includes but it is not limited to, the following deliverables:

- (a) All designs, equipment, materials, labor, insurance and bond premiums, home office, job site and other overhead, profit and services relating to Contractor's performance of its obligations under the Contract Documents (including all D&C Work, equipment, materials, labor and services provided by Subcontractors and Intellectual Property rights necessary to perform the D&C Work);
- (b) Cost of developing, submitting, and maintaining all contract deliverables within the required timeframes and as needed to maintain all design and construction schedules. These deliverables include, but are not limited to:
 - i. Contract Deliverable Matrix;
 - ii. All deliverables as specified in the Technical Provisions;
 - iii. All deliverables as required by the SCDOT Standard Specifications;
 - iv. CPM Schedule as specified in Section 110 of the Technical Provisions;
 - v. Design Review Submittal Schedule including Gantt Chart of Submittals;
 - vi. Schedule of Values, as specified in Exhibit 2-5;
 - vii. Reserved;
 - viii. South Carolina Department of Environmental Services (SCDES), Notice of Intent (NOI) for Stormwater Discharges Covered under SC NPDES Construction General Permit (SCR160000) & Storm Water Pollutant Prevention Plan and signed Contractor Certification Form (SCDES Form 0437);
 - ix. Wetland and Stream Mitigation;

1 x. Community and Public Relations Support Plan, as specified in
2 Section 130 of the Technical Provisions;

3 xi. EEO, DBE, and OJT Requirements as specified in Article 9 and
4 Section 1000 of the Technical Provisions;

5 xii. Right-of-Way Documents, as specified in Article 5 and Section 809
6 of the Technical Provisions;

7 xiii. Escrow Proposal Documents;

8 xiv. Contractor's Materials Certification;

9 xv. Railroad Coordination Document & Insurance Documents as
10 required by Articles 11 and 16, Exhibit 7 and Section 150 of the Technical Provisions;

11 xvi. Hazardous Material surveys for structures not already surveyed,
12 hazardous material surveys for structures previously surveyed that have expired, and SCDES
13 Notice of Demolition for the SCDOT Construction Manager;

14 xvii. Utility Coordination Reports, including Utility Agreements, and
15 supporting documentation;

16 xviii. Shop Plans and Working Drawings;

17 xix. As-Built Plans;

18 xx. Sustainability Action Plan;

19 xxi. Environmental Compliance Plan;

20 xxii. Hazardous Waste Management Plan;

21 xxiii. Reserved;

22 (c) Reserved

23 (d) The cost of obtaining all Governmental Approvals (except as specified in
24 Section 6.1) related to the D&C Work and incurred prior to the Substantial Completion Date;

25 (e) All costs of compliance with and maintenance of the Governmental
26 Approvals and compliance with Laws related to the D&C Work, except to the extent compliance
27 with or maintenance of Governmental Approvals is the responsibility of Utility Companies;

28 (f) Payment of any Taxes, other fees or royalties imposed with respect to the
29 D&C Work and any equipment, materials, labor or services included therein;

30 (g) Compensation for all risks and contingencies assigned to Contractor
31 under the Contract Documents; and

32 (h) Any and all other fees (including financing fees and interest), overhead,
33 profit, insurance and surety bond premiums, labor and material costs, installations, delivery,
34 warehouse and handling charges, Taxes and other assessments);

35 provided, however, that all of the costs set forth in clauses (a) through (h) above are included in
36 the Contract Price solely to the extent such costs relate to the D&C Work and are incurred by
37 Contractor prior to Final Completion.

1 **13.2 Invoicing and Payment for the Contract Price**

2 The following process shall apply to invoicing and payment of (a) the Contract Price, and,
3 as applicable, (b) Extra Work Costs and Delay Costs reimbursable to Contractor for repair or
4 replacement Work under Section 11.3.

5 **13.2.1 NTP Work**

6 13.2.1.1 Reserved

7 13.2.1.2 Any Work that Contractor performs prior to satisfaction of the
8 conditions precedent set forth in Section 7.5 shall be at Contractor's risk, as SCDOT will not pay
9 for or review any Work prior to satisfaction of such conditions precedent.

10 13.2.1.3 SCDOT will pay such amounts not more often than monthly, based
11 on approved Pay Requests, as follows:

12 (a) For NTP mobilization, in two equal installments, as set forth in Section
13 13.3.3.1(a) and Section 13.3.3.1(b);

14 (b) For each item that is a Submittal, other than Design Documents, in the
15 next Pay Request after SCDOT approves the Submittal;

16 (c) For Design Work, monthly according to a Pay Request for progress made
17 and the approved Schedule of Values;

18 (d) For the premiums for bonds and insurance associated with NTP, in
19 accordance with Section 13.3.3.2; and

20 (e) For all other items, monthly according to actual documented costs
21 incurred and included in a Pay Request.

22 13.2.1.4 Invoices for Work shall comply with the provisions of Section 13.2.
23 Invoices for premiums for bonds and insurance for NTP Work shall comply with the provisions of
24 Section 13.3.3.4.

25 **13.2.2 Draft Pay Request Package for D&C Work and Pay Request Review**
26 **Meeting**

27 13.2.2.1 On or about the 15th day of each month following the issuance of
28 NTP and continuing through the Pay Request for the Final Payment, Contractor shall deliver to
29 SCDOT a draft Pay Request for the prior monthly period, in the form required by SCDOT, together
30 with drafts of all materials, reports, schedules, certifications, line item and/or other adjustments
31 and other Submittals for that month listed in Section 13.2.3.2.

32 13.2.2.2 No sooner than two (2) Business Days following Contractor's
33 submission of the draft Pay Request Package, Contractor's and SCDOT's Authorized
34 Representatives shall ascertain the progress of the Work and verify the quantities for any unit
35 priced Work and submit a report of the same to the SCDOT Construction Manager

36 13.2.2.3 Each Pay Request Review Meeting shall be attended by
37 Contractor, any Subcontractors as may be necessary, SCDOT and SCDOT's consultants. The
38 Authorized Representative for both Contractor and SCDOT shall review the draft Pay Request
39 reflecting the value of Work completed during the prior monthly period to determine and calculate
40 the value of Work completed as follows:

- (a) As provided in Section 13.2.1.3 for NTP Work;
- (b) Based on quantities and unit prices for unit priced Work;
- (c) Based on time and materials for Force Account Work; and
- (d) For all other Work, based on the percentage completion of Project Schedule activities and the values distributed to such activities in the current approved Project Baseline Schedule.

13.2.2.4 Authorized Representatives for Contractor and SCDOT shall sign or digitally approve the draft Pay Request, indicating the portions of it that have been approved and setting forth the proposed total payment amount, which shall be the approved value of the Work then completed less progress payments previously made.

13.2.3 Delivery of Pay Request for Payment of Contract Price

13.2.3.1 Contractor shall submit to SCDOT within seven (7) days after each Pay Request Review Meeting an electronically filed Pay Request via ProjectWise Deliverables Management for the Work performed under the Contract Documents during the immediately preceding month, in a form acceptable to SCDOT and meeting all the requirements specified herein, except as otherwise approved by SCDOT. Each Pay Request shall be based upon and use the amounts set forth in the approved draft Pay Request and may not include any amounts not approved by SCDOT in the Progress Meeting reviewing such draft Pay Request.

13.2.3.2 Contents of Pay Request

- (a) Each Pay Request must contain the following items:
 - i. Pay Request cover sheet;
 - ii. An approved Monthly CPM Progress Schedule;
 - iii. An updated Schedule Narrative;
 - iv. Certification by Contractor that all D&C Work that is the subject of the Pay Request fully complies with the requirements of the Contract Documents subject to any exceptions identified in the certification;
 - v. Monthly report of personnel hours with wage rates to show compliance with all applicable federal and state wage-hour Laws and regulations;
 - vi. Pay Request data sheet(s) and supporting Documents, as required by SCDOT to support and substantiate the amount requested (based on invoices, receipts and other evidence to establish the number of units delivered for unit priced Work; based on Section 109.5 of the SCDOT Standard Specifications for Force Account Work; based on actual costs as evidenced by invoices for items to be paid from any allowance; and based on the Project Schedule for all other D&C Work);
 - vii. Periodic DBE-related reporting in a format reasonably satisfactory to SCDOT (using standard SCDOT forms or other formats reviewed and pre-approved by SCDOT);
 - viii. Periodic OJT-related reporting in a format reasonably satisfactory to SCDOT (using standard SCDOT forms or other formats reviewed and pre-approved by SCDOT); and

ix. If the Pay Request includes Utility Work, a summary narrative of the Utility Work performed during the applicable month; and

x. Such other items as SCDOT reasonably requests.

(b) In addition to the requirements set forth in Section 13.2.3.2(a), no Pay Request shall be considered complete unless it:

i. Sets forth in detail the amounts paid to Subcontractors (including Suppliers and Subcontractors at lower tiers) from the payments made by SCDOT to Contractor with respect to the Pay Request submitted two months prior with certifications of compliance with Prompt Pay clause;

ii. Includes certifications of compliance with Prompt Pay clause; and

iii. Sets forth separately and in detail the total amount due from Utility Companies for: (A) Utility Improvements; and (B) any other Work for which the Utility Company has cost responsibility.

13.2.3.3 Contractor acknowledges that SCDOT will obtain funding for portions of the Work from various sources and agrees to segregate billings for all such Work in a format reasonably requested by SCDOT and with detail and information as reasonably requested by SCDOT.

13.2.4 Pay Request Cover Sheet Contents

The Pay Request cover sheet shall include the following: (a) Project number and title; (b) Request number (numbered consecutively starting with "1"); (c) Total amount earned to date for the Project; and (d) Authorized signature, title of signer, and date of signature.

13.2.5 Certification by Professional Services Quality Manager

Each Pay Request shall include a certificate signed by the Professional Services Quality Manager as appropriate, in a form acceptable to SCDOT, certifying that:

(a) Except as specifically noted in the certification, all Work that is the subject of the Pay Request, including that of Professional Services firms, Subcontractors, and Suppliers, has been checked or inspected by the Professional Services Quality Manager, with respect to Professional Services;

(b) Except as specifically noted in the certification, all Work that is the subject of the Pay Request conforms to the requirements of the Contract Documents;

(c) The Professional Services Quality Program and all of the measures and procedures provided therein are functioning properly and are being followed;

(d) The Professional Services percentages indicated are accurate and correct; and

(e) All quantities for which payment is requested on a unit price basis are accurate.

13.2.6 Payment by SCDOT

13.2.6.1 Within ten (10) Business Days after SCDOT receives the Pay Request (including all materials and reports required under Section 13.2.3.2) and the related Pay

Request Certificate, SCDOT will review the Pay Request and all attachments thereto for consistency with the draft Pay Request package as agreed to during the Pay Request Review Meeting and conformity with all requirements of the Contract Documents and shall notify Contractor of the amount approved for payment and specify the reason for disapproval of any remaining invoiced amounts. Contractor may include such disapproved amounts in the next month's Pay Request after correction of the deficiencies noted by SCDOT (all such disapproved amounts shall be deemed in Dispute unless otherwise agreed).

13.2.6.2 SCDOT anticipates paying the Contractor within 30 Business Days after receipt of the Pay Request, SCDOT will pay Contractor the amount of the Pay Request approved for payment less any amounts that SCDOT is otherwise entitled to withhold or deduct.

13.3 Limitations, Deductions and Exclusions

13.3.1 Deductions and Withholdings

13.3.1.1 SCDOT may deduct from each payment of the Contract Price, including the Final Payment, any of the following applicable to the D&C Work or accruing prior to Final Completion:

(a) Any SCDOT or third-party Losses for which Contractor is responsible hereunder and which are not covered by insurance proceeds, except in the case where the underlying Claim against Contractor is still the subject of a legitimate Dispute;

(b) Any uncured Contractor Default;

(c) Reasonable evidence that the Work will not be Substantially Complete within the Construction Time as adjusted and that the unpaid balance of the Contract Price will not be adequate to cover Liquidated Damages for the actual unexcused Delay;

(d) Any fines or other charges to SCDOT due to Contractor's failure to comply with permit requirements or other regulations;

(e) Any Liquidated Damages that have accrued as of the date of the application for payment or for D&C Work that are anticipated to accrue based on the Substantial Completion and Final Completion dates shown in the current Project Schedule;

(f) Any sums expended by or owing to SCDOT as a result of Contractor's failure to maintain the Record Drawings;

(g) Notice of cancellation of insurance;

(h) Violation of Design QC Plan or CQMP;

(i) Any sums expended by SCDOT in performing any of Contractor's obligations under the Contract Documents which Contractor has failed to perform; and

(j) Any other sums that SCDOT is entitled to recover or withhold from Contractor under the terms of this Agreement, including any carry-over deductions (including for Liquidated Damages) or other adjustments from prior months not yet paid by Contractor.

13.3.1.2 SCDOT may deduct from payments of the Contract Price, including the Final Payment, the costs to acquire any parcel or partial parcel, or to acquire any Temporary Construction Easement on any parcel or partial parcel, identified in Exhibit 2-3, or identified pursuant to Section 5.7, for which Contractor receives credit for avoiding but ultimately cannot

avoid in completing the D&C Work. In such a case, the amount deducted shall cover the costs to acquire the parcel or partial parcel or Temporary Construction Easement, as applicable, up to the amount of the credit received by Contractor plus, in the case of those identified in Exhibit 2-3, 50% of any additional costs in excess of the credit. Such costs to acquire shall include: (a) the acquisition or condemnation price; (b) severance damages; (c) relocation costs; and (d) demolition costs, if part of the prior credit received by Contractor. Contractor shall not be entitled to any Completion Deadline adjustment for failure to avoid parcels or partial parcels identified in Exhibit 2-3 or identified pursuant to Section 5.7. The provisions of this Section 13.3.1.2 do not apply, however, where the parcel or partial parcel or Temporary Construction Easement thereon is required as SCDOT Additional Property.

13.3.1.3 The failure by SCDOT to deduct any of the sums set forth in Section 13.3.1.1 or Section 13.3.1.2 from a payment shall not constitute a waiver of SCDOT's right to such sums.

13.3.2 Unincorporated Materials; Long Lead Items

13.3.2.1 SCDOT will not pay Contractor for materials not yet incorporated in the Work unless all of the following conditions are met:

(a) Material shall be: (i) delivered to the Site; (ii) delivered to Contractor and promptly stored by Contractor in bonded storage at a location approved by SCDOT in its discretion; or (iii) stored at a Supplier's fabrication site, which must be a bonded commercial location approved by SCDOT, in its discretion. Contractor shall submit certified bills for such materials with the Pay Request, as a condition to payment for such materials. SCDOT will allow only such portion of the amount represented by these bills as, in its discretion, is consistent with the reasonable cost of such materials. If such materials are stored at any site not approved by SCDOT, Contractor shall accept responsibility for and pay all personal and property Taxes that may be levied against SCDOT by any State or subdivision thereof on account of such storage of such material.

(b) All such materials that meet the requirements of the Contract Documents shall be and become the property of SCDOT. Contractor at its own cost shall promptly execute, acknowledge and deliver to SCDOT proper bills of sale or other instruments in writing in a form acceptable to SCDOT conveying and assuring to SCDOT title to such material included in any Pay Request, free and clear of all Liens. Contractor, at its own cost, shall conspicuously mark such material as the property of SCDOT, shall not permit such materials to become commingled with non-SCDOT-owned property or with materials that do not conform with the Contract Documents, and shall take such other steps, if any, as SCDOT may require or regard as necessary to vest title to such material in SCDOT free and clear of Liens.

13.3.2.2 For the avoidance of doubt, SCDOT will not pay Contractor for long lead items ordered for the Project unless such items meet the requirements set forth in Section 13.3.2.1.

13.3.3 Mobilization Payments; Bond and Insurance Premiums

13.3.3.1 Contractor shall be entitled to payment for mobilization in an amount equal to the lesser of (1) the bid item price for mobilization set forth in Exhibit 2-5 or (2) 5% of the Contract Price. This amount shall be fixed (i.e., not adjusted by any Claim or Change Order), and shall be paid in installments as follows:

(a) The first payment for mobilization shall be in an amount not to exceed 50% of the total payment for mobilization, payable as part of the first Pay Request following NTP.

(b) The second payment for mobilization shall be in the remaining amount of total payment for mobilization as part of the first Pay Request following beginning of construction.

13.3.3.2 SCDOT will pay Contractor as part of the first Pay Request following NTP the portion of the Contract Price allocable to bond and insurance premiums, as set forth in the Proposal, to reimburse Contractor for bond and insurance premiums actually paid, without markup.

13.3.4 Equipment

SCDOT will not pay for direct costs of equipment. Costs of equipment, whether new, used or rented, and to the extent not included in the mobilization payments under Section 13.3.3, shall be allocated to and paid for as part of the activities with which the equipment is associated, in a manner which is consistent with the requirements of Section 109.5(C) of the SCDOT Standard Specifications.

13.4 Final Payment

Final Payment for all D&C Work will be made as follows.

13.4.1 Application for Final Payment

13.4.1.1 On or about the date of Final Completion, Contractor shall prepare and submit a proposed Application for Final Payment to SCDOT showing the proposed total amount due Contractor as of the date of Final Completion, including the retainage described in Section 13.5 and any amounts owing from Change Orders.

13.4.1.2 In addition to meeting all other requirements for Pay Requests hereunder, the Application for Final Payment shall propose a schedule of payments that do not exceed the current Contract Amount with all adjustments, approved Change Orders, Liquidated Damages, etc.

13.4.1.3 The Application for Final Payment shall list all outstanding Relief Event Notices and Relief Requests, stating the amount at issue associated with each such Relief Event Notice and Relief Request.

13.4.1.4 The Application for Final Payment shall also be accompanied by:

(a) Evidence regarding the status of all existing or threatened claims and stop Notices of Subcontractors, Suppliers, laborers, Utility Companies and or other third parties against Contractor, SCDOT or the Project;

(b) Consent of any Surety to the proposed payment schedule;

(c) Such other documentation as SCDOT may reasonably require; and

(d) The release described in Section 13.4.4, executed by Contractor.

13.4.1.5 Prior applications and payments shall be subject to correction in the Application for Final Payment. Relief Event Notices and Relief Requests filed concurrently with the Application for Final Payment must be otherwise timely and meet all requirements under Article 14.

1 **13.4.2** SCDOT's obligation to make payment to Contractor based on the Application
2 for Final Payment is conditioned on SCDOT's receipt of an executed release meeting the
3 requirements of Section 13.4.4 and otherwise satisfactory in form and content to SCDOT. The
4 payment amount will be reduced by any amounts deductible under Section 13.3.1.

5 **13.4.3** SCDOT may withhold from the Final Payment such amount as SCDOT deems
6 necessary to cover any amounts owing or which may become owing to SCDOT by Contractor,
7 including costs to complete or remediate uncompleted Work or Nonconforming Work.

8 **13.4.4** The executed release from Contractor shall be from all Claims of Contractor
9 arising from the D&C Work and shall release and waive any Claims against the Indemnified
10 Parties, excluding only those matters identified in any Relief Event Notices and Relief Requests
11 that have been timely delivered and are listed as outstanding in the Application for Final Payment.
12 The release shall be accompanied by an affidavit from Contractor certifying that:

13 (a) All D&C Work has been performed in strict accordance with the
14 requirements of the Contract Documents;

15 (b) Contractor has resolved all claims made by Subcontractors, Suppliers,
16 Utility Companies, laborers, or other third parties against Contractor, SCDOT or the Project
17 (except those listed by Contractor in accordance with Section 13.4.1.4(a));

18 (c) Contractor has no reason to believe that any Person has a claim against
19 Contractor or SCDOT;

20 (d) No Person has communicated to the Contractor regarding an unsettled or
21 potential claim resulting from the Project; and

22 (e) All Warranties, the Payment Bond, and the Performance Bond (or, if
23 applicable, Warranty Bond), and the Warranty Bond are in full force and effect.

24 **13.4.5** Prior Relief Requests that are not in Dispute shall be subject to correction in
25 the Final Application for Payment.

26 **13.4.6** SCDOT will review Contractor's proposed Application for Final Payment, and
27 within twenty (20) Business Days after receipt will deliver to Contractor any changes or
28 corrections, including deductions and withholding described in Section 13.3.1. Any changes or
29 corrections made pursuant to this Section 13.4.6 will be reflected in an updated payment schedule
30 showing the net amount owed to Contractor by applicable period.

31 **13.4.7** SCDOT will fulfill its payment obligations in respect of the D&C Work under this
32 Agreement by paying the amounts identified in Section 13.4.6, in accordance with the schedule
33 described in Section 13.4.6.

34 **13.5 Prompt Payment to Subcontractors**

35 **13.5.1** Upon receipt of payment from SCDOT, Contractor shall pay each
36 Subcontractor with which it holds a direct Subcontract and shall cause each Subcontractor to pay
37 each of its Subcontractors, within seven (7) days after Contractor receives payment from SCDOT,
38 out of the amount paid to Contractor on account of such Subcontractor's portion of the Work, the
39 amount to which such Subcontractor is entitled, less any retainage provided for in the
40 Subcontract. Contractor shall pay retainage, if any, on a Subcontractor's Work within seven (7)
41 days after satisfactory completion of all of the Subcontractor's Work. In the case of a second or
42 third tier subcontractor, the seven-day time period begins to run when the first-tier subcontractor

1 receives payment from the Contractor or when the second-tier subcontractor receives payment
2 from the first-tier subcontractor and so forth if there are subcontractors of a lower tier. For the
3 purpose of this Section 13.5.1, satisfactory completion shall have been accomplished when:

4 (a) The Subcontractor has fulfilled the Subcontract requirements and the
5 requirements under the Contract Documents for the subcontracted Work, including the
6 submission of all Submittals required by the Subcontract and Contract Documents; and

7 (b) The Work done by the Subcontractor has been inspected and approved
8 by Contractor and the final quantities of the Subcontractor's Work have been determined and
9 agreed upon.

10 **13.5.2** If Contractor fails to pay a Subcontractor within the time periods set forth in
11 Section 13.5.1, Contractor shall pay the Subcontractor interest on the unpaid balance, beginning
12 on the eighth day or tenth day, as applicable, at a rate of 1% per month or fraction of a month.

13 **13.5.3** Contractor invoices submitted to SCDOT constitutes a representation by the
14 Contractor that the Work of the Subcontractors included in the invoice was satisfactorily
15 performed.

16 **13.5.4** Except for retainage as set forth below, Contractor may withhold payments to
17 a Subcontractor only if the Subcontractor's Work is deficient, incomplete or otherwise not in
18 compliance with the terms of the Contract Documents applicable to the Subcontractor's Work. If
19 any Subcontractor is not paid promptly, Contractor shall provide to the Subcontractor and to
20 SCDOT via the comment section of SCDOT's DBE System a written explanation of the reasons
21 and when payment can be expected. Contractor shall provide such explanation within seven (7)
22 days after the time the Subcontractor was otherwise entitled to payment.

23 **13.5.5** The Contractor and Subcontractors may withhold as retainage up to five (5%)
24 percent of a subcontractor's payment until satisfactory completion as defined by Section 13.5.1
25 of all Work items of the Subcontract. Retainage must be released to the subcontractor within seven
26 (7) calendar days from the date the Contractor or Subcontractor receives payment from SCDOT
27 for the last Work item of the Subcontract or within seven (7) days from SCDOT's acceptance of
28 the last Work item of the Subcontract, whichever is the latest to occur. However, upon
29 documentation of good cause provided by the Contractor and written concurrence by the SCDOT
30 Construction Manager, the Contractor may continue to withhold the 5% retainage.

31 **13.5.6** If there is a Dispute with a Subcontractor regarding prompt payment or
32 withholding thereof, Contractor shall immediately provide to SCDOT written verifiable explanation
33 of the matter in Dispute and update SCDOT monthly on the status of the Dispute until it is
34 resolved. Contractor shall implement and use, and cause each Subcontractor to implement and
35 use, the Dispute resolution process in the applicable Subcontract to resolve payment Disputes as
36 quickly as possible.

37 **13.5.7** SCDOT reserves the right to request and receive Documents from Contractor,
38 all Subcontractors of any tier, and Suppliers in order to determine whether prompt payment
39 requirements were met.

Article 14.
RELIEF EVENTS

This Article 14 sets forth the requirements for obtaining monetary and schedule relief under the Contract Documents due to Relief Events. Contractor hereby acknowledges and agrees that the Contract Price provides for full compensation for performance of all the Work, and the Completion Deadlines provide reasonable and adequate time to perform the Work required within the Completion Deadlines, subject only to those exceptions specified within Article 14. The compensation amounts, Completion Deadline adjustment and performance relief specified within Article 14 shall represent the sole and exclusive right against SCDOT, the State and their respective successors, assigns, agencies, divisions, officeholders, officers, directors, commissioners, agents, Representatives, consultants and employees to compensation, damages, deadline extension and performance relief for the adverse financial and schedule effects of any event affecting the Work, the Project or Contractor. No award of compensation or damages shall be duplicative. Contractor unconditionally and irrevocably waives the right to any Claim against SCDOT, the State and their respective successors, assigns, agencies, divisions, officeholders, officers, directors, commissioners, agents, Representatives, consultants and employees for any monetary compensation, Completion Deadline adjustment or other relief except to the extent specifically provided in this Article 14.

The foregoing waiver encompasses all theories of liability, whether at Law or in equity, whether in contract or tort, including but not limited to: negligence, strict liability, equity, *quantum meruit* or otherwise, and encompasses all theories to extinguish contractual obligations, including impracticability, mutual or unilateral mistake, and frustration of purpose. Notwithstanding anything to the contrary herein, no liability of Contractor that arose before the occurrence of the Relief Event giving rise to a Claim under this Article 14 shall be excused as a result of the occurrence. Nothing in the Technical Provisions shall have the intent or effect or shall be construed to create any right of Contractor to any Claim for additional monetary compensation, Completion Deadline adjustment or other relief, any provision in the Technical Provisions to the contrary notwithstanding. The provisions of this paragraph shall not affect Contractor's remedies under the Contract Documents in the event of an SCDOT Default or upon termination of this Agreement prior to the stated expiration of the Term.

14.1 Relief Event Claim Process

14.1.1 General Provisions

14.1.1.1 This Section 14.1 applies to all Relief Events except Relief Events that are an SCDOT-Directed Change. The process for SCDOT-Directed Changes shall be through a Change Order or Force Account Directive Letter pursuant to Section 15.1 and Section 15.3, respectively.

14.1.1.2 SCDOT acknowledges that Contractor may enter into Subcontracts pursuant to which additional costs directly attributable to the occurrence of a Relief Event, or the Relief Event's impact on schedule or performance of the Work, may be borne by a Subcontractor subject to the right to claim relief from Contractor to the extent Contractor obtains relief from SCDOT under this Agreement. For purposes of evaluating the merits of any Relief Event Notice, Relief Request or Claim against SCDOT for such Relief Event, such costs or impact on schedule or performance of Work, will be deemed to be directly incurred by Contractor.

14.1.1.3 A Force Majeure Event shall not constitute breach of the Agreement if and to the extent such Delays or failures of performance result in a Delay to the Critical Path identified in the current accepted Project Schedule. Any expense attributable to such occurrence of a Force Majeure Event shall not entitle Contractor to an adjustment in the Contract Price except under the circumstance that a Force Majeure Event causes a substantial price escalation of materials, commodities, or supplies.

(a) If the occurrence of one or more Force Majeure Events causes a substantial price escalation of materials, commodities, or supplies necessary for the completion of the Project, SCDOT will evaluate the cause of the price escalation, its duration, its impact on the Project, the availability of alternative materials or designs, and other related to factors to determine within its sole discretion, whether to make an adjustment to the Contract Price for the affected materials, commodities or supplies. Whether a price increase of an affected material, commodity or supply is "substantial" is also within SCDOT's sole discretion. The duration of Delay to the schedule directly caused by a Force Majeure Event shall be added to the Contract Time on a day-for-day basis.

(b) Contractor shall bear the burden of proving that a Force Majeure Event exists, that it impacts the critical path of the Project, and that Contractor could not have reasonably worked around the condition, including by resequencing, relocating, or redeploying its forces to other portions of the Project or other activities unrelated to its Work, so as to avoid additional Delay or cost.

14.1.2 Relief Event Notice

14.1.2.1 If Contractor determines that a Relief Event has occurred, Contractor shall submit a written Relief Event Notice to SCDOT within twenty-one (21) Business Days of the occurrence or initiation of the Relief Event. Contractor must submit a timely Relief Event Notice even if the cause is widely known, and Contractor agrees that the Relief Event Notice is a condition precedent to receiving relief pursuant to this provision. SCDOT will acknowledge in writing receipt of each Relief Event Notice within seven (7) Business Days.

14.1.2.2 The Relief Event Notice shall include, to the maximum extent the information then available:

(a) A description of the Relief Event and its date of occurrence or inception in reasonable detail;

(b) Contractor's preliminary good faith estimate of the anticipated end date of the Relief Event in reasonable detail if known or knowable;

(c) Contractor's preliminary good faith estimate of the anticipated adverse and beneficial effects (including cost impacts) of the Relief Event and the basis for such estimate;

(d) Contractor's preliminary good faith estimate of the Critical Path impact directly attributable to the Relief Event and the basis for such estimate;

(e) Contractor's initial analysis of any adverse effect of the Relief Event on its ability to perform its obligations under this Agreement;

(f) The actions Contractor has taken prior to the Relief Event Notice to prevent, and proposes to take thereafter to mitigate, the cost, Delay, and other consequences of the Relief Event; and

1 (g) The type and amount of insurance that may be applicable and amounts
2 that have been or are anticipated to be collected under such insurance.

3 14.1.2.3 The nature and scope of the potential Claim stated in the Relief
4 Event Notice shall remain consistent (except for reductions) for the remainder of the Relief Event
5 Claim process and, if applicable, during any subsequent Dispute Resolution Procedures. A Relief
6 Event Notice may be supplemented after submission if warranted by new information that are to
7 consequences of including, but not limited to, a Relief Event that: (a) are of a different nature or
8 scope; (b) first arise or occur after Contractor delivers the Relief Event Notice to SCDOT; and (c)
9 could not have been anticipated through the exercise of reasonable diligence and Good Industry
10 Practice prior to delivering the Relief Event Notice to SCDOT. If any such new consequences
11 arise or occur prior to submission of the Relief Request, Contractor shall report them to SCDOT
12 by a supplemental Relief Event Notice. The Contractor shall reasonably update the Relief Event
13 Notice as new and/or additional information becomes available.

14 14.1.2.4 Contractor shall submit the Relief Event Notice on a standardized
15 form approved by SCDOT.

16 14.1.2.5 Contractor shall assign an exclusive identification number for each
17 Relief Event Notice, determined by chronological sequencing with a numbering format as to be
18 determined by the Contractor. The exclusive identification number shall be used on each of the
19 following corresponding Documents: (a) Relief Request; (b) supplemental Notices and
20 submissions pertaining to the Relief Event Notice; and (c) final documentation of the Relief Event
21 Claim. Contractor shall thereafter use the same numbering format for any subsequent Relief
22 Event Notice.

23 14.1.2.6 If a single Relief Event is a continuing cause of Delay, only one
24 Relief Event Notice shall be necessary.

25 **14.1.3 Relief Request**

26 14.1.3.1 Contractor shall, within a further thirty (30) days after the date of the
27 submission of the initial Relief Event Notice, submit to SCDOT a Relief Request that provides
28 Contractor's complete reasoning for additional compensation for Extra Work Costs or Delay
29 Costs, Completion Deadline adjustments and other requested relief relating to the Relief Event.
30 SCDOT will acknowledge receipt of each Relief Request in writing within seven (7) days of receipt.
31 The Relief Request shall include the following information, to the maximum extent then available:

32 (a) Full details of the Relief Event, including its nature, the date of its
33 occurrence, its duration (to the extent that the Relief Event and the effects thereof have ceased,
34 or estimated duration to the extent that the Relief Event and the effects thereof have not ceased),
35 affected locations, and items of Work affected;

36 (b) Identification of all pertinent Documents and the substance of any oral
37 communications between SCDOT and Contractor, if any, relating to the Relief Event and the
38 name of the Person or persons making such material oral communications;

39 (c) Identification of the specific provisions of the Contract Documents that
40 Contractor claims entitles Contractor to the relief sought, and a statement that explains the
41 reasons why the provisions entitle Contractor to that relief;

42 (d) Where Contractor makes a request for a Completion Deadline adjustment,
43 a Time Impact Analysis of the Project Schedule that identifies Controlling Work Items and the

1 Critical Path (with activity durations, predecessor and successor activities and resources,
2 including total Float), and illustrates the effect of schedule changes or disruptions on the
3 Completion Deadlines;

4 (e) A detailed, itemized estimate of all amounts claimed for Extra Work Costs
5 and Delay Costs to the extent such amounts are eligible for compensation under this Article 14
6 for the Relief Event in question. All such amounts shall be broken down in terms of the eligible
7 direct costs for labor (including hourly wage rates, fringe benefits rates and burden), materials,
8 equipment, third-party fees and charges, extra insurance and performance and payment security
9 (e.g., bonds and letters of credit), as applicable, and other direct costs, including expenses and
10 profit, and any other cost category or categories SCDOT may specify;

11 (f) The effect of the Relief Event on Contractor's ability to perform any of its
12 obligations under this Agreement, including details of the relevant obligations, the effect on each
13 such obligation, and the likely duration of that effect;

14 (g) An explanation of the measures that Contractor has previously taken to
15 prevent, and proposes to undertake to mitigate, the costs, Delay and other consequences of the
16 Relief Event; and

17 (h) The type and amount of insurance that may be applicable and amounts
18 that have been or are anticipated to be collected under such insurance.

19 14.1.3.2 Contractor shall submit the Relief Request on a standardized form
20 approved by SCDOT.

21 14.1.3.3 If, following issuance of any Relief Request, Contractor receives or
22 becomes aware of any further information or estimates relating to the Relief Event and its impact
23 on cost, schedule, Lane Closures or performance of Work, including information on new
24 consequences as described in Section 14.1.2.3, Contractor shall submit such further information
25 to SCDOT as soon as possible. SCDOT may request from Contractor any further information
26 that SCDOT may reasonably require, and Contractor shall supply the same within a reasonable
27 period after such request.

28 14.1.3.4 Contractor shall provide updates every thirty (30) days after the
29 submission of the initial Relief Request in the event of a continuing Relief Event.

30 14.1.3.5 Neither the fact that Contractor submits to SCDOT a Relief
31 Request, nor the fact that SCDOT keeps account of the costs of labor, materials, or equipment or
32 time, shall in any way be construed as establishing the validity of the Relief Request or the Claims
33 therein or method of computing any compensation or extension of Completion Deadlines.

34 **14.1.4 SCDOT Evaluation and Response to Relief Request; Negotiations**

35 14.1.4.1 SCDOT will evaluate the information presented in the Relief
36 Request and provide a written response to Contractor within forty five (45) days after its receipt.
37 If Contractor complies with the Notice and information requirements in Section 14.1.2 and Section
38 14.1.3, but SCDOT does not provide Contractor a written response within such 45-day period,
39 then, except as provided otherwise in Section 14.1.7, Contractor shall have the right to assert a
40 Claim against SCDOT for the relevant Relief Event and have such Claim determined according
41 to the Dispute Resolution Procedures.

42 14.1.4.2 If Contractor timely complies with the Notice and information
43 requirements in Section 14.1.2 and Section 14.1.2.3 and SCDOT provides a written response

1 within such 45-day period indicating that there are any matters in Dispute regarding the Relief
2 Request, then the Parties shall commence good faith negotiations to determine the matters in
3 Dispute.

4 14.1.4.3 If SCDOT or Contractor determines after engaging in good faith
5 negotiations that continuation of such negotiations is not likely to resolve the matters in Dispute,
6 then, except as provided otherwise in Section 14.1.7, Contractor may initiate the Dispute
7 Resolution Procedures.

8 **14.1.5 Final Documentation of Relief Event Claim**

9 14.1.5.1 Within thirty (30) days of the completion of Work related to a Relief
10 Event that is the subject of a Relief Request, Contractor shall submit to SCDOT the full and final
11 documentation of the Relief Event Claim. Pertinent information, references, arguments, and data
12 to support the Relief Event Claim shall be included in the full and final documentation, including
13 updated analyses, descriptions, actual amounts and impacts, specific dates for Completion
14 Deadline adjustments, and other documentation covering the same scope of information as
15 required for the Relief Request.

16 14.1.5.2 Without limiting the foregoing, if Contractor claims compensation
17 under Section 14.2, and except to the extent that said compensation is the subject of a previous
18 written agreement by the Parties to be paid as a negotiated fixed price, Contractor shall provide
19 an itemized accounting of the actual direct costs broken down in terms of labor (including burden),
20 materials, equipment, third-party fees (e.g., permit fees, plan check fees and charges) and other
21 direct costs and indirect costs, field office overhead and profit, and any other cost category
22 reasonably requested by SCDOT. The labor, materials, and equipment cost categories shall
23 account for the following items:

24 (a) Labor. A listing of individuals, classifications, regular hours and overtime
25 hours worked, dates worked, and other pertinent information related to the requested payment
26 of labor costs.

27 (b) Materials. Invoices, purchase orders, location of materials either stored or
28 incorporated into the Project, dates materials were transported to the Site or incorporated into
29 the Project, and other pertinent information related to the requested payment of material costs.

30 (c) A Contractor or Subcontractor making a Claim for a price adjustment due
31 to a Force Majeure Event must provide additional information showing the original quoted price,
32 the current market price, and evidence showing the cause and relationship between the Force
33 Majeure Event and the difference in price.

34 (d) Equipment. Listing of detailed description (make, model, and serial
35 number), hours of use, dates of use, and equipment rates. Equipment rates shall be determined
36 pursuant to Section 109.5(C) of the SCDOT Standard Specifications as of the first date when the
37 affected Work related to the Relief Event Claim was performed.

38 (e) Contractor shall submit the full and final documentation of the Relief Event
39 Claim on a standardized form approved by SCDOT and shall certify the Relief Event Claim to be
40 accurate, truthful, and complete. Information submitted after the full and final documentation
41 Submittal will not be considered. No full and final documentation of Relief Event Claim will be
42 considered that does not have the same nature, scope (except for reductions) and
43 circumstances, and basis of the Relief Event Claim, as those specified in the Relief Event Notice
44 and any supplements submitted in accordance with Section 14.1.2.3 and in the Relief Request.

14.1.6 SCDOT Response to Final Documentation; Change Order

14.1.6.1 SCDOT's failure to respond to a full and final documentation of a Relief Event Claim arising out of a Relief Event within forty five (45) days after receipt shall constitute SCDOT's rejection of the Relief Event Claim, which shall thereafter constitute a Claim subject to the Dispute Resolution Procedures.

14.1.6.2 If SCDOT finds the Relief Event Claim or any part thereof to be valid, or if the Relief Event Claim or any part thereof is deemed to be valid as a result of completion of the Dispute Resolution Procedures, SCDOT will:

(a) Deliver to Contractor Notice authorizing such partial or whole Relief Event Claim;

(b) Pay such Relief Event Claim to the extent deemed valid as to Extra Work Costs and Delay Costs, by one of the methods set forth in Section 14.2 or an amount determined to be reasonable if a price escalation due to a Force Majeure Event; and

(c) Grant a commensurate Completion Deadline adjustment, if applicable, as provided in the Contract Documents.

(d) The Parties shall thereafter promptly execute a Change Order documenting the Relief Event Claim or part thereof that SCDOT finds to be valid or that is upheld through the Dispute Resolution Procedures.

14.1.7 Waiver

Time is of the essence in Contractor's delivery of its written Relief Event Notice, supplemental Relief Event Notice and Relief Request. Accordingly:

14.1.7.1 If for any reason Contractor fails to deliver such written Relief Event Notice or supplement thereto in substantial compliance with all applicable requirements:

(a) Within forty five (45) days following the date (for purposes of this Section 14.1.7, the "starting date") on which Contractor first became aware (or should have been aware, using all reasonable due diligence) of the Relief Event (or, in the case of a supplement, the new consequences described in Section 14.1.2.3), Contractor shall be deemed to have irrevocably and forever waived and released the portion of any Claim or right to relief for adverse effect attributable to the Relief Event accruing after such 45-day deadline and until the date Contractor submits the written Relief Event Notice or supplement thereto; and

(b) Within ninety (90) days following the starting date, Contractor shall be deemed to have irrevocably and forever waived and released any and all Claim or right to relief for any adverse effect attributable to such Relief Event.

14.1.7.2 If for any reason Contractor fails to deliver such written Relief Request in substantial compliance with all applicable requirements in Section 14.1.3 within forty five (45) days after the date of the Relief Event Notice, Contractor shall be deemed to have irrevocably and forever waived and released any and all Claim or right to relief (including extension of the Term) for any adverse effect attributable to such Relief Event.

14.1.8 Open Book Basis

Contractor shall share with SCDOT all data, Documents and information, and shall conduct all discussions and negotiations, pertaining to a claimed Relief Event on an Open Book

1 Basis. Contractor shall designate all materials it considers confidential and trade secrets by
2 stamping or watermarking those Documents prior to submission.

3 **14.2 Payment for Extra Work Costs and Delay Costs**

4 **14.2.1** Except as provided otherwise in this Agreement, SCDOT will compensate
5 Contractor for Extra Work Costs and Delay Costs directly attributable to occurrence of a Relief
6 Event.

7 **14.2.2** SCDOT will compensate Contractor for amounts due for Extra Work Costs and
8 Delay Costs: (a) to the extent permitted by Law, as a lump sum payment; (b) as progress
9 payments invoiced as Work is completed; or (c) through any combination of the above, as
10 determined by SCDOT in its discretion but subject to Section 14.2.5. Subject to Section 14.3,
11 SCDOT will pay for any Extra Work Costs and Delay Costs resulting from SCDOT-Directed
12 Changes as progress payments invoiced as Work is completed.

13 **14.2.3** SCDOT will compensate Contractor as follows:

14 (a) Critical Path not affected: Allowable Contract Price Adjustment = Direct
15 Costs + (10% x Direct Costs)

16 (b) Critical Path affected: Allowable Contract Price Adjustment = Direct Costs
17 + Extended Job Site Overhead + (10% x (Direct Costs + Extended Job Site Overhead))

18 (c) Extended Job Site Overhead costs are set forth in the formula below and
19 in accordance with the following time periods:

20 (i) for Relief Events with the date of occurrence less than 950 days from the
21 issuance of NTP use 7% in the formula below for the "C" value.

22 (ii) For Relief Events with the date of occurrence greater than 950 days from
23 the issuance of NTP , use 12% in the formula below for the "C" value:

24
$$D = A \times C / B$$

25 Where: A = Original Contract Amount

26 B = Original Contract Time

27 C = 7% or 12% based on above 14.2.3 (c)

28 D = Extended Jobsite Overhead rate per calendar day for compensable delays.

29 **14.2.4** SCDOT will provide Contractor with a Notice of the method chosen for paying
30 Contractor for the amounts of Extra Work Costs and Delay Costs owed.

31 **14.2.5** Following receipt of complete and conforming Claim documentation pursuant
32 to Section 14.1.1 and Section 14.1.2, if SCDOT chooses to compensate Extra Work Costs or
33 Delay Costs, as applicable, owed under this Section 14.2:

34 (a) As a lump sum payment other than a negotiated fixed price, then payment
35 of all undisputed amounts will be due and owing not later than twenty-one (21) calendar days
36 after SCDOT's receipt of all pertinent data, Documents and information on an Open Book Basis
37 with respect to such Extra Work Costs or Delay Costs, as applicable;

1 (b) As a lump sum payment that is a negotiated fixed price, then payment(s)
2 of all undisputed amounts will be due and owing not later than not later than twenty-one (21)
3 calendar days after SCDOT receives from Contractor all documentation required pursuant to the
4 negotiated fixed price terms in order to receive scheduled payments under the negotiated fixed
5 price terms with respect to such Extra Work Costs or Delay Costs, as applicable; and

6 (c) As progress payments invoiced as Work is completed, then payment of all
7 undisputed amounts shall be due and owing not later than not later than twenty-one (21) calendar
8 days after each date SCDOT receives from Contractor an invoice, not more often than monthly,
9 of such Extra Work Costs or Delay Costs incurred, as applicable, for such Work during the
10 previous month, which invoice shall be itemized as set forth in Section 14.1.5 and by the
11 components of Extra Work Costs or Delay Costs, as applicable, allowable under Section 109.5
12 of the SCDOT Standard Specifications.

13 **14.2.6** Subject to the provisions of Section 14.2.2, if any portion of the Extra Work
14 Costs and Delay Costs consist of costs of design or construction to be performed, or other future
15 capital expenditures, then SCDOT will have no obligation to make advance payments and shall
16 have the right to pay such portion in monthly progress payments according to SCDOT's standard
17 practices and procedures for paying its contractors and applicable Laws.

18 **14.2.7** If SCDOT elects to make monthly or other periodic payments, at any later time
19 it may choose to complete compensation through a lump sum payment of the present value of
20 the remaining Extra Work Costs and Delay Costs.

21 **14.2.8** For the purpose of any discounting of future cost impacts, the Parties shall use
22 as the discount rate the then-applicable yield on U.S. Treasury bonds having a tenor closest in
23 length to the then-remaining length of the Term plus 100 basis points.

24 **14.2.9** Extra Work Costs and Delay Costs attributable to a Relief Event shall:

25 14.2.9.1 Exclude:

26 (a) Third-party entertainment costs, lobbying and political activity costs, costs
27 of alcoholic beverages, costs for first class travel in excess of prevailing economy travel costs,
28 and costs of club memberships, in each case to the extent that such costs would not be
29 reimbursed to an employee of SCDOT in the regular course of business; and

30 (b) Unallowable costs under the following provisions of the federal Contract
31 Cost Principles, 48 CFR §§ 31.205: 31.205-8 (contributions or donations), 31.205-13 (employee
32 morale, health, welfare, food service, and dormitory costs and credits), 31.205-14 (entertainment
33 costs), 31.205-15 (fines, penalties, and mischarging costs), 31.205-27 (organization costs),
34 31.205-34 (recruitment costs), 31.205-35 (relocation costs), 31.205-43 (trade, business,
35 technical and professional activity costs), 31.205-44 (training and education costs), and 31.205-
36 47 (costs related to legal and other proceedings).

37 14.2.9.2 Exclude amounts paid or to be paid to Affiliates in excess of the
38 pricing Contractor could reasonably obtain in an arms' length, competitive transaction with an
39 unaffiliated Subcontractor;

40 14.2.9.3 Exclude those costs incurred in investigating, analyzing, asserting,
41 pursuing or enforcing any Claim or Dispute, including legal, accounting, financial advisory, and
42 technical advisory fees and expenses, and including such costs in connection with preparing

1 Relief Event Notices, Relief Requests, and final documentation of Claims in respect of Relief
2 Events;

3 14.2.9.4 Consider any savings in costs or time resulting from the Relief
4 Event;

5 14.2.9.5 Be subject to Contractor's obligation to prevent and to mitigate cost
6 increases and augment cost decreases in accordance with Section 14.8; and

7 14.2.9.6 Exclude any amounts covered by applicable insurance required in
8 Exhibit 7, as more particularly provided in Section 14.5.

9 **14.2.10** SCDOT, at its election, may offset any amounts owing to Contractor in respect
10 of Relief Events against any amounts due and owing to SCDOT from Contractor pursuant to this
11 Agreement, such offset rights being in addition to SCDOT's offset rights under Section 18.2.3.

12 **14.2.11** Subject to Concurrent Delays, the Contractor shall be entitled to a Completion
13 Deadline Adjustment but shall not be entitled to recover any time-related costs for the period(s)
14 of concurrency.

15 **14.3 Insurance Deductibles**

16 **14.3.1** No insurance policy, apart from any insurance policy providing coverage for a
17 Relief Event, shall have a deductible that exceeds \$1,000,000 without SCDOT's written consent.

18 **14.3.2** No insurance policy providing coverage for a Relief Event shall have a
19 deductible for each separate occurrence of a Relief Event greater than (a) the first \$50,000 of
20 Extra Work Costs, subject to adjustment as provided in Article 14 of the Agreement; and (b) the
21 amount equal to the Delay Costs for the first ten (10) days of delay to the Critical Path due to the
22 Relief Event, subject to an aggregate cap of one hundred (100) days.

23 14.3.2.1 The deductible limitation in the preceding paragraph for a Claim
24 arising out of a Relief Event shall not apply to a Claim seeking recovery for:

25 (a) An SCDOT-Directed Change; and

26 (b) A Relief Event set forth in clauses (a), (c), (d), (h) (but only as to SCDOT
27 Releases of Hazardous Materials), (o) or (p) of the definition of Relief Event.

28 **14.4 Other Deductibles; Special Provisions**

29 Contractor's rights and remedies respecting certain Relief Events and Losses are subject
30 to the provisions of this Section 14.4. The provisions of this Section 14.4 supersede any contrary
31 provisions of this Agreement.

32 **14.4.1 Necessary Schematic ROW Changes**

33 14.4.1.1 A Necessary Schematic ROW Change shall arise only where
34 SCDOT determines within its good faith business judgment that it is not physically possible,
35 including through commercially reasonable design modifications, for Contractor to deliver the
36 Basic Configuration within the Schematic ROW.

14.4.1.2 With respect to a Necessary Schematic ROW Change:

(a) Contractor shall be entitled to Delay Costs and Completion Deadline adjustment only if (i) Contractor notifies SCDOT, by Relief Event Notice, of the Necessary Schematic ROW Change, including a reasonable identification of the subject property, within three hundred sixty (360) days after NTP, (ii) SCDOT is unable to deliver access to the necessary Additional ROW for demolition and clearing within ninety (90) days after approving the corresponding Condemnation Package, and (iii) the Delay affects the Critical Path. The percentage of Delay Costs and Completion Deadline adjustment to which Contractor shall be entitled, however, shall vary based on when Contractor delivers to the appropriate Relief Event Notice, as follows:

- i. 100% if Contractor notifies SCDOT within one hundred twenty (120) days, inclusive of NTP;
- ii. 75% if Contractor notifies SCDOT within two hundred forty (240) days, inclusive of NTP ;
- iii. 50% if Contractor notifies SCDOT within three hundred sixty (360) days, inclusive of NTP ; and
- iv. no compensation for Delay Costs and no Completion Deadline adjustment if Contractor notifies SCDOT on or after the 361st day after NTP .

(b) Contractor shall bear Extra Work Costs for ROW Services and any re-design and construction costs for the necessary Additional ROW, net of any savings in design and construction costs; and SCDOT will bear Extra Work Costs for environmental approvals, demolition and clearing, Utility Adjustments, Hazardous Materials Management and purchase price, severance damages, relocation assistance and title insurance for the necessary Additional ROW.

14.4.2 Project ROW Acquisition

If a Relief Event occurs under clause (d) of the definition of Relief Event (concerning SCDOT-Caused Delay) where SCDOT-Caused Delay is under clause (d) of such definition (concerning a time period to make available to Contractor parcels being acquired) and such Relief Event concerns Contractor-Designated ROW, then Contractor shall have no Claim to compensation, Completion Deadline adjustment or Change Order on account of, and Contractor shall have the sole risk arising out of:

(a) The refusal of any Governmental Entity that owns or controls Contractor-Designated ROW to grant necessary rights of access, entry, and use to SCDOT after SCDOT makes diligent efforts to negotiate acquisition of such Contractor-Designated ROW; or

(b) The holding by the court in any condemnation action for the taking of Contractor-Designated ROW to the effect that: (i) SCDOT's power of eminent domain does not extend to such Contractor-Designated ROW; or (ii) the proposed condemnation does not satisfy legal requirements for necessity of the taking.

14.4.3 Utility Company Delay

The following limitations apply to the Relief Event set forth in clause (f) of the definition thereof (concerning Utility Company Delay):

14.4.3.1 Contractor shall not be entitled to any Claim for Extra Work Costs relating to Utility Company Delay, except for Extra Work Costs allowable under Section 14.8.3 to mitigate Delay Costs.

14.4.3.2 Contractor is responsible for the amount equal to the Delay Costs for the first sixty (60) days of delay to the Critical Path due to each separate occurrence of a Utility Company Delay, subject to an aggregate cap of one hundred eighty (180) days.

14.4.3.3 Contractor shall not be entitled to any Claim for Delay Costs relating to Utility Company Delay described in clause (c) of the definition of Utility Company Delay unless the applicable Utility Agreement precludes an adequate damages remedy to Contractor for Utility Company Delays.

14.4.3.4 Contractor shall be entitled to Completion Deadline adjustment for Delay to the Critical Path that is directly attributable to Utility Company Delay.

14.4.4 Inaccurate Utility Information

The following limitations apply to the Relief Event set forth in clause (g) of the definition thereof (concerning Inaccurate Utility Information)

14.4.4.1 Contractor's compensation for Extra Work Costs shall be limited to the aggregate Extra Work Costs of the Utility Work that Contractor would not have incurred if the Utility Information had been reasonably accurate.

14.4.4.2 Contractor shall not be entitled to any Claim for Delay Costs.

14.4.4.3 Contractor shall be entitled to a Completion Deadline adjustment for Delay to the Critical Path that is directly attributable to Inaccurate Utility Information.

14.4.5 Hazardous Materials

14.4.5.1 This Section 14.4.5 supersedes any Relief Event other than those under clauses (h) and (i) of the definition of Relief Event that might otherwise be triggered by the presence, existence or Release of Hazardous Materials.

14.4.5.2 If there occurs any Relief Event under clause (h) or (i) of the definition of Relief Event, and if Contractor timely satisfies the terms and conditions for asserting a Relief Event set forth in Section 14.1, then SCDOT will pay the applicable Extra Work Costs directly attributable to the handling, containment, storage, treatment, transport, removal, remediation and disposal of such Hazardous Materials, subject to each of the following:

(a) Such Extra Work Costs shall be limited as set forth in Section 14.4.5.3 and shall be subject to adjustment as provided in Section 14.5.

(b) If (i) the Hazardous Materials are contained in soils or other solid materials or objects that may be returned to trenches or other areas of excavation within or adjacent to the Project ROW pursuant to regulations, policies or approvals of applicable Governmental Entities, and (ii) the excavation of such contaminated soils or other solid materials or objects is undertaken for any purpose or reason other than the fact of contamination, then the Extra Work Costs shall be limited to the reasonable out-of-pocket costs of handling such contaminated soils, materials and objects in excess of the out-of-pocket costs Contractor would incur to handle the same if they were not contaminated.

1 (c) If the Hazardous Materials are contained in soils or other solid materials
2 or objects that are removed from the Site for any purpose or reason other than the fact of
3 contamination, then the Extra Work Costs for which SCDOT is liable shall be limited to the
4 incremental increase in out-of-pocket cost to excavate, handle, contain, haul, transport, remove,
5 remediate and dispose of the soils or other solid materials or objects over the out-of-pocket cost
6 to excavate, handle, contain, haul, transport, remove, remediate and dispose of such soils or
7 other solid materials or objects if they did not contain Hazardous Materials.

8 (d) If avoidance or remediation of such Hazardous Materials is capable of
9 being accomplished under applicable Laws and Governmental Approvals through measures less
10 costly than excavation, removal and off-site disposal of contaminated soil and groundwater, or
11 less costly than return to trenches and other areas of excavation, then SCDOT will only be liable
12 for the least costly alternative. Such alternate, less costly measures may include (i) design
13 modifications and construction techniques to avoid such Hazardous Materials or reduce the
14 quantities to be excavated, handled, contained, hauled, transported, removed, remediated and
15 disposed of off-site, and (ii) on-site containment and institutional controls. If, however, Contractor
16 demonstrates that the total cost of alternate measures, including Delay Costs to be borne by
17 Contractor, will exceed the total cost of excavation, removal and off-site disposal or return to
18 trenches and other areas of excavation, including Delay Costs to be borne by Contractor, then
19 Contractor shall not be obligated to implement the alternate measure. Contractor shall respond
20 to all reasonable requests by SCDOT for supporting information regarding such cost comparison.

21 (e) The Extra Work Costs available under this Section 14.4.5.2 are subject to
22 the Claim Deductible, except with respect to SCDOT Releases of Hazardous Materials.

23 14.4.5.3 None of the following liabilities, costs, expenses and Losses shall
24 be chargeable against or reimbursable by SCDOT:

25 (a) Liabilities, costs, expenses and Losses to the extent attributable to
26 Contractor Releases of Hazardous Materials;

27 (b) Liabilities, costs, expenses and Losses that could be avoided by the
28 exercise of Good Industry Practice to mitigate and reduce cost, including exercise of Contractor's
29 duties to avoid and mitigate set forth in Section 6.8.2;

30 (c) Costs and expenses to investigate and characterize Hazardous Materials
31 (including Phase I and Phase II Environmental Site Assessments), except with respect to
32 Hazardous Materials of an unexpected and extraordinary quantity or toxicity;

33 (d) Administrative and overhead expenses and profit of Contractor or its
34 Subcontractors arising out of or relating to Hazardous Materials, except for that of the
35 Subcontractor directly performing investigation, characterization and remediation of the
36 Hazardous Materials;

37 (e) Contractor Releases of Hazardous Materials;

38 (f) Liabilities, costs, expenses and Losses incurred attributable to acts or
39 omissions of any Contractor-Related Entity that exacerbates release of, or costs to excavate,
40 handle, contain, haul, transport, remove, remediate or dispose of Hazardous Materials or
41 SCDOT Releases of Hazardous Materials;

42 (g) Liabilities, costs, expenses and Losses incurred if SCDOT is not afforded
43 the opportunity to inspect sites containing Hazardous Materials or SCDOT Releases of

1 Hazardous Materials before Contractor takes any action which would inhibit SCDOT's ability to
2 ascertain, based on a site inspection, the nature and extent of the Hazardous Materials, except
3 for Contractor's Emergency actions necessary to stabilize and contain a sudden release or
4 otherwise required by Law to immediately address the Emergency;

5 (h) Liabilities, costs, expenses and Losses with respect to Hazardous
6 Materials in, on or under Contractor-Designated ROW or Additional ROW, Replacement Utility
7 Property Interests or Additional Areas; and

8 (i) Liabilities, costs, expenses and Losses with respect to Hazardous
9 Materials in, on or under locations Contractor is required to avoid pursuant to the Technical
10 Provisions.

11 14.4.5.4 Extra Work Costs for off-site disposal of soils contaminated with
12 Hazardous Materials for which SCDOT is liable under this Section 14.4.5 shall be determined by
13 applying the same unit price (per ton or cubic yard) that applies to Contractor under the
14 Subcontract with respect to off-site disposal of Hazardous Materials of similar character for which
15 Contractor is not compensated by SCDOT. If no such unit price is stated in the Subcontract, then
16 the unit price shall not exceed the unit price SCDOT could obtain through competitive low bid
17 from a qualified contractor for such Work.

18 **14.4.6 Differing Site Conditions**

19 Contractor's entitlement to Extra Work Costs, Delay Costs and Completion Deadline
20 adjustment for Differing Site Conditions shall be subject to the following conditions.

21 **A. Definitions and References:**

- 22 1. **Differing Site Conditions consistent with 23 CFR 635.109 means subsurface or**
23 **latent physical conditions encountered at the Site during the Term of the**
24 **Agreement that (i) materially differ from those indicated in the Contract**
25 **Documents or (ii) are unknown physical conditions of an unusual nature,**
26 **differing materially from those ordinarily encountered and generally recognized**
27 **as inherent in the Work provided for in the Contract Documents.**

28 The term Differing Site Conditions specifically excludes:

- 29 a. All such subsurface, latent or surface conditions which
- 30 (i.) were known to Contractor prior to the submittal of Cost Proposals,
31 or
- 32 (ii.) would have become known to Contractor by undertaking
33 Reasonable Investigation;
- 34 b. Changes in surface topography;
- 35 c. Variations in subsurface moisture content and variations in the water table;
- 36 d. Utility facilities;
- 37 e. Hazardous Materials, including contaminated groundwater;
- 38 f. Acquisition of real property for drainage purposes; and

- 1 g. Any conditions which constitute or are caused by a Force Majeure Event.
- 2 2. **Reasonable Investigation** means the following activities by appropriate,
3 qualified professionals prior to the submission of the Cost Proposal:
- 4 a. Visit and/or visual, non-intrusive (to include enhanced equipment such
5 as drones, etc) inspection of the site and adjacent locations;
- 6 b. Review and analysis of all reference documents and publicly available
7 documents (e.g.: SCDNR, USGS, etc);
- 8 c. Review and analysis of SCDOT-provided governmental approvals or
9 permits, if any, available prior to the submission of the Cost Proposal;
- 10 d. Reasonable inquiry with real property, particularly those properties
11 indicating former gas stations/auto garages, and utility owners or
12 occupants, including request for and review of plans provided thereby, if
13 any;
- 14 e. Review and analysis of laws, regulations, rules, ordinances, etc.
15 applicable to the Project prior to the submission of the Cost Proposal;
16 and
- 17 f. Other commercially reasonable activities sufficient to familiarize
18 CONTRACTOR with surface and subsurface conditions.
- 19 g. Furthermore, CONTRACTOR hereby acknowledges and agrees that,
20 based upon the opportunity to review all available information, seek
21 reasonable additional information, visit the Project site prior to
22 submission of the Cost Proposal, and make any additional subsurface
23 explorations or soil tests that CONTRACTOR determined to have been
24 useful, in each case prior to the submission of the Cost Proposal, it has
25 assumed all risks with respect to the need to work around locations
26 impacted by Differing Site Conditions.
- 27 3. **Time Extensions** as defined in Agreement IV. B. and evaluated per Exhibit 5
28 Evaluation of Delays
- 29 4. **Contract Price Adjustments** as defined in Agreement III. B.
- 30 5. **Contract Request** as defined in Section 104 of Exhibit 5, to include Request for
31 Information, Design Change Notification, Field Change Notification, and
32 Contract Change Request.
- 33 6. **Differing Site Conditions Event** means each distinct and singular instance
34 meeting the definition and requirements of Differing Site Conditions separated
35 by time, location, Differing Site Condition type, construction type or method, or
36 any combination of these criteria.

1 7. **Differing Site Conditions Contractor Share** is the initial value of any Direct Costs
2 and any Extended Job Site Overhead attributable to each separate Differing Site
3 Conditions Event that is the responsibility of the Contractor as set forth in in the
4 following section.

5 8. **Differing Site Conditions Contractor Share Limit** is the maximum value of any
6 Direct Costs and any Extended Job Site Overhead attributable to each separate
7 Differing Site Conditions Event that is the responsibility of the Contractor as set
8 forth in in the following section.

9 **B. Relief for Differing Site Conditions**

10 Contractor's entitlement to any Contract Price Adjustment or Time Extension for Differing
11 Site Conditions shall be subject to the following conditions.

12 **1. Notification Requirements and Conditions for Differing Site Conditions**

13 a. During progress of the design and construction work, if Contractor encounters
14 Differing Site Conditions, Contractor shall notify SCDOT in writing within twenty-
15 one (21) calendar days of discovery of the condition. Contractor shall follow the
16 Contract Changes process in Section 104 of Exhibit 5 to notify SCDOT via a
17 Request for Information. Contractor shall be responsible for determining the
18 appropriate action to be undertaken, subject to concurrence by SCDOT. If any
19 Governmental Approvals specify a procedure to be followed, then Contractor shall
20 follow the procedure set forth in the Governmental Approvals.

21 b. Contractor hereby acknowledges and agrees that it has assumed all risks with
22 respect to the need to work around locations impacted by Differing Site Conditions.
23 Contractor shall bear the burden of proving that a Differing Site Condition exists,
24 and that Contractor could not reasonably have worked around the Differing Site
25 Condition so as to avoid additional cost or delay. Contractor acknowledges and
26 agrees that Contractor is responsible for performing activities to meet the standard
27 of Reasonable Investigation prior to submittal of the Cost Proposal.

28 c. Each Contract Request relating to a Differing Site Condition shall include a
29 statement setting forth all relevant assumptions made by Contractor with respect
30 to the condition of the affected area, justifying the basis for such assumptions,
31 explaining how the existing conditions differ from those assumptions, stating the
32 efforts Contractor undertook to find alternative design or construction solutions to
33 eliminate or minimize the problem and the associated costs, and that Contractor
34 and any person or entity for which Contractor is legally responsible had no actual
35 or constructive knowledge regarding such condition as of the submission of the
36 Cost Proposal and such condition would not have become known to Contractor
37 based upon a Reasonable Investigation.

38 d. Contractor shall not be entitled any Contract Price Adjustment or Time Extension
39 in connection with work stoppages in an affected area during the period of time
40 Contractor investigates conditions in the affected area unless Contractor proves
41 that a Differing Site Condition exists in the affected area.

e. Contractor shall not be entitled to any Contract Price Adjustment or Time Extension for Differing Site Conditions in, on, or under Contractor-Designated ROW or Additional ROW.

2. To the extent that a Contract Price Adjustment is allowable due to the existence of Differing Site Conditions, SCDOT and Contractor shall share the risk as follows:

a. Contractor shall be fully responsible for, and thus shall not be entitled to any Claim for Direct Costs or Extended Job Site Overhead less than or equal to the first \$500,000.00 (the Differing Site Conditions Contractor Share) incurred for changes in the work resulting from each separate Differing Site Conditions Event.

b. Contractor and SCDOT shall share equally any Direct Costs and Extended Job Site Overhead incurred in excess of the Differing Site Conditions Contractor Share, up to a cap of \$1,000,000.00 incurred from each separate Differing Site Conditions Event (the Differing Site Conditions Contractor Share Limit).

c. SCDOT shall be fully responsible for any Direct Costs and Extended Job Site Overhead incurred in excess of the Differing Site Conditions Contractor Share Limit from each separate Differing Site Conditions Event, and will issue a Change Order for the Allowable Contract Price Adjustment.

3. If Contractor encounters Differing Site Conditions for which Contractor is entitled to a Time Extension, SCDOT shall adjust contract time as follows:

a. No Time Extensions for the first 60 days delay to the critical path of each event;

b. After 60 days and up to 120 days of impacts to the critical path, a Time Extension is split equally between the Contractor and SCDOT, rounded up to the nearest full day. Exclusions for the first 60 days above still apply.

c. After 120 days, SCDOT will grant a Time Extension for each day of delay to the critical path. Exclusions for the first 120 days above still apply.

d. SCDOT shall be fully responsible for any Differing Site Conditions delay to the critical path in excess of the aggregate amount of 180 days of Differing Site Conditions delay borne by Contractor for all locations on the Project.

4. In addition to the above, if the Contractor encounters a Differing Site Condition during the last 12 months prior to a Substantial Completion, Contractor shall be entitled to a Time Extension for delay to the Critical Path that is directly attributable to that Differing Site Condition subject to Section 2 above. If Contractor is prohibited from working at a particular location due to the discovery of Differing Site Conditions for which Contractor is entitled to a Claim during the last 12 months prior to a Substantial Completion, then SCDOT will grant a Time Extension for each day of delay to the critical path.

1 **14.4.7 Change in Law**

2 14.4.7.1 New or revised State, Federal or Local statutes adopted after the
3 Setting Date that change, add to or replace applicable standards, criteria, requirements,
4 conditions, procedures and specifications, including Safety Standards relating to the D&C Work,
5 as well as revisions to the Technical Provisions to conform to such new or revised State statutes,
6 shall be treated as a Change in Law (clause (m) of the definition of Relief Event) rather than an
7 SCDOT-Directed Change to Technical Provisions; provided, however, that changes in Utility
8 Standards caused by new or revised State statutes shall constitute neither a Change in Law nor
9 an SCDOT-Directed Change.

10 **14.4.8 Change in Utility Adjustment Standards**

11 Contractor shall not be entitled to any Claim for Delay Costs due to a Change in Utility
12 Adjustment Standards.

13 **14.5 Insurance Adjustments**

14 **14.5.1** Application of insurance proceeds in the event of any Loss, damage or
15 destruction to the Project is governed by Section 11.3.

16 **14.5.2** In all other circumstances, each Claim seeking the recovery of compensation
17 for Extra Work Costs and Delay Costs, as applicable, shall be net of all insurance required to be
18 carried pursuant to the Contract Documents with respect to the Relief Event giving rise to the
19 Extra Work Costs or Delay Costs. The amount of such insurance shall be netted out before
20 determining the amount of Extra Work Costs and Delay Costs to be charged against the Claim
21 Deductible.

22 **14.6 Effect of Relief Events on Completion Deadlines, Performance, and Contractor**
23 **Default**

24 **14.6.1** Subject to satisfaction of any conditions or requirements set forth in the
25 Contract Documents, including in Section 14.4, Contractor shall be entitled to extension of
26 applicable Completion Deadlines by the period that the end of the Critical Path extends beyond
27 the original Completion Deadline due to any Relief Event Delay that Contractor cannot reasonably
28 avoid through mitigation as required under Section 14.8.

29 **14.6.2** Contractor shall not be excused from timely payment of monetary obligations
30 under this Agreement due to the occurrence of a Relief Event. Contractor shall not be excused
31 from compliance with the Contract Documents or applicable Laws due to the occurrence of a
32 Relief Event, except temporary inability to comply due solely and directly to the Relief Event.

33 **14.6.3** Contractor shall be entitled to rely upon the occurrence of a Relief Event as a
34 defense against a Contractor Default where the occurrence of the Relief Event causes such
35 Contractor Default.

36 **14.7 Exclusive Relief; Release of Claims**

37 The relief provided through agreement or pursuant to Dispute Resolution Procedures for
38 a Relief Event shall represent the sole right to compensation, damages, and other relief from the
39 adverse effects of a Relief Event. As a condition precedent to SCDOT's obligation to pay any
40 compensation amount or grant or abide by such relief, Contractor shall execute a full,

1 unconditional, irrevocable waiver and release, in form reasonably acceptable to SCDOT, of any
2 other Claims, Losses or rights to relief associated with such Relief Event that is not the subject of
3 a Dispute.

4 **14.8 Prevention and Mitigation**

5 **14.8.1** Contractor shall be entitled to the relief and protection provided under this
6 Article 14 only if the occurrence of a Relief Event and the effects of such occurrence:

7 (a) Are beyond the reasonable control of Contractor-Related Entities;

8 (b) Are not due to any act, omission, negligence, recklessness, willful
9 misconduct, fault, breach of contract, or breach of the requirements of the Contract Documents,
10 or violation of Law or a Governmental Approval of or by any of the Contractor-Related Entities;
11 and

12 (c) Could not have been avoided by the exercise of caution, due diligence or
13 reasonable efforts by Contractor-Related Entities.

14 **14.8.2** Contractor shall take all steps reasonably necessary to mitigate the
15 consequences of any Relief Event, including all steps that would generally be taken in accordance
16 with Good Industry Practice.

17 **14.8.3 Re-sequencing and Re-scheduling of Work**

18 14.8.3.1 Without limiting Section 14.8.2, Contractor shall not be entitled to
19 submit a Claim for Extra Work Costs, Delay Costs, Completion Deadline adjustment or other relief
20 for impacts that could have been avoided through re-sequencing and re-scheduling of the Work
21 or other work-around measures the cost of which is justified by equal or greater savings in Extra
22 Work Costs and Delay Costs.

23 14.8.3.2 Whenever a Relief Event occurs and Contractor submits an original
24 or supplemental Relief Event Notice for Extra Work Costs or Delay Costs, Contractor shall
25 concurrently submit to SCDOT an analysis of potential re-sequencing, rescheduling and other
26 work-around measures and a comparison of the estimated costs thereof to the estimated savings
27 in the Extra Work Costs or Delay Costs that would result.

28 14.8.3.3 Contractor shall cooperate with SCDOT thereafter to identify the
29 resequencing, re-scheduling and other work-around measures that will maximize mitigation of
30 costs to SCDOT considering the cost of potential re-sequencing, re-scheduling and other work-
31 around measures.

32 14.8.3.4 SCDOT will compensate Contractor for the reasonable costs of re-
33 sequencing, re-scheduling and other work-around measures authorized in writing by SCDOT
34 pursuant to this provision, in the same manner it compensates for Extra Work Costs and Delay
35 Costs under Section 14.2.

36 **14.8.4** Without limiting Section 14.8.3, if any claim is asserted or administrative
37 proceeding, litigation or other legal action is brought against Contractor by any third party (other
38 than a Contractor-Related Entity) seeking relief that would or could entitle Contractor to Extra
39 Work Costs, Delay Costs or Completion Deadline adjustment if determined adversely to
40 Contractor, then Contractor, at its expense, shall defend against such claim, administrative
41 proceeding, litigation or other legal action diligently and professionally, shall not interfere with or
42 resist SCDOT's intervention in the claim negotiations or administrative proceeding, litigation or

1 other legal action, and shall actively assist and cooperate with SCDOT in its defense against the
2 claim, administrative proceeding, litigation or other legal action. At the request of either Party,
3 both Parties shall enter, or cause their respective legal counsel to enter, a joint defense agreement
4 setting forth terms for their joint cooperation and defense. The Parties also may mutually choose,
5 but are not obligated, to be jointly represented by legal counsel in such administrative proceeding,
6 litigation or other legal action.

7 **14.8.5** For further mitigation obligations of Contractor respecting Hazardous Materials
8 and Recognized Environmental Conditions, refer to Section 6.8.2.

9 **14.9 Limitations**

10 **14.9.1 Acceleration Costs**

11 14.9.1.1 Acceleration Costs shall be compensable hereunder only with
12 express written direction by SCDOT to Contractor to accelerate its efforts as evidenced by a
13 Change Order issued by SCDOT.

14 14.9.1.2 Acceleration Costs are those fully documented increased costs
15 reasonably incurred by Contractor (i.e., costs over and above what Contractor would otherwise
16 have incurred) that are directly attributable to increasing the performance level of the Work in an
17 attempt to complete necessary activities of the Work earlier than otherwise anticipated, such as
18 for additional equipment, additional crews, overtime and shift premiums, increased supervision,
19 and any unexpected movement of materials, equipment, or crews necessary for resequencing in
20 connection with acceleration efforts. Acceleration Costs shall not include any costs for disruption
21 damages as described below.

22 **14.9.2 No Disruption Damages**

23 Disruption damages, whether from a single event or continual, multiple or repetitive
24 events, are not allowed or recoverable under this Agreement. Disruption damages include costs
25 of (i) rearranging Contractor's Work plan not associated with an extension of any Completion
26 Deadline, and (ii) loss of momentum.

27 **14.10 Burden of Proof**

28 Notwithstanding anything to the contrary in this Article 14, Contractor bears the burden of
29 proving both the occurrence of a Relief Event and the resulting direct and adverse impacts on
30 Contractor.

Article 15.

SCDOT-DIRECTED CHANGES; CONTRACTOR CHANGES; FORCE ACCOUNT DIRECTIVE LETTERS

15.1 SCDOT-Directed Changes

15.1.1 SCDOT's Right to Issue Change Order

15.1.1.1 SCDOT may for any reason, at any time and from time to time, without Notice to any Surety, authorize or require, pursuant to a Change Order, changes in the Work (including reductions in the scope of the Work) or in terms and conditions of the Technical Provisions (including changes in the standards applicable to the Work).

15.1.1.2 SCDOT also shall have the right to issue a Change Order for any other event that the Contract Documents expressly state shall be treated as an SCDOT-Directed Change.

15.1.1.3 Such alterations and changes shall be documented through issuance of an SCDOT-Directed Change or Force Account Directive Letter. No Document, including any field directive, shall be valid, effective or enforceable as a Change Order unless expressly identified as a "Change Order" and signed by SCDOT Construction Manager or by other SCDOT individual identified in a written Notice from SCDOT's Construction Manager to Contractor as having authority to execute Change Orders.

15.1.2 Request for Change Proposal

15.1.2.1 If SCDOT desires to issue an SCDOT-Directed Change or to evaluate whether to initiate such a change, then SCDOT may, in its discretion, issue a Request for Change Proposal to Contractor. The Request for Change Proposal shall set forth the nature, extent and details of the proposed SCDOT-Directed Change.

15.1.2.2 Within five (5) Business Days after Contractor receives a Request for Change Proposal, or such longer period to which the Parties may mutually agree, SCDOT and Contractor shall consult to define the proposed scope of the change. Within five (5) Business Days after the initial consultation, or such longer period to which the Parties may mutually agree, SCDOT and Contractor shall consult concerning the estimated financial, schedule and other impacts.

15.1.3 Response to Request for Change Proposal

As soon as possible through the exercise of diligent efforts, and in any event within sixty (60) days, following SCDOT's delivery to Contractor of the Request for Change Proposal, Contractor shall provide SCDOT with a detailed assessment of the cost, schedule, and other impacts of the proposed SCDOT-Directed Change, including the following:

(a) Contractor's detailed estimate of the impacts on costs of carrying out the proposed SCDOT-Directed Change, including any Extra Work Costs or Delay Costs;

(b) If the Change Notice is issued prior to the Final Completion Date, the effect of the proposed SCDOT-Directed Change on the Project Schedule, including achievement of the Completion Deadlines, taking into consideration Contractor's duty to mitigate any Delay; and

(c) Any other relevant information related to carrying out the proposed SCDOT-Directed Change.

15.1.4 Negotiation and Directed Changes

15.1.4.1 Following SCDOT's receipt of Contractor's detailed assessment and of such further assessment by SCDOT and its consultants of the cost, schedule and other impacts of the proposed SCDOT-Directed Change, SCDOT and Contractor shall exercise good faith efforts to negotiate a mutually acceptable Change Order, including: (a) if applicable, adjustment of the Completion Deadlines; and (b) either (i) if applicable, any Extra Work Costs or Delay Costs to which Contractor is entitled, and the timing and method for payment of any Extra Work Costs or Delay Costs (in accordance with Section 14.2.5) or (ii) if applicable, any net cost savings and schedule savings to which SCDOT is entitled under Section 15.1.6 and the timing and method for realizing such cost savings.

15.1.4.2 If SCDOT and Contractor are unable to reach agreement on a Change Order, SCDOT may, in its discretion, resolve the Dispute according to the Dispute Resolution Procedures without issuing a Force Account Directive Letter, or deliver to Contractor a Force Account Directive Letter pursuant to Section 15.3.1 directing Contractor to proceed with the performance of the Work in question notwithstanding such disagreement. Upon receipt of such Force Account Directive Letter, pending final resolution of the relevant Change Order according to the Dispute Resolution Procedures: (a) Contractor shall implement and perform the Work in question as directed by SCDOT; and (b) SCDOT will make interim payment(s) to Contractor on a monthly progress payment basis for the reasonable documented Extra Work Costs and Delay Costs in question, subject to subsequent adjustment through the Dispute Resolution Procedures.

15.1.5 Payment and Schedule Adjustment

SCDOT will be responsible for payment of the Extra Work Costs or Delay Costs agreed upon or determined through the Dispute Resolution Procedures (through one of the payment mechanisms set forth in Section 14.2.5), and the Project Schedule and Completion Deadlines shall be adjusted as agreed upon or determined through the Dispute Resolution Procedures, and in accordance with Section 14.6, to reflect the effects of the Change Order.

15.1.6 Reductive SCDOT-Directed Changes

15.1.6.1 In addition to a Request for Change Proposal, SCDOT may deliver to Contractor a written Notice that, in SCDOT's opinion, SCDOT-Directed Change will reduce Contractor costs, or save time. In such event, SCDOT may prepare an analysis and a detailed assessment of the advantageous cost and schedule impacts of the proposed SCDOT-Directed Change, either independently of or in reply to Contractor's written response, including the following:

(a) SCDOT's detailed estimate of the advantageous impacts on costs of carrying out the proposed SCDOT-Directed Change;

(b) If the written Notice is issued prior to the Final Completion Date, the effect of the proposed SCDOT-Directed Change on shortening the Project Schedule and Completion Deadlines; and

(c) Any other relevant information related to carrying out the proposed SCDOT-Directed Change.

15.1.6.2 Contractor and SCDOT thereafter shall cooperate in good faith to mutually determine the estimated net cost savings and time savings, if any, attributable to the

1 Request for Change Proposal. Any Dispute regarding such savings shall be resolved according
2 to the Dispute Resolution Procedures.

3 15.1.6.3 SCDOT will be entitled to 100% of the estimated net cost savings,
4 if any, attributable to any reductive SCDOT-Directed Change. Such net cost savings shall include
5 the net reduction, if any, in labor, material, equipment and overhead costs associated with
6 SCDOT-Directed Change. Contractor shall pay such savings to SCDOT: (a) as periodic
7 payments over the Term; (b) as an adjustment to the Monthly Disbursement over the Term; (c)
8 through a reduction in the Term; or (d) through any combination of the above, as selected by
9 SCDOT. SCDOT also may take such reduction in labor, material, equipment and overhead costs
10 as a credit against SCDOT's liability for Extra Work Costs and Delay Costs during the Term. If
11 SCDOT selects periodic payments over the Term, such payments shall be due and owing to
12 SCDOT monthly on the last day of each month.

13 **15.2 Contractor Changes**

14 **15.2.1** By submittal of a written Change Request using a form approved by SCDOT,
15 Contractor may request SCDOT to approve:

- 16 (a) Modifications to the Technical Provisions;
17 (b) Modifications to Contractor's Proposal commitments as set forth in Exhibit
18 2-3; or
19 (c) Adjustments to the Project ROW or Temporary Construction Easements
20 not already indicated in Contractor's Schematic Design.

21 The Contract Change Request shall set forth Contractor's detailed estimate of net impacts
22 (positive and negative) on costs and schedule attributable to the requested change, consistent
23 with applicable provisions of this Agreement.

24 **15.2.2** SCDOT, in its discretion (and, if it so elects, after receiving a comprehensive
25 report, at no cost to SCDOT, from an independent engineer regarding the proposed Contract
26 Change Request), may accept or reject any Contract Change Request proposed by Contractor.
27 If SCDOT accepts such Contract Change Request, Contractor shall execute a Change Order and
28 shall implement such change in accordance with the Change Order, applicable Technical
29 Provisions, the Project Management Plan, Good Industry Practice and all applicable Laws. No
30 acceptance shall be deemed to take effect unless documented in a written Change Order signed
31 by SCDOT's Authorized Representative or by his/her designee appointed in writing. No such
32 Change Order shall constitute an SCDOT-Directed Change regardless of its title, designation or
33 wording.

34 **15.2.3** Contractor shall solely bear the risk of any increase in the costs of the D&C
35 Work or other costs, and for any additional risks, resulting from a Contract Change Request
36 accepted by SCDOT. Contractor shall not be entitled to any extension of the Project Schedule
37 and Completion Deadlines for Delays or other impacts resulting from a Contract Change Request
38 accepted by SCDOT.

39 **15.2.4** Reserved

40 **15.2.5** Reserved

1 **15.2.6** Contractor may implement and permit a Utility Company to implement, without
2 a Change Request or Change Order, changes to a Utility Adjustment design that do not vary from
3 the Technical Provisions.

4 **15.2.7** No Change Request shall be required to implement any change to the Work
5 that is not a Deviation and is not specifically regulated or addressed by the Contract Documents
6 or applicable Law.

7 **15.2.8** Certain minor changes without significant cost savings may be approved in
8 writing by SCDOT as Deviations, as described in Section 6.2.4, and in such event shall not require
9 a Change Order. Any other change in the requirements of the Contract Documents shall require
10 a Change Order.

11 **15.3 Force Account Directive Letters**

12 **15.3.1** SCDOT may at any time issue a Force Account Directive Letter to Contractor
13 regarding any matter for which a Change Order can be issued or in the event of any Dispute
14 regarding the scope of the Work or whether Contractor has performed in accordance with the
15 requirements of the Contract Documents. No Document, including any field directive, shall be
16 valid, effective or enforceable as a Directive Letter unless expressly identified as a "Force Account
17 Directive Letter" and signed by SCDOT Project director.

18 **15.3.2** The Force Account Directive Letter will describe the Work in question and, if
19 the Force Account Directive Letter concerns a matter for which a Change Order can or will be
20 issued, will provide for, as applicable, payment of Extra Work Costs and Delay Costs, if any, or
21 reductions in compensation, if any, and schedule adjustment, if any, directly attributable to such
22 matters.

23 **15.3.3** Contractor shall proceed immediately as directed in the Force Account
24 Directive Letter, pending the execution of a formal Change Order (or, if the Force Account
25 Directive Letter states that the Work is within Contractor's original scope of Work or is necessary
26 to comply with the requirements of the Contract Documents, Contractor shall proceed with the
27 Work as directed but shall have the right to assert a Claim that an SCDOT-Directed Change has
28 occurred).

29 **15.3.4** The fact that a Force Account Directive Letter was issued by SCDOT will not
30 be considered evidence that in fact an SCDOT-Directed Change occurred. The determination
31 whether an SCDOT-Directed Change in fact occurred shall be based on an analysis of the original
32 requirements of the Contract Documents and a determination as to whether the Force Account
33 Directive Letter in fact constituted a change in those requirements.

Article 16.
RAILROAD- N/A for this PROJECT

Article 17.
SUSPENSION

17.1 Suspensions for Convenience

17.1.1 SCDOT may, at any time and for any reason order Contractor to suspend all or any part of the Work required under the Contract Documents for the period of time that SCDOT deems appropriate for the convenience of SCDOT by issuing a suspension order. Contractor shall promptly comply with any such suspension order issued by SCDOT. Contractor shall promptly recommence the Work upon receipt of Notice from SCDOT directing Contractor to resume Work.

17.1.2 Any such suspension for convenience shall be considered an SCDOT-Directed Change. Contract Price adjustments and Completion Deadline adjustments shall be available to Contractor for such SCDOT-Directed Changes, subject to Contractor's compliance with the terms and conditions set forth in Article 14.

17.2 Suspensions for Cause

17.2.1 SCDOT has the right and authority to suspend for cause any affected portion of the Work by order to Contractor, regardless of whether a Default Event has been declared or any cure period (other than any cure period provided below in this Section 17.2.1) has not yet lapsed:

(a) The existence of conditions unsafe for workers, other Project personnel or the general public, including but not limited to a failure to comply with any provision of the Safety Management Plan;

(b) The failure to comply with any Law or Governmental Approval (including failure to implement protective measures for Threatened or Endangered Species, failure to handle, preserve and protect archeological, paleontological or historic resources, or failure to handle Hazardous Materials, in accordance with applicable Laws and Governmental Approvals, including the NEPA Approval);

(c) The discovery of Nonconforming Work or of any activity that is proceeding or about to proceed that would constitute or cause Nonconforming Work, where the Nonconforming Work or activity is not substantially cured within fifteen (15) Business Days after SCDOT delivers written Notice thereof to Contractor, unless Contractor demonstrates to SCDOT's reasonable satisfaction that full and complete cure of the Nonconforming Work, and verification of such cure, will remain practicable despite continuation of Work without suspension;

(d) Contractor's failure to pay in full when due sums owing any Subcontractor for services, materials or equipment, except only for retainage provided in the relevant Subcontract and amounts in Dispute;

(e) Failure to carry out and comply with Force Account Directive Letters, where such failure is not substantially cured within fifteen (15) Business Days after SCDOT delivers written Notice thereof to Contractor;

1 (f) Failure to replace or remove personnel as set forth in Section 9.6 and
2 Section 9.8.3, as applicable, where such failure is not substantially cured within thirty (30) days
3 after SCDOT delivers written Notice thereof to Contractor;

4 (g) Failure to provide proof of required insurance coverage as set forth in
5 Section 11.1.7 (which suspension is also available in the case of such failure following a written
6 request rather than Notice of a Contractor Default, as set forth in Section 11.1.7);

7 (h) Other failure to perform the Work in compliance with, or other breach of,
8 the Contract Documents, where such failure is not substantially cured within fifteen (15) Business
9 Days after SCDOT delivers Notice thereof to Contractor;

10 (i) Failure to deliver or maintain the Payment Bond, Performance Bond, or
11 Warranty Bond, and any other bonds or other security required hereunder;

12 (j) Failure to comply with any provision of the Quality Management Plan,
13 where such failure is not substantially cured within fifteen (15) Business Days after SCDOT
14 delivers written Notice thereof to Contractor; or

15 (k) If at any time SCDOT gives Contractor Notice of SCDOT's determination
16 that Contractor is in violation of any of its DBE or OJT commitments and obligations, that
17 Contractor's DBE or OJT utilization and Good Faith Efforts to meet the DBE Goals or OJT Goals
18 are inconsistent with Contractor's DBE or OJT commitments and obligations, or that Contractor
19 is failing to undertake Good Faith Efforts with respect to either the DBE Goals or OJT Goals, and
20 the matter is not cured or the determination is not reversed upon any administrative
21 reconsideration pursuant to Section 9.2.6.

22 **17.2.2** Contractor shall promptly comply with any such suspension order even if
23 Contractor disputes the grounds for suspension. SCDOT will lift the suspension order after
24 Contractor fully cures and corrects the applicable breach or failure to perform or all other reasons
25 for the suspension order cease to apply. Contractor shall promptly recommence the Work upon
26 receipt of Notice from SCDOT directing Contractor to resume Work. SCDOT will have no liability
27 to Contractor, and Contractor shall have no right to additional compensation or Completion
28 Deadline adjustment in connection with any suspension of Work properly founded on any of the
29 grounds set forth in Section 17.2.1. If SCDOT orders suspension of Work on one of the foregoing
30 grounds but it is finally determined under the Dispute Resolution Procedures that such grounds
31 did not exist, the suspension shall be treated as a suspension for SCDOT's convenience under
32 Section 17.1.

33 **17.3 Responsibilities of Contractor during Suspension Periods**

34 **17.3.1** During periods in which Work is suspended, Contractor shall make passable,
35 place in a maintainable condition and shall open to traffic such portions of the Project and
36 temporary roadways as may be agreed upon between SCDOT and Contractor for temporary
37 accommodation of traffic during the anticipated period of suspension.

38 **17.3.2** Without limiting the generality of the foregoing, Contractor shall specifically
39 provide for drainage, protect any Known or Suspected Hazardous Materials or known or
40 suspected archaeological, paleontological, biological, or cultural resources (including taking
41 affirmative steps to protect the Site from vandalism and unauthorized investigations), protect the
42 Site from accidental damage, and shall erect necessary temporary structures, signs or other
43 facilities required to maintain the Project.

1 **17.3.3** Contractor shall also maintain all Insurance Policies, P&P Bonds, the Warranty
2 Bond (if placed), letters of credit and other security for payment or performance placed or required
3 to be in placed under this Agreement and to comply with all applicable Governmental Approvals
4 and applicable Laws. Contractor shall, unless otherwise directed by SCDOT, continue to be
5 responsible for traffic control, erosion control, and maintenance of the roadway in accordance
6 with this Agreement.

7 **17.3.4** Additionally, Contractor shall continue other Work that has been or can be
8 performed at the Site or offsite during the period that Work is suspended.

Article 18.
DEFAULT; REMEDIES

18.1 Default of Contractor

18.1.1 Events and Conditions Constituting Default

Contractor shall be in Material Breach under this Agreement upon the occurrence of any one or more of the following events or conditions (each a "Contractor Default"):

(a) Contractor (i) fails to begin Work authorized by NTP within thirty (30) days following issuance of NTP, or (ii) fails to satisfy all conditions to commencement of the Construction Work and commence the Construction Work with diligence and continuity.

(b) Contractor fails to achieve Substantial Completion or Final Completion by the applicable Completion Deadline, as the same may be extended pursuant to this Agreement.

(c) Contractor fails to perform the Work in accordance with the Contract Documents, including conforming to applicable standards set forth therein in design.

(d) Contractor refuses to remove, replace, or correct rejected materials, Nonconforming or unacceptable Work.

(e) Contractor suspends, ceases, stops or Abandons the Work or fails to continuously and diligently prosecute the Work unless the work stoppage is (i) due to termination by SCDOT, or (ii) due to and during the continuance of a Force Majeure Event or suspension by SCDOT, or (iii) due to and during the continuance of any work stoppage under Section 18.7). If the suspension is due to reasons (i)-(iii), Contractor shall be in default if fails to resume performance or prosecute the Work within fifteen (15) days after the cessation of the same as determined in SCDOT

(f) Contractor fails to comply with applicable Governmental Approvals and Laws, including the Federal Requirements.

(g) Contractor (or any of Contractor's Subcontractors that are so required) fails to obtain, provide and maintain any insurance, bonds, or other performance security as and when required under this Agreement for the benefit of relevant parties, or fails to comply with any requirement of this Agreement pertaining to the amount, terms or coverage of the same;

(h) Contractor makes or attempts to make or suffers a voluntary or involuntary assignment or transfer of all or any portion of this Agreement in violation of Section 24.4.

(i) Contractor fails, absent a valid Dispute, to make payment when due for labor, equipment, materials or property in accordance with its agreements with Subcontractors, Suppliers and Utility Companies and in accordance with applicable Laws or fails to make payment to SCDOT when due of any amounts owing to SCDOT under this Agreement.

(j) Contractor materially fails to timely observe or perform or cause to be observed or performed any other material covenant, agreement, obligation, term or condition required to be observed or performed by Contractor under the Contract Documents.

(k) Any representation or warranty in the Contract Documents made by Contractor, or any certificate, schedule, report, instrument or other Document delivered by or on

1 behalf of Contractor to SCDOT pursuant to the Contract Documents is false or materially
2 misleading or inaccurate when made or omits material information when made.

3 (l) Contractor commences a voluntary case seeking liquidation,
4 reorganization or other relief with respect to itself or its debts under any U.S. or foreign bankruptcy,
5 insolvency or other similar Law now or hereafter in effect, seeks the appointment of a trustee,
6 receiver, liquidator, custodian or other similar official of it or any substantial part of its assets;
7 becomes insolvent, or generally does not pay its debts as they become due; admits in writing its
8 inability to pay its debts; makes an assignment for the benefit of creditors; or takes any action to
9 authorize any of the foregoing.

10 (m) An involuntary case is commenced against Contractor seeking liquidation,
11 reorganization, dissolution, winding up, a composition or arrangement with creditors, a
12 readjustment of debts or other relief with respect to Contractor or Contractor's debts under any
13 U.S. or foreign bankruptcy, insolvency or other similar Law now or hereafter in effect; seeking
14 the appointment of a trustee, receiver, liquidator, custodian or other similar official of Contractor
15 or any substantial part of Contractor's assets; seeking the issuance of a writ of attachment,
16 execution, or similar process; or seeking like relief, and such involuntary case shall not be
17 contested by Contractor in good faith or shall remain undismissed and unstayed for a period of
18 sixty (60) days.

19 (n) In any voluntary or involuntary case seeking liquidation, reorganization or
20 other relief with respect to Contractor or its debts under any U.S. or foreign bankruptcy,
21 insolvency or other similar Law now or hereafter in effect, this Agreement or any of the other
22 Contract Documents is rejected, including a rejection pursuant to 11 USC § 365 or any successor
23 statute.

24 (o) Reserved

25 (p) Any final judgment is issued holding Contractor liable for any acts or
26 omissions reflecting a lack of business integrity or business honesty, including, but not limited to,
27 acts or omissions involving fraud, deceit, embezzlement, theft, forgery, bribery, falsification or
28 destruction of records, bid rigging, price fixing, making false statements, receiving stolen property,
29 anti-trust violations, making false claims, making an unlawful payment or gratuity, obstruction of
30 justice, violation of ethical standards or conspiracy to commit any of the above.

31 (q) Any final judgment to remain unsatisfied for a period that, in SCDOT's
32 judgment, poses a material adverse effect on Contractor's ability to perform the scope of the
33 Work, and, in particular, to pay for its obligations to SCDOT, Subcontractors, and Suppliers in
34 connection therewith.

35 (r) Conviction of any crime reflecting a lack of business integrity or business
36 honesty, including but not limited to, crimes involving fraud, deceit, embezzlement, theft, forgery,
37 bribery, falsification or destruction of records, bid rigging, price fixing, making false statement,
38 receiving stolen property, anti-trust violations, making false claims, making any unlawful payment
39 or gratuity, obstruction of justice, violation of ethical standards or conspiracy to commit any of the
40 above.

41 (s) Any final administrative decisions by any governmental agency
42 responsible for supervising or regulating public contracts, standards of ethical conduct or
43 licensure for any acts or omissions involving fraud, deceit, embezzlement, theft, forgery, bribery,
44 falsification or destruction of records, bid rigging, price fixing, making false statements, receiving

1 stolen property, anti-trust violations, making false claims, making an unlawful payment or gratuity,
2 obstruction of justice, violation of ethical standards or conspiracy to commit any of the above.

3 (t) Contractor fails to resume performance that has been suspended or
4 stopped, within the time specified in the originating notification after receipt of Notice from SCDOT
5 to do so or (if applicable) after cessation of the event preventing performance.

6 (u) Any disqualification, suspension or debarment (distinguished from
7 ineligibility due to lack of financial qualifications), or there goes into effect an agreement for
8 voluntary exclusion, from bidding, proposing or contracting with any federal or State department
9 or agency of (a) Contractor, (b) any Affiliate of Contractor (as "Affiliate" is defined in 29 CFR §
10 105 or successor regulation of similar import), or (c) any Equity Member.

11 (v) There occurs a Material Breach of the Contract Documents.

12 (w) There occurs any closure of the Project or any portion thereof, or any Lane
13 Closure, except as expressly permitted otherwise or expressly excused under this Agreement,
14 the Technical Provisions and SCDOT-approved Traffic Management Plan.

15 (x) Contractor fails to comply with SCDOT's written suspension of Work order
16 issued in accordance with Section 17.2.1 within the time reasonably allowed in such order.

17 (y) There occurs any use of the Project or Airspace or any portion thereof in
18 violation of this Agreement, the Technical Provisions, Governmental Approvals or Laws (except
19 violations of Law by Persons other than Contractor-Related Entities).

20 **18.1.2 Notice and Opportunity to Cure**

21 For the purpose of SCDOT's exercise of other remedies, and subject to remedies that this
22 Article 18 expressly states may be exercised before lapse of a cure period, Contractor shall have
23 the following cure periods with respect to the following Contractor Defaults:

24 (a) Respecting a Contractor Default under clause (t) of Section 18.1.1, a
25 period of five (5) days after SCDOT delivers to Contractor written Notice of the Contractor
26 Default;

27 (b) Respecting a Contractor Default under clause (b) of Section 18.1.1, the
28 cure period is set forth in Section 18.3.1.

29 (c) Respecting a Contractor Default under clauses (a), (d), (f) through (h),(k),
30 (o), (p), (r) and (s) of Section 18.1.1, a period of fifteen (15) days after SCDOT delivers to
31 Contractor Notice of the Contractor Default; provided, however, that with respect to a Contractor
32 Default under clause (f) of Section 18.1.1, (i) SCDOT will have the right, but not the obligation,
33 to effect cure, at Contractor's expense, if such Contractor Default under clause (f) of Section
34 18.1.1 continues beyond five (5) days after such Notice is delivered, and (ii) Contractor may
35 effect a temporary cure of failure to deliver replacement Warranty Bonds, and obtain an
36 additional one hundred twenty (120) days to effect full cure;

37 (d) Respecting a Contractor Default under clauses (c), (e), (i), (j), (u) and (v)
38 of Section 18.1.1, a period of thirty (30) days after SCDOT delivers to Contractor Notice of the
39 Contractor Default; provided, however, that: (i) if the Contractor Default is of such a nature that
40 the cure cannot with diligence be completed within such time period and Contractor has
41 commenced meaningful steps to cure immediately after receiving the default Notice, Contractor
42 shall have such additional period of time, up to a maximum cure period of sixty (60) days, as is

1 reasonably necessary to diligently effect cure; and (ii) as to clause (i), cure will be regarded as
2 complete when the adverse effects of the breach are cured;

3 (e) SCDOT may extend the right to cure period for all subparagraphs, except
4 as provided in Section 18.1.2(f) herein if, in SCDOT's discretion, Contractor is diligently
5 attempting to cure the default. The decision to grant or deny an extension does not waive any
6 other right SCDOT may have in relation to the Contractor Default; and

7 (f) Respecting a Contractor Default under clauses (l), (m), and (n) of Section
8 18.1.1, no cure period.

9 **18.1.3 Declaration of Default Event**

10 If any event or condition described in Section 18.1.1 is not subject to cure or is not cured
11 within the period (if any) specified in Section 18.1.2, SCDOT may declare that a "Default Event"
12 has occurred. The declaration of a Default Event shall be in writing and given to Contractor and
13 the Surety.

14 **18.2 SCDOT Remedies for Contractor Default**

15 **18.2.1 Termination for Default**

16 18.2.1.1 Subject to Section 18.3, in the event of any Contractor Default that
17 is or becomes a Default Event, SCDOT may terminate this Agreement or a portion thereof for
18 default, including Contractor's rights of entry upon and control of the Project. Such termination
19 shall be effective upon delivering Notice of termination or any other date specified in such Notice,
20 which Notice may be included in the declaration of the Default Event. If this Agreement or a portion
21 thereof is so terminated for a Default Event, SCDOT will have the following rights without further
22 Notice and without waiving or releasing Contractor from any obligations and Contractor shall have
23 the following obligations (as applicable):

24 (a) SCDOT may deduct from any amounts (including interest thereon as
25 permitted under this Agreement) payable by SCDOT to Contractor such amounts payable by
26 Contractor to SCDOT, including reimbursements owing, Liquidated Damages, amounts SCDOT
27 deems advisable to cover any existing or threatened claims and stop Notices of Subcontractors,
28 laborers or other Persons, amounts of any Losses that have accrued, the cost to complete or
29 remediate uncompleted Work or Nonconforming Work, interest owing SCDOT under this
30 Agreement, or other damages or amounts that SCDOT has determined are or may be payable
31 to SCDOT under the Contract Documents.

32 (b) SCDOT will have the right, but not the obligation, to pay such amount or
33 perform such act as may then be required from Contractor under the Contract Documents or
34 Subcontracts.

35 (c) SCDOT may appropriate any or all materials, supplies and equipment on
36 the Site as may be suitable and acceptable and may direct the Surety to complete this Agreement
37 or may enter into an agreement for the completion of this Agreement according to the terms and
38 provisions hereof with another contractor or the Surety, or use such other methods as may be
39 required for the completion of the Work and the requirements of the Contract Documents,
40 including completion of the Work by SCDOT.

41 (d) If SCDOT exercises any right to perform any obligations of Contractor, in
42 the exercise of such right SCDOT may, but is not obligated to, among other things: (1) perform

or attempt to perform, or cause to be performed, such Work; (2) spend such sums as SCDOT deems necessary and reasonable to employ and pay such architects, engineers, consultants and contractors and obtain materials and equipment as may be required for the purpose of completing such Work; (3) execute all applications, certificates and other Documents as may be required for completing the Work; (4) modify or terminate any contractual arrangements; (5) take any and all other actions that it may in its discretion consider necessary to complete the Work; and (6) prosecute and defend any action or proceeding incident to the Work.

18.2.1.2 Contractor shall be jointly and severally liable to SCDOT for all costs reasonably incurred by SCDOT or any Person acting on SCDOT's behalf in completing the Work or having the Work completed by another Person (including any re-procurement costs, throw away costs for unused portions of the completed Work, and increased financing costs). SCDOT will be entitled to withhold all or any portion of further payments to Contractor until such time as SCDOT is able to determine (a) how much, if any, remains payable to Contractor and (b) the amount payable by Contractor to SCDOT in connection with SCDOT's damages and Claims against Contractor-Related Entities or as otherwise required by the Contract Documents. Promptly following the date set forth in the preceding sentence, the total cost of all completed Work shall be determined, and SCDOT will notify Contractor of the amount, if any, that Contractor shall pay SCDOT or SCDOT will pay Contractor or its Surety with respect thereto. SCDOT's Recoverable Costs will be deducted from any moneys due, or which may become due Contractor or its Surety. If such expense exceeds the sum which would have been payable to Contractor under this Agreement, then Contractor shall be liable and shall pay to SCDOT the amount of such excess.

18.2.1.3 In lieu of the provisions of this Section 18.2.1 for terminating this Agreement for default and completing the Work, SCDOT may, in its discretion, pay Contractor for the parts already done according to the provisions of the Contract Documents and Contractor may treat the parts remaining undone as if they had never been included or contemplated by this Agreement. No Claim under this Section 18.2.1.3 will be allowed for prospective profits on, or any other compensation relating to, Work uncompleted by Contractor.

18.2.1.4 If this Agreement is terminated for grounds which are later determined not to justify a termination for default, such termination shall be deemed to constitute a Termination for Convenience pursuant to Article 23.

18.2.2 Contractor Defaults Related to Safety

Notwithstanding anything to the contrary in this Agreement, if in the good faith judgment of SCDOT a Contractor Default results in an Emergency or danger to persons or property, and if Contractor is not then diligently taking all necessary steps to rectify or deal with such Emergency or danger, SCDOT may, without Notice and without awaiting lapse of the period to cure any breach, and in addition and without prejudice to its other remedies, (but is not obligated to): (a) immediately take such action as may be reasonably necessary to rectify the Emergency or danger, in which event Contractor shall pay to SCDOT on demand the cost of such action, including SCDOT's Recoverable Costs; or (b) suspend the Work or close or cause to be closed any and all portions of the Project affected by the Emergency or danger. So long as SCDOT undertakes such action in good faith, even if under a mistaken belief in the occurrence of such failure or existence of an Emergency or danger as a result thereof, such action shall not be deemed unlawful or a breach of this Agreement, shall not expose SCDOT to any liability to Contractor and shall not entitle Contractor to any other remedy, it being acknowledged that SCDOT has a high priority, paramount public interest in protecting public and worker safety at the Project and adjacent and connecting areas. SCDOT's good faith determination of the existence

1 of such a failure, Emergency or danger shall be deemed conclusive in the absence of clear and
2 convincing evidence to the contrary. Immediately following rectification of such Emergency or
3 danger, as determined by SCDOT, acting reasonably, SCDOT will allow the Work to continue or
4 such portions of the Project to reopen, as the case may be.

5 **18.2.3 Damages; Offset**

6 18.2.3.1 Subject to Article 19, SCDOT will be entitled to recover any and all
7 damages available at Law (subject to the duty at Law to mitigate damages) on account of the
8 occurrence of a Contractor Default. Contractor shall owe any such damages that accrue after the
9 occurrence of the Contractor Default and the delivery of Notice thereof, if any, required by this
10 Agreement regardless of whether the Contractor Default is subsequently cured or ripens into a
11 Default Event.

12 18.2.3.2 Contractor and Sureties shall not be relieved of liability for
13 continuing Liquidated Damages on account of a Contractor Default nor by SCDOT's declaration
14 of a Default Event, or by actions taken by SCDOT under this Section 18.2.

15 18.2.3.3 SCDOT's remedies with respect to Nonconforming Work shall
16 include the right to accept such Work and receive payment as provided in Section 6.7 in lieu of
17 the remedies specified in this Section 18.2.

18 18.2.3.4 Where this Agreement is not terminated, damages include: (a)
19 costs SCDOT incurs to complete the Design Work and Construction Work in excess of the
20 Contract Price and amounts due under Change Orders, not previously paid to Contractor; (b)
21 compensation and reimbursements due but unpaid to SCDOT under the Contract Documents; (c)
22 costs to remedy any defective part of the Work; and (d) costs to rectify any breach or failure to
23 perform by Contractor or to bring the condition of the Project to that required by the Contract
24 Documents.

25 18.2.3.5 If the amount of damages owing SCDOT is not liquidated or known
26 with certainty at the time a payment is due from SCDOT to Contractor, SCDOT may deduct and
27 offset up to 105% of the amount it reasonably estimates will be due, subject to SCDOT's obligation
28 to adjust such deduction or offset when the amount of damages owing SCDOT is liquidated or
29 becomes known with certainty.

30 **18.2.4 Performance Security**

31 Upon the occurrence of a Default Event and without waiving or releasing Contractor from
32 any obligations, SCDOT will be entitled to make demand upon and enforce any bond, and make
33 demand upon, draw on and enforce and collect any letter of credit, or other performance security
34 available to SCDOT under this Agreement with respect to the Default Event in question. Where
35 access to a bond, letter of credit or other performance security is to satisfy damages owing,
36 SCDOT will be entitled to make demand, draw, enforce and collect, regardless of whether the
37 Default Event is subsequently cured. SCDOT will apply the proceeds of any such action to the
38 satisfaction of Contractor's obligations under this Agreement, including payment of amounts due
39 SCDOT. The foregoing does not limit or affect SCDOT's right to give Notice to or make demand
40 upon and enforce any bond, and make demand upon, draw on and enforce and collect any letter
41 of credit or other performance security, immediately after SCDOT is entitled to do so under the
42 bond, letter of credit or other performance security.

18.2.5 Other Rights and Remedies; Cumulative Remedies

Subject to Section 19.8, SCDOT will also be entitled to exercise any other rights and remedies available under this Agreement, or available at Law or in equity, and each right and remedy of SCDOT hereunder shall be cumulative and shall be in addition to every other right or remedy provided herein or now or hereafter existing at Law or in equity or by statute or otherwise, and the exercise or beginning of the exercise by SCDOT of any one or more of any of such rights or remedies shall not preclude the simultaneous or later exercise by SCDOT of any or all other such rights or remedies.

18.2.6 Warning Notices

18.2.6.1 Warning Notice Events

Without prejudice to any other right or remedy available to SCDOT, SCDOT may, but in no case shall be required to, deliver a Notice (a "Warning Notice") to Contractor stating explicitly that it is a "Warning Notice" and stating in reasonable detail the matter or matters giving rise to the Warning Notice and, if applicable, amounts due from Contractor, and reminding Contractor of the implications of such Warning Notice, whenever there occurs a Contractor Default.

18.2.6.2 Effect of Warning Notice on Contractor Cure Period

(a) Any Notice of a Contractor Default issued under Section 18.1.2 may, if it concerns a matter under Section 18.2.6.1, also be issued as a Warning Notice. In such case, the cure period available to Contractor, if any, shall be as set forth in Section 18.1.2.

(b) If SCDOT issues a Warning Notice for any Contractor Default after it issues a Notice of such Contractor Default, then the cure period available to Contractor, if any, for such Contractor Default before SCDOT may seek to appoint a receiver for Contractor, remove Contractor or terminate this Agreement on account of such Contractor Default shall be extended by the time period between the date the Notice of such Contractor Default was issued and the date the Warning Notice is issued. No later issuance of a Warning Notice shall extend the time when SCDOT may exercise any other remedy respecting such Contractor Default.

18.2.6.3 Other Effects of Warning Notice

(a) The issuance of a Warning Notice shall entitle SCDOT to increase the level of Oversight.

(b) The issuance of a Warning Notice may trigger a Material Breach.

18.3 Default Event Due Solely to Contractor's Failure to Achieve Completion Deadlines

18.3.1 If a Default Event consists solely of Contractor's failure to achieve Substantial Completion or Final Completion by the applicable Completion Deadline, SCDOT's sole remedy shall be to assess Liquidated Damages provided that such Default Event does not delay Substantial Completion one hundred eighty (180) days beyond the Substantial Completion Deadline or Final Completion beyond ninety (90) days after the Final Completion Deadline, as applicable exclusive of any days during which Contractor continues to diligently perform the Work despite the Default Event. Nothing in this Section 18.3 shall prejudice any other rights or remedies that SCDOT may have due to any other Default Event during such 180-day period or 90-day period, as applicable.

1 **18.3.2** If Substantial Completion or Final Completion of the Project has not occurred
2 within one hundred eighty (180) days or ninety (90) days, respectively, of the applicable
3 Completion Deadline, and Contractor has not continued to diligently perform the Work despite the
4 Default Event, SCDOT will have the right to continue to assess Liquidated Damages subject only
5 to the limitations set forth in Section 19.1; or exercise any other right or remedy under this
6 Agreement, at Law or in equity.

7 **18.4 Immediate SCDOT Entry to Cure Wrongful Use or Closure**

8 **18.4.1** Without prior Notice and without awaiting lapse of the period to cure, if any
9 Contractor Default occurs under clause (w) or (y) of Section 18.1.1, SCDOT may enter and take
10 control of the relevant portion of the Project to reopen and continue traffic operations for the
11 benefit of the public and restore the permitted uses, until such time as such Contractor Default is
12 cured or SCDOT terminates this Agreement.

13 **18.4.2** Contractor shall pay to SCDOT on demand SCDOT's Recoverable Costs in
14 connection with such action.

15 **18.4.3** So long as SCDOT undertakes such action in good faith, even if under a
16 mistaken belief in the occurrence of such a Contractor Default, such action shall not be deemed
17 unlawful or a breach of this Agreement, shall not expose SCDOT to any liability to Contractor and
18 shall not entitle Contractor to any other remedy except if SCDOT's action constitutes gross
19 negligence, recklessness or willful misconduct. Contractor acknowledges that SCDOT has a high
20 priority, paramount public interest in maintaining continuous public access to the Project and
21 maintaining the authorized uses of the Project. SCDOT's good faith determination that such
22 action is needed shall be deemed conclusive in the absence of clear and convincing evidence to
23 the contrary.

24 **18.4.4** Immediately following rectification of such Contractor Default, as determined
25 by SCDOT, acting reasonably, SCDOT will relinquish control of the relevant portion of the Project
26 back to Contractor.

27 **18.4.5** Notwithstanding the foregoing, SCDOT will rely solely on Liquidated Damages
28 under Section 19.2 (as applicable) for a Lane Closure that is not a Permitted Closure occurring
29 and lasting not more than one hour after the expiration of the time period for a Permitted Closure.

30 **18.5 SCDOT Step-in Rights**

31 **18.5.1** SCDOT may exercise its step-in rights on the terms and conditions set forth in
32 this Section 18.5:

33 (a) If a Contractor Default has occurred;

34 (b) If the cure period, if any, available to Contractor under Section 18.1.2, or
35 any shorter period specified in Section 18.1.2, has expired without full and complete cure by
36 Contractor; and

37 (c) Without necessity for declaration of a Default Event.

38 **18.5.2** SCDOT will have the right, but not the obligation, to pay and perform all or any
39 portion of Contractor's obligations and the Work that are the subject of such Contractor Default,
40 as well as any other then-existing Contractor Defaults or failures to perform for which Contractor
41 received prior written Notice from SCDOT but has not commenced or does not continue diligent

1 efforts to cure. Exercise of such cure rights shall not waive or release Contractor from any
2 obligations.

3 **18.5.3** SCDOT may, to the extent reasonably required for or incident to curing the
4 Contractor Default or such other Contractor Defaults or failures to perform:

5 (a) Perform or attempt to perform, or caused to be performed, such Work;

6 (b) Employ security guards and other safeguards to protect the Project;

7 (c) Spend such sums as SCDOT deems reasonably necessary to employ and
8 pay such architects, engineers, consultants and contractors and obtain materials and equipment
9 as may be required to perform such Work, without obligation or liability to Contractor or any
10 Subcontractors for loss of opportunity to perform the same Work or supply the same materials
11 and equipment;

12 (d) In accordance with Section 18.2.4, draw on and use proceeds from the
13 Payment Bond and Performance Bond and any other available security to the extent such
14 instruments provide recourse to pay such sums;

15 (e) Execute all applications, certificates and other Documents as may be
16 required;

17 (f) Make decisions respecting, assume control over and continue Work as
18 may be reasonably required;

19 (g) Modify or terminate any contractual arrangements in SCDOT's good faith
20 discretion, without liability for termination fees, costs or other charges;

21 (h) Meet with, coordinate with, direct and instruct contractors and Suppliers,
22 process invoices and applications for payment from contractors and Suppliers, pay contractors
23 and Suppliers, and resolve claims of contractors, Subcontractors and Suppliers, and for this
24 purpose Contractor irrevocably appoints SCDOT as its attorney-in-fact with full power and
25 authority to act for and bind Contractor in its place and stead;

26 (i) Take all other actions it may in its discretion consider necessary to effect
27 cure and perform the Work; and

28 (j) Prosecute and defend any action or proceeding incident to the Work.

29 **18.5.4** Contractor shall reimburse SCDOT, within thirty (30) days of receiving an
30 invoice, for SCDOT's Recoverable Costs in connection with the performance of any act or Work
31 authorized by this Section 18.5. In lieu of reimbursement, SCDOT may elect, in its discretion, to
32 deduct such amounts from any amounts payable to Contractor under this Agreement.

33 **18.5.5** Neither SCDOT nor any of its Authorized Representatives, contractors,
34 Subcontractors, vendor and employees shall be liable to Contractor in any manner for any
35 inconvenience or disturbance arising out of its entry onto the Project, Project ROW or Additional
36 Areas in order to perform under this Section 18.5, unless caused by the gross negligence,
37 recklessness, intentional misconduct or bad faith of such Person. If any Person exercises any
38 right to pay or perform under this Section 18.5, it nevertheless shall have no liability to Contractor
39 for the sufficiency or adequacy of any such payment or performance, or for the manner or quality
40 of design, construction, operation or maintenance, unless caused by the gross negligence,
41 recklessness, intentional misconduct or bad faith of such Person.

1 **18.5.6** SCDOT's rights under this Section 18.5 are subject to the right of any Surety
2 under payment and Performance Bonds to assume performance and completion of all bonded
3 Work.

4 **18.5.7** In the event SCDOT takes action described in this Section 18.5 and it is later
5 finally determined that SCDOT lacked the right to do so because there did not occur a Contractor
6 Default and expiration, without full and complete cure, of the cure period, if any, available to
7 Contractor, then SCDOT's action shall be treated as a Force Account Directive Letter for an
8 SCDOT-Directed Change.

9 **18.6 DBE and OJT Special Remedies**

10 **18.6.1** Notwithstanding any contrary provision in any other Section of this Article 18,
11 if SCDOT determines at any time that Contractor is in violation of any of its DBE or OJT
12 commitments and obligations, that Contractor's DBE or OJT utilization and Good Faith Efforts to
13 meet the DBE Goals or OJT Goals are inconsistent with Contractor's DBE or OJT commitments
14 and obligations, or that Contractor is failing to undertake Good Faith Efforts with respect to either
15 the DBE Goals or OJT Goals, then:

16 (a) SCDOT may require Contractor to submit in writing corrective action
17 documentation for SCDOT's approval, which SCDOT may require to include a revised plan for
18 achieving the DBE Goals or OJT Goals if the violation jeopardizes achievement, and Contractor
19 shall diligently undertake the approved corrective action;

20 (b) If Contractor does not submit such documentation within ten (10) Business
21 Days of request, if the proposed corrective action is disapproved as inadequate, or if Contractor
22 fails to diligently carry out the approved corrective action, then SCDOT will have the right to
23 withhold (i) in the case of DBE, 1% of progress payments or 1% of monthly Monthly
24 Disbursements, as applicable, until cure, and (ii) in the case of OJT, \$10,000 for each of the first
25 two progress payments thereafter, and \$50,000 for each subsequent progress payment
26 thereafter, until cure. Contractor may include such withheld amounts in the next month's Pay
27 Request after Contractor effects cure;

28 (c) Except as provided in Section 18.6.2, Section 18.6.3, and Section 18.6.4,
29 before exercising other remedies, SCDOT will provide Contractor an opportunity for
30 administrative reconsideration, by an official who did not take part in the original determination.
31 Contractor shall have the right to provide written documentation to such official to support its
32 case no later than ten (10) Business Days after SCDOT gives written Notice of its determination
33 and, upon request, to meet in Person with such official at a date and time the official sets to
34 present its case. SCDOT will then consider the findings and opinions of such official and issue
35 a written decision on reconsideration to Contractor within thirty (30) days after receiving
36 Contractor's written documentation and conclusion of any meeting with such official. SCDOT's
37 decision is not administratively appealable to the USDOT; and

38 (d) If as a result of such administrative process SCDOT does not reverse its
39 determination, then SCDOT may issue a Notice of Contractor Default, withhold (or continue to
40 withhold) progress payments or Monthly Disbursements, as applicable, issue an order to
41 suspend Work and, if Contractor's failure continues without cure within the applicable cure
42 period, terminate this Agreement for a Default Event. In addition, if SCDOT does not reverse its
43 determination, and if the Default Event is egregious, then SCDOT may elect to pursue
44 proceedings to disqualify or debar Contractor or Subcontractor from future bidding as non-

1 responsible, as well as any Subcontractor or Supplier that has violated or participated in violation
2 of DBE or OJT requirements.

3 **18.6.2** If Contractor fails to (a) timely deliver to SCDOT in complete form any DBE
4 Quarterly Reports and (b) correctly complete and submit any other required reports, forms and
5 documentation required by the DBE Special Provisions, provided in Section 1000 of the Technical
6 Provisions, within the applicable time specified therein, and Contractor does not cure such failure
7 within ten (10) Business Days after SCDOT delivers to Contractor Notice of such failure, then
8 SCDOT will have the right to withhold 1% of progress payments thereafter or 1% of Monthly
9 Disbursements thereafter, as applicable, until cure. Contractor may include such withhold
10 amounts in the next month's Pay Request after Contractor effects cure.

11 **18.6.3** If Contractor fails to correctly complete and submit any required OJT-related
12 reports, forms and documentation required by the OJT Special Provisions, provided in Section
13 1000 of the Technical Provisions, within the applicable time specified therein, and Contractor does
14 not cure such failure within ten (10) Business Days after SCDOT delivers to Contractor Notice of
15 such failure, then SCDOT will have the right to withhold \$10,000 for each of the first two progress
16 payments thereafter, and \$50,000 for each subsequent progress payment thereafter, until cure.
17 Contractor may include such withheld amounts in the next month's Pay Request after Contractor
18 effects cure.

19 **18.6.4** If at any time during the course of the Construction Work the use of OJT
20 Trainees is not in conformance with the schedule or supplemental schedule as submitted and
21 approved pursuant to the OJT Special Provisions, then SCDOT will have the right to withhold
22 \$10,000 for each of the first two progress payments thereafter, and \$50,000 for each subsequent
23 progress payment thereafter until Contractor conforms to the schedule or supplemental schedule.
24 Conformance with the schedule or supplemental schedule will be considered acceptable when
25 the OJT Trainee utilization to date is at least 90% of that shown on the schedule or supplemental
26 schedule, for the Construction Work performed to date.

27 **18.7 Right to Stop Work for Failure by SCDOT to Make Undisputed Payment**

28 Contractor shall have the right to stop Work if SCDOT fails to make an undisputed
29 payment due hereunder (including failure due to non-appropriation) within fifteen (15) Business
30 Days after SCDOT's receipt of Notice of nonpayment from Contractor. Any such work stoppage
31 shall be considered a suspension for convenience under Section 17.1 and shall be considered an
32 SCDOT-Directed Change. Contractor shall not have the right to terminate this Agreement for
33 default as the result of any failure by SCDOT to make an undisputed payment due hereunder;
34 provided, however, that if such nonpayment continues for more than ninety (90) days after
35 SCDOT's receipt of such Notice, upon Notice from Contractor to SCDOT, the nonpayment may
36 be deemed a Termination for Convenience pursuant to Article 23. Upon such termination, the
37 Parties' rights and obligations shall be as set forth in Article 23.

Article 19.
LIQUIDATED DAMAGES
AND LIMITATION OF LIABILITY

19.1 Liquidated Damages Respecting Delays

Contractor shall be liable for and pay to SCDOT Liquidated Damages with respect to any failure to achieve Substantial Completion or Final Completion of the Project by the applicable Completion Deadline, as the same may be extended pursuant to this Agreement. The amounts of such Liquidated Damages are as follows, respectively:

(a) \$10,000.00 for each day that Substantial Completion is delayed beyond the Substantial Completion Deadline;

(b) Reserved

(c) \$2,500.00 for each day that Final Completion is delayed beyond the Final Completion Deadline.

19.1.1 The Liquidated Damages described in this Section 19.1 shall commence on the applicable Completion Deadline, as the same may be extended pursuant to this Agreement, and shall continue to accrue until the date of the applicable Substantial Completion, Final Completion, or until termination of this Agreement. Such Liquidated Damages shall constitute SCDOT's sole right to damages for such Delay.

19.1.2 Contractor agrees and acknowledges that:

(a) If Contractor fails to achieve Substantial Completion or Final Completion of the Project by the applicable Completion Deadline, SCDOT will incur substantial damages;

(b) As of the Effective Date, the amounts of Liquidated Damages under this Section 19.1 represent good faith estimates and evaluations by the Parties as to the actual potential damages that SCDOT would incur as a result of late Substantial Completion or late Final Completion of the Project, as applicable, and do not constitute a penalty;

(c) Such actual potential damages include loss of use, enjoyment and benefit of the Project and connecting SCDOT transportation facilities by the general public, injury to the credibility and reputation of SCDOT's transportation improvement program with policy makers and with the general public who depend on and expect availability of service of the Project by the Substantial Completion Deadline or Final Completion Deadline and additional costs of administering this Agreement (including engineering, legal, accounting, overhead and other administrative costs);

(d) The Parties have agreed to Liquidated Damages in order to fix and limit Contractor's costs and to avoid later Disputes over what amounts of damages are properly chargeable to Contractor;

(e) Such sums are reasonable in light of the anticipated or actual harm caused by delayed Substantial Completion or delayed Final Completion of the Project, the difficulties of the proof of Loss, and the inconvenience or infeasibility of otherwise obtaining an adequate remedy; and

(f) Liquidated Damages are not intended to, and do not, liquidate Contractor's liability under the indemnification provisions of Section 20.1, even though third-party

claims against Indemnified Parties may arise out of the same event, breach or failure that gives rise to the Liquidated Damages.

19.2 Liquidated Damages for Violation of Lane Closure Restrictions

19.2.1 The Contractor is advised that the Lane Closure restrictions outlined in Section 600 of the Technical Provisions will be strictly enforced. Should lane closures remain in place or not be completely removed by the time specified in Section 600 of the Technical Provisions, Liquidated Damages will be assessed at the rate of \$1500.00 (fifteen hundred Dollars) for each 1/4 hour interval (or any portion thereof) for each lane closed. Should lane closures remain in place or not be completely removed for a period of longer than one hour beyond the time specified by the criteria in Section 600 of the Technical Provisions the Liquidated Damages will increase to \$3000.00 (three thousand Dollars) for each 1/4 hour interval (or any portion thereof) for each lane closed. The Liquidated Damages also applies to any ramp closures specified in Section 600 of the Technical Provisions. These Liquidated Damages may be excused at the discretion of SCDOT based on the circumstances causing the delay.

19.2.2 Contractor acknowledges and agrees that the Liquidated Damages described in this Section 19.2 are reasonable in order to compensate SCDOT for damages SCDOT will incur by reason of the diminished use by the traveling public of the affected lanes. Such Liquidated Damages include loss of use, enjoyment and benefit of the Project, and connection to SCDOT transportation facilities, by the general public, injury to the credibility and reputation of SCDOT with policy makers and with the general public who depend on and expect availability of service, and additional costs of administering this Agreement (including engineering, legal, accounting, overhead and other administrative costs). Contractor further acknowledges that these damages are incapable of accurate measurement because of, among other things, the unique nature of the Project and the unavailability of a substitute for it.

19.2.3 Assessment of such Liquidated Damages shall not preclude SCDOT's exercise of its right to remove a Lane Closure that is not a Permitted Closure at Contractor's expense under Section 18.4.

19.3 Reserved

19.4 Reserved

19.5 Liquidated Damages Respecting Subcontractor Payroll Reporting

19.5.1 Contractor shall be subject to Liquidated Damages if Contractor does not comply with certain requirements respecting Subcontractor payroll reporting, as set forth in Section 9.4.

19.5.2 Contractor acknowledges that SCDOT requires timely receipt of the Subcontractor payrolls described in Section 9.4 in order for SCDOT to comply with applicable federal and State labor Laws. Contractor further acknowledges that the Liquidated Damages described in Article 19 are reasonable in order to compensate SCDOT for damages it will incur if SCDOT fails to comply with these Laws. Such damages include potential loss of federal funding, the imposition of other sanctions by the US Department of Labor or FHWA, and additional costs of administering this Agreement and enforcing Contractors compliance with applicable requirements herein. Contractor further acknowledges that these damages are incapable of accurate measurement because of, among other things, their imprecise nature.

19.6 Payment; Satisfaction; Waiver; Non-Exclusive Remedy

19.6.1 SCDOT will deduct and offset Liquidated Damages from any amounts owing to Contractor. SCDOT also shall have the right to draw on any bond, certificate of deposit, letter of credit or other security provided by Contractor pursuant to this Agreement to satisfy Liquidated Damages not paid when due.

19.6.2 Permitting or requiring Contractor to continue and finish the Work or any part thereof after a Completion Deadline, as applicable, shall not act as a waiver of SCDOT's right to receive Liquidated Damages hereunder or any rights or remedies otherwise available to SCDOT.

19.6.3 Subject to Section 18.3, SCDOT's right to, and imposition of, Liquidated Damages are in addition, and without prejudice, to any other rights and remedies available to SCDOT under this Agreement, at Law or in equity respecting the breach, failure to perform or Contractor Default that is the basis for Liquidated Damages or any other breach, failure to perform or Contractor Default, except for recovery of the monetary damage that the Liquidated Damages are intended to compensate.

19.7 Limitation on Contractor's Liability

19.7.1 Notwithstanding any other provision of the Contract Documents, to the extent permitted by applicable Law, SCDOT will not seek indemnification and defense under Article 20 or to recover damages from Contractor resulting from breach of this Agreement with respect to the D&C Work (whether arising in contract, negligence or other tort, or any other theory of Law) in excess of the sum of:

19.7.1.1 Costs reasonably incurred by SCDOT, or any Person acting on SCDOT's behalf, to complete or correct the D&C Work, or have the D&C Work completed or corrected by another Person, in excess of the sum otherwise payable to Contractor under this Agreement for the D&C Work, including the cost of the Work required or arising under the D&C Warranties;

19.7.1.2 Amounts paid by or on behalf of Contractor with respect to the D&C Work that are covered by insurance proceeds per Article 11;

19.7.1.3 Losses incurred by any Indemnified Party relating to or arising out of Contractor's indemnities set forth in Section 20.1 or elsewhere in the Contract Documents, related to the D&C Work; and

19.7.1.4 Losses arising out of fraud, criminal conduct, willful misconduct (which does not include any intentional Default Event), recklessness, bad faith or gross negligence on the part of any Contractor-Related Entity.

19.7.1.5 Losses arising out of Contractor Releases of Hazardous Materials.

19.7.2 Any claims by third-party owners of facilities or improvements within the D&C Work shall not reduce or erode the amounts described in Section 19.7.1.

19.8 Limitation on Consequential Damages

19.8.1 Notwithstanding any other provision of the Contract Documents and except as set forth in this or the following Section to the extent permitted by applicable Law, neither Party shall be liable to the other for punitive damages or indirect or incidental consequential damages,

whether arising out of a breach of this Agreement, tort (including negligence) or any other theory of liability, and each Party hereby releases the other Party from any such liability.

19.8.2 The foregoing limitations on Contractor's liability for consequential damages shall not apply to or limit any right of recovery SCDOT may have respecting the following:

(a) Losses (including defense costs) to the extent (i) covered by insurance required to be carried pursuant to Article 11, and (ii) covered by insurance actually carried by or insuring any Contractor-Related Entity under policies solely with respect to the Project and the Work, regardless of whether required to be carried pursuant to Article 11;

(b) Losses arising out of fraud, criminal conduct, willful misconduct (which does not include any intentional Default Event), recklessness, bad faith or gross negligence on the part of any Contractor-Related Entity;

(c) Contractor's indemnities set forth within the Contract Documents;

(d) Contractor's obligation to pay Liquidated Damages in accordance with any other provision of the Contract Documents;

(e) Reserved;

(f) Losses arising out of Contractor Releases of Hazardous Materials; and

(g) Amounts Contractor may owe or be obligated to reimburse to SCDOT under the express provisions of the Contract Documents, subject to any agreed scope of Work and budget.

19.9 Miscellaneous Liquidated Damages

19.9.1 Pavement Safety and Patching Liquidated Damages

Liquidated damages of \$420 (Four Hundred Twenty Dollars) per hour will be assessed to Contractor for every hour that a pothole is not temporarily filled as required by Section 400.5 of the Technical Provisions after the initial 24-hour period from Contractor notification by SCDOT or Independent Quality Manager.

19.9.2 SCDOT ITS Liquidated Damages

Contractor agrees it has received information from SCDOT. Contractor shall be liable for Liquidated Damages if Contractor does not adhere to the SCDOT ITS downtime requirements as set forth in Section 685.4.2 of the Technical Provisions. Liquidated Damages will be assessed per incident at a rate of \$1,500 (One Thousand Five Hundred) per day should impacts to the SCDOT ITS facilities cause the system to go offline in excess of the time period set forth in Section 685.4.2 of the Technical Provisions. Liquidated Damages will be assessed unless SCDOT determines Contractor's conduct is excusable depending on the circumstances causing the system to go offline as determined by SCDOT in coordination with the Contractor.

Article 20.
INDEMNIFICATION

20.1 Indemnity by Contractor

20.1.1 Subject to Section 20.1.2, Contractor shall defend, release, protect, indemnify and hold harmless the Indemnified Parties from and against any and all Claims, causes of action, suits, judgments, investigations, legal or administrative proceedings, demands and Losses, in each case if asserted or incurred by or awarded to any third party, arising out of, relating to or resulting from:

(a) The breach or alleged breach of any of the Contract Documents by any Contractor-Related Entity;

(b) The failure or alleged failure by any Contractor-Related Entity to comply with the Governmental Approvals, any applicable Environmental Laws or other Laws (including Laws regarding Hazardous Materials Management);

(c) Any alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions in performance of the Work, or arising out of any use in connection with the Project of methods, processes, designs, information, or other items furnished or communicated to SCDOT or another Indemnified Party pursuant to this Agreement; provided, however, that this indemnity shall not apply to any infringement to the extent resulting from SCDOT's failure to comply with specific written instructions regarding use provided to SCDOT by Contractor;

(d) The actual or alleged culpable act, Error, omission, negligence, breach or misconduct of any Contractor-Related Entity in or associated with performance of the Work;

(e) Any and all claims by any governmental or taxing authority claiming Taxes based on gross receipts, purchases or sales, or the use of any property or income of any Contractor-Related Entity with respect to any payment for the Work made to or earned by any Contractor-Related Entity;

(f) For the failure or alleged failure by any Contractor-Related Entity to pay sums due for the Work or services of Subcontractors, laborers, or Suppliers, provided that SCDOT has paid all undisputed amounts owing to Contractor with respect to such Work;

(g) Any actual or threatened Contractor Release of Hazardous Materials;

(h) Reserved

(i) Contractor's performance of, or failure to perform, the obligations under any Utility Agreement, or any Dispute between Contractor and a Utility Company arising out of Utility Adjustments;

(j) Any Contractor-Related Entity's breach of or failure to perform an obligation that SCDOT owes to a third person, including Governmental Entities, under Law or under any agreement between SCDOT and a third person, where SCDOT has expressly delegated performance of the obligation to Contractor under the Contract Documents or (ii) the acts or omissions of any Contractor-Related Entity which render SCDOT unable to perform or abide by an obligation that SCDOT owes to a third person, including Governmental Entities, under any agreement between SCDOT and a third person, where the agreement was expressly disclosed or known to Contractor;

1 (k) Any Claim asserted by any third party, such as an adjoining property
2 owners, the traveling public, and residents near the Project, arising out of, relating to, resulting
3 from or are in any manner connected with the performance of the Work or the Work itself,
4 Contractor's operations, or Work supervised by the Contractor or any Subcontractor or sub-
5 subcontractor on the Project; or because of or in consequence of any neglect in safeguarding
6 the Work; or through use of unacceptable materials or workmanship in constructing the Work; or
7 because of any act or omission, neglect, or misconduct of the Contractor or any Subcontractor
8 or sub-subcontractor;

9 (l) The fraud, bad faith, arbitrary or capricious acts, or violation of Law by any
10 Contractor-Related Entity in or associated with the performance of the Work;

11 (m) Inverse condemnation, trespass, nuisance or similar taking of or harm to
12 real property by reason of: (i) the failure of any Contractor-Related Entity to comply with Good
13 Industry Practices, requirements of the Contract Documents, the Project Management Plan or
14 Governmental Approvals respecting control and mitigation of construction activities and
15 construction impacts, (ii) the intentional misconduct or negligence of any Contractor-Related
16 Entity, or (iii) the actual physical entry onto or encroachment upon another's property by any
17 Contractor-Related Entity; and

18 (n) Errors, inconsistencies or other Defects in the design, construction or
19 maintenance of the Project or of Utility Adjustments included in the Work.

20 **20.1.2** Subject to the releases and disclaimers herein, including all the provisions set
21 forth in Section 3.1.8 of this Agreement, Contractor's indemnity obligation shall not extend to any
22 third-party Loss to the extent caused by:

23 (a) The negligence, reckless or intentional misconduct, bad faith or fraud of
24 such Indemnified Party,

25 (b) SCDOT's Material Breach of any of its material obligations under the
26 Contract Documents;

27 (c) An Indemnified Party's material violation of any Laws or Governmental
28 Approvals; or

29 (d) An unsafe requirement inherent in prescriptive design or prescriptive
30 construction specifications of the Technical Provisions, but only where prior to occurrence of the
31 third-party Loss: (i) Contractor complied with such specifications and did not actually know, or
32 would not have known, while exercising reasonable diligence, that the requirement created a
33 potentially unsafe condition or (ii) Contractor knew of and reported to SCDOT the potentially
34 unsafe requirement.

35 **20.1.3** In claims by an employee of Contractor, a Subcontractor, anyone directly or
36 indirectly employed by them or anyone for whose acts they may be liable, the indemnification
37 obligation under this Section 20.1 shall not be limited by a limitation on the amount or type of
38 damages, compensation or benefits payable by or for Contractor or a Subcontractor under
39 workers' compensation, disability benefit or other employee benefits Laws.

40 **20.1.4** For purposes of this Section 20.1, "third party" means any Person or entity
41 other than an Indemnified Party, Contractor and SCDOT Contractor, except that a "third party"
42 includes any Indemnified Party's employee who asserts a claim against an Indemnified Party

1 which is within the scope of the indemnities, and which is not covered by the Indemnified Party's
2 worker's compensation program.

3 **20.1.5** Contractor hereby acknowledges and agrees that it is Contractor's obligation
4 to perform the Work in accordance with the Contract Documents and that the Indemnified Parties
5 are fully entitled to rely on Contractor's performance of such obligation. Contractor further agrees
6 that any certificate, review or approval by SCDOT or others hereunder shall not relieve Contractor
7 of any of its obligations under the Contract Documents or in any way diminish its liability for
8 performance of such obligations or its obligations under this Article 20.

9 **20.1.6** The indemnity set forth in Section 20.1.1(g) is intended to operate as an
10 agreement pursuant to Section 107(e) of the Comprehensive Environmental Response,
11 Compensation and Liability Act, 42 U.S.C. Section 9607(e) to insure, protect, hold harmless and
12 indemnify the Indemnified Parties.

13 **20.1.7** The obligations under this Article 20 shall not be construed to negate, abridge,
14 or reduce other rights or obligations that would otherwise exist in favor of an Indemnified Party
15 hereunder.

16 **20.2 Defense and Indemnification Procedures**

17 **20.2.1** If SCDOT receives Notice of a Claim or otherwise has actual knowledge of a
18 Claim that it believes is within the scope of the indemnities under Section 20.1, and if SCDOT
19 gives Notice thereof pursuant to Section 11.2, then SCDOT will have the right to conduct its own
20 defense unless either an Insurer accepts defense of the Claim within the time required by Law or
21 Contractor accepts the tender of the Claim in accordance with Section 20.2.3.

22 **20.2.2** Subject to Section 20.2.6, if the Insurer under any applicable insurance policy
23 accepts the tender of defense, SCDOT and Contractor shall cooperate in the defense as required
24 by the insurance policy. If no insurer under potentially applicable insurance policies provides
25 defense, then Section 20.2.3 shall apply.

26 **20.2.3** If the defense is tendered to Contractor, then within fifteen (15) days after
27 receipt of the tender Contractor shall notify the Indemnified Party whether Contractor has
28 tendered the matter to an Insurer. If Contractor does not tender the matter to an Insurer, then
29 within such fifteen (15) days, or if the insurer has rejected the tender, then within five (5) days
30 after such rejection, Contractor shall deliver a Notice to the Indemnified Party stating one of the
31 following:

32 (a) Contractor accepts the tender of defense and confirms that the Claim is
33 subject to full indemnification hereunder without any "reservation of rights" to deny or disclaim
34 full indemnification thereafter;

35 (b) Contractor accepts the tender of defense but with a "reservation of rights"
36 in whole or in part; or

37 (c) Contractor rejects the tender of defense based on a determination that it
38 is not required to indemnify against the Claim under the terms of this Agreement.

39 **20.2.4** If Contractor accepts the tender of defense under Section 20.2.3(a), Contractor
40 shall have the right to select legal counsel for the Indemnified Party, subject to reasonable
41 approval by the Indemnified Party, and Contractor shall otherwise control the defense of such

claim, including settlement, and bear the fees and costs of defending and settling such claim. During such defense:

(a) Contractor shall fully and regularly inform the Indemnified Party of the progress of the defense and of any settlement discussions; and

(b) The Indemnified Party shall fully cooperate in said defense, provide to Contractor all materials and access to personnel it requests as necessary for defense, preparation and trial and which or who are under the control of or reasonably available to the Indemnified Party, and maintain the confidentiality of all communications between it and Contractor concerning such defense.

20.2.5 If Contractor responds to the tender of defense as specified in Section 20.2.3(b) or 20.2.3(c), the Indemnified Party shall be entitled to select its own legal counsel and otherwise control the defense of such claim, including settlement.

20.2.6 Notwithstanding Section 20.2.3(a) or 20.2.3(b), the Indemnified Party may assume its own defense by delivering to Contractor Notice of such election and the reasons therefor, if the Indemnified Party, at the time it gives Notice of the claim or at any time thereafter, reasonably determines that:

(a) A conflict exists between it and Contractor which prevents or potentially prevents Contractor from presenting a full and effective defense;

(b) Contractor is otherwise not providing an effective defense in connection with the claim; or

(c) Contractor lacks the financial capacity to satisfy potential liability or to provide an effective defense.

20.2.7 If the Indemnified Party is entitled and elects to conduct its own defense pursuant hereto of a claim for which it is entitled to indemnification, Contractor shall reimburse on a current basis all reasonable costs and expenses the Indemnified Party incurs in investigating and defending, except to the extent the Indemnified Party conducts its own defense as a result of Contractor's denial of such defense pursuant Section 20.2.3(c). In the event the Indemnified Party is entitled to and elects to conduct its own defense, then:

(a) In the case of a defense conducted under Section 20.2.3(a), it shall have the right to settle or compromise the claim with Contractor's prior consent, which shall not be unreasonably withheld or delayed;

(b) In the case of a defense conducted under Section 20.2.3(b), it shall have the right to settle or compromise the claim with Contractor's prior consent, which shall not be unreasonably withheld or delayed, or with approval of the court or arbitrator following reasonable Notice to Contractor and opportunity to be heard and without prejudice to the Indemnified Party's rights to be indemnified by Contractor; and

(c) In the case of a defense conducted under Section 20.2.3(c), it shall have the right to settle or compromise the claim without Contractor's prior consent and without prejudice to its rights to be indemnified by Contractor. If a Dispute resolver determines that Contractor wrongfully denied the defense of the Indemnified Party, the Indemnified Party shall be entitled to reimbursement of the costs of defense, including reimbursement of reasonable attorneys' fees and other litigation and defense costs, and indemnification of costs to settle or compromise the claim, in addition to interest at the rate calculated in accordance with Section

1 24.13 payable on such defense and settlement amounts from the date such costs and expenses
2 are incurred by the Indemnified Party.

3 **20.2.8** The Parties acknowledge that while Section 20.1 contemplates that Contractor
4 will have responsibility for certain claims and liabilities arising out of its obligations to indemnify,
5 circumstances may arise in which there may be shared liability of the Parties with respect to such
6 claims and liabilities. In such case, where either Party believes a claim or liability may entail
7 shared responsibility and that principles of comparative negligence and indemnity are applicable,
8 it shall confer with the other Party on management of the claim or liability in question. If the Parties
9 cannot agree on an approach to representation in the matter in question, each shall arrange to
10 represent itself and to bear its own costs in connection therewith pending the outcome of such
11 matter. Within thirty (30) days subsequent to the final, non-appealable resolution of the matter in
12 question, whether by arbitration or by judicial proceedings, the Parties shall adjust the costs of
13 defense, including reimbursement of reasonable attorneys' fees and other litigation and defense
14 costs, in accordance with the indemnification arrangements of Section 20.2, and consistent with
15 the outcome of such proceedings concerning the respective liabilities of the Parties on the third-
16 party claim.

17 **20.2.9** In determining responsibilities and obligations for defending suits pursuant to
18 this Section 20.2, specific consideration shall be given to the following factors: (a) the Party
19 performing the activity in question; (b) the location of the activity and Incident; (c) contractual
20 arrangements then governing the performance of the activity; and (d) allegations of respective
21 fault contained in the claim.

Article 21.
PARTNERING AND DISPUTE RESOLUTION PROCEDURES

21.1 Partnering

21.1.1 General Provisions

21.1.1.1 For the mutual benefit of the Parties, SCDOT and Contractor shall establish a partnering relationship to effectively complete the Project. The purpose of the partnering relationship is to establish and maintain effective communication between the Parties to cooperatively identify and resolve critical Project-related issues. Neither the partnering relationship itself, nor discussions between the Parties addressed at the initial partnering workshop, refresher Partnering Meetings or the construction closeout Partnering Meeting (collectively "Partnering Meetings"), shall modify the terms and conditions of this Agreement.

21.1.1.2 In implementing and managing the partnering relationship required under this Section 21.1, SCDOT and Contractor shall:

- (a) Use early and regular communication with Parties involved;
- (b) Establish and maintain a relationship of shared trust, equity and commitment;
- (c) Identify, quantify, and support attainment of mutual goals;
- (d) Develop strategies for using risk-management tools and concepts;
- (e) Implement timely communication and decision making;
- (f) Resolve potential problems at the lowest level of responsible management to avoid negative impacts and Disputes;
- (g) Develop a plan for periodic joint evaluation based on mutually agreed goals;
- (h) Hold Partnering Meetings, as set forth in Section 21.1.2, to preserve the partnering relationship and its benefits for the duration of the Term; and
- (i) Establish periodic joint evaluations of the partnering process and attainment of mutual goals.

21.1.2 Partnering Meeting Schedule; Participants

21.1.2.1 The Parties shall jointly select, before the initial partnering workshop, a third-party facilitator to facilitate Partnering Meetings.

21.1.2.2 The Parties shall schedule and conduct Partnering Meetings as follows:

- (a) The initial partnering workshop prior to NTP;
- (b) Refresher Partnering Meetings annually thereafter, or as mutually agreed by the Parties; and
- (c) The construction closeout meeting no later than sixty (60) days after the Substantial Completion Date.

1 21.1.2.3 The Parties shall conduct Partnering Meetings at SCDOT's offices
2 or at such other locations as the Parties mutually agree.

3 21.1.2.4 Key Personnel and executives from both Parties must attend
4 Partnering Meetings.

5 **21.1.3 Partnering Team; Partnering Charter**

6 21.1.3.1 SCDOT and Contractor shall establish a partnering team for the
7 Project, which team shall consist of Project-level contributors and decision-makers from SCDOT,
8 Contractor, and, if applicable, stakeholder organizations. Each Party must identify its respective
9 members of the partnering team prior to the initial partnering workshop; all members of the
10 partnering team must attend the initial partnering workshop.

11 21.1.3.2 The partnering team shall create during the initial partnering
12 workshop a partnering charter that includes:

13 (a) Mutual goals (e.g., core goals that may also include Project-specific goals
14 and individual goals that are jointly supported by both Parties);

15 (b) A partnering team commitment statement signed by every member of the
16 partnering team; and

17 (c) A plan for both Parties to jointly maintain the partnering relationship for the
18 duration of the Term.

19 21.1.3.3 The members of the partnering team shall:

20 (a) Identify the appropriate persons in each Party's organization who shall fill
21 the roles of reviewers for the Issues Resolution Ladder described in Section 21.2.1;

22 (b) Identify the scope of documentation required for review of a Dispute at
23 each level of the Issue Resolution Ladder described in Section 21.2.1;

24 (c) Participate in a semi-annual partnering evaluation survey to measure the
25 progress of mutual goals and key short-term issues as they arise in connection with the Project;

26 (d) Jointly review the results of the partnering evaluation survey, on a semi-
27 annual basis; and

28 (e) Document lessons learned regarding the Project's D&C Work after
29 Substantial Completion.

30 21.1.3.4 While the provisions of this Section 21.1 are not part of the Dispute
31 Resolution Procedures contemplated under this Agreement, the Parties shall exhaust the use of
32 the partnering relationship when addressing potential Disputes and prior to proceeding to the
33 Disputes Resolution Procedures set forth in Section 21.2.

34 **21.1.4 Confidentiality**

35 Subject to the requirements of the Public Records Law, any statements made or materials
36 prepared during or relating to Partnering Meetings, including any statements made or Documents
37 prepared by the facilitator, shall be kept in confidence and used only for the purpose of facilitating
38 resolution of potential Disputes via the partnering process, and shall not be utilized or revealed to
39 others, except to officials and agents of the Parties who are authorized to act on the subject

matter. However, the Parties understand that such Documents may be subsequently discoverable and admissible in mediation, arbitration or court proceedings.

21.1.5 Cost Responsibility

21.1.5.1 The costs of the facilitator, the site and food for Partnering Meetings shall be shared equally by SCDOT and Contractor. All other costs associated with the partnering process shall be borne separately by the Party that incurs the costs.

21.1.5.2 SCDOT and Contractor will each pay 50% of the full costs of the Partnering Meetings include the costs for the facilitator, the site and food.

21.2 Dispute Resolution Procedures

The Parties agree that:

(a) Any Dispute arising out of, relating to, or in connection with this Agreement not resolved by partnering, per Section 21.1, shall be resolved pursuant to the multi-step Dispute Resolution Procedures described in this Section 21.2;

(b) The Party bringing a Dispute shall bear the burden of proving the same;

(c) Resolutions of Disputes pursuant to this Section 21.2 shall be final, binding, conclusive and enforceable as set forth in this Section 21.2; and

(d) The Issue Resolution Ladder and mediation processes are administrative procedures and remedies, and failure of Contractor to comply with either such process in all material respects as to any Dispute or Claim shall constitute a failure to diligently pursue and exhaust such administrative procedures and remedies and shall operate as a bar against the Dispute or Claim.

21.2.1 Issue Resolution Ladder

As a condition to the right to bring a Dispute to mediation, arbitration or litigation, the Party bringing the Dispute shall first attempt to informally resolve the Dispute directly with other Party using the Issue Resolution Ladder. The Issue Resolution Ladder is the process for elevating Disputes from the Project's field level to various levels of review, up to the Parties' executive management, if necessary, with defined time limits for each level of review. The goal of the Issue Resolution Ladder is to resolve each Dispute as close to the field level as possible while recognizing the requirement to elevate the Dispute to the next level of review before the Dispute impacts cost or schedule.

21.2.1.1 Issue Resolution Ladder Process

(a) The Issue Resolution Ladder shall consist of five levels of review and corresponding time limits to review, as follows:

Level of Review	Contractor Reviewer	SCDOT Reviewer	Time Limit
6	Executive Officer	Construction Alternative Delivery Engineer (CADE)	10 Business Days
5	Project Manager	Alternative Delivery Construction Manager	

Level of Review	Contractor Reviewer	SCDOT Reviewer	Time Limit
4	Project Manager	District Construction Engineer	5 Business Days
3	Project Manager	Resident Construction Engineer	72 hours
2	Construction Manager	Assistant RCE/Field Engineer	24 hours
1	Discipline Superintendent	Inspector	1 hour

(b) The partnering team as set forth in Section 21.1.3 shall identify the individuals from SCDOT's and Contractor's respective organizations filling the roles of reviewers in the Issue Resolution Ladder, and the documentation required for each level of review in the Issue Resolution Ladder.

(c) If reviewers at any level of the Issue Resolution Ladder cannot resolve a Dispute within the time limits set forth in this Section 21.2.1.1, the reviewers shall elevate the Dispute to the next level of review in the Issues Resolution Ladder.

21.2.2 Issue Resolution Ladder Outcome

(a) If SCDOT and Contractor succeed in resolving a Dispute using the Issue Resolution Ladder, the Parties shall memorialize the resolution in writing, including execution of any Change Order as appropriate, and promptly perform their respective obligations in accordance therewith.

(b) If the Parties do not resolve the Dispute using the Issues Resolution Ladder within the applicable time periods set forth in Section 21.2.1, then either Party shall have thirty (30) days from the conclusion of the Issues Resolution Ladder to bring the Dispute to mandatory mediation, as described in Section 21.2.

21.2.3 State Court Litigation; Jurisdiction and Venue

21.2.3.1 Only upon completion of the requirements of Section 21.2.1 and Section 21.2.2, either Party shall have the right to initiate litigation proceedings for the unresolved Dispute. Any such litigation proceeding shall be de novo.

21.2.3.2 All litigation between the Parties concerning any Disputes shall be filed, heard and decided nonjury in the Richland County Court of Common Pleas which shall have exclusive jurisdiction and venue.

21.2.4 Continuation of Work and Payments During Dispute

Failure by SCDOT to pay any amount in Dispute shall not alleviate, diminish or modify in any respect Contractor's obligation to perform under the Contract Documents, including Contractor's obligation to achieve the Completion Deadlines and perform all Work in accordance with the Contract Documents. At all times during the Dispute Resolution Procedures, Contractor and all Subcontractors shall continue with the performance of the Work and their obligations, including any disputed Work or obligations, diligently and without delay or slow down, in accordance with the Contract Documents, except to the extent enjoined by order of a court or otherwise specified or directed by SCDOT. Contractor acknowledges that it shall be solely responsible for the results of any delaying actions or inactions that Contractor or any Contractor-Related Entity takes during the pendency of resolution of a Dispute relating to the Work even if

1 Contractor's position in connection with the Dispute ultimately prevails. In addition, during the
2 pendency of resolution of a Dispute relating to the Work, the Parties shall continue to comply with
3 all provisions of the Contract Documents, the Project Management Plan, the Governmental
4 Approvals and applicable Law.

5 21.2.4.1 During the course of any and all Dispute Resolution Procedures,
6 SCDOT will continue to pay to Contractor when due all undisputed amounts owing under this
7 Agreement.

8 21.2.4.2 Any Claim or Dispute regarding such payment shall be resolved
9 pursuant to Section 21.2. Contractor shall proceed as directed by SCDOT pending resolution of
10 the Claim or Dispute. Upon resolution of any such Claim or Dispute, each Party shall promptly
11 pay to the other any amount owing.

12 **21.2.5 Attorney Fees**

13 Each Party shall bear its own attorneys' fees and expenses incurred in connection with
14 any Dispute Resolution Procedures, litigation, or any other dispute resolution process regardless
15 of the outcome. Each Party specifically waives the right to any statutory or rule-based award of
16 attorneys' fees and expenses except for any such fees and costs levied pursuant to Rule 11 and
17 Rule 37 of the South Carolina Rules of Civil Procedure.

Article 22.
RECORDS AND AUDITS; OWNERSHIP OF DOCUMENTS AND INTELLECTUAL
PROPERTY

22.1 Escrow Proposal Documents (EPD)

22.1.1 Contents of EPDs

The "Detailed Pricing Documents," or "EPDs," shall consist of all cost, unit pricing, price quote and other documentary information used in preparation of the Contract Price. The EPDs shall, inter alia, clearly detail how each cost or price included in the Proposal has been determined and shall show cost or price Elements in sufficient detail as is adequate to enable SCDOT to understand how Contractor calculated the Contract Price. The EPDs provided in connection with quotations and Change Orders shall, inter alia, clearly detail how the total cost or price and individual components of that cost or price were determined. The EPDs shall itemize the estimated costs or price of performing the Work separated into usual and customary items and cost or price categories to present a detailed estimate of costs and price, such as direct labor, repair labor, equipment ownership and operation, expendable materials, permanent materials, supplies, Subcontract costs, plant and equipment, insurance, bonds, letters of credit, indirect costs, contingencies, mark-up, overhead and profit. The EPDs shall itemize the estimated annual costs of insurance premiums for each coverage required to be provided by Contractor under Article 11. The EPDs shall include all assumptions made in determining the scope of the Work and calculating the Contract Price, detailed quantity takeoffs, price reductions and discounts, rates of production and progress calculations, and quotes from Subcontractors used by Contractor to arrive at the Contract Price, and any adjustments to the Contract Price under this Agreement.

22.1.2 Manner and Duration for Retaining Escrow Proposal Documents

22.1.2.1 Prior to execution of this Agreement, Contractor delivered to SCDOT one copy of all the EPDs, together with a detailed index and catalogue of the EPDs. Upon execution of this Agreement, the EPDs and index and catalogue shall be held in locked fireproof cabinet(s) supplied by Contractor and located in SCDOT's project office with the key held only by Contractor. Further, concurrently with execution of each Subcontract or with approval of each Change Order or amendment to any Contract Document, the Parties shall add to the cabinet one copy of all documentary information respecting the pricing by the Subcontractor or used in preparation of the Change Order or amendment and shall update the index and catalogue.

22.1.2.2 The EPDs and index and catalogue pertaining to the Work shall be held in such cabinet or otherwise maintained until all of the following have occurred:

- (a) One hundred eighty (180) days have elapsed from the earlier of Project Final Completion or termination of this Agreement;
- (b) All Warranty Terms have expired pursuant to this Agreement;
- (c) All Claims or Disputes regarding the D&C Work have been settled; and
- (d) The Final Payment has been made and accepted.

22.1.3 Availability for Review

The EPDs shall be available during business hours for joint review by Contractor and SCDOT, or by Contractor, SCDOT and any Dispute resolver, in accordance with Article 21, in connection with approval of the Project Schedule, negotiation of Change Orders, and resolution of Claims or Disputes under the Contract Documents, and also as described in Section 22.1.7. SCDOT will be entitled to review all or any part of the EPDs in order to satisfy itself regarding the applicability of the individual Documents to the matter at issue.

22.1.4 Proprietary Information

The EPDs are, and shall always remain, the property of Contractor and shall be considered to be in Contractor's possession, subject to SCDOT's right to review the EPDs as provided in this Section 22.1. Contractor will have and control the keys to the filing cabinet containing the EPDs. SCDOT acknowledges that Contractor may consider that the EPDs constitute trade secrets or proprietary information. SCDOT will have the right to copy the EPDs for the purposes set forth in this Section 22.1, provided that the Parties execute a mutually agreeable confidentiality agreement with respect to EPDs that constitute trade secrets or proprietary information, which confidentiality agreement shall explicitly acknowledge that it is subject to applicable Law (including the South Carolina Public Records Act).

22.1.5 Representation

Contractor represents and warrants that the EPDs constitute all documentary information used in the preparation of its Contract Price. Contractor agrees that no other price Proposal preparation information will be considered in resolving Disputes or Claims. Contractor further agrees that the EPDs are not part of the Contract Documents and that nothing in the EPDs shall change or modify any Contract Document.

22.1.6 Form of EPDs

Except as otherwise provided in the RFP, Contractor shall submit the EPDs in such format as is used by Contractor in connection with its Proposal. Contractor represents and warrants that the EPDs provided with the Proposal were personally examined by an authorized officer of Contractor prior to delivery, and that the EPDs meet the requirements of Section 22.1.4. Contractor further represents and warrants that all EPDs provided were or will be personally examined prior to delivery by an authorized officer of Contractor, and that they shall meet the requirements of Section 22.1.4.

22.1.7 Review by SCDOT to Confirm Completeness

SCDOT may at any time conduct a review of the EPDs to determine whether they are complete. If SCDOT determines that any data is missing from an EPD, Contractor shall provide such data within three (3) Business Days after delivery of SCDOT's request for such data. At that time of its submission to SCDOT, such data will be date stamped, labeled to identify it as supplementary EPD information and added to the EPDs. Contractor shall have no right to add Documents to the EPDs except upon SCDOT's request. The EPDs associated with any Change Order or Contract Price adjustment under this Agreement shall be reviewed, organized and indexed in the same manner as the original EPDs.

22.2 Financial Reporting Requirements

22.2.1 Contractor shall deliver to SCDOT financial and narrative reports, statements, certifications, budgets and information upon request by SCDOT.

22.2.2 Contractor shall furnish, or cause to be furnished, to SCDOT such financial information and statements as SCDOT may reasonably request from time to time for any purpose related to the Project, the Work or the Contract Documents.

22.2.3 Contractor shall cooperate and provide, and shall cause the Subcontractors to cooperate and provide, such information as determined necessary or desirable by SCDOT in connection with any Project financing. Without limiting the generality of the foregoing, Contractor shall provide such information SCDOT determines is necessary or desirable for inclusion in SCDOT's securities disclosure Documents and in order to comply with Securities and Exchange Commission Rule 15c2-12 regarding certain periodic information and Notice of material events. Contractor shall provide customary representations and warranties to SCDOT and the capital markets as to the correctness, completeness and accuracy of any information furnished.

22.2.4 Contractor shall cooperate and provide, and shall cause the Subcontractors to cooperate and provide, such information as is necessary or requested by SCDOT to assist or facilitate the submission by SCDOT of any documentation, reports or analysis required by the State, FHWA or any other Governmental Entity with jurisdiction over the Project.

22.2.5 All reports and information delivered by Contractor under Section 22.2.3 and Section 22.2.4 shall also be delivered electronically, to the extent electronic files exist, and be suitable for posting on the web.

22.3 Subcontract Pricing Documents

Each Subcontract shall include a provision requiring the Subcontractor to preserve all documentary information used in establishing its Subcontract price and to provide such documentation to Contractor or SCDOT in connection with any Claim made by such Subcontractor.

22.4 Maintenance and Inspection of Records

22.4.1 Except for EPDs (which shall be maintained as set forth in Section 22.1), Contractor shall keep and maintain in a secure, fireproof location in Richland County, South Carolina, or in another location SCDOT approves in its discretion, accurate and complete Books and Records, including copies of all original Documents delivered to SCDOT. Contractor shall keep and maintain such Books and Records in accordance with applicable provisions of the Contract Documents, and of the Project Management Plan, and in accordance with Good Industry Practice. Contractor shall notify SCDOT where the Books and Records are kept.

22.4.2 Contractor shall make all its Books and Records available for inspection by SCDOT and SCDOT's Representatives at Contractor's principal offices in South Carolina, or at SCDOT's Project office for EPDs, at all times during normal business hours, without charge. Contractor shall provide copies thereof to SCDOT, or make available for review to SCDOT, as and when expressly required by the Contract Documents, or, for those not expressly required, upon request and at no expense to SCDOT. SCDOT may conduct any such inspection upon 48 hours' prior Notice, or unannounced and without prior Notice where there is good faith suspicion

1 of fraud. The right of inspection includes the right to make extracts, make copies and take notes.
2 The provisions of this Section 22.4.2 are subject to the following:

3 (a) They shall remain in full force and effect regardless of whether a Claim or
4 Dispute exists or whether either Party or both of the Parties have invoked the Dispute Resolution
5 Procedures; and

6 (b) Contractor reserves the right to assert exemptions from disclosure for
7 information that would be exempt under applicable State Law from discovery or introduction into
8 evidence in legal actions, including information protected by the attorney-client or other legal
9 privilege based upon an opinion of counsel reasonably satisfactory to SCDOT.

10 **22.4.3** Contractor shall retain Books and Records for a minimum of five years after
11 the Final Completion date or after the date generated, whichever is later; provided, however, that
12 if the Contract Documents specify any different time period for retention of particular records, such
13 time period shall control. Any provision of the Contract Documents establishing a stated period
14 for retention of Books and Records means the period of time, as stated, after the date the Book
15 or Record is generated, unless specifically provided otherwise.

16 **22.4.4** Notwithstanding the foregoing, Contractor shall retain and make available all
17 Books and Records which relate to Claims and Disputes being processed or the subject of the
18 Dispute Resolution Procedures for a period of not less than one year after the date the Dispute is
19 finally resolved (or for any longer period required under any other applicable provision of the
20 Contract Documents). Throughout the course of any Work that is in Dispute and the subject of
21 the Dispute Resolution Procedures, Contractor shall keep separate and complete Books and
22 Records that provide a clear distinction between the incurred direct costs of disputed Work and
23 that of undisputed Work, and shall permit SCDOT access to these Books and Records on an
24 Open Book Basis.

25 **22.4.5** Refer to Exhibit 3 for Federal Requirements applicable to maintenance and
26 inspection of Books and Records, with which Contractor shall comply.

27 **22.5 Audits**

28 **22.5.1** SCDOT has the right to review and audit Contractor, its Subcontractors and
29 their respective Books and Records, except for Documents designated as confidential or
30 privileged, as and when SCDOT deems necessary for purposes of verifying compliance with the
31 Contract Documents and applicable Law. Without limiting the foregoing, SCDOT will have the
32 right to audit Contractor's Project Management Plan and compliance therewith, including the right
33 to inspect Work or activities and to verify the accuracy and adequacy of the Project Management
34 Plan and its component parts, plans and other documentation. SCDOT may conduct any such
35 audit of Books and Records upon 48 hours' prior Notice, or unannounced and without prior Notice
36 where there is good faith suspicion of fraud.

37 **22.5.2** All Claims or Disputes filed against SCDOT will be subject to audit at any time
38 following the filing of the Claim or Dispute. The audit may be performed by employees of SCDOT
39 or by an auditor under contract with SCDOT after twenty (20) days' Notice to Contractor, any
40 Subcontractors or their respective agents. No Notice is required before commencing any audit (i)
41 within sixty (60) days after Final Completion; (ii) within sixty (60) days after termination of this
42 Agreement; or where there is good faith suspicion of fraud or other criminal activity. Contractor,
43 Subcontractors or their agents shall provide and cause Contractor-Related Entities to provide
44 adequate facilities, acceptable to SCDOT, for the audit during normal business hours. Contractor

1 shall cooperate and cause Contractor-Related Entities to cooperate with the auditors. At a
2 minimum, the auditors shall have available to them the following Documents:

- 3 (a) Daily time sheets and supervisor's daily reports;
- 4 (b) Union agreements;
- 5 (c) Insurance, welfare, and benefits records;
- 6 (d) Payroll registers;
- 7 (e) Earnings records;
- 8 (f) Payroll tax forms;
- 9 (g) Material invoices and requisitions;
- 10 (h) Material cost distribution work sheet;
- 11 (i) Equipment records (list of company equipment, rates, etc.);
- 12 (j) Subcontractors' (including Suppliers) invoices;
- 13 (k) Subcontractors' and agents' payment certificates;
- 14 (l) Canceled checks (payroll, Subcontractors and Suppliers);
- 15 (m) Job cost report;
- 16 (n) Job payroll ledger;
- 17 (o) General ledger;
- 18 (p) Cash disbursements journal;
- 19 (q) Project Schedules;
- 20 (r) All Documents that relate to each and every Claim or Dispute, together
21 with all Documents that support the amount of damages as to each Claim or Dispute; and
- 22 (s) Work sheets used to prepare the Claim or Dispute establishing the cost
23 components for items of the Claim or Dispute, including labor, benefits and insurance, materials,
24 equipment, Subcontractors, all Documents that establish the time periods, individuals involved,
25 the hours for the individuals, and the rates for the individuals.

26 **22.5.3** Failure of any Contractor-Related Entity to maintain and retain sufficient
27 records to allow the auditors to verify all or a portion of the Claim or Dispute, to permit the auditor
28 access to the Books and Records of any Contractor-Related Entity, or to otherwise fully comply
29 with the provisions of this Section 22.5 shall constitute a waiver of the Claim or Dispute and shall
30 bar any recovery or relief thereunder.

31 **22.5.4** FHWA's rights to review and audit Contractor, its Subcontractors and their
32 respective Books and Records are set forth in Form FHWA-1273 as provided in Section 1000 of
33 the Technical Provisions.

34 **22.5.5** Contractor represents and warrants the completeness and accuracy of all
35 information it or its agents provides in connection with SCDOT audits and shall cause all
36 Subcontractors other than SCDOT and Governmental Entities acting as Subcontractors to

1 warrant the completeness and accuracy of all information such Subcontractors or their agents
2 provides in connection with SCDOT audits.

3 **22.5.6** SCDOT's rights of audit include the right to observe the business operations of
4 Contractor and its Subcontractors to confirm the accuracy of Books and Records.

5 **22.5.7** Contractor's internal and third-party quality and compliance auditing
6 responsibilities shall be set forth in the Project Management Plan.

7 **22.5.8** Nothing in the Contract Documents shall in any way limit the constitutional and
8 statutory powers, duties and rights of elected State officials, including the independent rights of
9 the State Auditor General, in carrying out his or her legal authority. Contractor understands and
10 acknowledges that:

11 (a) The State Auditor General may conduct an audit or investigation of any
12 Person receiving funds from the State directly under this Agreement or indirectly through a
13 Subcontract;

14 (b) Acceptance of funds directly under this Agreement or indirectly through a
15 Subcontract acts as acceptance of the authority of the State Auditor General, under the direction
16 of the Joint Legislative Audit Committee, to conduct an audit or investigation in connection with
17 those funds; and

18 (c) A Person that is the subject of an audit or investigation must provide the
19 State Auditor General with access to any information the State Auditor General considers
20 relevant to the investigation or audit.

21 **22.6 South Carolina Freedom of Information Act**

22 **22.6.1** Contractor acknowledges and agrees that all records, Documents, drawings,
23 Plans, specifications and other materials in SCDOT's possession, including materials submitted
24 by Contractor, are subject to the provisions of the South Carolina Freedom of Information Act,
25 S.C. Code Ann. § 30-4-10, *et. seq* ("FOIA"). To the extent that this Agreement involves the
26 exchange or creation of "public information," as such term is defined by FOIA, that SCDOT
27 collects, assembles, or maintains or has a right of access to, and is not otherwise excepted from
28 disclosure under the FOIA, Contractor is required, at no additional charge to the State, to make
29 any such information available in .pdf format, which is accessible by the public.

30 **22.6.2** If Contractor believes information or materials submitted to SCDOT constitute
31 trade secrets, proprietary information or other information that is excepted from disclosure under
32 FOIA, Contractor shall be solely responsible for specifically and conspicuously designating that
33 information by placing "CONFIDENTIAL" in the center header of each such page affected, as it
34 determines to be appropriate. Any specific proprietary information, trade secrets or confidential
35 commercial and financial information shall be clearly identified as such and shall be accompanied
36 by a concise statement of reasons supporting the Claim.

37 **22.6.3** If SCDOT receives a request for public disclosure of materials marked
38 "CONFIDENTIAL," SCDOT will use reasonable efforts to notify Contractor of the request and give
39 Contractor an opportunity to assert, in writing and at its sole expense, a claimed exception under
40 FOIA or other applicable Law within the time period specified in the Notice issued by SCDOT and
41 allowed under FOIA. Under no circumstances, however, will SCDOT be responsible or liable to
42 Contractor or any other Person for the disclosure of any such labeled materials, whether the

disclosure is required by Law, or court order, or occurs through inadvertence, mistake or negligence on the part of SCDOT or its officers, employees, contractors or consultants.

22.6.4 In the event of any proceeding or litigation concerning the disclosure of any material submitted by Contractor to SCDOT, SCDOT's sole involvement will be as a stakeholder retaining the material until otherwise ordered by a court or such other authority having jurisdiction with respect thereto, and Contractor shall be fully responsible for otherwise prosecuting or defending any action concerning the materials at its sole cost and risk; provided, however, that SCDOT reserves the right, in its discretion, to intervene or participate in the litigation in such manner as it deems necessary or desirable. Except in the case of SCDOT's voluntary intervention or participation in litigation, Contractor shall pay and reimburse SCDOT within thirty (30) days after receipt of demand and reasonable supporting documentation for all costs and fees, including attorneys' fees and costs, SCDOT incurs in connection with any litigation, proceeding or request for disclosure.

22.6.5 Nothing contained in this Section 22.6 shall modify or amend requirements and obligations imposed on SCDOT by FOIA or other applicable Law, and the provisions of FOIA or other Laws shall control in the event of a conflict between the procedures described above and the applicable Law. Contractor is advised to contact legal counsel concerning such Law and its application to Contractor.

22.7 Intellectual Property

22.7.1 Proprietary Intellectual Property

22.7.1.1 Contractor acknowledges and agrees that all Proprietary Intellectual Property, in any medium, is specially ordered or commissioned by SCDOT, including works made for hire in accordance with Section 101 of the Copyright Act of the United States. Contractor hereby assigns to SCDOT all rights, title and interest in and to the Proprietary Intellectual Property including any and all software, work product and designs.

22.7.1.2 As a condition of Final Completion, Contractor shall deliver to SCDOT all work product, Documents, results and related materials created in the development of Proprietary Intellectual Property as well as an indexed collection of such materials. Without limiting the generality of the foregoing, delivery of such materials shall include Design Documents and Construction Documents.

22.7.1.3 SCDOT hereby grants to Contractor a limited license to use, exploit, manufacture, distribute, copy, adapt and display the Proprietary Intellectual Property solely in connection with and limited to (a) incorporation into the Project Intellectual Property, (b) the Work for this Project, and (c) all other services performed by or on behalf of SCDOT to complete the Work, or comply with Contractor's obligations under this Agreement. No Intellectual Property rights of SCDOT are being licensed to Contractor except as otherwise expressly provided in this Section.

22.7.2 Contractor Intellectual Property

22.7.2.1 Subject to Section 22.7.5, Contractor hereby grants to SCDOT an irrevocable, perpetual, fully paid-up right and license to use, exploit, manufacture, distribute, copy, adapt and display the Contractor Intellectual Property, including any enhancements thereof.

22.7.2.2 Contractor shall identify and disclose all Contractor Intellectual Property contained or included in the Project Intellectual Property, including (when reasonably

1 available) full and specific information detailing Intellectual Property claimed, date of authorship,
2 creation or invention, date of application(s), application number(s) and registering entit(ies), date
3 of registration(s), registration number(s) and registering entit(ies), if any, and owner including
4 Person or entity name and address.

5 22.7.2.3 Contractor shall deliver to SCDOT all Contractor Intellectual
6 Property contained or included in the Project Intellectual Property promptly upon request.

7 **22.7.3 Third-Party Intellectual Property**

8 22.7.3.1 Whenever using any design, device, material, software or process
9 protectable or protected as Third-Party Intellectual Property, Contractor shall obtain the right and
10 license for such use. Without limiting the foregoing, and subject to Section 22.7.5, Contractor shall
11 secure nonexclusive, transferable, irrevocable, unconditional, royalty-free licenses in the name of
12 SCDOT to use, reproduce, modify, adapt and disclose Third-Party Intellectual Property and shall
13 pay any and all royalties and license fees required to be paid for any Intellectual Property
14 incorporated into the Project Intellectual Property. All Third-Party Intellectual Property licenses
15 are subject to SCDOT's review and approval. The foregoing requirement shall not apply,
16 however, to mass-marketed software products (sometimes referred to as "shrink wrap software")
17 owned by such a Person where such a license cannot be extended to SCDOT using commercially
18 reasonable efforts.

19 22.7.3.2 Contractor shall identify and disclose all Third-Party Intellectual
20 Property contained or included in the Project Intellectual Property including (when reasonably
21 available) full and specific information detailing Intellectual Property claimed, date of authorship,
22 creation or invention, date of application(s), application number(s) and registering entity(ies), date
23 of registration(s), registration number(s) and registering entity(ies), if any, and owner including
24 Person or entity name and address.

25 **22.7.4 Inclusion in Contract Price**

26 Contractor acknowledges and agrees that the Contract Price includes all royalties and
27 costs arising from Project Intellectual Property or in any way involved in the Work. Any items for
28 royalties and costs arising from Project Intellectual Property that are incurred after the execution
29 of this Contract shall be the responsibility of the Contractor unless the charge is incurred because
30 of a SCDOT-Directed change.

31 **22.7.5 Licensing Limitations**

32 Licenses granted under Section 22.7.2 and Section 22.7.3 shall be limited as follows:

33 22.7.5.1 The right to transfer the license is limited to any Governmental
34 Entity that succeeds to the power and authority of SCDOT generally or with respect to the Project,
35 and any Governmental Entity having power and authority over any city or county road where the
36 Proprietary Intellectual Property of Contractor is installed, deployed or operated.

37 22.7.5.2 The right to sublicense is limited to State, regional and local
38 Governmental Entities that own or operate a State Highway or other road (tolled or not tolled)
39 where the Proprietary Intellectual Property of Contractor is installed, deployed or operated, and
40 to the concessionaires, developers, contractors, Subcontractors, employees, attorneys,
41 consultants and agents that are retained by or on behalf of SCDOT or any such State, regional
42 or local Governmental Entity in connection with the Project, another State Highway, or other road

1 (tolled or untolled) where the Proprietary Intellectual Property of Contractor is installed, deployed
2 or operated.

3 22.7.5.3 SCDOT will:

4 (a) Not disclose any Contractor Intellectual Property or Third-Party Intellectual
5 Property to any Person other than authorized transferees and sublicensees who agree to be
6 bound by any confidentiality obligations of SCDOT relating thereto;

7 (b) Enter into a commercially reasonable confidentiality agreement if
8 requested by Contractor with respect to the licensed Contractor Intellectual Property or Third-
9 Party Intellectual Property; and

10 (c) Include, or where applicable require such State or regional Governmental
11 Entity to include, in the contract with the sublicensee its covenant to employ sound business
12 practices no less diligent than those used for its own confidential information, and no less diligent
13 than required by commercially reasonable standards of confidentiality, to protect all Contractor
14 Intellectual Property or Third-Party Intellectual Property and other materials provided under the
15 sublicense against disclosure to third parties not in receipt of a sublicense, and to use the
16 sublicense only for the permitted purposes.

17 **22.7.6 Limitation on SCDOT Liability**

18 Notwithstanding any contrary provision of this Agreement, in no event shall SCDOT or any
19 of its directors, officers, employees, consultants or agents be liable to Contractor, any Affiliate or
20 any Subcontractor for any damages, including loss of profit, arising out of breach of the duty of
21 confidentiality set forth in Section 22.7.5 if such breach is not the result of gross negligence or
22 intentional misconduct. Contractor hereby irrevocably waives all Claims to any such damages.

Article 23.

EARLY TERMINATION OF AGREEMENT; TRANSITION AT END OF TERM

23.1 Termination for Convenience

23.1.1 SCDOT may, at any time, terminate this Agreement and the performance of the Work by Contractor, in whole or in part, if SCDOT determines, in its discretion, that a termination is in SCDOT's best interest ("Termination for Convenience"). SCDOT will terminate by delivering to Contractor a Notice of Termination for Convenience or Notice of Partial Termination for Convenience specifying the extent of termination and its Effective Date. Termination (or partial termination) of this Agreement under this Article 23 shall not relieve Contractor or any Surety of its obligation for any Claims arising prior to termination.

23.1.2 If SCDOT terminates this Agreement on grounds or in circumstances beyond SCDOT's termination rights specifically set forth in this Agreement, such termination shall be deemed a Termination for Convenience for the purpose of determining the amount of Termination Compensation due (but not for any other purpose).

23.2 Termination for Convenience Compensation Amount

23.2.1 If SCDOT exercises its right of Termination for Convenience, it shall owe Termination Compensation to Contractor in an amount equal to the sum of the following:

(a) Payments due but not yet paid in accordance with Article 13 for all Work performed up to the date of termination, including Work in progress since the last Pay Request; plus:

(b) Contractor's actual reasonable out-of-pocket cost, without profit, and including equipment costs only to the extent permitted by Section 105.14 of the SCDOT Standard Specifications for demobilization, and Work done to secure the applicable portion of the Project for termination, including reasonable overhead; plus

(c) Reserved

(d) The cost of settling and paying claims arising out of the termination of Work under Subcontracts and Utility Agreements, exclusive of the amounts paid or payable on account of supplies or materials delivered or services furnished by the Subcontractor prior to the Effective Date of the Notice of Termination for Convenience or Notice of Partial Termination for Convenience of Work under this Agreement, which amounts shall be included in the cost on account of which payment is made under clause (b) above; plus

(e) The reasonable out-of-pocket cost incurred (including reasonable overhead) to preserve and protect property; plus

(f) The reasonable out-of-pocket cost incurred to prepare and carry out the transition plan under Section 23.8.1; plus

(g) Any other reasonable out-of-pocket cost (including overhead) incurred incidental to termination of Work under this Agreement, including the reasonable cost to Contractor of handling material returned to the Supplier, delivered to SCDOT or otherwise disposed of as directed by SCDOT, and including a reasonable allowance for Contractor's administrative costs in determining the amount payable due to termination of this Agreement, but excluding any costs and expenses incurred in connection with any Disputes; minus

1 (h) The cost of property, materials, supplies, equipment and other things to
2 be retained by Contractor, the agreed price for, or proceeds from, the sale of such items not
3 otherwise delivered to SCDOT, and other appropriate deductions allowed under this Agreement,
4 including those deductions that would be permitted in connection with the Final Payment; minus

5 (i) All unliquidated advance or other payments made to or on behalf of
6 Contractor applicable to the terminated portion of the Work or Agreement; minus

7 (j) The cost of repairing any Nonconforming Work (or, in SCDOT's discretion,
8 the amount of the reimbursement to which SCDOT is entitled under Section 6.7); minus

9 (k) The amount of any other Claim which SCDOT may have against any
10 Contractor-Related Entity in connection with this Agreement; minus

11 (l) Any other amounts due or payable by Contractor to SCDOT pursuant to
12 this Agreement; minus

13 (m) Amounts that SCDOT reasonably deems advisable to retain to cover any
14 existing or threatened Claims and stop Notices relating to the Project, including claims by Utility
15 Companies, or to cover Warranty Work, provided that SCDOT will promptly pay to Contractor
16 any such retained amounts remaining after the need for the retention ends.

17 **23.2.2** Contractor acknowledges and agrees that it shall not be entitled to any
18 compensation in excess of the value of the Work performed (determined as provided in Section
19 23.2.1) plus its settlement costs, and that, except to the extent provided in Section 23.2.1(a), items
20 such as lost or anticipated profits, unabsorbed overhead and opportunity costs shall not be
21 recoverable by it upon termination of this Agreement. If any refund is payable with respect to
22 insurance or bond premiums, letter of credit fees, deposits or other items which were previously
23 passed through to SCDOT by Contractor, such refund shall be paid directly to SCDOT or
24 otherwise credited to SCDOT. Except for normal spoilage, and except to the extent that SCDOT
25 will have otherwise expressly assumed the risk of Loss, there will be excluded from the amounts
26 payable to Contractor under Section 23.2.1, the fair value, as determined by SCDOT, of
27 equipment, machinery, materials, supplies and property which is destroyed, lost, stolen, or
28 damaged so as to become undeliverable to SCDOT, or sold pursuant to Section 23.8.2.11.
29 Information contained in the EPDs may be a factor in determining the value of the Work
30 terminated.

31 **23.2.3** Upon determination of the amount of the termination payment, the Parties shall
32 amend this Agreement to reflect the agreed termination payment, SCDOT will pay Contractor the
33 amount due, and, in the case of a Partial Termination for Convenience, the Contract Price shall
34 be reduced to reflect the reduced scope of Work.

35 **23.2.4** If a termination hereunder is partial, Contractor may file a Proposal with
36 SCDOT for an equitable adjustment of the Contract Price for the continued portion of this
37 Agreement. Any Proposal by Contractor for an equitable adjustment under this Section 23.2.4
38 shall be requested within ninety (90) days from the Effective Date of the partial termination unless
39 extended in writing by SCDOT. The amount of any such adjustment as may be agreed upon shall
40 be set forth in a Change Order.

41 **23.3 Payment**

42 SCDOT may from time to time, under such terms and conditions as it may prescribe and,
43 in its discretion, make partial payments for costs incurred by Contractor in connection with the

1 terminated portion of this Agreement, whenever in the opinion of SCDOT the aggregate of such
2 payments shall be within the amount to which Contractor will be entitled hereunder. If the total of
3 such payments is in excess of the amount finally determined to be due under this Article 23, such
4 excess shall be payable by Contractor to SCDOT upon demand.

5 **23.4 Subcontracts**

6 **23.4.1** Provisions shall be included in each Subcontract (at all tiers) regarding
7 terminations for convenience, allowing such termination rights and obligations to be passed
8 through to the Subcontractors and establishing terms and conditions relating thereto, including
9 procedures for determining the amount payable to the Subcontractor upon a termination,
10 consistent with this Article 23.

11 **23.4.2** Each Subcontract shall provide that, in the event of a Termination for
12 Convenience by SCDOT, the Subcontractor will not be entitled to any anticipatory or unearned
13 profit on Work terminated or partly terminated, or to any payment which constitutes consequential
14 damages on account of the termination or partial termination.

15 **23.5 Termination Based on Delayed Issuance of NTPs**

16 **23.5.1** If NTP has not been issued within one hundred twenty (120) days after the
17 Effective Date and this Delay is not caused in whole or in part by an act, omission, negligence,
18 intentional misconduct, or breach of applicable Law, contract or Governmental Approval of any
19 Contractor-Related Entity, Contractor, as its sole remedy, shall have the right to terminate this
20 Agreement, which right shall be exercised by delivery of Notice of Termination to SCDOT. In
21 such event, SCDOT's sole liability to Contractor is to pay Contractor the same payment for work
22 product as provided to unsuccessful Proposers pursuant to the Section 3.134 of the Instructions
23 to Proposers; provided, however, that all other conditions for such payment are met.

24 **23.5.2** If NTP has not been issued within one hundred twenty (120) days after
25 satisfaction of all conditions precedent to issuance of NTP, Contractor, as its sole remedy, may
26 conditionally elect to terminate this Agreement by providing SCDOT with Notice of such
27 conditional election. If Contractor delivers a Notice of its conditional election to terminate, SCDOT
28 will have the choice of either accepting such Notice of Termination or continuing this Agreement
29 in effect by delivering to Contractor Notice of SCDOT's choice not later than thirty (30) days after
30 receipt of Contractor's Notice. If SCDOT does not deliver Notice of its choice within such 30-day
31 period, then it will be deemed to have accepted Contractor's election to terminate the Agreement.
32 In such event, the termination shall be deemed a Termination for Convenience and handled in
33 accordance with Section 23.1. If SCDOT delivers timely Notice choosing to continue this
34 Agreement in effect, then Contractor will be entitled to a Price Adjustment pursuant to Section
35 14.1.5.2 subject to Contractor's compliance with the terms and conditions set forth in Article 14
36 for the duration of the Delay in the issuance of NTP, or until earlier termination of this Agreement.

37 **23.6 Termination by Court Ruling**

38 **23.6.1** This Agreement and the other Contract Documents are subject to Termination
39 by Court Ruling.

40 **23.6.2** Termination by Court Ruling becomes effective, and automatically terminates
41 this Agreement, upon issuance of the final, non-appealable court order by a court of competent
42 jurisdiction; provided, however, that where Section 24.15 applies, Termination by Court Ruling

1 becomes effective only after the Parties determine they are unable to negotiate revisions to the
2 Contract Documents to affect their original intent.

3 **23.6.3** If both Parties agree in writing, they may elect to partially terminate this
4 Agreement due to such court order and to continue the remainder of this Agreement in effect, to
5 the extent it is possible to do so without violating the court order.

6 **23.6.4** If Termination by Court Ruling occurs, then SCDOT will owe termination
7 compensation to Contractor equal to that owing upon a Termination for Convenience or Partial
8 Termination for Convenience, as applicable.

9 **23.7 Termination Based on Statutory Grounds**

10 **23.7.1** SCDOT may terminate this Agreement, without obligation or penalty, if
11 Contractor or any member of the Contractor's team violates the State Ethics Act, S.C. Code Ann.
12 § 8-13-100, et. seq. regarding unlawful offering of employment to a procurement officer or
13 procurement employee.

14 **23.8 Responsibilities after Notice of Termination**

15 **23.8.1** Within three (3) days after either Party delivers to the other Party a Notice of
16 Termination of this Agreement, Contractor and SCDOT shall meet and confer for the purpose of
17 developing an interim transition plan for the orderly transition of the terminated Work,
18 demobilization and transfer of the Project and its maintenance to SCDOT. The Parties shall use
19 diligent efforts to complete preparation of the interim transition plan within fifteen (15) days after
20 the date Contractor receives such Notice of termination. The Parties shall use diligent efforts to
21 complete a final transition plan within thirty (30) days after such date. The transition plan shall be
22 in form and substance acceptable to SCDOT in its good faith discretion and shall include and be
23 consistent with the provisions and procedures set forth in Section 23.8.2.

24 **23.8.2** After either Party delivers to the other Party a Notice of Termination of this
25 Agreement, and except as otherwise directed by SCDOT, Contractor shall timely comply with the
26 following obligations independent of, and without regard to, the timing for preparing or
27 implementing the transition plan or for determining, adjusting, settling and paying any amounts
28 due Contractor under this Agreement:

29 23.8.2.1 Stop the Work as specified in the Notice;

30 23.8.2.2 Notify all affected Subcontractors and Suppliers that this
31 Agreement is being terminated and that their Subcontracts (including orders for materials,
32 services or facilities) are not to be further performed unless otherwise authorized in writing by
33 SCDOT;

34 23.8.2.3 Enter into no further Subcontracts (including orders for materials,
35 services or facilities), except as necessary to complete the continued portion of the Work;

36 23.8.2.4 Unless instructed otherwise by SCDOT, terminate all Subcontracts
37 and Utility Agreements to the extent they relate to the Work terminated;

38 23.8.2.5 To the extent directed by SCDOT, execute and deliver to SCDOT
39 written assignments, in form and substance acceptable to SCDOT, acting reasonably, of all of
40 Contractor's right, title, and interest in and to: (a) Subcontracts and Utility Agreements that relate
41 to the terminated Work, provided SCDOT assumes in writing all of Contractor's obligations

1 thereunder that arise after the Effective Date of the termination; and (b) all assignable warranties,
2 claims and causes of action held by Contractor against Subcontractors and other third parties in
3 connection with the terminated Work, to the extent such Work is adversely affected by any
4 Subcontractor or other third-party breach of warranty, contract or other legal obligation; provided,
5 however, that Contractor may retain claims against Subcontractors for which SCDOT has been
6 fully compensated;

7 23.8.2.6 Subject to the prior approval of SCDOT, settle all outstanding
8 liabilities and claims arising from termination of Subcontracts and Utility Agreements that are
9 required to be terminated hereunder;

10 23.8.2.7 Within thirty (30) days after notice of termination is delivered,
11 provide SCDOT with a true and complete list of all materials, goods, machinery, equipment, parts,
12 supplies and other property in inventory or storage (whether held by Contractor or any Person or
13 entity on behalf of or for the account of Contractor) for use in or respecting the terminated Work,
14 or on order or previously completed but not yet delivered from Suppliers for use in or respecting
15 such Work. In addition, if requested by SCDOT, Contractor shall promptly transfer title and deliver
16 to SCDOT or SCDOT's Authorized Representative, through bills of sale or other Documents of
17 title, as directed by SCDOT, all such materials, goods, machinery, equipment, parts, supplies and
18 other property, provided SCDOT assumes in writing all of Contractor's obligations under any
19 contracts relating to the foregoing that arise after the Effective Date of termination;

20 23.8.2.8 On or about the Effective Date of termination, execute and deliver
21 to SCDOT the following, together with an executed bill of sale or other written instrument, in form
22 and substance acceptable to SCDOT, acting reasonably, assigning and transferring to SCDOT
23 all of Contractor's right, title and interest in and to the following:

24 (a) All completed or partially completed drawings (including Plans, elevations,
25 sections, details and diagrams), specifications, designs, Design Documents, Record Drawings,
26 surveys, and other Documents and information pertaining to the design or construction of the
27 terminated Work;

28 (b) All samples, borings, boring logs, geotechnical data and similar data and
29 information relating to the terminated Work;

30 (c) All books, records, reports, test reports, studies and other Documents of
31 a similar nature relating to the terminated Work; and

32 (d) All other work product used or owned by Contractor or any Affiliate relating
33 to the terminated Work;

34 23.8.2.9 In the case of a partial termination, complete performance in
35 accordance with the Contract Documents of all Work not terminated, except to the extent
36 performance of the remaining Work is rendered impossible due to the scope of the partial
37 termination;

38 23.8.2.10 For the period of time specified by SCDOT in the notice of
39 termination or until SCDOT takes over the Work, take all action that may be necessary, or that
40 SCDOT may direct, for the safety, protection and preservation of:

41 (a) The public, including public and private vehicular movement;

42 (b) The Work; and

1 (c) Equipment, machinery, materials and property related to the Project that
2 is in the possession of Contractor and in which SCDOT has or may acquire an interest.

3 23.8.2.11 As authorized by SCDOT in writing, use its best efforts to sell, at
4 reasonable prices, any property of the types referred to in Section 23.8.2.10; provided, however,
5 that Contractor: (a) is not required to extend credit to any purchaser; and (b) may acquire the
6 property under the conditions prescribed and at prices approved by SCDOT. The proceeds of
7 any transfer or disposition will be applied to reduce any payments to be made by SCDOT under
8 the Contract Documents or paid in any other manner directed by SCDOT;

9 23.8.2.12 Immediately safely demobilize and secure construction, staging, lay
10 down and storage areas for the Project and Utility Adjustments included in the Work in a manner
11 satisfactory to SCDOT, and remove all debris and waste materials, except as otherwise approved
12 by SCDOT in writing;

13 23.8.2.13 Assist SCDOT in such manner as SCDOT may require prior to and
14 for a reasonable period following the Effective Date of termination to ensure the orderly transition
15 of the terminated Work and its management to SCDOT, and shall, if appropriate and if requested
16 by SCDOT, take all steps as may be necessary to enforce the provisions of Subcontracts
17 pertaining to the surrender of the terminated Work;

18 23.8.2.14 Deliver to SCDOT all Books and Records and the then-current
19 Electronic Document Management System, except for information in Books and Records exempt
20 under applicable State Law from discovery or introduction into evidence in legal actions, including
21 information protected by the attorney-client or other legal privilege based upon an opinion of
22 counsel reasonably satisfactory to SCDOT;

23 23.8.2.15 Carry out such other directions as SCDOT may give for the
24 termination of the Work; and

25 23.8.2.16 Take such other actions as are necessary or appropriate to mitigate
26 further cost.

27 **23.9 No Consequential Damages**

28 Under no circumstances shall Contractor be entitled to anticipatory or unearned profits or
29 consequential or other damages as a result of any termination under this Article 23. The payment
30 to Contractor determined in accordance with this Article 23 constitutes Contractor's exclusive
31 remedy for a termination hereunder.

32 **23.10 No Waiver; Release**

33 **23.10.1** Notwithstanding anything contained in this Agreement to the contrary, a
34 termination under this Article 23 shall not waive any right or claim to damages which SCDOT may
35 have and SCDOT may pursue any cause of action which it may have at Law, in equity or under
36 the Contract Documents.

37 **23.10.2** Subject to Section 23.11, SCDOT's payment to Contractor of the amounts
38 required under this Article 23 shall constitute full and final satisfaction of, and upon payment
39 SCDOT will be forever released and discharged from, any and all Claims, causes of action, suits,
40 demands and Losses, known or unknown, suspected or unsuspected, that Contractor may have
41 against SCDOT arising out of or relating to the terminated Work. Upon such payment, Contractor
42 shall execute and deliver to SCDOT all such releases and discharges as SCDOT may reasonably

1 require to confirm the foregoing, but no such release and discharge shall be necessary to give
2 effect to the foregoing satisfaction and release.

3 **23.11 Dispute Resolution**

4 The failure of the Parties to agree on amounts due under this Article 23 shall be a Dispute
5 to be resolved in accordance with Article 21.

6 **23.12 Allowability of Costs**

7 All costs claimed by Contractor under this Article 23 must be allowable, allocable and
8 reasonable in accordance with the cost principles and procedures of 48 CFR Part 31.

Article 24.
MISCELLANEOUS PROVISIONS

24.1 Amendments

The Contract Documents may be amended only by a written instrument duly executed by the Parties or their respective successors or assigns, except to the extent expressly provided otherwise in this Agreement.

24.2 Waiver

24.2.1 No waiver of any term, covenant or condition of the Contract Documents shall be valid unless in writing and signed by the obligee Party.

24.2.2 The exercise by a Party of any right or remedy provided under the Contract Documents shall not waive or preclude any other or further exercise thereof or the exercise of any other right or remedy. No waiver by any Party of any right or remedy under the Contract Documents shall be deemed to be a waiver of any other or subsequent right or remedy under the Contract Documents. The consent by one Party to any act by the other Party requiring such consent shall not be deemed to render unnecessary the obtaining of consent to any subsequent act for which consent is required, regardless of whether similar to the act for which consent is given.

24.2.3 Except as provided otherwise in the Contract Documents, no act, Delay or omission done, suffered or permitted by one Party or its agents shall be deemed to waive, exhaust or impair any right, remedy or power of such Party hereunder, or to relieve the other Party from the full performance of its obligations under the Contract Documents.

24.2.4 Either Party's waiver of any breach or failure to enforce any of the terms, covenants, conditions or other provisions of the Contract Documents at any time shall not in any way limit or waive that Party's right thereafter to enforce or compel strict compliance with every term, covenant, condition or other provision, any course of dealing or custom of the trade notwithstanding. Furthermore, if the Parties make and implement any interpretation of the Contract Documents without documenting such interpretation by an instrument signed by both Parties, such interpretation and implementation thereof will not be binding in the event of any future Claims or Disputes.

24.3 Independent Contractor

24.3.1 Contractor is an independent contractor, and nothing contained in the Contract Documents shall be construed as constituting any relationship with SCDOT other than that of Project developer and independent contractor.

24.3.2 Nothing in the Contract Documents is intended or shall be construed to create any partnership, joint venture or similar relationship between SCDOT and Contractor; and in no event shall either Party take a position in any tax return or other writing of any kind that a partnership, joint venture or similar relationship exists. While the term "public-private partnership" may be used on occasion to refer to contractual relationships of the type hereby created, the Parties do not thereby express any intention to form or hold themselves out as a de jure or de facto partnership, joint venture or similar relationship, to share net profits or net Losses, or to give

1 SCDOT control or joint control over Contractor's financial decisions or discretionary actions
2 concerning the Project and the Work.

3 **24.3.3** In no event shall the relationship between SCDOT and Contractor be
4 construed as creating any relationship whatsoever between SCDOT and Contractor's employees.
5 Neither Contractor nor any of its employees is or shall be deemed to be an employee of SCDOT.
6 Except as otherwise specified in the Contract Documents, Contractor has sole authority and
7 responsibility to employ, discharge and otherwise control its employees and has complete and
8 sole responsibility as a principal for its agents, for all Subcontractors and for all other Persons that
9 Contractor or any Subcontractor hires to perform or assist in performing the Work.

10 **24.4 Successors and Assigns; Change of Control**

11 **24.4.1** The Contract Documents shall be binding upon and inure to the benefit of
12 SCDOT and Contractor and their permitted successors, assigns and legal Representatives.

13 **24.4.2** SCDOT, with the consent of the Surety, may transfer and assign all or any
14 portion of its rights, title and interests in and to the Contract Documents, including rights with
15 respect to the Payment Bond, the Performance Bond, the Warranty Bond letters of credit and
16 other security for payment or performance:

17 (a) Without Contractor's consent, to any other public agency or public entity
18 as permitted by Law, provided that the successor or assignee has assumed all of SCDOT's
19 obligations, duties and liabilities under the Contract Document then in effect;

20 (b) Without Contractor's consent, to any other Person that succeeds to the
21 governmental powers and authority of SCDOT; provided, however, that such successor(s) has
22 assumed all of SCDOT's obligations, duties and liabilities under the Contract Documents then in
23 effect; and

24 (c) To any other Person with the prior approval of Contractor.

25 **24.4.3** The Warranties and all rights of SCDOT under Article 12, as well as all other
26 rights and claims of SCDOT, insofar as they relate to Elements that will be owned by Persons
27 other than SCDOT (such as Utility Companies), shall be assignable to such Persons.

28 **24.4.4** In the event of SCDOT's assignment of all of its rights, title and interests in the
29 Contract Documents as permitted hereunder, Contractor shall have no further recourse to SCDOT
30 under the Contract Documents or otherwise except as specifically provided by other contractual
31 agreement or by statute.

32 **24.4.5** Contractor shall not voluntarily or involuntarily sell, assign, convey, transfer,
33 pledge, mortgage or otherwise encumber Contractor's interest in and to the Contract Documents
34 or any portion thereof without SCDOT's prior approval, except to any entity that is under the same
35 ultimate management control as Contractor. Contractor shall not grant any right of entry, license
36 or other special occupancy of the Project to any other Person that is not in the ordinary course of
37 Contractor performing the Work, without SCDOT's prior approval. Any sale, assignment,
38 conveyance, transfer, pledge, mortgage, encumbrance, grant of right of entry, license or other
39 special occupancy in violation of this provision shall be null and void ab initio and SCDOT, at its
40 option, may declare any such attempted action to be a material Contractor Default and Default
41 Event.

1 **24.4.6** Contractor shall not voluntarily or involuntarily cause, permit or suffer any
2 Change of Control during the Term without SCDOT's prior approval. If there occurs any voluntary
3 or involuntary Change of Control without SCDOT's prior approval, SCDOT, at its option, may
4 declare it to be a material Contractor Default and Default Event.

5 **24.4.7** Where SCDOT's prior approval is required for a proposed sale, assignment,
6 conveyance, transfer, pledge, mortgage, encumbrance, sublease or grant of right of entry, license
7 or other special occupancy, or for any proposed Change of Control during the Term, SCDOT may
8 withhold or condition its approval in its discretion. Any such decision of SCDOT to withhold
9 consent shall be final, binding and not subject to the Dispute Resolution Procedures.

10 **24.4.8** Assignments and transfers of Contractor's interest in or to the Contract
11 Documents permitted under this Section 24.4 or otherwise approved by SCDOT will be effective
12 only upon SCDOT's receipt of Notice of the assignment or transfer and a written recordable
13 instrument executed by the transferee, in form and substance acceptable to SCDOT, in which the
14 transferee, without condition or reservation, assumes all of Contractor's obligations, duties and
15 liabilities under this Agreement and the other Contract Documents then in effect and agrees to
16 perform and observe all provisions thereof applicable to Contractor. Each transferee shall take
17 Contractor's interest in or to the Contract Documents subject to, and shall be bound by, the Project
18 Management Plan, the subcontracts, the Utility Agreements, all agreements between the
19 transferor and railroads, the Governmental Approvals, and all agreements between the transferor
20 and Governmental Entities with jurisdiction over the Project or the Work, except to the extent
21 otherwise approved by SCDOT in its good faith discretion.

22 **24.5 Change of Organization or Name**

23 **24.5.1** Contractor shall not change the legal form of its organization in a manner that
24 adversely affects SCDOT's rights, protections and remedies under the Contract Documents
25 without the prior approval of SCDOT, which consent may be granted or withheld in SCDOT's
26 discretion.

27 **24.5.2** In the event either Party changes its name, such Party agrees to promptly
28 furnish the other Party with Notice of change of name and appropriate supporting documentation.

29 **24.6 Designation of Representatives; Cooperation with Representatives**

30 **24.6.1** SCDOT and Contractor shall each designate an individual or individuals with
31 the authority to make decisions and bind the Parties on matters relating to the Contract
32 Documents (for each Party, its respective "Authorized Representative"). Exhibit 9 hereto provides
33 the Parties' initial Authorized Representative designations. Either Party may change its initial
34 Authorized Representative designation by a subsequent writing delivered to the other Party in
35 accordance with Section 24.11.

36 **24.6.2** Contractor's Authorized Representative(s) shall have on-site field and office
37 authority to represent and act on behalf of Contractor. Such Authorized Representative(s) shall
38 be present at the job Site at all times while Work is actually in progress.

39 **24.6.3** The Parties may also designate technical Representatives who shall be
40 authorized to investigate and report on matters relating to the design and construction of the
41 Project and negotiate on behalf of each of the Parties, but who do not have authority to bind
42 SCDOT or Contractor.

1 **24.6.4** Contractor shall cooperate with SCDOT and all Representatives of SCDOT
2 designated as described above.

3 **24.7 Survival**

4 Contractor's representations and warranties, the provisions regarding invoicing and
5 payment under Article 13, the express rights and obligations of the Parties following termination
6 of this Agreement under Article 18 and Article 23, the indemnifications and releases contained in
7 Article 20, the Dispute resolution provisions contained in Article 21, and all other provisions that
8 by their inherent character should survive termination of this Agreement or completion of the
9 Work, shall survive the termination of this Agreement or completion of the Work. The provisions
10 of Article 21 shall continue to apply after expiration or earlier termination of this Agreement to all
11 Claims and Disputes between the Parties arising out of the Contract Documents.

12 **24.8 Limitation on Third Party Beneficiaries**

13 It is not intended by any of the provisions of the Contract Documents to create any third-
14 party beneficiary hereunder or to authorize anyone not a Party hereto to maintain a suit for
15 personal injury or property damage pursuant to the terms or provisions hereof, except to the extent
16 that specific provisions (such as the Warranty and indemnity provisions) identify third parties and
17 state that they are entitled to benefits hereunder. Except as otherwise provided in this Section
18 24.8, the duties, obligations and responsibilities of the Parties to the Contract Documents with
19 respect to third parties shall remain as imposed by Law. The Contract Documents shall not be
20 construed to create a contractual relationship of any kind between SCDOT and a Subcontractor
21 or any Person other than Contractor.

22 **24.9 No Personal Liability of SCDOT Employees; Limitation on State's Liability**

23 **24.9.1** SCDOT's Authorized Representatives are acting solely as agents and
24 Representatives of SCDOT when carrying out the provisions of or exercising the power or
25 authority granted to them under the Contract Documents. They shall not be liable to any
26 Contractor-Related Entity either personally or as employees of SCDOT for actions in their ordinary
27 course of employment.

28 **24.9.2** Each of the Parties agrees to provide to the other Party's Authorized
29 Representative Notice of any claim received by the Party from any third party relating in any way
30 to the matters addressed in the Contract Documents.

31 **24.9.3** In no event shall SCDOT be liable for injury, damage, or death sustained by
32 reason of a Defect or want of repair on or within the Site during the Term, nor shall SCDOT be
33 liable for any injury, damage or death caused by the actions, omissions, negligence, intentional
34 misconduct, or breach of applicable Law or contract by any Contractor-Related Entity.

35 **24.10 Governing Law**

36 The Contract Documents shall be governed by and construed in accordance with the Laws
37 of the State for contracts made and to be performed in the State.

1 24.11 Notices and Communications

2 **24.11.1** Notices under the Contract Documents shall be in writing and: (a) delivered
3 personally; (b) sent by certified mail, return receipt requested; (c) sent by a recognized overnight
4 mail or courier service, with delivery receipt requested; or (d) sent by facsimile or email
5 communication followed by a hard copy and with receipt confirmed by telephone, to the addresses
6 set forth in Section 24.11.2 and Section 24.11.3, as applicable (or to such other address as may
7 from time to time be specified in writing by such Person).

8 **24.11.2** All Notices, correspondence and other communications to Contractor shall be
9 delivered to the following address or as otherwise directed by Contractor's Authorized
0 Representative:

1 _____
2 _____
3 _____
4 Telephone: _____

5 Mobile:

6 Facsimile:

7 E-mail:

8 With a copy to:

9 _____
0 _____
1 _____
2 Telephone: _____

3 Mobile:

4 Facsimile:

5 E-mail:

6 In addition, copies of all Notices to proceed and suspension, termination and default shall be
7 delivered to the following Persons:

8 _____
9 _____
0 _____
1 Telephone: _____

2 Mobile:

3 Facsimile:

4 E-mail:

5 **24.11.3** All Notices, correspondence and other communications to SCDOT will be
6 marked as regarding the “Long Point Road Interchange Improvement Project” and shall be
7 delivered to the following address or as otherwise directed by SCDOT’s Authorized
8 Representative:

South Carolina Department of Transportation
Attention: Kit Scott
[notice address]
Telephone: 803-737-1138
E-mail: scottkd@scdot.org

In addition, copies of all Notices regarding Disputes, suspension, termination and default notices shall be delivered to the following:

Office of the Chief Counsel
South Carolina Department of Transportation
Attention: Brian Gambrell
[notice address]
Telephone: 803-737-1268
E-mail: GambrellBC@scdot.org

24.11.4 Notices shall be deemed received when actually received in the office of the addressee (or by the addressee if personally delivered) or when delivery is refused, as shown on the receipt of the U.S. Postal Service, private carrier or other Person making the delivery. Notices delivered by email communication shall be deemed received when actual receipt at the email address of the addressee is confirmed. Notwithstanding the foregoing, Notices sent by facsimile after 4:00 p.m. Eastern Standard Time and all other Notices received after 5:00 p.m. shall be deemed received on the first Business Day following delivery (that is, in order for a fax to be deemed received on the same day, at least the first page of the fax must have been received before 4:00 p.m.). Any technical or other communications pertaining to the Work shall be conducted by Contractor's Authorized Representative and technical Representatives designated by SCDOT.

24.12 Taxes

Contractor shall pay, prior to delinquency, all applicable Taxes. Contractor shall have no right to an adjustment to the Contract Price or any other Claim due to its misinterpretation of Laws respecting Taxes or incorrect assumptions regarding applicability of Taxes.

24.13 Interest on Amounts Due and Owing

24.13.1 Pursuant to S.C. Code Ann. § 34-31-20, no prejudgment interest shall be due either Party for any unliquidated amount.

24.13.2 SCDOT will not owe interest on any sum SCDOT withholds from payments to Contractor pursuant to this Agreement, except for the period, if any, from the date the withheld amount becomes due and owing to Contractor until paid.

24.13.3 A Party's right to receive interest is without prejudice to any other rights and remedies the Party may have under this Agreement.

24.14 Integration of Contract Documents

SCDOT and Contractor agree and expressly intend that, subject to Section 24.15, this Agreement and other Contract Documents constitute a single, non-severable, integrated agreement whose terms are interdependent and non-divisible.

1 **24.15 Severability**

2 **24.15.1** If any clause, provision, section or part of the Contract Documents is ruled
3 invalid by a court having proper jurisdiction, then the Parties shall:

4 (a) Promptly meet and negotiate a substitute for such clause, provision,
5 section or part, which shall, to the greatest extent legally permissible, effect the original intent of
6 the Parties, including an equitable adjustment to the Contract Price to account for any change in
7 the Work resulting from such invalidated portion; and

8 (b) If necessary or desirable, apply to the court or other decision maker (as
9 applicable) which declared such invalidity for an interpretation of the invalidated portion to guide
10 the negotiations.

11 **24.15.2** The invalidity or unenforceability of any such clause, provision, section or part
12 shall not affect the validity or enforceability of the balance of the Contract Documents, which shall
13 be construed and enforced as if the Contract Documents did not contain such invalid or
14 unenforceable clause, provision, section or part.

15 **24.16 Headings**

16 The captions of the articles, sections and subsections herein are inserted solely for
17 convenience and under no circumstances are they or any of them to be treated or construed as
18 part of this Agreement.

19 **24.17 Entire Agreement**

20 The Contract Documents contain the entire understanding of the Parties with respect to
21 the subject matter hereof and supersede all prior agreements, understandings, statements,
22 representations and negotiations between the Parties with respect to its subject matter.

23 **24.18 Counterparts**

24 This instrument may be executed in two or more counterparts, each of which shall be
25 deemed an original, but all of which together shall constitute one and the same instrument. The
26 delivery of an executed counterpart of this instrument by electronic (email) delivery in portable
27 document format ("*.pdf") will be deemed to be valid delivery thereof. The Parties shall each
28 deliver original, executed counterparts to the other no later than thirty (30) days following the
29 Effective Date.

1 IN WITNESS WHEREOF, this Agreement has been executed as of the date first set forth
2 above.

CONTRACTOR:

SOUTH CAROLINA DEPARTMENT OF
TRANSPORTATION

By: _____

By: _____

Name: _____

Name: _____

Title: _____

Title: _____

3

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EXHIBITS TO

AGREEMENT

FOR THE DESIGN & CONSTRUCTION

of

**LONG POINT ROAD INTERCHANGE IMPROVEMENT
PROJECT (P041314)**

CHARLESTON COUNTY, SOUTH CAROLINA

A DESIGN-BUILD PROJECT

BY AND BETWEEN

SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION

and

[insert legal name of Design-builder]

Dated as of: _____, 20____

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EXHIBIT 1

ABBREVIATIONS AND DEFINED TERMS

Unless otherwise specified, wherever the abbreviations or defined terms included in this Exhibit 1 are used in the Agreement or the Technical Provisions, they shall have the meanings set forth below.

AASHTO	American Association of State Highway and Transportation Officials
AB	As-Built
ADA	Americans with Disabilities Act, 42 U.S.C. § 12101, et seq.
AGC	Associated General Contractors of America
ANSI	American National Standards Institute
AREMA	American Railway Engineering and Maintenance of Way Association
ASTM	American Society of Testing and Materials
ATC	Alternative Technical Concept
AWS	American Welding Society
BCM	Bureau of Coastal Management
BMP	Best Management Practice
CADD	Computer Aided Drafting and Design
CAP	Critical Area Permit
CAR	Corrective Action Report
CFR	Code of Federal Regulations
CIP	Cast in Place
CLOMR	Conditional Letter of Map Revision
CPI	Consumer Price Index
CPM	Critical Path Method
CQMP	Construction Quality Management Plan
CR	Change Request
CSL	Cross-Hole Sonic Logging
CWA	Clean Water Act
D&C	Design and Construction
DBE	Disadvantaged Business Enterprise
DCN	Design Change Notification
DDI	Diverging Diamond Interchange
DEIS	Draft Environmental Impact Statement
DR	Design Requirements
DTM	Digital Terrain Model
DQMP	Design Quality Management Plan
EFH	Essential Fish Habitat
EIS	Environmental Impact Statement
EOR	Engineer of Record
EPDs	Escrow Proposal Documents
ESA	Environmental Site Assessment
ESAL	Equivalent Single-Axle Load
FCN	Field Change Notification
FEIS	Final Environmental Impact Statement
FEMA	Federal Emergency Management Agency
FHWA	U.S. Department of Transportation, Federal Highway Administration

GAAP	Generally Accepted Accounting Principles
GABC	Graded Aggregate Base Course
GP	General Permit
GPS	Global Positioning System
HDPE	High Density Polyethylene
HEC	Hydraulic Engineering Circular
HMA	Hot Mix Asphalt
HWMP	Hazardous Waste Management Plan
IA	Independent Assurance
ID	Identification
IMR	Interchange Modification Report
IMSA	International Municipal Signal Association
IP	Individual Permit
IQF	Independent Quality Firm
IQM	Independent Quality Manager
ISO	International Standards Organization or International Organization for Standardization
ITS	Intelligent Transportation System
LCC	Lowcountry Corridor
LOMR	Letter of Map Revision
LOS	Level of Service
LPR	Long Point Road
LRFD	AASHTO's Load and Resistance Factor Design
MASH	Manual for Assessing Safety Hardware
MOA	Memorandum of Agreement
MOE	Measures of Effectiveness
MOT	Maintenance of Traffic
MP	Milepost
MS4	Municipal Separate Storm Sewer System
MSE	Mechanically Stabilized Earth
MUTCD	Manual of Uniform Traffic Control Devices
NAVD	North American Vertical Datum
NCHRP	National Cooperative Highway Research Program
NCR	Non Conformance Report
NEC	National Electrical Code
NEMA	National Electrical Manufacturers Association
NEPA	National Environmental Policy Act
NMFS	National Marine Fisheries
NOAA	National Oceanic and Atmospheric Administration
NOI	Notice of Intent
NOT	Notice of Termination
NPDES	National Pollution Discharge Elimination System
NTP	Notice to Proceed
OGFC	Open Graded Friction Course
OH	Overhead
OJT	On-the-Job Training
ORD	OpenRoads Designer
OSHA	Occupational Safety and Health Administration

OV	Owner Verification
OVF	Owner Verification Firm
PCMS	Portable Changeable Message Signs
PRM	Permittee Responsible Mitigation
PIP	Project Information Package
POC	Point of Contact
PPE	Personal Protective Equipment
PWDM	ProjectWise Deliverables Management
PSQMP	Professional Services Quality Management Plan
QA	Quality Acceptance
QAP	Quality Assurance Program
QC	Quality Control
QCM	Quality Control Manager
QMP	Quality Management Plan
RCP	Reinforced Concrete Pipe
RDM	Roadway Design Manual (SCDOT)
RFC	Release for Construction
RFI	Request for Information
RFP	Request for Proposals
RFQ	Request for Qualifications
ROD	Record of Decision
ROW	Right of Way
SA	Selected Alternative
SC	South Carolina (or South Carolina Highway (e.g., SC X))
SCDES	South Carolina Department of Environmental Services (formerly South Carolina Department of Health and Environmental Control)
SCDNR	South Carolina Department of Natural Resources and its successor agencies
SCDOA	South Carolina Department of Administration
SCDOT	South Carolina Department of Transportation
SCPA	South Carolina Ports Authority
SHPO	State Historic Preservation Office
SMA	Stone Matrix Asphalt
SP	Special Provision
SPUI	Single-Point Urban Interchange
STRATA	Sampling, Testing, Reporting and Technician Analysis
SUE	Subsurface Utility Engineering
SWPPP	Stormwater Pollution Prevention Plan
TIA	Time Impact Analysis
TP	Technical Provision
TPA	Technical Provisions Attachments
TCE	Temporary Construction Easement
TCP	Traffic Control Plan
TL	Test Level
TMC	Traffic Management Center
TMP	Transportation Management Plan
UA	Utility Agreement
USA	United States of America
USACE	United States Army Corps of Engineers
USFWS	United States Fish and Wildlife Service

VMS	Variable Message Sign
WBS	Work Breakdown Structure
WMA	Warm Mix Asphalt
WZITS	Work Zone Intelligent Transportation System
WZTC	Work Zone Traffic Control
	COMMON DIRECTIONAL & MEASUREMENT ABBREVIATIONS
A	Ampere
Ac	Acre
AC	Alternating Current
Btu	British thermal unit
°C	Degrees Celsius
CD	candela (luminosity)
CL	Center Line
CLR	Clearance
CM	centimeter
CMU	Cement Masonry Unit
CTR	Center
DC	Direct Current
DN	Diameter Nominal
EB	Eastbound
Eq	Equal
°F	Degrees Fahrenheit
FL	Fluid
Fps	feet per second
Ft	Foot or feet
Ft³/s	cubic feet per second
G	gram or grams
Gal	Gallon or gallons
H:V	Horizontal: Vertical
Ha	Hectare
HOZ	Horizontal
HP	Horsepower
Hz	Hertz
In	Inch
J	Joule
°K	Degrees Kelvin
Kg	Kilograms
kHz	Kilohertz
Km	Kilometer or kilometers
Km²	Square kilometer or kilometers
Kph	Kilometers per hour
Ksi	Kips per Square Inch
KWh	Kilowatt Hour
L	Liters
Lb	Pound
Lx	Lux

M	Meter or meters
M²	Square meter
Mcd	Millicandelas
Mg	Milligram
MHz	Megahertz
Mi	Mile or miles
MI	Milliliter or milliliters
MIN	Minimum
MM	Millimeters
MP	Mile Point
Mol	Mole
Mph	Miles per hour
N	Newton
NB	Northbound
NTS	Not to Scale
Ω	Ohm
Oz	Ounce
pH	Hydrogen Ion Active (negative log of)
Ppm	Parts per million
Ppt	Parts per thousand
Psf	Pounds per square foot
Psi	Pounds per square inch
Qt	Quart
R or RAD	Radius or radial
RH	Right Hand
S	Time Second
SB	Southbound
SQ	Square
Sq ft/ft²	Square foot/square foot
Sq in/in²	Square inch/square inch
Sq mi/mi²	Square mile/square mile
Sq yd/yd²	Square yard/square yard
STA	Station
SY	Square Yard
T	Ton
V	Volt
W	Watt
WB	Westbound
Y	Year
Yd	Yard

“Abandonment” (and variations on the term) means that Contractor abandons all or a material part of the Project, which Abandonment shall have occurred if either (a)(i) Contractor does not commence to perform the Work in a material way, as determined in SCDOT’s good faith discretion, or (ii) no significant Work (taking into account the Project Schedule, if applicable, and any Relief Event) on the Project or a material part thereof is performed for a continuous period of more than 30 days, (b) Contractor or any Subcontractor demonstrates through statements, acts, or omissions an intent not to continue (for any reason other than a Relief Event) to design and

construct any or all of the Project, (c) Contractor or any Subcontractor demonstrates through statements, acts, or omissions a right or an entitlement to suspend or terminate all or any part of the Work (i) that it does not have under this Agreement, (ii) during the pendency of, and without final determination under, any Dispute Resolution Procedures, or (iii) not in good faith.

"Acceleration Costs" means the fully documented increased costs reasonably incurred by Contractor (i.e., costs over and above what Contractor would otherwise have incurred) that are directly attributable to increasing the performance level of the Work in an attempt to complete necessary activities of the Work earlier than otherwise anticipated, such as for additional equipment, additional crews, overtime and shift premiums, increased supervision, and any unexpected movement of materials, equipment, or crews necessary for resequencing in connection with acceleration efforts, compensable only with express written direction by SCDOT to Contractor to accelerate its efforts as evidenced by a Change Order issued by SCDOT. Acceleration Costs shall not include any costs for disruption damages.

"Additional Areas" means additional real property rights or interests of any type or description, in each case that are not intended for use as part of the permanent right of way that is desired by Contractor for, but not limited to: construction staging; laydown yards; access; temporary easements; permission for temporary construction on property outside of the Project ROW of any type such as outfall ditches, slopes, drainage structures, erosion control, or entrance construction; borrow pits, or other similar temporary uses.

"Additional ROW" means additional property outside of the Schematic ROW identified after Contract execution that is not a Necessary Schematic ROW Change or Contractor-Designated ROW and is determined necessary for completing the design and construction of the Project, and which SCDOT has agreed to acquire.

"Adjacent Work" means any project, work, improvement or development to be planned, designed or constructed that could or does impact the Project and/or is adjacent to the Project. Examples of Adjacent Work include proposed subdivisions, other roads constructed by Governmental Entities, site grading and drainage, and other development improvement plans and Utility projects.

"Advanced Construction Activities" means Construction Work that SCDOT authorizes Contractor to perform prior to NTP 2.

"Affiliate" means:

(a) Any owner, shareholder, member, Equity Member, partner or joint venture member of Contractor;

(b) Any Person that directly or indirectly through one or more intermediaries' controls, or is controlled by, or is under common control with, Contractor or any of its shareholders, members, partners or joint venture members; and

(c) Any Person for which 10 percent or more of the equity interest in such Person is held directly or indirectly, beneficially or of record by (i) Contractor, (ii) any of the shareholders, members, partners or joint venture members of Contractor, or (iii) any Affiliate of Contractor under clause (b) of this definition.

For purposes of this definition the term "control" has the broadest possible meaning including, but not limited to, the possession, directly or indirectly, of the power to cause the direction of the

management of a Person, whether through voting securities, by contract, family relationship or otherwise.

“Affiliated” means having the status of an Affiliate.

“Agreement” shall mean this Design-Build Agreement, including all exhibits attached hereto, as such Agreement or any such exhibits may be amended, supplemented, restated or otherwise modified, from time to time, in accordance with the terms hereof. “Agreement” also includes all Standard Specifications and Supplemental Technical Specifications promulgated by SCDOT and are incorporated by reference into this Design-Build Agreement.

“Airspace” means any and all real property, including the surface of the ground and submerged lands, within the vertical column extending above and below the surface boundaries or water surface, as applicable, of the Project ROW and not necessary or required for the Project or for developing, permitting, designing, constructing, installing, equipping, maintaining, repairing, reconstructing, restoring, rehabilitating, renewing, or replacing the Project or Contractor’s timely fulfillment of its obligations under the Contract Documents.

“Alternative Technical Concept” pursuant to 23 CFR 636.209(b) are suggested changes submitted by proposing teams to the Project scope, design or construction criteria. These proposed changes must provide a solution that is equal or better to the requirements in the Request for Proposal.

“Appraisal” pursuant to 61 U.S.C. § 4601(13) and S.C. Code Ann. § 28-2-30(2) means a written statement independently and impartially prepared by an appraiser qualified by knowledge, skill, experience, training, or education to express an opinion as to the value of the compensation. This writing must set forth an opinion of defined value of an adequately described property as of a specific date, supported by the presentation and analysis of relevant market information. An Appraisal includes the assessment of general and specific benefits to the owner as offsets against any damages to the property.

“As-Built Plans” means construction drawings and related documentation revised to show significant changes made during the construction process; usually based on marked-up RFC Documents furnished by Contractor; also known as record drawings, and more fully described in Section 110.5.10.4 of the Technical Provisions.

“As-Built Schedule” means the final schedule for the Project, reflecting the actual scope, actual completion dates, actual duration of the specified activities, and start dates.

“Authorized Representative” means an individual or individuals acting as an agent or agents with the authority to make decisions and bind the Parties on matters relating to the Contract Documents as set forth in Section 24.6.1 of the Agreement.

“Basic Configuration” means the fundamental parameters of the scope of the Project that must be included in the Proposal by a Contractor in a response to the RFP for the Project. The Basic Configuration of this Project is set forth in Section 100.3 of the Technical Provisions.

“Basis of Design Report” means a report that documents the principles, assumptions, rationale, criteria, and considerations used for calculations and decisions required during design of the Project. This report satisfies the requirements of Section 100.5.6 of the Technical Provisions.

“Best Management Practices” has the meaning set forth in Section 1.3 of the Storm Water Management for Construction Activities: Developing Pollution Prevention Plans and Best Management Practices manual (EPA Document 832 R 92-005) which is: measures or practices used to reduce the amount of pollution entering surface waters, air, land or ground waters. Best Management Practices may take the form of a process, activity, or physical structure. Acceptable Best Management Practices are set forth in additional sections of Storm Water Management for Construction Activities: Developing Pollution Prevention Plans and Best Management Practices manual and are incorporated by reference herein.

“Business Day” means any day except Saturdays, Sundays, and all official Holidays observed by SCDOT, and any days as may be determined by the Governor of South Carolina or the South Carolina Emergency Management Division to close the offices of SCDOT within Richland County because of inclement weather, declared Emergency, or closure for any other reason. The use of “day” without the qualifier “business” shall mean calendar day.

“Buy America” means the Buy America requirements set forth in 23 CFR 635.410.

“Certificate of Final Completion” means the certificate issued by SCDOT indicating that the Project has achieved the conditions for Final Completion set forth in Section 6.6.4 of the Agreement.

“Certificate of Substantial Completion” means the certificate issued by SCDOT indicating that the Project has achieved the conditions for Substantial Completion set forth in Section 6.6.2 of the Agreement.

“Change in Law” means:

(a) The adoption of any Law of the United States, State of South Carolina, or Local Law after the Setting Date; or

(b) Any change in the Law of the United States, the Law of the State or Local Law, or in the interpretation or application thereof by any Governmental Entity of the State, after the Setting Date, in each case that is materially inconsistent with Laws of the State in effect on the Setting Date.

The term “Change in Law” excludes:

(a) Reserved;

(b) Any change in, or new, Law of the State that also constitutes or causes a change in, or new, Utility Adjustment Standards;

(c) Any change in, or new, Law passed or adopted but not yet effective as of the Setting Date; and

(d) Any change in, or new, Law of the State relating to Contractor’s general business operations, including licensing and registration fees, income Taxes, gross receipts Taxes, property Taxes, sales and use Taxes, social security, Medicare, unemployment and other payroll-related Taxes.

“Change in Utility Adjustment Standards” means the adoption of any new or revised Utility Adjustment Standards provided by the Utility Company at its own expense after the Setting Date, for incorporation into the applicable Utility Adjustment Work.

“Change of Control” means any assignment, sale, financing, grant of security interest, transfer of interest or other transaction of any type or description, including by or through voting securities, asset transfer, contract, merger, acquisition, succession, dissolution, liquidation or otherwise, that results, directly or indirectly, in a change in possession of the power to direct or control or cause the direction or control of the management of Contractor or a material aspect of its business. A Change of Control of a shareholder, member, partner or joint venture member of Contractor may constitute a Change of Control of Contractor if such shareholder, member, partner or joint venture member possesses the power to direct, control, or cause the direction or control of the management of Contractor.

Notwithstanding the foregoing, the following shall not constitute a Change of Control:

(a) A change in possession of the power to direct or control the management of Contractor or a material aspect of its business due solely to a bona fide transaction involving beneficial interests in the ultimate parent organization of a shareholder, member, partner or joint venture member of Contractor, (but not if the shareholder, member, partner or joint venture member is the ultimate parent organization), unless the transferee in such transaction is at the time of the transaction suspended or debarred or subject to a proceeding to suspend or debar from bidding, proposing or contracting with any federal or State department or agency;

(b) An upstream reorganization or transfer of direct or indirect interests in Contractor so long as there occurs no change in the entity with ultimate power to direct or control or cause the direction or control of the management of Contractor;

(c) A transfer of interests between managed funds that are under common ownership or control other than a change in the management or control of a fund that manages or controls Contractor;

(d) The exercise of minority veto or voting rights (whether provided by applicable Law, by Contractor’s organizational documents or by related member or shareholder agreements or similar agreements) over major business decisions of Contractor, provided that if such minority veto or voting rights are provided by shareholder or similar agreements, SCDOT has received copies of such agreements.

“Change Order” means a written order issued by SCDOT to Contractor directing changes in the Work within the provisions of the Contract Documents or in the terms and conditions of the Contract Documents in accordance with Articles 14 or 15 of the Agreement, and establishing, if appropriate, an adjustment to the Contract Price or a Completion Deadline.

“Claim” means:

(a) a demand by Contractor, which is or potentially could be disputed by SCDOT, for a time extension under the Contract Documents or payment of money or damages from SCDOT to Contractor; or

(b) a demand by SCDOT, which is or potentially could be disputed by Contractor, for payment of money or damages from Contractor to SCDOT.

“Community and Public Relations Support Plan” has the meaning set forth in Section 130 of the Technical Provisions.

“Completion Deadline” means either or both of the Substantial Completion Deadline and Final Completion Deadline, as the context requires.

“Concurrent Delay” means two separate and independent Delays that both delay the Critical Path at the same time and can occur (a) when a Contractor caused Delay is concurrent with an SCDOT-Caused Delay, (b) when a Delay that is the responsibility of neither the Contractor nor SCDOT is concurrent with an SCDOT-Caused Delay, or (c) when a Contractor caused Delay is concurrent with a Delay that is the responsibility of neither the Contractor nor SCDOT.

“Condemnation Package” means the Documents and information for the condemnation of parcels for the Project ROW as required by the South Carolina Eminent Domain Procedure Act, S.C. Code Ann. § 28-2-10, *et. seq.*, and as required by the SCDOT Acquisition Manual.

“Construction Documents” means all Documents necessary to construct the Project and/or the Utility Adjustments in accordance with the Contract Documents including, but not limited to shop drawings, working drawings, erection plans, fabrication plans, material and hardware descriptions, specifications, construction quality control reports, and construction quality acceptance reports.

“Construction Manager” has the meaning set forth in Section 110.7.2.3 of the Technical Provisions. The Construction Manager is one of the Key Personnel listed in Exhibit 5 of the Agreement.

“Construction Quality Management Plan” means the plan that establishes the Contractor’s policies, procedures, and staffing to manage construction quality in accordance with the “Quality Assurance Program for the Long Point Road Interchange Improvement Project” as described in Section 110.4.2.3 of the Technical Provisions and provided as Technical Provision Attachment 110-1.

“Construction Work” means all Work to build or construct, make, form, manufacture, furnish, install, supply, deliver or equip the Project and/or the Utility Adjustments with all Elements to satisfy Contractor’s responsibilities under the Contract Documents. Construction Work includes landscaping.

“Contract Change Request” means a written Notice issued by Contractor to SCDOT under Section 15.2 of the Agreement, advising SCDOT that Contractor seeks a Change Order. The term also includes the right of SCDOT to provide written Notice to Contractor for any revision to the D&C Work that SCDOT may desire to initiate.

“Contract Documents” has the meaning as set forth in Section 1.2.1 of the Agreement.

“Contract Price” means the lump sum price for D&C Work set forth in Section 13.1.1 of the Agreement, as it may be modified from time to time in accordance with the express provisions of the Agreement.

“Contractor” when capitalized specifically means the prime contractor, regardless of corporate or business form, undertaking the execution of the Work under the terms of this Agreement and acting directly or through his, her, their, or its agents, employees or Subcontractors. United-Blythe, a joint venture, together with its successors and permitted assigns. When not capitalized, contractor means any person/entity/association of entities regardless of corporate or business form undertaking the execution of a specific scope of work as assigned by the Contractor, SCDOT, or any entity subordinate thereto.

“Contractor Default” has the meaning set forth in Section 18.1 of the Agreement.

“Contractor-Designated ROW” means any interest (permanent or temporary) in real property located outside of the Schematic ROW that is specifically identified by Contractor in an SCDOT approved Alternative Technical Concept (ATC).

“Contractor Intellectual Property” means all Intellectual Property developed by Contractor or its Affiliates or Subcontractors either (a) prior to the Effective Date, or (b) independently of the Contract Documents.

“Contractor-Related Entity” means any and all partnerships, corporations, or other legal entities that can provide appropriately licensed contracting, architectural, and engineering services as needed for the completion of the Work. This definition includes all constituent parts of the Contractor whether superior, inferior, or equal such as all parent companies, subsidiaries whether wholly or jointly owned, joint ventures, allied companies, or any other such entity whether owned in whole or in part that will be performing any portion—regardless of how small the percentage—of the Work including, but not limited to:

- (a) Contractor regardless of business or corporate form;
- (b) Contractor’s shareholders;
- (c) Contractor’s partners,
- (d) Contractor’s joint venturers;
- (e) Contractor’s members;
- (f) Affiliates;
- (g) Subcontractors (including Suppliers);
- (h) Persons performing any of the Work;
- (i) Persons for whom Contractor may be legally or contractually responsible;

and/or

(j) the employees, agents, officers, directors, shareholders, Representatives, consultants, successors, assigns, designees, and invitees of any of the foregoing.

“Contractor Release of Hazardous Materials” means:

- (a) Release(s) of Hazardous Material, or the exacerbation of any such release(s), attributable to the culpable actions, culpable omissions, negligence, intentional misconduct, or breach of applicable Law or contract by any Contractor-Related Entity;
- (b) Release(s) of Hazardous Materials arranged to be brought onto the Site or elsewhere by any Contractor-Related Entity, regardless of cause; or
- (c) Use, containment, storage, management, handling, transport and disposal of any Hazardous Materials by any Contractor-Related Entity in violation of the requirements of the Contract Documents or any applicable Law or Governmental Approval.

“Contractor’s Schematic Design” means Contractor’s conceptual design for the Project set forth in Exhibit 2-1 to the Agreement.

“Controlling Work Item” means a Work activity in which any delay in its completion will result in a Delay in a Completion Deadline.

“Critical Path” means each Critical Path on the Project Schedule, which ends on the Substantial Completion Deadline or the Final Completion Deadline, as applicable (i.e., the term shall apply only following consumption of all available Float in the schedule for Substantial Completion or Final Completion, as applicable). The lower-case term “critical path” means the activities and durations associated with the longest chain(s) of logically connected activities through the Project Schedule with the least amount of positive slack or the greatest amount of negative slack.

“D&C Work” means all (a) Design Work and Construction Work, including all efforts necessary or appropriate to achieve Final Completion in accordance with the Technical Provisions, and (b) Maintenance during construction in accordance with the Technical Provisions.

“Day” or **“day”** without any qualification means one calendar day.

“DBE” or **Disadvantaged Business Enterprise** has the meaning set forth in 49 CFR Section 26.5.

“DBE Goals” means the commitment to achieve, or use Good Faith Efforts to achieve, a percentage of the total Contract Price to be performed by DBEs. The DBE Goals for this Project are further defined as set forth in Section 9.2 of the Agreement.

“DBE Utilization Commitment Plan” means the Contractor’s plan for DBE commitments as defined by the SCDOT DBE Supplemental Specifications.

“Damage Claim” means any Claim without regard to form or method of Notice asserted by any third party against SCDOT, Contractor, Subcontractors, and/or agents of the same for injury or damages of any kind that occurs within the Project Limits. This includes, but is not limited to, damages arising from tort, workers compensation, and/or negligence. It does not include claims arising from the breach of contract.

“Default Event” has the meaning set forth in Section 18.1.3 of the Agreement.

“Defect” means an imperfection, Error, flaw or abnormality in the design, construction, installation, damage or wear, affecting the condition, use, functionality or operation of any Element of the Project, which has caused or have the potential to cause one or more of the following:

- (a) a hazard, nuisance or other risk to public or worker health or safety, including the health and safety of those traveling on the Project;
- (b) a structural deterioration of the affected Element or any other part of the Project regardless if the Defect shortens or alters the useful life of the affected Element or any other part of the Project;
- (c) damage to a third party’s property or equipment;
- (d) damage to the Environment; or
- (e) failure of the affected Element or any other part of the Project to meet a requirement of the Contract Documents.

“Delay” means any event, action, force, or factor that extends the Contractor’s time of performance. This definition is intended for all such events, actions, forces, or factors, whether they be styled “delay,” “disruption,” “interference,” “impedance,” “hindrance,” or otherwise.

“Delay Costs” means Contractor’s additional costs that result to Controlling Work Items from a Relief Event Delay, which are limited to (a) direct costs for the actual idle labor and equipment, (b) the indirect costs and expenses thereof excluding cost of funds (whether debt or equity), damages and penalties, and (c) profit thereon, all as calculated pursuant to Section 105.16.5 of the SCDOT Standard Specifications; provided that for Delays to non-Controlling Work Items incident to a Relief Event Delay, the term Delay Costs does not include any indirect costs, expenses or profit thereon; provided, further, that, in the event of a Relief Event Delay resulting from concurrent SCDOT-Caused Delay(s) and Delays for which Contractor is responsible under the Contract Documents, Contractor shall not be entitled to Delay Costs to the extent the Contractor is responsible for the Delay. Delay Costs do not include any costs that Contractor can or could reasonably mitigate.

“Design Change Notification” has the meaning set forth in Section 1000 of the Technical Provisions.

“Design Documents” means all drawings (including plans, profiles, cross-sections, notes, elevations, sections, details and diagrams), specifications, reports, studies, calculations, computer and electronic files, records and Submittals necessary for, or related to, the design of the Project and/or the Utility Adjustments in accordance with the Contract Documents, the Governmental Approvals and applicable Law.

“Design Exception” is a documented decision to design the Work or segment of the Work to criteria that do not meet values or ranges established for that portion of the Work as prescribed by SCDOT.

“Design Work” means all Work of design, engineering or architecture for the Project, Project ROW acquisition or Utility Adjustments.

“Deviation” means:

(a) any proposed or actual change, deviation, modification, alteration or exception from the Technical Provisions; or

(b) a change in the Work or other requirements of the Contract Documents issued under Article 6 of the Agreement. Such Deviations include “Design Exceptions” and “Design Variances.”

“Differing Site Conditions” consistent with 23 CFR 635.109 means subsurface or latent physical conditions encountered at the Site during the Term of the Agreement that (i) materially differ from those indicated in the Contract Documents or (ii) are unknown physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the Work provided for in the Contract Documents.

The term Differing Site Conditions specifically excludes:

- (a) All such subsurface, latent or surface conditions which
 - (i) were known to Contractor prior to the Setting Date, or
 - (ii) would have become known to Contractor by undertaking Reasonable Investigation;
- (b) Changes in surface topography;

- (c) Variations in subsurface moisture content and variations in the water table;
- (d) Utility facilities;
- (e) Hazardous Materials, including contaminated groundwater;
- (f) Acquisition of real property for drainage purposes; and
- (g) Any conditions which constitute or are caused by a Force Majeure Event.

“Differing Site Conditions Contractor Share” is the initial value of Extra Work Costs and Delay Costs attributable to each separate Differing Site Conditions Event that is the responsibility of the Contractor as set forth in Section 14.4.6.2(a) of the Agreement.

“Differing Site Conditions Contractor Share Limit” is the maximum value of Extra Work Costs and Delays Costs attributable to each separate Differing Site Conditions Event that is the responsibility of the Contractor as set forth in Section 14.4.6.2(b) of the Agreement.

“Differing Site Conditions Delay” means the delay to the Critical Path attributable to Differing Site Conditions for which Contractor is entitled to a Claim for monetary and schedule relief.

“Differing Site Conditions Event” means each distinct and singular instance meeting the definition and requirements of Differing Site Conditions that is separated by time, location, Differing Site Condition type, construction type or method, or any combination of these criteria.

“Dispute” means any dispute, Claim, disagreement or controversy between SCDOT and Contractor concerning their respective rights and obligations under the Contract Documents, including concerning any alleged breach or failure to perform and remedies.

“Dispute Resolution Procedures” means collectively, the procedures established under Section 21.2 of the Agreement.

“Document” or “Books and Records” has the broadest meaning and includes, but is not limited to, all of forms of written and/or recorded information relating to the Project, Project ROW, Utility Adjustments or Work. The term "Document" includes all written, printed, typed, recorded, transcribed, punched, taped or graphic matter of every type and description, however and by whomever prepared, produced, reproduced, disseminated or made, in the actual or constructive possession, custody or control of any of the Contractor, including but not limited to, all writings, letters, minutes, bulletins, correspondence, electronic mail messages, telegrams, telexes, memoranda, notes, instructions, literature, work assignments, notebooks, diaries, calendars, records, agreements, contracts, notations of telephone or personal conversations or conferences, messages, interoffice or intra-office communications, microfilm, circulars, pamphlets, studies Notices, summaries, reports, books, checks, credit card vouchers, statements of account, receipts, invoices, graphs, photographs, drafts, data sheets, data compilations computer data sheets, computer data compilations, work sheets, statistics, speeches or other writings, tape recordings, phonograph records, data compilations from which information can be obtained or can be translated through detection devices into reasonably usable form, or any other tangible thing which records information in any way. The term "Document" shall include the original and any copies which differ in any manner whatsoever from the original (whether different from the original because of notes made on such copy or otherwise) and any drafts thereof.

For purposes of this definition, a Document is within the possession or control of the Contractor if it is within the possession or control of any of Contractor's investigators, Contractor's independent

accountants, directors, trustees, or any Person acting on behalf of or in concert with Contractor or with any of these persons, or otherwise under their possession or control.

The definition of Document also includes:

(a) All Design Documents and Construction Documents, and maintenance documents (including drawings, specifications, submittals, subcontracts, sub-consultant agreements, purchase orders, invoices, schedules, Meeting Notes, budgets, forecasts, Change Orders, Utility Adjustment documents and files);

(b) Income statements, balance sheets, statements of cash flow and changes in financial position, details regarding expenses and capital expenditures;

(c) All budgets, certificates, claims, contract agreements, correspondence, data (including test data), documents, expert analyses, facts, files, information, investigations, materials, notices, plans, projections, proposals, records, reports, requests, samples, schedules, settlements, statements, studies, surveys, tests, test results, traffic information (including volume counts, classification counts, origin and destination data, speed and travel time information and vehicle jurisdiction data) that is analyzed, categorized, characterized, created, collected, generated, maintained, processed, produced, prepared, provided, recorded, stored or used by Contractor or any of its Representatives in connection with the Project; and

(d) With respect to all of the above, any information that is stored electronically or on computer-related media, including in the Electronic Document Management System.

The definition of “Books and Records” includes privileged and confidential documents such as trade secret information, trial preparation materials, and materials covered by the attorney-client privilege and the work product doctrine. Privileged and confidential documents may be so designated and segregated from general inspection and review with Notice to the SCDOT setting forth the basis for the designation.

“Effective Date” means the date the Agreement is signed (either ink or electronically) by both SCDOT and Contractor.

“Electronic Document Management System” means the secure data management system provided by Contractor containing all of the data Contractor is required to submit to SCDOT in connection with the Work and compatible with data systems, standards and procedures employed by SCDOT, as more particularly described in Section 110.3.3 of the Technical Provisions.

“Element” means a discrete portion of the Project (e.g., a sign) that is to be designed, constructed, installed, modified, etc.

“Eligible Relocation Assistance Payments” means payments approved by SCDOT pursuant to SCDOT Relocation Assistance Manual.

“Eligible Surety” is defined as a Surety company licensed for Surety authority within the State of South Carolina by the Chief Insurance Commissioner of the South Carolina Department of Insurance with an “A” minimum rating of performance as provided in the most current publication of “A.M. Best Key Rating Guide, Property Liability” and is listed on the current U.S. Department of the Treasury Bureau of Fiscal Service list of approved bonding companies (found here: <https://www.fiscal.treasury.gov/surety-bonds/list-certified-companies.html>) as approved for an amount equal to or greater than the amount for which it obligates itself in the Bond. If Surety qualifies by virtue of its Best’s listing, the amount of the Bond may not exceed ten percent of

policyholders' surplus as shown in the latest A.M. Best's Key Rating Guide and signed by the Surety's agency or attorney-in-fact.

"Emergency" means any unplanned event originating from within or adjacent to the Project ROW that: (a) presents an immediate or imminent threat to the long-term integrity of any part of the infrastructure of the Project, to the Environment, to property adjacent to the Project or to the safety of the public including, but not limited to, workers of the Contractor, Subcontractors, Suppliers, material Suppliers, and delivery persons; (b) has caused serious injury to persons, or significant damage to property or the Environment, within or adjacent to the Project; or (c) is recognized by the South Carolina Department of Public Safety as an Emergency.

"Environment" means air, soils, submerged lands (or "wetlands"), surface waters, groundwater, land, stream sediments, surface or subsurface strata, biological resources, including endangered, threatened and sensitive species, natural systems (including ecosystems), and cultural, historic, archaeological and paleontological resources.

"Environmental Approvals" means all Governmental Approvals arising from or required by any Environmental Law in connection with development of the Project, including but not limited to;

- (a) the NEPA Approval;
- (b) other approvals and permits required under NEPA;
- (c) any revision, modification, supplement or amendment of the foregoing approvals and permits.

"Environmental Law" means any Law or regulation applicable to the Project or the Work requiring consideration of environmental impacts or addressing, regulating, relating to, or imposing liability, actions or standards of conduct that pertains to (1) the Environment, Hazardous Materials, pollution, contamination of any type whatsoever, health, or safety, and any lawful requirements and standards that pertain to the Environment, Hazardous Materials, pollution, contamination of any type whatsoever, health, and safety, or (2) otherwise the protection of public health, public welfare, public safety, in any case, set forth in any Laws, or other criteria and guidelines promulgated pursuant to such Laws, or Governmental Approvals applicable to the Project or the Work, as such have been or are amended, modified, or supplemented from time to time (including any present and future amendments thereto and reauthorizations thereof) including those relating to:

- (a) The manufacture, processing, use, distribution, existence, treatment, storage, disposal, generation, and transportation of Hazardous Materials;
- (b) Air, soil, surface and subsurface strata, stream sediments, surface water, and groundwater;
- (c) Releases of Hazardous Materials;
- (d) Protection of nonhuman life (including wildlife, Threatened or Endangered Species, sensitive species), wetlands, water courses and water bodies, historical, archaeological, and paleontological resources, and natural resources;
- (e) The operation and closure of underground storage tanks;
- (f) The safety of employees and other persons; and

(g) Notification, documentation, and record keeping requirements relating to the foregoing.

Without limiting the above, the term “**Environmental Laws**” shall also include the following:

- (i) The National Environmental Policy Act (42 U.S.C. §§ 4321 *et seq.*), as amended;
- (ii) The South Carolina Pollution Control Act, *inter alia*: S.C. Code Ann. §§ 48-1-10 to -350 (2008 & Supp. 2014); S.C. Code Ann. Regs. 61-68.as amended;
- (iii) CERCLA, as amended;
- (iv) The Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act (42 U.S.C. §§ 6901 *et seq.*);
- (v) The Emergency Planning and Community Right to Know Act of 1986 (42 U.S.C. §§ 11001 *et seq.*), as amended;
- (vi) The Clean Air Act (42 U.S.C. §§ 7401 *et seq.*), as amended;
- (vii) The Federal Water Pollution Control Act, as amended by the Clean Water Act (33 U.S.C. §§ 1251 *et seq.*);
- (viii) The Resource Conservation and Recovery Act (42 U.S.C. §§ 6901 *et seq.*), as amended;
- (ix) The Toxic Substances Control Act (15 U.S.C. §§ 2601 *et seq.*), as amended;
- (x) The Hazardous Materials Transportation Act (49 U.S.C. §§ 1801 *et seq.*), as amended, and the Hazardous Materials Transportation Uniform Safety Act of 1990;
- (xi) The Oil Pollution Act (33 U.S.C. §§ 2701 *et. seq.*), as amended;
- (xii) The Federal Insecticide, Fungicide and Rodenticide Act (7 U.S.C. §§ 136 *et seq.*), as amended;
- (xiii) The Federal Safe Drinking Water Act (42 U.S.C. §§ 300 *et seq.*), as amended;
- (xiv) The Federal Radon and Indoor Air Quality Research Act (42 U.S.C. §§ 7401 *et seq.*), as amended;
- (xv) The Occupational Safety and Health Act (29 U.S.C. §§ 651 *et seq.*), as amended;
- (xvi) The Endangered Species Act (16 U.S.C. §§ 1531 *et seq.*), as amended;
- (xvii) The Fish and Wildlife Coordination Act (16 U.S.C. §§ 661 *et seq.*), as amended;
- (xviii) The National Historic Preservation Act (16 U.S.C. §§ 470 *et seq.*), as amended;
- (xix) The Coastal Zone Management Act (33 U.S.C. §§ 1451 *et seq.*), as amended;
- (xx) The Bald Eagle and Golden Eagle Protection Act (16 U.S.C. §§ 688 *et seq.*), as amended;
- (xxi) The Migratory Bird Treaty Act (16 U.S.C. §§ 703 *et seq.*), as amended;
- (xxii) The Surface Mining Control and Reclamation Act, 30 U.S.C. § 1201 *et seq.*), as amended;
- (xxiii) Section 4(f) of the U.S. Department of Transportation Act, 49 U.S.C. § 303(c), as amended;

(xxiv) S.C. Code Ann. §§ 48-1-10 -- 48-1-350 (South Carolina Pollution Control Act; S.C. Code Ann. §§ 48-14-10 – 48-14-170 (Stormwater Management and Sediment Reduction Act); S.C. Code Ann. §§ 48-18-10 – 48-18-80 (Erosion and Sediment Reduction Act of 1983);

(xxv) All regulations promulgated in accordance with the statutes above.

“Environmental Compliance Plan” means the Contractor’s plan for performing all environmental mitigation measures set forth in the Environmental Approvals, and for complying with all other conditions and requirements of the Environmental Approvals, as more particularly described in Section 160.4.1.1 of the Technical Provisions.

“Equity Member” means: (a) each Person or entity with a direct equity interest in Contractor (whether as a member, partner, joint venture member or otherwise); and (b) each entity with an indirect interest in Contractor through one or more intermediaries. Notwithstanding the foregoing, if Contractor is a publicly traded company, shareholders with less than a 10% interest in Contractor shall not be considered Equity Members.

“Error” means an unintentional absence, omission, inconsistency, inaccuracy, deficiency or other mistake in clerical, calculation, computer malfunction and programming, and printing. Error does not include an error of legal judgment with respect to a person's obligations under this Agreement or other Contract Documents.

“Escrow Proposal Documents” means all detailed pricing Documents showing cost, unit pricing, price quote and other documentary information used in preparation of the Contract Price.

“Existing Utility Property Interest” means any right, title or interest in real property (e.g., a fee or an easement) claimed by a Utility Company as the source of its right to maintain an existing Utility in such real property, which is compensable in eminent domain.

“Extra Work” means any additional Work, altered Work or deleted Work which is directly attributable to occurrence of a Relief Event and absent the Relief Event would not be required by the Contract Documents. The term “Extra Work” does not include Relief Event Delay.

“Extra Work Costs” means the incremental increase in Contractor’s cost of labor, material, equipment and other direct and indirect costs directly attributable to Extra Work. Such Extra Work Costs shall be calculated in accordance with Section 14.2 (Extra Work Costs and Delay Costs Specifications) of the Agreement.

“Federal Requirements” means the provisions required to be part of construction contracts funded wholly or in part with federal-aid funding or other federal funds or credit, including the provisions set forth in Exhibit 3 to the Agreement.

“Field Change Notification” has the meaning set forth in Section 1000 of the Technical Provisions.

“Final Completion” means, for Project, the occurrence of all of the events and satisfaction of all of the conditions set forth in Section 6.6.4 of the Agreement, as and when confirmed by SCDOT’s issuance of a Certificate of Final Completion.

“Final Completion Deadline” means the deadline for Final Completion, which shall be not later than 180 days after the Substantial Completion Date, unless adjusted by Change Order pursuant to the Agreement.

“Final Design” means, depending on the context: (a) the RFC Documents; (b) the design concepts set forth in the RFC Documents; or (c) the process of development of the RFC Documents.

“Final Payment” means payment by SCDOT of the final installment of the Contract Price.

“Float” means the amount of time that any given activity or logically connected sequence of activities shown on the Project Schedule may be delayed before it will affect the Substantial Completion Deadline or Final Completion Deadline, as applicable. Such Float is generally identified as the difference between the early start date and late start date, or early completion date and late completion date, for activities shown on the Project Schedule.

“Force Account Directive Letter” a written order from SCDOT directing a change prior to agreement with Contractor on adjustment, if any, to the Contract Price or contract time. If a price for the Work cannot be agreed upon or a time constraint requires expedited work, Contractor shall perform the Work under Force Account procedures as outlined in Section 15.3 of the Agreement.

“Force Account Work” means Extra Work Costs determined on a force account basis, in accordance with Section 109.5 of the SCDOT Standard Specifications.

“Force Majeure Event” means a Delay or failure of performance, in each case, that materially and adversely affect performance of the Contractor hereunder,

A Force Majeure Event is defined as or are caused by:

- (a) Acts of God or the public enemy;
- (b) Reserved;
- (c) Acts of war (including civil and revolutionary); invasion, armed conflict, violent act of foreign enemy, military or armed blockade, military or armed takeover of the Project or the Site;
- (d) Declaration or order from either the President of the United States and/or the Governor of the State of South Carolina that mandates or requires the evacuation of the Project area;
- (e) Acts of rebellion, terrorism, riot, insurrection, civil commotion or sabotage that causes direct physical damage to, or otherwise directly causes interruption to construction or direct Losses during maintenance of, the Project;
- (f) Earthquakes, including all foreshocks and aftershocks, where such earthquakes include ground shaking, liquefaction, settlement, or ground movements that directly impact, and cause damage to, temporary or permanent works of the Project;
- (g) Hurricanes and tornados caused by natural events that causes direct physical damage to, or otherwise directly causes interruption to construction or direct Losses during maintenance of, the Project;
- (h) Extreme weather events, ice storms, snow, fires, floods, or landslides caused by natural events, sinkholes caused by natural events, in each case directly impacting the physical improvements of the Project or Contractor’s performance of the scope of the Work provided that the damage caused by an event as set forth herein were not reasonably foreseeable

and preventable by Contractor's exercise of ordinary care, exercise of caution, due diligence or reasonable efforts, to abate, prevent, or otherwise mitigate the risk of such event;

(i) Explosions, nuclear explosion, including radioactive contamination that triggers Contractor's obligations pertaining to Hazardous Materials hereunder and, in each case directly impacting the physical improvements of the Project or performance of the Contractor's scope of Work,

(j) An extraordinary accident caused by a third party directly impacting the physical improvements of the Project or performance of the Contractor's scope of Work provided that the damage caused by said extraordinary accident as set forth herein were not reasonably foreseeable and preventable by Contractor's exercise of ordinary care to abate, prevent, or otherwise mitigate the risk of such event;

(k) Unavailability or shortage of materials caused directly or indirectly by any other Force Majeure Event whether it occurs within South Carolina or whether it occurs in the area where the materials are produced, manufactured, and/or mined;

(l) Embargos directly affecting materials required to perform the Contractor's scope of the Work as reflected in the then-current Project Schedule;

(m) Quarantine or suspension by the President of the United States or the Governor of South Carolina, or other authority acting on behalf of or with the authority of the same, or declared epidemic or pandemic, in each case, directly affecting the Contractor's performance of the scope of the Work;

(n) Declared state of Emergency by the President of the United States or the Governor of the State of South Carolina or regional authority having jurisdiction over the Project or the Contractor's performance of the scope of the Work;

(o) Strikes (national or regional) or other concerted acts of workman not arising out of or relating to Contractor or any Person or entity for which Contractor is responsible;

(p) Vehicle collisions or other accidental collisions which causes significant damage to items within the Project Limits such as the roadway, a bridge structure, noise wall, retaining wall or overhead sign structure of the Project.

Each of the foregoing a "Force Majeure Event," except, in each case, to the extent that any of the foregoing events or consequences of such events arose out of (A) any breach of contract by Contractor or any Person or entity for whom Contractor is legally responsible including by not limited to Subcontractors and material Suppliers, (B) any act or omission by Contractor or any such Person or entity, (C) any negligence, recklessness, willful misconduct, fraud, or violation of Laws by Contractor or any such Person or entity; or could reasonably have been avoided by Contractor or any such Person or entity by the exercise of caution, due diligence or reasonable efforts, or otherwise.

"Generally Accepted Accounting Principles" means such accepted accounting practice as, in the opinion of the accountant, conforms at the time to a body of generally accepted accounting principles in the United States.

"Good Faith Efforts" means (a) with respect to DBE, the efforts to meet the DBE Goals required under 49 CFR Part 26, Appendix A, and (b) with respect to OJT, the effort to meet the OJT Goals required under 23 CFR 230.409(g)(4).

“Good Industry Practice” means the degree of skill, care, knowledge, prudence, foresight and judgment usually possessed and exercised by members of a skilled profession. Good Industry Practice also includes such skill and care that would reasonably and ordinarily be expected from time to time from a skilled and experienced designer, engineer, constructor, or other contractor (i) performing high quality work such as the Work, (ii) that seeks in good faith to comply with its contractual obligations (i.e., with respect to the Project, complying with the Contract Documents) and all applicable Laws and Governmental Approvals, (iii) that (A) with respect to design or engineering matters, uses the skill, care, diligence, and professional standards ordinarily used by similarly-situated design or engineering professionals, or (B) with respect to construction matters, uses the skill, care, diligence, and professional standards used by similarly-situated professional construction companies, and in either case, seeking to comply with professional standards in their respective disciplines that are accepted as the standards of the industry in the State, (iv) that seeks to perform such high quality work in a manner commensurate with standards of safety, performance, dependability, efficiency, and economy as would other skilled and experienced designers, engineers, constructors, or other contractors, as applicable, engaged in the same type of undertaking (A) in the United States, (B) under similar circumstances and conditions (including environmental conditions), and (C) as are generally considered prudent practices in the exercise of reasonable judgment and in light of facts then-known when a relevant decision was made or action was taken. Good Industry Practice is not intended to be the optimum practices, methods, etc., to the exclusion of all others, but rather a spectrum of possible, but reasonable, practices, methods, etc., having due regard for, among other things, contractual and legal obligations as well as manufacturers’ requirements and warranties.

“Governmental Approval” means any permit, license, consent, concession, grant, franchise, authorization, waiver, certification, exemption, filing, lease, registration or ruling, variance or other approval, guidance, protocol, agreement, mitigation agreement, or memoranda of agreement/understanding, and any amendment or modification of any of them, required by or with, or provided by, Governmental Entities, including State, local, or federal regulatory agencies, agents, or employees, which authorize or pertain to the Work or the Project, but excluding any such approvals given by or required from any Governmental Entity in its capacity as a Utility Company. Governmental Approvals include Environmental Approvals.

“Governmental Entity” means any federal, State, county, or local government and any political subdivision or any governmental, quasi-governmental, judicial, public or statutory instrumentality, administrative agency, authority, body or entity including SCDOT.

“Governmental Rules” or **“Law”** means: (a) any Law, statute, code, regulation, ordinance, rule or common Law; (b) any binding judgment (other than regarding a Claim or Dispute); (c) any binding judicial or administrative order or decree (other than regarding a Claim or Dispute); (d) any written directive, guideline, policy requirement or other governmental restriction (including those resulting from the initiative or referendum process, but excluding those by SCDOT within the scope of its administration of the Contract Documents); or (e) any similar form of decision of or determination by, or any written interpretation or administration of any of the foregoing by, any Governmental Entity, in each case which is applicable to or has an impact on the Project or the Work, whether taking effect before or after the Effective Date, including Environmental Laws. “Laws”, however, excludes Governmental Approvals.

“Hazardous Materials” means any element, chemical, compound, material or substance, whether solid, liquid or gaseous, which at any time is defined, listed, classified or otherwise regulated in any way under any Environmental Laws, or any other such substances or conditions (including mold and other mycotoxins or fungi) which may create any unsafe or hazardous

condition or pose any threat to human health and safety. The term “Hazardous Materials” includes the following:

(a) Hazardous wastes, hazardous material, hazardous substances, hazardous constituents, and toxic substances or related materials, whether solid, liquid, or gas, including substances defined as or included in the definition of “hazardous substance”, “hazardous waste”, “hazardous material”, “extremely hazardous waste”, “acutely hazardous waste”, “radioactive waste”, “radioactive materials”, “bio-hazardous waste”, “pollutant”, “toxic pollutant”, “contaminant”, “restricted hazardous waste”, “infectious waste”, “toxic substance”, “toxic waste”, “toxic material”, or any other term or expression intended to define, list or classify substances by reason of properties harmful to health, safety or the indoor or outdoor Environment (including harmful properties such as ignitability, corrosivity, reactivity, carcinogenicity, toxicity, reproductive toxicity, “TCLP” toxicity” or “EP toxicity” or words of similar import under any applicable Environmental Laws);

(b) any petroleum, including crude oil and any fraction thereof, and including any refined petroleum product or any additive thereto or fraction thereof or other petroleum derived substance; and any waste oil or waste petroleum byproduct or fraction thereof or additive thereto;

(c) any drilling fluids, produced waters and other wastes associated with the exploration, development or production of crude oil, natural gas or geothermal resources;

(d) any flammable substances or explosives;

(e) any radioactive materials;

(f) any asbestos or asbestos-containing materials;

(g) any lead and lead-based paint;

(h) any radon or radon gas;

(i) any methane gas or similar gaseous materials;

(j) any urea formaldehyde foam insulation;

(k) electrical equipment which contains any oil or dielectric fluid containing regulated levels of polychlorinated biphenyls;

(l) pesticides;

(m) any other chemical, material or substance, exposure to which is prohibited, limited or regulated by any Governmental Entity or which may or could pose a hazard to the health and safety of the owners, operators, users or any Persons in the vicinity of the Project or to the indoor or outdoor Environment; and

(n) soil, or surface water or ground water, contaminated with Hazardous Materials as defined above.

“Hazardous Materials Management” means procedures, practices and activities to address and comply with Environmental Laws and Environmental Approvals with respect to Hazardous Materials encountered, impacted, caused by or occurring in connection with the Work, as well as investigation and remediation of such Hazardous Materials. Hazardous Materials Management may include sampling, stock-piling, storage, backfilling in place, asphalt batching, recycling,

treatment, clean-up, remediation, transportation and/or off-site disposal of Hazardous Materials, whichever is the most cost-effective approach authorized under applicable Law.

“Hazardous Waste Management Plan” means the plan prepared by Contractor for the safe handling, storage, treatment and/or disposal of Hazardous Materials both within and outside the Project ROW, as more particularly described in Section 160.4.1.2 of the Technical Provisions.

“Hold-off Parcels” means those parcels on the Schematic Right of Way Plans where Right of Way Services have not been completed prior to award of the Contract.

“Holidays” means those days defined as legal holidays in S.C. Code Ann. § 53-5-10, *et. seq.*

“Incident” means a localized disruption to the free flow of traffic on or safety of users of the Project that is beyond the control of Contractor-Related Entities and does not result from actions or omissions of Contractor-Related Entities.

“Inaccurate Utility Information” means with respect to the description or identification of a Utility provided in the Utility information as set forth in the Technical Provisions Attachments:

(a) With the exception of those underground utilities that were subject to a Utility Adjustment under an SCDOT encroachment permit after the completion of Level B SUE and Level C SUE, an underground utility (excluding appurtenances and Service Lines) that conflicts with the Project shall be considered a material inaccuracy if the utility is not identified at all in the Utility information or incorrectly indicated as abandoned.

(b) The Utility information as set forth in the Technical Provisions Attachments regarding horizontal and vertical positions shall be considered materially inaccurate if one of the following applies: the horizontal centerline of the actual location of the subject Utility lies more than two and ½ horizontal feet from the horizontal centerline reflected in the Utility information; or the vertical centerline of the actual location of the subject Utility lies more than two vertical feet from the vertical centerline reflected in the Utility information.

(c) The Utility information as set forth in the Technical Provisions Attachments regarding the size of an underground utility shall be considered materially inaccurate if one of the following applies, with regard to any difference (whether larger or smaller) between the utility’s actual inside diameter, excluding appurtenances (the “actual size”) and the inside diameter indicated for such utility in the Utility information (the “stated size”):

(i) The utility’s stated size is 12” or less, and the utility’s actual size is 24” or more,

(ii) The utility’s stated size is greater than 12” but less than or equal to 36”, and the utility’s actual size differs from the stated size by more than 50% of the stated size,

(iii) The utility’s stated size is greater than 36” but less than or equal to 72”, and the utility’s actual size differs from the stated size by more than 25% of the stated size, or

(iv) The utility’s stated size is greater than 72”, and the utility’s actual size differs from the stated size by more than 15% of the stated size.

“In-Contract Utility Adjustment Work” means Utility Adjustment Work that is performed by the Contractor for a Utility Company that has chosen to have Utility Adjustments, Protection in Place,

or other disposition of the Utility Company's utility facility with respect to the Project be performed by the Contractor.

"Indemnified Parties" SCDOT, the State of South Carolina, their respective successors, assigns, officeholders, officers, directors, agents, Representatives, consultants and employees.

"Independent Quality Firm" means the Contractor's independent firm responsible for performing independent quality acceptance material testing, inspection, and audits of the Construction Quality Management Plan as more particularly described in Section 110.4.2.3 of the Technical Provisions.

"Independent Quality Manager" means the employee of the Independent Quality Firm responsible for management of the quality acceptance portion of the Construction Quality Management Plan as more particularly described in Section 110.7.2.4 of the Technical Provisions. The Independent Quality Manager is one of the Key Personnel listed in Exhibit 5 of the Agreement.

"Intellectual Property" means all current and future legal and/or equitable rights and interests in know-how, patents (including applications), copyrights (including moral rights), trademarks (registered and unregistered), service marks, trade secrets, designs (registered and unregistered), utility models, circuit layouts, plant varieties, business and domain names, inventions, solutions embodied in technology, and other intellectual activity, and applications of or for any of the foregoing, subsisting in or relating to the Project, Project design data or Project traffic data. Intellectual Property includes traffic management algorithms, and software used in connection with the Project (including software used for management of traffic on the Project), and software source code. Intellectual Property is distinguished from physical embodiments and other documentation that disclose Intellectual Property.

"Intelligent Transportation System" means the system to monitor traffic flow, detect traffic and traffic operational conditions and communicate relevant traffic information to users of the Project, as more particularly described in Section 685 of the Technical Provisions.

"Interim Conditions" means the lane configurations and design features required to be in place at the conclusion of the Project in accordance with Section 200.4 of the Technical Provisions.

"Interpretive Engineering Decision" has the meaning set forth in Section 3.9.1 of the Agreement.

"Issue Resolution Ladder" has the meaning set forth in Section 21.2.1 of the Agreement.

"Just Compensation" means the SCDOT approved value, based on Appraisals prepared by an appraiser from the approved SCDOT Appraiser List of parcels impacted by the Project. In determining Just Compensation, only the value of the property to be acquired or taken, any diminution in the value of the landowner's remaining property, and any benefits to be derived from the proposed Project including the value of any property or rights relinquished or reverting to the landowner as a part or result thereof shall be considered.

"Key Personnel" means those individuals appointed by Contractor and approved by SCDOT, from time to time, to fill the "Key Personnel" positions identified in Section 110.7.2 of the Technical Provisions. The specific individuals appointed by Contractor and approved by SCDOT to initially fill certain of the Key Personnel positions are identified in Exhibit 5 to the Agreement.

“Known Cultural Resource Sites” means those specific locations within the Project area identified in the NEPA Approval that were found to contain cultural resources in class I and class III surveys conducted prior to issuance of the NEPA Approval.

“Known or Suspected Hazardous Materials” means:

(a) Hazardous Materials and Recognized Environmental Conditions that are known or reasonably suspected to exist as of the Setting Date based on information or analysis contained or referenced in the Technical Provisions as of the Setting Date; provided, however, that, with respect to any parcel, neither knowledge nor reasonable suspicion of Hazardous Materials or Recognized Environmental Conditions shall be based solely on information or analysis contained or referenced in a Phase I Environmental Site Assessment Report unless the Project Information Package also contains a Phase II Environmental Site Assessment Report for the same parcel as of the Setting Date;

(b) aerially deposited lead and all soils containing aerially deposited lead, wherever located in or on the Site, regardless of whether indicated or not indicated in the NEPA Approvals, Project Information Package or any other source;

(c) Hazardous Materials that are part of any materials, or are contained in any materials, incorporated into roadway and street structures, improvements and fixtures of any kind, including landscaping, that exist in, on or under the Schematic ROW as of the Setting Date, regardless of whether indicated or not indicated in the NEPA Approval, Project Information Package or any other source; and

(d) asbestos located in any building remaining in the Project ROW at the time the corresponding parcel is turned over to Contractor.

“Lane Closure” means that any traffic lane, ramp and/or crossroad is closed or blocked, or that the use thereof is otherwise restricted for any duration.

“Law” see **“Governmental Rules.”**

“Lead Design Engineer” has the meaning set forth in Section 110.7.2.2 of the Technical Provisions. The Lead Design Engineer is one of the Key Personnel listed in Exhibit 5 of the Agreement.

“Lien” means any pledge, lien, security interest, mortgage, deed of trust or other charge or encumbrance of any kind, or any other type of preferential arrangement (including any agreement to give any of the foregoing, any conditional sale or other title retention agreement, any lease in the nature of a security instrument and the filing of or agreement to file any financing statement under the Uniform Commercial Code of any jurisdiction).

“Liquidated Damages” are contractually agreed damages that must be paid as damages for failure to perform under the Agreement.

“Loss” or **“Losses”** means any loss, damage, injury, liability, obligation, cost, response cost, expense (including attorneys’, accountants’ and expert witnesses’ fees and expenses (including those incurred in connection with the enforcement of any indemnity or other provision of the Agreement)), fee, charge, judgment, penalty, fine or third-party claims. Losses include injury to or death of persons, damage or Loss of property, and harm or damage to natural resources.

“Long Point Road Interchange Improvements Project” means the South Carolina Department of Transportation’s (SCDOT) proposed improvement to the interchange at I-526 and Long Point Road interchange in Mount Pleasant, South Carolina. The project is identified under SCDOT Master Project ID **P041314**.

“Lowcountry Corridor Program” means the South Carolina Department of Transportation’s (SCDOT) comprehensive, multi-phased initiative to improve the I-26 and I-526 interstate corridor in Charleston and Berkeley Counties. The Program is composed of two independent but coordinated projects—**LCC West** and **LCC East**—identified by the Federal Highway Administration (FHWA) under Project Identifier FAP P027507 and by SCDOT under Master Project ID P027507 (et al).

“Maintenance Services” means all management, administration, maintenance, repair, preservation, modification, reconstruction, rehabilitation, restoration, renewal, and replacement, in each case, to be performed by or on behalf of Contractor in connection with the Project during the Term.

“Material Breach” means any breach of this Agreement that deprives the injured Party of the benefit it reasonably expected. A Material Breach does not include a breach that occurs as a direct result of the occurrence of a Relief Event.

“Meeting Notes” means the notes that the Contractor records from Project-related meetings SCDOT attends, provided to SCDOT in draft and final formats to facilitate SCDOT review and comments, and that shall include a list of attendees and contact information, documentation of issues discussed and associated responses and decisions, and a description of remaining open items and action items noting the Persons) responsible for follow-up and target dates for resolutions.

“Meeting Notice, Agenda, and Meeting Materials” means the written Notice and meeting documentation provided to SCDOT in advance of necessary, anticipated or regularly scheduled meetings that includes information on the proposed discussion topics and issues and any supporting documentation utilized for the purpose of facilitating discussions. The Meeting Notice, Agenda, and Meeting Materials shall be provided to SCDOT: (a) no less than five (5) Business Days prior to associated meeting when such meetings are less frequent than weekly, or (b) no less than one (1) Business Day prior to associated meeting when such meetings are weekly or more frequently.

“Monthly Progress Schedule” means the schedule consistent with the Completion Deadlines, submitted by Contractor as a condition of NTP 2, setting forth the approved schedule of Work on a monthly basis against which any subsequent schedule amendments are tracked, as more particularly described in Section 110.6.10 of the Technical Provisions.

“Necessary Schematic ROW Change” means real property (which term is inclusive of all permanent estates and interests in real property), improvements and fixtures located outside the Schematic ROW that must be permanently acquired in order for Contractor to deliver the Basic Configuration and satisfy the requirements of the Contract Documents. A Necessary Schematic ROW Change arises only where indicated in Article 14 of the Agreement.

“NEPA Approval” shall mean the Long Point Road Interchange Improvement Project Environmental Assessment (EA) and Finding of No Significant Impact (FONSI), issued by the Federal Highway Administration on August 22, 2024.

“No Prior Rights Arrangements” means the circumstances where a Utility Company is obligated under South Carolina Law to perform a Utility Adjustment or Protection in Place with respect to the Utility Owner’s facility that has real property rights junior to SCDOT’s senior real property rights with respect to the utility facility’s location, the costs for which are borne by the Utility Company. Accordingly, Contractor has no Claim, or basis for Claim, for additional time or compensation arising out of or relating to Delays or additional costs incurred relating to No Prior Rights Arrangement Utility Adjustments. No Prior Rights Arrangements are circumstances under which there is no Utility Agreement. No Prior Rights Arrangements include encroachment permits. Requirements for Utility Adjustments under No Prior Rights Arrangements, otherwise known as ‘No-Cost Relocations’, are set forth in the SCDOT Utility Accommodations Manual.

“Non Conformance Report” means a report documenting Nonconforming Work and the ultimate disposition of such Nonconforming Work, including the efforts undertaken to repair, replace, or otherwise remediate such Work in accordance with the Contract Documents.

“Nonconforming Work” means Work that does not conform to the requirements of the Contract Documents, the Governmental Approvals, applicable Law or the Design Documents.

“Notice” means a writing issued by any Party delivered via ProjectWise Deliverables Management or by U.S. Mail to a Party’s Authorized Representative which informs the Party of an event, information, a direction to do or not do some act, etc.

“Notice of Partial Termination for Convenience” means written Notice issued by SCDOT to Contractor terminating part of the Work of Contractor for convenience under Article 23 of the Agreement.

“Notice of Termination for Convenience” means written Notice issued by SCDOT to Contractor terminating the Work of Contractor for convenience under Article 23 of the Agreement.

“NTP” means a written Notice issued by SCDOT to Contractor authorizing Contractor to proceed with the portion of the Work described in Section 7.3 of the Agreement.

“OJT Goals” means goals for on-the-job training so that inexperienced and untrained workers have a substantial opportunity to participate in the performance of the Construction Work through apprenticeships, training and similar measures to maintain and grow a diverse, skilled work force as set forth in Section 9.3 of the Agreement.

“OJT Special Provisions” means SCDOT’s provisions regarding on-the-job training for the Project set forth in Section 1000 of the Technical Provisions.

“OJT Trainee” has the meaning as set forth in the SCDOT On-the-Job Training Manual.

“OJT Utilization Plan” means Contractor’s SCDOT-approved plan for meeting the OJT Goals, described in Section 9.3 of the Agreement.

“Open Book Basis” means providing SCDOT all underlying assumptions and data, Documents and information associated with pricing or compensation (whether of Contractor or SCDOT) or adjustments thereto, including assumptions as to costs of the Work, Extra Work Costs, Delay Costs, schedule, composition of equipment spreads, equipment rates (including rental rates), labor rates and benefits, productivity, estimating factors, design and productivity allowance, contingency and indirect costs, risk pricing, discount rates, interest rates, inflation and deflation

rates, insurance rates, bonding rates, letter of credit fees, overhead, profit and other items reasonably required by SCDOT to satisfy itself as to the validity or reasonableness of the amount.

“Oversight” means monitoring, inspecting, sampling, measuring, spot checking, attending, observing, testing, investigating and conducting any other Oversight respecting any part or aspect of the Project or the Work, including all the activities described in Article 3 of the Agreement.

“Partial Termination for Convenience” means a partial termination of the Agreement made pursuant to Article 23 of the Agreement.

“Partnering Meetings” has the meaning set forth in Article 21 of the Agreement.

“Party” means Contractor or SCDOT, as the context may require, and Parties means Contractor and SCDOT, collectively.

“Payment Bond” means the bond required in accordance with Section 10.1.2 of the Agreement.

“Pay Request” means a Contractor’s request for progress payment based on completed and accepted D&C Work as described in Article 13 of the Agreement.

“Performance Bond” means the bond required in accordance with Section 10.1.1 of the Agreement.

“Permitted Closure” means:

(a) a Lane Closure due to an SCDOT-Directed Change, provided Contractor is using commercially reasonable efforts to: (i) mitigate the impact of such SCDOT-Directed Change; (ii) reopen the affected segment to traffic; and (iii) minimize the impact of Contractor’s activities and the Closure to traffic flow;

(b) a Lane Closure specified, caused or ordered by, and continuing only for so long as required by, SCDOT or any Governmental Entity, or a Utility Company performing Work under a permit issued by SCDOT, except to the extent such Lane Closure is the result of the negligence, willful misconduct, or breach of applicable Law or contract, by Contractor or any Contractor-Related Entity;

(c) a Lane Closure required due to a Relief Event;

(d) a Lane Closure that is approved by SCDOT pursuant to the requirements of Section 600 of the Technical Provisions and Section 601 of the SCDOT Standard Specifications during the time period approved by SCDOT.

“Person” means any individual, jural entity, corporation, joint venture, limited liability company, company, voluntary association, partnership, limited partnership, trust, unincorporated organization, unincorporated association, or Governmental Entity.

“Plan” or “Plans” means the design drawing(s) prepared specifically for the Project that show the location, character, dimensions, and details of the Work to be performed and prepared in accordance with Good Industry Practice and the Contract Documents. Contractor to ensure that all non-SCDOT standard drawings/details are detailed on the Plans. All Plans must include all proposed and actual changes to the Schematic ROW.

“Preliminary Project Baseline Schedule” means the time-scaled, Critical Path network that depicts Project sections, Project milestones, and subordinate activities and their respective durations, sequencing, and interrelationships that represent Contractor’s Work plan for designing, constructing, and completing the Project, attached as Exhibit 2-2 to the Agreement.

“Premium Right of Way Acquisition Costs” means the amount of a negotiated settlement or Jury Award that exceeds Just Compensation set in the approved SCDOT Appraisal for negotiations.

“Prior Rights” means where a Utility occupies a parcel by fee simple title, easement or other legal means as evidenced by Utility-provided documentation that clearly shows the Utility’s rights or title predates the SCDOT’s right-of-way acquisition.

“Prior Rights Documentation” means Documents showing that the Utility Company’s facility predates the acquisition of the property for street or highway purposes, or that it occupies an easement or other compensable land right. Such Documents provide verification that the Utility Company is entitled to compensation for the cost of Adjustments required to accommodate the Project.

“Professional Engineer” means a Person who has been granted registration in one or more branches of engineering by the South Carolina Department of Labor Licensing & Regulation (LLR) and is authorized to practice professionally in the State of South Carolina.

“Professional Services” means those services defined under Engineering and Design Related Services set forth in the SCDOT Manual for Procurement, Management and Administration of Engineering and Design Related Services, dated May 1, 2018.

“Professional Services Quality Management Plan” has the meaning set forth in Section 110.4.2.2 of the Technical Provisions.

“Professional Services Quality Manager” means the individual filling the position with the responsibility to cause the methods and procedures contained in SCDOT-approved Professional Services Quality Management Plan to be implemented and followed by Contractor’s Professional Services staff in the performance of the Work, as more particularly described in Section 110.4.2.2 of the Technical Provisions.

“Project” means all of the transportation facilities and all related structures, improvements and systems to be developed, designed, constructed, and delivered, or any of the foregoing, pursuant to the terms of the Contract Documents, as more particularly described in Section 100 of the Technical Provisions.

“Project Baseline Schedule” means the CPM schedule consistent with the Completion Deadlines, submitted by Contractor as a condition of NTP 1 and NTP 2, setting forth the approved schedule of Work against which any subsequent schedule amendments are tracked, as more particularly described in Section 110.6.9 of the Technical Provisions.

“Project Environmental Commitment Requirements” or “Environmental Commitments” means the specific obligations and responsibilities as defined by the Environment Assessment (EA)/ Finding of No Significant Impact (FONSI) for the Long Point Road Interchange Improvement Project and all subsequent NEPA Re-evaluations as provided in the Contract Documents.

“Project Information Package” means the collection of information, data, Documents and other materials that SCDOT has provided to Contractor for general or reference information only.

“Project Intellectual Property” means all Proprietary Intellectual Property, Contractor Intellectual Property and Third-Party Intellectual Property incorporated into the Project.

“Project Limits” means the area within the existing rights of way and Project ROW necessary for the design and construction of the Project.

“Project Manager” has the meaning set forth in Section 110.7.2.1 of the Technical Provisions. The Project Manager is one of the Key Personnel listed in Exhibit 5 of the Agreement.

“Project ROW” or **“Project Right of Way”** means, except as provided below, any real property (which term is inclusive of all estates, easements, leases, and other interests in real property, permanent or temporary) located:

(a) within the lines delineating the outside boundaries of the Project set forth in the Schematic ROW, as such boundaries may be adjusted from time to time in accordance with the Contract Documents (including adjustments for SCDOT Additional Properties, Contractor-Designated ROW, Additional ROW and avoided parcels or partial parcels, in whole or in part); or

(b) outside such lines and required for performance of the Work or construction, operation or maintenance of the Project, including Temporary Construction Easements outside such lines during their terms, and easements and other property interests for the Project and other components and features required for roadway function or environmental compliance.

The term Project ROW or Project Right of Way specifically includes all air space, surface rights and subsurface rights within the boundaries of the Project ROW or Project Right of Way. The term specifically excludes real property for Additional Areas outside the boundaries set forth in the Schematic ROW; and Replacement Utility Property Interests.

“Project Schedule” means one or more, as applicable, of the SCDOT approved logic-based Critical Path schedules (the Project Baseline Schedule, the Monthly Progress Schedule and the Recovery Schedule) developed and maintained by the Contractor for all D&C Work leading up to and including Final Completion, and for tracking the performance of such D&C Work, as the same may be revised and updated from time to time in accordance with, and meeting the requirement of, Section 110.6 of the Technical Provisions.

“Proposal” means Contractor’s complete original Proposal submitted in response to the RFP, including the Technical Proposal, Cost Proposal, approved ATCs, and any clarifications.

“Proposal Due Date” means the deadline for submission of the Proposal to SCDOT under the RFP.

“Proposer” means each entity that was shortlisted based on SCDOT’s evaluation of submissions in response to the Request for Qualifications for the Project issued on May 21, 2024, as amended.

“Proprietary Intellectual Property” means all Intellectual Property created, authored and/or invented under or for the purposes of a Proposal, the Contract Documents and/or the Project.

“Protection in Place” means any action taken to avoid damaging a Utility which does not involve removing or relocating that Utility, including staking the location of a Utility, exposing the Utility, avoidance of a Utility’s location by construction equipment, installing steel plating or concrete slabs, encasement in concrete, temporarily de-energizing power lines, and installing physical barriers. The term includes both temporary measures and permanent installations meeting the foregoing definition.

“Public Records Act” means the South Carolina Public Records Act, S.C. Code Ann. § 30-1-10, *et. seq.* and South Carolina Freedom of Information Act, S.C. Code Ann. § 30-4-10, *et. seq.*

“Punch List” means the itemized list of the Work that remains to be completed after Substantial Completion has been achieved and before Final Completion, the existence, correction and completion of which will have no material or adverse effect on the normal and safe use and operation of the Project.

“Quality Assurance Program” means SCDOT’s Quality Assurance Program for the Long Point Road Interchange Improvement Project.

“Quality Control Manager” has the meaning as set forth in the Quality Assurance Program (QAP).

“Quality Management Plan” means, collectively, the Professional Services Quality Management Plan and the Construction Quality Management Plan included in the Project Management Plan and more fully described in Section 110.4.2 of the Technical Provisions.

“Reasonable Investigation” means the full, fair and reasonable conduct of the following activities by appropriately qualified, licensed professionals prior to the Setting Date:

- (a) Review and analysis of all Contract Documents, Requests for Proposals, and all addendum thereto;
- (b) Review and analysis of all Technical Provisions;
- (c) Visit and visual, non-intrusive inspection of the Site and surrounding locations, except areas to which access rights have not been made available by the Setting Date;
- (d) Review and analysis of Project Information Package (including the Documents identified in the definition of Known or Suspected Hazardous Materials), and of other available public and private records;
- (e) Review and analysis of the NEPA Approval;
- (f) Reasonable inquiry with Utility Companies, including requests for and review of Utility Plans provided by Utility Companies;
- (g) Reasonable inquiry with Railroads;
- (h) Reasonable inquiry with Governmental Entities that issue Environmental Approvals for the Project or the Work; and
- (i) Review and analysis of Laws applicable to the Project or the Work as of the Setting Date.

“Recognized Environmental Condition” has the meaning set forth in ASTM E-1527-05.

“Recovery Schedule” means the schedule Contractor is required to provide under Section 7.11 of the Agreement and more fully described in, and meeting the requirements of, Section 110.6.13 of the Technical Provisions.

“Related Transportation Facility” means all existing and future highways, streets and roads, including upgrades and expansions thereof, that are or will be adjacent to, connecting with or crossing under or over the Project.

“Release of Hazardous Materials” means any spill, leak, emission, release, discharge, injection, escape, leaching, dumping or disposal of Hazardous Materials into the soil, air, water, groundwater or Environment, including any exacerbation of an existing release or condition of Hazardous Materials contamination.

“Relief Event” means any of the following events, subject to the requirements, limitations, deductibles and the duty to prevent and to mitigate consequences that are set forth in the Agreement for such events:

(a) SCDOT’s failure to perform or observe any of its material covenants or obligations under the Contract Documents, including unreasonable failure to issue a certificate of Substantial Completion or Final Completion after Contractor fully satisfies all applicable conditions and requirements for obtaining such a certificate (except where such failure is within another defined Relief Event);

(b) SCDOT-Directed Change;

(c) Safety Compliance Orders;

(d) SCDOT-Caused Delay;

(e) Force Majeure Event;

(f) Utility Company Delay;

(g) Inaccurate Utility Information that directly affects the Construction Work, including Construction Work on SCDOT Additional Properties, subject to the following exclusions:

(i) Excluding Construction Work on any Contractor-Designated ROW and Additional ROW;

(ii) Excluding Inaccurate Utility Information with respect to Service Lines; and

(iii) Excluding where the existence of a Utility in the correct location and/or size, or of a Utility Company’s Prior Rights Documentation, as applicable, was known to Contractor as of the Setting Date, or would have become known to Contractor as of the Setting Date by undertaking a Reasonable Investigation with Utility Companies prior to the Setting Date, including by requesting and reviewing Utility Plans provided by Utility Companies;

(h) Discovery at, near or on the Project ROW, excluding Contractor-Designated ROW, Additional ROW, and Replacement Utility Property Interests, of any Unexpected Hazardous Materials or SCDOT Release(s) of Hazardous Material, excluding Contractor Releases of Hazardous Materials, Known Hazardous Materials, and Suspected Hazardous Materials;

(i) Any sudden spill of Hazardous Material by a third party who is not acting in the capacity of a Contractor-Related Entity, which (i) occurs after the Setting Date, (ii) is required to be reported to a Governmental Entity, and (iii) renders use of the roadway or construction area unsafe or potentially unsafe absent assessment, containment and/or remediation;

(j) Discovery on or under the Project ROW, excluding Contractor-Designated ROW, Additional ROW, and Replacement Utility Property Interests, of any archaeological, paleontological, or cultural resources, excluding any such resources at the Known Cultural Resource Sites;

(k) Differing Site Conditions;

(l) Discovery at, near or on the Project ROW, excluding Contractor-Designated ROW, Additional ROW, and Replacement Utility Property Interests, of any Threatened or Endangered Species (regardless of whether the species is listed as threatened or endangered as of the Setting Date), excluding any such presence of the American Bald Eagle or other species known to Contractor prior to the Setting Date or that would become known to Contractor by undertaking Reasonable Investigation;

(m) Change in Law;

(n) Issuance of a temporary restraining order, preliminary injunction or other form of interlocutory relief by a court of competent jurisdiction that prohibits prosecution of any portion of the Work, except if based on the wrongful act or omission of any Contractor-Related Entity;

(o) Issuance of a rule, order or directive from the U.S. Department of Homeland Security or comparable State agency regarding specific security threats to the Project or the region in which the Project is located or which the Project serves, to the extent such rule, order or directive requires specific changes in Contractor's normal design, construction or maintenance procedures in order to comply;

(p) Any Necessary Schematic ROW Change;

(q) Reserved;

(r) SCDOT or SCDOT Contractor has caused damage to the Work or have hindered or interfered with the progress or completion of the Work;

(s) Errors, omissions, and misdescriptions contained within the Technical Provisions or other SCDOT-prepared Contract Documents that could not have been discovered by a Reasonable Investigation.

"Relief Event Claim" means a Claim for monetary and schedule relief because of the occurrence of a Relief Event Delay as set forth in Article 14 of the Agreement.

"Relief Event Delay" means a Delay to a Controlling Work Item, after consumption of all Float available pursuant to Section 7.10.2 of the Agreement, as a direct result of a Relief Event that could not be avoided by Contractor; provided, however, that such Delay excludes Delay due to Loss, damage or destruction described in Section 11.3.2 of the Agreement.

"Relief Event Notice" means the Notice required to be provided by Contractor under Section 14.1.2 of the Agreement. The Notice shall include, to the maximum extent the information then available:

(a) A description of the Relief Event and its date of occurrence or inception in reasonable detail;

(b) Contractor's preliminary good faith estimate of the anticipated end date of the Relief Event in reasonable detail if known or knowable;

(c) Contractor's preliminary good faith estimate of the anticipated adverse and beneficial effects (including cost impacts) of the Relief Event and the basis for such estimate;

(d) Contractor's preliminary good faith estimate of the Critical Path impact directly attributable to the Relief Event and the basis for such estimate;

(e) Contractor's initial analysis of any adverse effect of the Relief Event on its ability to perform its obligations under this Agreement;

(f) The actions Contractor has taken prior to the Relief Event Notice to prevent, and proposes to take thereafter to mitigate, the cost, Delay, and other consequences of the Relief Event; and

(g) The type and amount of insurance that may be applicable and amounts that have been or are anticipated to be collected under such insurance.

"Relief Request" has the meaning set forth in Section 14.1.3 of the Agreement which shall include the following information, to the maximum extent then available:

(a) Full details of the Relief Event, including its nature, the date of its occurrence, its duration (to the extent that the Relief Event and the effects thereof have ceased, or estimated duration to the extent that the Relief Event and the effects thereof have not ceased), affected locations, and items of Work affected;

(b) Identification of all pertinent Documents and the substance of any oral communications between SCDOT and Contractor, if any, relating to the Relief Event and the name of the Person or persons making such material oral communications;

(c) Identification of the specific provisions of the Contract Documents that Contractor claims entitles Contractor to the relief sought, and a statement that explains the reasons why the provisions entitle Contractor to that relief;

(d) Where Contractor makes a request for a Completion Deadline adjustment, a Time Impact Analysis of the Project Schedule that identifies Controlling Work Items and the Critical Path (with activity durations, predecessor and successor activities and resources, including total Float), and illustrates the effect of schedule changes or disruptions on the Completion Deadlines;

(e) A detailed, itemized estimate of all amounts claimed for Extra Work Costs and Delay Costs to the extent such amounts are eligible for compensation under this Article 14 for the Relief Event in question. All such amounts shall be broken down in terms of the eligible direct costs for labor (including hourly wage rates, fringe benefits rates and burden), materials, equipment, third-party fees and charges, extra insurance and performance and payment security (e.g., bonds and letters of credit), as applicable, and other direct costs, including expenses and profit, and any other cost category or categories SCDOT may specify;

(f) The effect of the Relief Event on Contractor's ability to perform any of its obligations under this Agreement, including details of the relevant obligations, the effect on each such obligation, and the likely duration of that effect;

(g) An explanation of the measures that Contractor has previously taken to prevent, and proposes to undertake to mitigate, the costs, Delay and other consequences of the Relief Event; and

(h) The type and amount of insurance that may be applicable and amounts that have been or are anticipated to be collected under such insurance.

“Replacement Utility Property Interest” means any permanent right, title or interest in real property outside of the Project ROW (e.g., a fee or an easement) which is acquired for a Utility being reinstalled in a new location as a part of the Utility Adjustment Work. The term specifically excludes any statutory right of occupancy or permit granted by a Governmental Entity for occupancy of its real property by a Utility.

“Representative” means, with respect to any Person, any director, officer, employee, official, lender (or any agent or trustee acting on its behalf), partner, member, owner, agent, lawyer, accountant, auditor, professional advisor, consultant, engineer, Subcontractor, other Person from whom such Person is, at Law, responsible or another representative of such Person and any professional advisor, consultant or engineer designated by such Person as its “representative.”

“Request for Change Proposal” means a written Notice issued by SCDOT to Contractor under Section 15.1.2 of the Agreement, advising Contractor that SCDOT may issue an SCDOT-Directed Change or wishes to evaluate whether to initiate such a change pursuant to Article 15 of the Agreement.

“Request for Information” has the meaning set forth in Section 110.5.10.2 of the Technical Provisions.

“Request for Proposals” has the meaning as set forth in Recital I of the Agreement.

“Request for Qualifications” has the meaning as set forth in Recital G of the Agreement.

“RFC Documents” means the complete final construction drawings (including plans, profiles, cross-sections, notes, elevations, sections, details and diagrams), specifications, reports, studies, calculations, electronic files, records, and Submittals necessary or related to the construction of the Project and any Utility Adjustments, and satisfying the requirements presented in Section 110.5.9.7 of the Technical Provisions.

“RFP Documents” means all of the information and materials supplied to Contractor in connection with the issuance of the RFQ, the RFP, the Contract Documents, and the Project Information Package and any addenda issued in connection therewith.

“Right of Way”, “right-of-way”, “R/W” and “ROW” means interest that includes an easement (temporary or permanent) or fee simple title to real property acquired by SCDOT by way of gift, purchase, or condemnation for the construction, maintenance, operation and improvement of the Work.

The term specifically excludes property made accessible to SCDOT and its contractors and Contractors through “right(s) of entry”, permits, licenses and “permissions”, Replacement Utility Property Interests and Contractor’s temporary work areas.

“ROW Activity Plan” means the Contractor’s plan for utilizing all ROW for the Project, as set forth in Section 809.4.1 of the Technical Provisions.

“ROW Services” means all acquisition services, including Appraisal services, as set forth in the SCDOT Appraisal Manual, negotiation services, as set forth in the SCDOT Acquisition Manual and all relocation assistance services as set forth in the SCDOT Relocation Assistance Manual.

“ROW Design Submittal” shall mean any ROW Exhibit, Legal Descriptions, Appraisals, Acquisition Package, Condemnation Package, and all other Submittals relating to single ROW parcel submitted to SCDOT for review and approval, including Submittals of any Plans prepared and delivered for the acquisition of rights of way.

“Safety Compliance” means any and all improvements, repair, reconstruction, rehabilitation, restoration, renewal, replacement and changes in configuration or procedures respecting the Project to correct a specific safety condition or risk of the Project that SCDOT has reasonably determined to exist by investigation or analysis.

“Safety Compliance Order” means an order or directive from SCDOT to Contractor to implement Safety Compliance.

“Safety Management Plan” has the meaning set forth in Section 120.2.1 of the Technical Provisions.

“Safety Standards” means those provisions of the Technical Provisions that SCDOT indicates that it considers to be important measures to protect public safety, worker safety or the safety of property. As a matter of clarification, provisions of the Technical Provisions primarily directed at durability of materials or equipment, where the durability is primarily a matter of life cycle cost rather than protecting public or worker safety, are not Safety Standards.

“SCDOT” means the South Carolina Department of Transportation, an agency of the State of South Carolina.

“SCDOT Additional Property” means any real property (which term is inclusive of all permanent estates and interests in real property, and Temporary Construction Easements), improvements and fixtures located outside of the Schematic ROW and outside of the Contractor-Designated ROW and Additional ROW that must be acquired due only to an SCDOT-Directed Change or a Necessary Schematic ROW Change, subject to SCDOT’s reasonable determination that the property is necessary, including any air space, surface rights and subsurface rights within such additional real property area that SCDOT directs Contractor to acquire for the Project. The term specifically excludes: (i) Replacement Utility Property Interests; and (ii) any temporary easements or other real property interests that Contractor may deem necessary or advisable to acquire, at its own cost and expense, for Contractor’s temporary work areas.

“SCDOT-Caused Delay” means any of the following events, to the extent they result in a Delay or interruption in performance of any material Contractor obligation under the Agreement, and provided such events are beyond Contractor’s control and are not due to any act, omission, negligence, recklessness, willful misconduct or breach or violation of contract, the requirements of the Contract Documents or Law by any Contractor-Related Entity, and further provided that such events (or the effects of such events) could not have been avoided by the exercise of caution, due diligence or reasonable efforts by Contractor:

- (a) Failure of SCDOT to issue NTP within five days after the anticipated issuance date set forth in Section 7.3 of the Agreement;
- (b) SCDOT-Directed Change;
- (c) Failure or inability of SCDOT to make available for construction to Contractor any Project ROW by the respective time set forth for each Parcel in the Project ROW

as set forth in Section 14.4.2 of the Agreement or in approved Alternative Technical Concepts provided that “make available for construction” means that:

(i) SCDOT has (A) obtained an order for immediate possession, (B) closed the acquisition of the parcel or (C) otherwise obtained permanent right of entry through settlement, negotiation, the condemnation process or otherwise, which in each case may be subject to covenants, conditions, restrictions and limitations with which Contractor must comply; and

(ii) SCDOT has completed relocation, demolition and clearance, except Utility Adjustments;

(d) Failure of SCDOT to provide responses to proposed schedules, Plans, Design Documents, Acquisition Packages, Condemnation Packages, and other Submittals and matters submitted to SCDOT after the Effective Date for which response is required under the Contract Documents as an express prerequisite to Contractor’s right to proceed or act, within the time periods (if any) indicated in the Contract Documents, or if no time period is indicated, within a reasonable time, taking into consideration (i) the nature, importance and complexity of the Submittal or matter, (ii) the number of Submittals or such other items which are then pending for SCDOT’s response, (iii) the completeness and accuracy of the Submittal or such other item, and (iv) Contractor’s performance and history of Nonconforming Work under the Contract Documents, following delivery of written Notice from Contractor requesting such action in accordance with the terms and requirements of the Contract Documents;

(e) Suspension of Work ordered by SCDOT pursuant to Article 17 of the Agreement, provided that:

(i) Any suspension of Work arising from Force Majeure Events, litigation, or security threat, rule, order or directive shall not be considered an SCDOT-Caused Delay (although it may qualify as a Relief Event under clause (e), (n) or (o), respectively, of the definition of “Relief Event”), despite the fact that SCDOT may specifically direct Contractor to suspend the Work; and

(ii) Any suspension of Work arising from presence or Release of Hazardous Materials, SCDOT’s performance of data recovery respecting archeological, paleontological, historical or cultural resources, or SCDOT’s actions related to Threatened or Engangered Species shall not be considered an SCDOT-Caused Delay (although it may qualify as a Relief Event under clause (h), (i), (j), or (l), respectively, of the definition of “Relief Event”), despite the fact that SCDOT may specifically direct Contractor to suspend the Work;

(f) Failure of SCDOT to complete testing and data recovery of cultural resources at the Known Cultural Sites; and

(g) Any other event that the Contract Documents expressly state is an “SCDOT-Caused Delay”; and

(h) Performance of Work by SCDOT’s other contractors that directly disrupt the Work or other documented Delays to the Critical Path of the Work directly caused by other contractors.

Any proper suspension of Work pursuant to Article 17 of the Agreement shall not be considered an SCDOT-Caused Delay.

“SCDOT Consultant” means any firm or Person under contract to SCDOT to perform services for or on the behalf of SCDOT.

“SCDOT Contractor” means contractors engaged by SCDOT for any work on the State Highway System.

“SCDOT-Directed Change” means:

(a) changes in the scope of the Work or terms and conditions of the Contract Documents (including changes in the standards applicable to the Work) that SCDOT has directed Contractor to perform as described in Article 15 of the Agreement; and

(b) suspensions of the Work that SCDOT orders under Article 17 of the Agreement, for more than the permitted period of time as set forth in Section 17.1 of the Agreement.

“SCDOT-Furnished Materials” means the materials that SCDOT provides to the Contractor for the Work and shall be made available for Contractor pickup and transport within 180 days of receipt of the SCDOT-Furnished Materials Request. The list of SCDOT-Furnished Materials is set forth in Section 100.5.2 of the Technical Provisions.

“SCDOT-Furnished Materials Request” means the Contractor’s written request to SCDOT, to be provided with the submittal of RFC Documents, that includes the quantity and name of SCDOT-Furnished Material to make available for Contractor’s pickup and transport.

“SCDOT-Owned Salvageable Materials” means the specified salvageable materials from the Work that the Contractor is required to stockpile, transport and deliver, without damage, to SCDOT at a specific location provided by SCDOT. The list of SCDOT-Owned Salvageable Materials is set forth in Section 100.5.1 of the Technical Provisions.

“SCDOT’s Recoverable Costs” means:

(a) The costs of any assistance, action, activity or Work undertaken by SCDOT and for which Contractor is liable, or is to reimburse SCDOT, under the terms of the Contract Documents, including the charges of third-party contractors and reasonably allocated wages, salaries, compensation and overhead of SCDOT staff and employees performing such action, activity or Work; plus

(b) Third-party costs SCDOT incurs to publicly procure any such third-party contractors; plus

(c) Reasonable fees and costs of attorneys financial advisors, engineers, architects, insurance brokers and advisors, investigators, traffic and revenue consultants, risk management consultants, other consultants, and expert witnesses, as well as court costs and other litigation costs, in connection with any such assistance, action, activity or Work, including in connection with defending claims by and resolving Disputes with third-party contractors; plus

(d) Interest on all the foregoing sums at a floating rate equal to the LIBOR in effect from time to time plus 200 basis points, commencing on the date due under the applicable terms of the Contract Documents and continuing until paid.

“SCDOT Standard Specifications” means the most recent edition of the South Carolina Department of Transportation Standard Specifications for Highway Construction in effect on the Final RFP release date, including all revisions thereto.

“Schedule Narrative” has the meaning set forth in Section 110.6 of the Technical Provisions.

“Schematic Design” means the strip map that SCDOT prepared depicting SCDOT’s conceptual design for the Project, as included in the Project Information Package.

“Schematic ROW” or **“Schematic Right of Way”** means the ROW within the boundary lines indicated in the Schematic ROW Plans or Schematic Right of Way Plans that SCDOT prepared for the Project, as included in the Technical Provisions Attachments.

“Section 404 Permit” means the individual permit for the Project issued by the U.S. Army Corps of Engineers under Section 404 of the Clean Water Act (33 U.S.C. §1344) for the placement of dredged and fill material into waters of the United States, including any permit modifications.

“Selected Alternative” means the design configuration for the Long Point Road Interchange Improvement that is the basis for the EA/FONSI and IMR.

“Service Line” means a utility line other than a main utility line, including any meter, that connects or may be connected to a main utility line and services or is available to service individuals, businesses and other entities. A Service Line is that portion of a utility line that extends from the tap of the main utility line, including such tap, through and including any meter, to a consumer’s or potential consumer’s residence(s), business(es) or other improvement(s), facility(ies), equipment and/or the like, whether existing, planned or potential / possible. Additionally, any and all utility lines that connect to a Service Line, including any and all meters, but excluding main utility lines, are Service Lines.

“Setting Date” means the date that is 10 Business Days before the due date of Technical Proposals.

“Shoulder Closure” means the roadway section directly adjacent to a traffic lane, is closed or blocked, or that the use thereof is otherwise restricted for any duration.

“Site” means Project ROW where Work for the Project is to be performed and any Additional Areas.

“Site Documentation” has the meaning set forth in Section 100.5.7 of the Technical Provisions.

“State” means the State of South Carolina.

“State Highway System” or **“South Carolina Highway”** means a highway designated as part of the State highway system under S.C. Code Ann. § 57-5-10, *et. seq.*

“Subcontract” means any agreement by Contractor with any other Person, Subcontractor or Supplier to perform any part of the Work or provide any materials, equipment or supplies for any part of the Work, or any such agreement at a lower tier, between a Subcontractor and its lower tier Subcontractor or a Supplier and its lower tier Supplier, at all tiers.

“Subcontractor” means any Person with whom Contractor has entered into any Subcontract to perform any part of the Work or provide any materials, equipment or supplies for the Project on behalf of Contractor and any other Person with whom any Subcontractor has further subcontracted any part of the Work, at all tiers.

“Submittal” means any individual Document, individual Work product item or other written or electronic end-product or item required under the Contract Documents to be delivered or submitted to SCDOT, and as identified in the Submittal Schedule. “Submittal” does not include Notices, correspondence or invoices for payment. When used in its lower-case spelling, the term “submittal” shall have its plain language meaning.

“Submittal Schedule” has the meaning set forth in Section 110.5.8 of the Technical Provisions.

“Substantial Completion” means the occurrence of all of the events and satisfaction of all of the conditions set forth in Section 6.6.2 of the Agreement, as and when confirmed by SCDOT’s issuance of a Certificate of Substantial Completion for the Project.

“Substantial Completion Date” means the date on which Substantial Completion for the Project occurs.

“Substantial Completion Deadline” means the achievement of Substantial Completion of all D&C Work by August 31, 2030 in accordance with the Preliminary Project Baseline Schedule at Exhibit 2-2 of the Agreement, as such deadline may be adjusted by Change Order pursuant to the Agreement.

“Supplier” means any Person not performing Work at or on the Site that supplies machinery, equipment, materials, hardware, software, systems or any other appurtenance to the Project to Contractor or to any Subcontractor in connection with the performance of the Work. Persons who merely transport, pick up, deliver or carry materials, personnel, parts or equipment or any other similar items or persons to or from the Site shall not be deemed to be performing Work at the Site.

“Surety” means each properly licensed surety company, insurance company or other Person approved by SCDOT, which has issued any Performance Bond, Payment Bond other bond required to be issued under the Agreement, including the D&C Performance Bond and the D&C Payment Bond.

“Taxes” means federal, State, local or foreign income, margin, gross receipts, sales, use, excise, transfer, consumer, license, payroll, employment, severance, stamp, business, occupation, premium, windfall profits, environmental (including Taxes under Section 59A of the Internal Revenue Code), customs, permit, capital stock, franchise, profits, withholding, social security (or similar), unemployment, disability, real property, personal property, registration, value added, alternative or add-on minimum, estimated or other Taxes, levies, imposts, duties, fees or charges imposed, levied, collected, withheld, or assessed at any time, whether direct or indirect, relating to, or incurred in connection with, the Project, the performance of the Work, or act, business, status or transaction of Contractor, including any interest, penalty, or addition thereto, and including utility rates or rents, in all cases whether disputed or undisputed.

“Technical Provisions” means the Project-specific technical provisions entitled “Technical Provisions for The Design & Construction of Long Point Road Interchange Improvement Project (P041314).” The accuracy and reliability of information contained within the Technical Provisions may be relied upon by the Contractor.

“Temporary Construction Easement” means temporary easements or other temporary property interests granting exclusive rights of use to SCDOT, and which SCDOT makes available to Contractor, for the limited purposes of carrying out Construction Work or providing detour routes during the course of the Construction Work. Temporary Construction Easements are distinguished from Contractor’s temporary work areas by the fact that a Temporary Construction Easement is utilized either to directly carry out the activity of constructing the physical facilities making up the Project or to divert traffic to enable such construction activity.

“Term” has the meaning set forth in Section 2.1 of the Agreement.

“Termination by Court Ruling” means any of the following:

(a) issuance of a final, non-appealable order by a court of competent jurisdiction to the effect that the Agreement is void and/or unenforceable or impossible to perform in its entirety, except where void, unenforceable or impossible to perform by reason of Contractor’s acts, omissions, negligence, willful misconduct, fraud or breach of Warranty or representation;

(b) issuance of a final, non-appealable order by a court of competent jurisdiction that causes impossibility of performance of a fundamental obligation by Contractor or SCDOT under the Contract Documents or impossibility of exercising a fundamental right of Contractor or SCDOT under the Contract Documents, and such impossibility cannot be avoided or cured through severability and reformation of the Contract Documents as provided in Section 23.6 of the Agreement; or

(c) issuance of a final, non-appealable order by a court of competent jurisdiction:

(i) permanently enjoining or prohibiting performance or completion of the Construction Work for a material portion of the Project, except where such injunction or prohibition is attributable to Contractor’s acts, omissions, negligence, willful misconduct, fraud, breach of an obligation under the Contract Documents or violation of Law or an applicable Governmental Approval, or

(ii) requiring SCDOT, either individually or in concert with FHWA, to undertake additional or supplemental evaluations, studies or other Work under NEPA that, in SCDOT’s sole discretion, is impracticable considering the purpose and intent of the Agreement or the Project.

“Termination for Convenience” means a termination of the Agreement made pursuant to Section 23.1 of the Agreement.

“Third-Party Agreement” means any agreement listed in Section 111 of the Technical Provisions.

“Third-Party Intellectual Property” means any Intellectual Property owned by any Person unrelated to Contractor or its Affiliates or Subcontractors and which is incorporated into the Project.

“Third-Party Release of Hazardous Material” means any spill of Hazardous Material by a third party who is not acting in a capacity of a Contractor-Related Entity.

“Threatened or Endangered Species” means any species listed by the USFWS as threatened or endangered pursuant to the Endangered Species Act, as amended, 16 U.S.C. §§ 1531, *et seq.* or any species listed as threatened or endangered pursuant to the State Endangered Species Act.

“Time Impact Analysis” has the meaning set forth in Section 110.6.16 of the Technical Provisions.

“Transportation Management Plan” means the plan prepared by Contractor for the management of traffic during construction, as more particularly described in 23 CFR 630 Subpart J and Section 600 of the Technical Provisions.

“Unexpected Hazardous Materials” means any Hazardous Materials discovered during the performance of the Work the existence of which was unknown to the Parties at the time of release of all relevant Technical Provisions and could not have been discovered by Contractor conducting a Reasonable Investigation.

“Uniform Act” means the Federal Uniform Relocation Assistance and Real Property Acquisition Policies Act, 42 USC Section 4601 *et seq.*, P.L. 91-646, as amended.

“Utility” or **“utility”** means a public, private, cooperative, municipal and/or government line, facility or system used for the carriage, transmission and/or distribution of cable television, electric power, heat, telephone, telegraph, water, gas, oil, petroleum products, steam, chemicals, hydrocarbons, telecommunications, sewage, storm water not connected with the drainage of the Project, and similar substances that directly or indirectly serve the public. Any Service Line up to and including the meter, connecting directly to a utility shall be considered an appurtenance to that utility, regardless of the ownership of such Service Line.

The term “Utility” or “utility” specifically excludes:

- (a) storm water facilities providing drainage for the Project ROW;
- (b) streetlights and traffic signals;
- (c) ITS facilities; and
- (d) water wells held for private use. The necessary appurtenances to each utility facility shall be considered part of such utility.

“Utility Adjustment” means each relocation (temporary or permanent), Abandonment, Protection in Place, removal (of previously abandoned Utilities as well as of newly abandoned Utilities), replacement, reinstallation, and/or modification of existing Utilities necessary to accommodate construction, operation, maintenance and/or use of the Project; provided, however, that the term “Utility Adjustment” shall not refer to any of the Work associated with facilities owned by any railroad. For any Utility crossing the Project ROW, the Utility Adjustment Work for each crossing of the Project ROW by that Utility shall be considered a separate Utility Adjustment. For any Utility installed longitudinally within the Project ROW, the Utility Adjustment Work for each continuous segment of that Utility located within the Project ROW shall be considered a separate Utility Adjustment.

“Utility Adjustment Standards” means the standard specifications, standards of practice, and construction methods that a Utility Company customarily applies to facilities (comparable to those being Adjusted on account of the Project) constructed by the Utility Company (or for the Utility

Company by its contractors), at its own expense. Unless the context or applicable Utility Agreement requires otherwise, references in the Contract Documents to a Utility Company's "applicable Utility Adjustment Standards" refer to those that are in effect as of the Setting Date.

"Utility Adjustment Work" means all efforts and costs necessary to accomplish the required Utility Adjustments, including all coordination, design, design review, permitting, construction, inspection, maintenance of records, relinquishment of Existing Utility Property Interests, preparation of utility assemblies, and acquisition of Replacement Utility Property Interests, whether provided by Contractor or by the Utility Companies. The term also includes any reimbursement of Utility Companies which is Contractor's responsibility pursuant to Section 5.16.4 of the Agreement. Any Utility Adjustment Work furnished or performed by Contractor is part of the Work; any Utility Adjustment Work furnished or performed by a Utility Company is not part of the Work.

"Utility Agreement" means an agreement between Contractor and a Utility Company that establishes the rights and obligations of Contractor and the Utility Company with respect to one or more Utility Adjustments. In the case of an agreement with a Utility Company that holds Prior Rights, SCDOT will also be a Party to the agreement. Such an agreement may be general or comprehensive or may address only certain aspects of a Utility Adjustment.

"Utility Company" means the owner or operator of any Utility (including both privately held and publicly held entities, cooperative utilities, and municipalities and other governmental agencies).

"Utility Company Delay" means Delay to the Critical Path caused by:

(a) A Utility Company's failure to provide material information necessary for Contractor to present to the Utility Company a proposed design package for the applicable Utility Adjustment and proposed Utility Agreement for negotiation within 60 days after (i) SCDOT receives satisfactory evidence that Contractor satisfied the "conditions to assistance" set forth in Section 5.16.7.2 of the Agreement, and (ii) SCDOT receives Contractor's request for SCDOT's assistance as described in Section 5.16.7.1 of the Agreement;

(b) A Utility Company's failure to negotiate and execute a Utility Agreement that SCDOT has approved as containing commercially reasonable material terms, schedule and conditions within 60 days after:

(i) Contractor presents to the Utility Company a proposed Utility Agreement that includes such material terms, schedule and conditions and a complete design package for the Utility Agreement;

(ii) SCDOT receives Contractor's request for SCDOT's assistance as described in Section 5.16.7.1 of the Agreement; and

(iii) SCDOT receives satisfactory evidence that Contractor satisfied the "conditions to assistance" set forth in Section 5.16.7.2 of the Agreement.

(c) A Utility Company's failure to timely perform its obligations under the applicable, executed Utility Agreement, provided that the schedule in the applicable Utility Agreement sets forth reasonable timelines for the Utility Company to perform its obligations, as determined by SCDOT in its good faith discretion; or

(d) Failure of a Utility Company to reasonably cooperate specifically because it disputes SCDOT's determination that it lacks proper Prior Rights Documentation, provided that

Contractor makes reasonable efforts to resolve the Dispute and proceeds with Utility Adjustment Work pending its resolution.

Notwithstanding the foregoing, any Delay by a Utility Company caused by, among other things, the failure of any Contractor-Related Entity to locate or design the Project or carry out the Work in accordance with the Contract Documents, the Adjustment Standards, the applicable Utility Agreement, the NEPA Approval, other Governmental Approval or applicable Law shall not be considered Utility Company Delay.

“Utility Company Project” means the design and construction by or at the direction of a Utility Owner (or by Contractor pursuant to Section 5.16.6 of the Agreement) of a new Utility other than as part of a Utility Adjustment. Utility Improvements are not Utility Owner Projects. Utility Owner Projects shall be entirely the financial obligation of the Utility Owner.

“Utility Improvement” has, with respect to a given Utility being adjusted, the meaning (if any) set forth in the applicable Utility Agreement. In all other cases, “Utility Improvement” means any upgrading of a Utility or related facilities in the course of a Utility Adjustment that is not attributable to the construction of the Project, and is made solely for the benefit of and at the election of the Utility Company, including an increase in the capacity, capability, efficiency or function of an adjusted utility over that which was provided by the existing Utility; provided, however, that the following shall not be considered Utility Improvements:

- (a) any upgrading which is required by the Project;
- (b) replacement devices or materials that are of equivalent standards although not identical;
- (c) replacement of devices or materials no longer regularly manufactured with an equivalent or next higher grade or size;
- (d) any upgrading required by applicable Law;
- (e) replacement devices or materials that are used for reasons of economy (e.g., non-stocked items may be uneconomical to purchase);
- (f) any upgrading required by the Utility Company’s written “standards” meeting the requirements described in Section 140 of the Technical Provisions; or
- (g) any discretionary decision by a Utility Company that is contemplated within a particular standard described in clause (f) above.

For fiber optic Utilities, extension of a Utility Adjustment to the nearest splice boxes shall not be considered a Utility Improvement if required by the Utility Company in order to maintain its written telephony standards.

“Utility Information” means the information regarding Utilities included in the Project Information Package, together with any other information SCDOT provided to Contractor prior to the Setting Date with regard to identification of Utilities. The Utility Information includes:

- (a) survey information regarding existing utilities;
- (b) utility maps included as an overlay on the survey;
- (c) As-Built Plans for existing Utilities;

- (d) encroachment permit log
- (e) Prior Rights Documentation; and
- (f) other information as to the existence or nature of any rights or interests of any Utility Company relating to use or occupancy of real property. In the event of any conflict within the various components of the Utility Information, the more accurate information will prevail.

“Utility Memorandum of Agreement” or **“Utility MOA”** means each memorandum of cooperation, memorandum of agreement, including all supplemental and attached Documents, or other Document entered between, or mutually accepted by, SCDOT and a Utility Company pertaining to Utility Adjustments.

“Warranty” means the warranty of the D&C Work provided by Contractor pursuant to Section 12.1.1 of the Agreement.

“Warranty Bond” has the meaning set forth in Section 10.1.1 of the Agreement.

“Warranty Term” has the meaning set forth in Section 12.1.2 of the Agreement.

“Work” means all of the work required under the Contract Documents, including all administrative, design, engineering, real property acquisition and occupant relocation, support services, Utility Adjustment Work to be furnished or provided by Contractor, reimbursement of Utility Companies for Utility Adjustment Work furnished or provided by such Utility Owners or their contractors and consultants, procurement, professional, manufacturing, supply, installation, construction, supervision, management, testing, verification, labor, materials, equipment, maintenance, documentation and other duties and services to be furnished and provided by Contractor as required by the Contract Documents, including all efforts necessary or appropriate to achieve Project Final Completion, except for those efforts which such Contract Documents expressly specify will be performed by Persons other than the Contractor-Related Entities. For the avoidance of doubt, Work includes all D&C Work, Maintenance Services, and Professional Services applicable to the Project.

END SECTION

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EXHIBIT 2
CONTRACTOR'S PROPOSAL COMMITMENTS AND CLARIFICATIONS

Exhibit 2-1	Contractor's Schematic Design including Alternative Technical Concepts
Exhibit 2-2	Preliminary Project Baseline Schedule
Exhibit 2-3	Proposal Commitments
Exhibit 2-4	Cost Proposal Bid Form
Exhibit 2-5	Schedule of Values
Exhibit 2-6	Certification of Contractor
Exhibit 2-7	Certification of Department
Exhibit 2-8	Drug-Free Workplace Certification
Exhibit 2-9	Commissioner Employee Interest Certification

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EXHIBIT 2-1
**CONTRACTOR'S SCHEMATIC DESIGN INCLUDING ALTERNATIVE TECHNICAL
CONCEPTS**

*Contractor's Schematic Design and Alternative Technical Concepts, submitted by the selected
PROPOSER and approved by SCDOT, will be included with the completed agreement.*

Contractors' Schematic Design
Contractor's Schematic ROW
Contractor's Alternative Technical Concepts

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EXHIBIT 2-2
PRELIMINARY PROJECT BASELINE SCHEDULE

Contractor's Preliminary Project Baseline Schedule, submitted by the selected PROPOSER and approved by SCDOT, will be included with the completed agreement.

EXHIBIT 2-3
PROPOSAL COMMITMENTS

Contractor's Proposal Commitments reflected in the Quality Credit Matrix will be included with the completed agreement.

EXHIBIT 2-4
COST PROPOSAL BID FORM

Contractor's Cost Proposal Bid Form, submitted by the selected PROPOSER and approved by SCDOT, will be included with the completed agreement.

The Cost Proposal Bid Form is in Section 9 of the Instructions to Proposers.

**EXHIBIT 2-5
SCHEDULE OF VALUES**

*Contractor's Schedule of Values, submitted by the selected PROPOSER and approved by
SCDOT, will be included with the completed agreement.*

EXHIBIT 2-6
CERTIFICATION OF CONTRACTOR

*Certification of Contractor, submitted by the selected PROPOSER and approved by SCDOT,
will be included with the completed agreement.*

CERTIFICATION OF CONTRACTOR

I hereby certify that I am the duly authorized representative of the CONTRACTOR, and I can confirm that neither I nor the CONTRACTOR I represent has:

1. employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me or the above CONTRACTOR) to solicit or secure this contract;
1. agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out the contract, or
2. paid, or agreed to pay, to any firm, organization or person (other than a bona fide employee working solely for me or the above CONTRACTOR) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the contract except as here expressly stated (if any);
3. either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted proposal.

By execution of this Agreement, CONTRACTOR certifies CONTRACTOR and all CONTRACTOR's consultants, sub-consultants, contractors, employees and agents will comply with South Carolina's Ethics, Government Accountability, and Campaign Reform Act of 1991, as amended. The following statutes require special attention: (a) Offering, giving, soliciting, or receiving anything of value to influence action of public employee - §8-13-790, 8-13-705, 8-13-720; (b) Recovery of kickbacks - §8-13-790, (c) Offering, soliciting or recovering money for advice or assistance of public official - §8-13-720, (d) Use or disclosure of confidential information - §8-13-725, (e) Persons hired to assist in the preparation of specifications or evaluation of bids - §8-13-1150, (f) Solicitation of state employees - §8-13-755, 8-13-760 and §8-13-725, (g) False Claims Act -§16-13-240. The state may rescind any contract and recover all amounts expended as a result of any action taken in violation of this provision.

I acknowledge that this certificate is to be furnished to the Department, the Federal Highway Administration, and the U. S. Department of Transportation, and is subject to applicable State and Federal laws, both criminal and civil.

I acknowledge that giving false, misleading, or incomplete information on this certification may subject me to prosecution under Section 16-9-10 of the South Carolina Code of Laws.

CONTRACTOR

Name of Contractor

By: _____

Date: _____

Its: _____

EXHIBIT 2-7
CERTIFICATION OF DEPARTMENT

Certification of Department will be included with the completed agreement.

CERTIFICATION OF DEPARTMENT

I hereby certify that I am the Director of Alternative Delivery for the South Carolina Department of Transportation (SCDOT) of the State of South Carolina and that the above CONTRACTOR or its representative has not been required, directly or indirectly, as an express or implied condition in connection with obtaining or carrying out this agreement to:

1. employ or retain, or agree to employ or retain, any firm or person, or
2. pay, or agree to pay, to any firm, person, or organization, any fee, contributions, donations, or consideration of any kind, except as here expressly stated (if any).

I acknowledge that this certificate is to be furnished to the Federal Highway Administration, and U. S. Department of Transportation, and is subject to applicable State and Federal laws, both criminal and civil.

SOUTH CAROLINA DEPARTMENT OF
TRANSPORTATION

BY: _____

TITLE: DIRECTOR OF ALTERNATIVE DELIVERY

Date: _____

EXHIBIT 2-8
DRUG-FREE WORKPLACE CERTIFICATION

Drug-Free Workplace Certification, submitted by the selected PROPOSER and approved by SCDOT, will be included with the completed agreement.

DRUG-FREE WORKPLACE CERTIFICATION

In accordance with Section 44-107-30, South Carolina Code of Laws (1976), as amended, and as a condition precedent to the execution of this agreement, the undersigned, who is an authorized representative of the CONTRACTOR certifies on behalf of the CONTRACTOR that the PROPOSER will provide a drug-free workplace by:

- (1) publishing a statement notifying employees that the unlawful manufacture, distribution, dispensations, possession, or use of a controlled substance is prohibited in the CONTRACTOR's workplace and specifying the actions that will be taken against employees for violations of the prohibition;
- (2) establishing a drug-free awareness program to inform employees about:
 - (a) the dangers of drug abuse in a workplace;
 - (b) the person's policy of maintaining a drug-free workplace;
 - (c) any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) the penalties that may be imposed upon employees for drug violations;
- (3) making it a requirement that each employee to be engaged in the performance of the agreement be given a copy of the statement required by item (1);
- (4) notifying the employee in the statement required by item (1) that, as a condition of employment of this agreement, the employee will:
 - (a) abide by the terms of the statement; and
 - (b) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after the conviction;
- (5) notifying the South Carolina Department of Transportation within ten days after receiving notice under item (4)(b) from an employee or otherwise receiving actual notice of the conviction;
- (6) imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee convicted as required in Section 44-107-50; and
- (7) making a good faith effort to continue to maintain a drug-free workplace through implementation of items (1), (2), (3), (4), (5), and (6)

By execution of this Agreement CONTRACTOR certifies CONTRACTOR and all CONTRACTOR's consultants, sub-consultants, contractors, employees and agents will comply with all applicable provisions of the Drug-Free Workplace Act, Title 44, Chapter 107 of the South Carolina Code of Laws, as amended.

CONTRACTOR: _____

(Signature)

EXHIBIT 2-9
COMMISSIONER EMPLOYEE INTEREST CERTIFICATION

Commissioner Employee Interest Certification, submitted by the selected PROPOSER and approved by SCDOT, will be included with the completed agreement.

COMMISSIONER EMPLOYEE INTEREST CERTIFICATION

As a condition precedent to the execution of this Agreement, the undersigned, who is an authorized representative of the CONTRACTOR/CONSULTANT certifies on behalf of the CONTRACTOR/CONSULTANT, that during the procurement and award of this Agreement, and as an ongoing obligation under this Agreement until the end of the contract period, CONTRACTOR/CONSULTANT represents and agrees to comply with the following provisions:

1. In accordance Section 23 of Act 40 of 2017 (now codified as Section 57-1-350(G) of the Code of Laws of South Carolina 1976, as amended):
 - a) No member of the SCDOT Commission has an interest, direct or indirect, in the proposal or bid submitted to SCDOT for this Project, during the member's term of appointment and for one year after the termination of the appointment.
 - b) No member of the SCDOT Commission will have an interest, direct or indirect, in any contract, franchise, privilege, or other benefit granted or awarded by the Department relating in any way to this Project (through subcontractors, consultants, vendor, or suppliers) during the member's term of appointment and for one year after the termination of the appointment.
2. In accordance with SCDOT Departmental Directive 45(a) regarding Post-employment Restrictions on Qualification-Based Procurements dated August 13, 2015 and amended June 2, 2017:

No current or former employee, who served in a management level position or above, may work on or invoice for services performed on this Project within 365 days after their last day of employment with SCDOT. For the purposes of this bright line rule, "management level position" is defined as any SCDOT Pay Band 7 and above position, which includes, but is not limited to, Directors, Assistant Directors, District Engineering Administrators, District-level Engineers, Program Managers, Assistant Program Managers and Resident-level Engineers.

CONTRACTOR/CONSULTANT hereby certifies that it and all of its consultants, sub-consultants, contractors, vendors, suppliers, employees and agents will comply with the above provisions.

CONTRACTOR/CONSULTANT

By: _____
(Signature)

Print Name: _____

Date: _____

Its: _____

**EXHIBIT 3
FEDERAL REQUIREMENTS**

Federal Requirements are included in Section 1000 of the Technical Provisions within the Contract Documents.

Requirements	TP 1000 Page Number
Equal Employment Opportunity Responsibilities Training Special Provisions	21
FHWA Form 1273 (dated October 23, 2023) – Required Contract Provisions for Federal-aid Construction Contracts	159
Standard Federal Equal Employment Opportunity Construction Contract Specifications	173
Federal Prevailing Wage Rates - General Decision Number SC35 (dated January 5,2024)	176

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EXHIBIT 4
SUBCONTRACTOR / HAULER REQUEST FORM

SUBCONTRACTOR/ HAULER APPROVAL REQUEST



FILE NUMBER	COUNTY	PRIME CONTRACTING FIRM

E-mail to: subrequest@scdot.org

Subcontractor requests submitted by e-mail to subrequest@scdot.org (copy the RCE on the e-mail) will automatically be considered verbally approved to begin work upon contractor coordination of work with the RCE. Payment for subcontracted work will not be made until the subcontractor approval request is signed by the Director of Alternate Delivery.

Please adhere to the following naming convention when submitting requests electronically: File number and date with no punctuation. For example: File number 01.263BR1 submitted on December 7, 2014 would be: 01263BR112072014.pdf

Approved subcontracts will be submitted via e-mail reply.

Or Mail to: Director of Alternate Delivery, South Carolina Department of Transportation, 955 Park Street, Columbia, SC 29201

Federal Aid Projects:

1. Include completed Sub(2) or Sub(3) form with detailed items, scope of work and lower tier information if applicable.
2. FHWA 1273 Certification Statement is attached verifying the inclusion of FHWA 1273 verbiage in all subcontracts, and EEO verbiage in all subcontractors greater than \$10,000.00. FHWA 1273 Certification Statement not required for design services.
3. Copies of subcontract agreements/hauler agreements for all DBE/WBE requests have been included with this submittal for committed DBE/WBE firms.

State Funded Projects:

1. Include completed Sub(2) or Sub(3) form with detailed items, scope of work and lower tier information if applicable.

I certify that all of the above documents have been included as part of this submittal or as applicable.

Signature

Date

Title

APPROVED BY SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION

Signature

Date

Alternate Delivery
Construction Manager
Title

DISTRIBUTION: Contractor
Resident Construction Engineer
DBE Program Manager
EEO Program Coordinator

EXHIBIT 5
KEY PERSONNEL POSITIONS

*Key Personnel information, submitted by the selected PROPOSER and approved by SCDOT,
will be included with the completed agreement.*

Key Personnel Position	Name	Email	Phone (direct) Phone (mobile)
Project Manager			
Lead Design Engineer			
Structural Engineer			
Construction Manager			

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EXHIBIT 6
FORMS OF PERFORMANCE AND PAYMENT BONDS

Exhibit 6-1	Form of Performance and Indemnity Bond
Exhibit 6-2	Form of Payment Bond

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South Carolina Department of Transportation Form No. 672A	Rev. 03-01-2016 PERFORMANCE AND INDEMNITY BOND	Date Bond Executed:
Principal:		Bond Number:
Surety:		
Penal Sum of Bond		Date of Contract:
Project S. C. File No(s):		Contract Number:

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL AND SURETY above named are held and firmly bound unto the South Carolina Department of Transportation, hereinafter called the Department, in the penal sum of the amount stated above which shall be equal to the full amount (100%) of the contract, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Department, numbered and dated as shown above and hereto attached:

NOW, THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Department, with or without notice to the surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then, this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Attest _____ Corporate Secretary In Presence of: Witness (2 required) 1. _____ 2. _____	FOR CORPORATE PRINCIPAL _____ Corporation Name _____ Business Address By: _____ Title: _____ Corporate Seal

In Presence of: Witness (2 required) 1. _____ 2. _____	SURETY/INSURER _____ Surety/ Insurers Name _____ Business Address By: _____ Title: _____ Corporate Seal

South Carolina Department of Transportation Form No. 673	Rev. 03-01-2016	Date Bond Executed:
PAYMENT BOND		
Principal:		Bond Number:
Surety:		
Penal Sum of Bond:		Date of Contract:
Project S. C. File No(s):		Contract Number:

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL AND SURETY above named are held and firmly bound unto the South Carolina Department of Transportation, hereinafter called the Department, in the penal sum of the amount stated above which shall be equal to the full amount (100%) of the contract, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Department, numbered and dated as shown above and hereto attached:

NOW, THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material, such being construed to include, but not limit to, that part of water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment directly applicable to the contract, in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice by which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Attest Corporate Secretary In Presence of: Witness (2 required) 1. _____ 2. _____	FOR CORPORATE PRINCIPAL Corporation Name Business Address By: _____ Title: _____ Corporate Seal

In Presence of: Witness (2 required) 1. _____ 2. _____	SURETY/INSURER Surety/ Insurers Name Business Address By: _____ Title: _____ Corporate Seal

EXHIBIT 7
INSURANCE COVERAGE REQUIREMENTS

Contractor's Insurance Coverage Requirements, submitted by the selected PROPOSER and approved by SCDOT, will be included with the completed agreement.

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**EXHIBIT 7
INSURANCE SPECIFICATIONS**

I. CONTRACTOR INSURANCE

The Contractor shall, at a minimum, procure and maintain the following insurance coverages throughout the Term of this Contract as further detailed below and in compliance with the requirements of Article 11 of the Agreement for the Design & Construction of Carolina Crossroads Phase 3C -- I-20 Widening and Saluda River and CSX Bridge Replacements Design-Build Project (P043325) (hereinafter "Agreement.") The term "Contractor" as used in this Exhibit has the same meaning as in the Agreement. The minimum limits of liability for the following insurance coverages are required, except where greater limits are required by statute.

A. Workers Compensation and Employer's Liability Insurance

1. Coverage/Limits

(a) Coverage A: Statutory workers' compensation as required by the State of South Carolina or applicable federal Laws.

(b) Coverage B: Employer's Liability with minimum limits of \$1,000,000 bodily injury by accident; \$1,000,000 bodily injury by disease; and \$1,000,000 policy limit for each employee.

B. Commerical General Liability Insurance

1. Coverage/Limits

Commercial General Liability Insurance coverage shall be at least as broad as the most recently promulgated version of ISO Form CG 0001 (Occurrence-Basic Coverage). The policy shall also include coverage for premises and operations, broad form property damage, products and completed operations (for 87 years after Final Completion), independent contractors, advertising injury, personal injury, contractual liability coverage provisions that offer protection against all risks and exposures including the following: The general aggregate limit should apply on a per project basis and there shall be no exclusion for claims arising from explosion, collapse and underground work (X, C, and U Exclusions) or work performed by Contractors on behalf of SCDOT. There shall be no exclusion for work within 50 feet of a railroad.

SCDOT shall be given all the same insurance coverage as Contractor. Contractor shall maintain Commercial General Liability coverage to include liability coverage for damage to insured's completed work equivalent to that provided under ISO CG 0001 for eight (8) years after Final Completion.

Minimum limits of liability dedicated to the Project (i.e., per project aggregate) of \$2,000,000 for each occurrence and \$4,000,000 annual aggregate shall be provided.

C. Automobile Liability Insurance

1. Coverage/Limits

Automobile Liability Insurance coverage shall be at least as broad as the most recently promulgated version of ISO Form CA 0001, CA 0005, CA 0012, or CA 0020, including coverage

for any auto (owned, non-owned and hired) as appropriate. If any vehicle shall be utilized for hazardous waste transportation, such insurance shall include an MCS-90 Endorsement.

Minimum limits of liability of \$1,000,000 combined single limit or equivalent.

D. Umbrella / Excess Liability Insurance

1. Coverage/Limits

Umbrella/excess liability insurance coverage shall be at least as broad as the underlying primary commercial general liability, automobile liability and employer's liability insurance policies.

SCDOT shall be given all the same insurance coverage as Contractor. Contractor shall maintain umbrella coverage to include liability coverage for damage to insured's completed work equivalent to that provided under ISO CG 0001 for eight (8) years after Final Completion.

Limits of liability dedicated to the Project of \$25,000,000 for each occurrence and \$25,000,000 annual aggregate excess of the primary coverages noted above.

E. Contractor's Pollution Liability Insurance

1. Coverage/Limits

Contractor's Pollution Liability insurance with coverage for bodily injury, property damage and environmental damage, including cleanup costs and claims arising out of third-party claims, for pollution conditions, transportation and non-owned disposal sites associated with the alleged or actual release of pollutants caused by construction activities related to the Contract. Coverage shall include the Contractor as the named insured and extend coverage for acts by others for whom the Contractor is legally responsible.

There shall be no insured vs. insured exclusion in the policy with regard to SCDOT. This insurance shall remain in effect after acceptance by SCDOT for the time period required to satisfy the statute of limitations in South Carolina. However, if coverage is written on a "claims made form", then the Contractor's Pollution Liability coverage shall include a retroactive date that precedes the commencement of work under this Agreement. Such coverage shall apply as primary and non-contributory insurance with respect to any other insurance programs, including any deductibles, afforded to, or maintained by SCDOT. Pollution Liability policy must include contractual liability coverage.

Minimum limits of liability dedicated to the Project of \$3,000,000 for each occurrence/claim and \$6,000,000 aggregate must be provided.

F. Professional Liability / Errors and Omissions

1. Coverage/Limits

Contractor shall procure and maintain non-project specific Contractor's Professional Liability insurance with a minimum limit of \$10,000,000 per claim and annual aggregate. Coverage shall continue for five (5) years after completion of all design and construction Work or an extended reporting period shall be in place for this period.

The Lead Design Engineer's firm shall also procure and maintain non-project specific Professional Liability insurance with minimum limits of \$10,000,000 per claim/aggregate. Coverage shall continue for five (5) years after completion of all design and construction work or

an extended reporting period shall be in place for this period. Coverage need not be project-specific.

Unless covered by a project-specific professional liability insurance policy (with dedicated limits), each Subcontractor providing Professional Services (including any quality control/quality assurance firms and testing laboratories and related Subcontractors) shall procure and maintain non-project-specific professional liability insurance with a minimum limit of \$1,000,000 per claim/aggregate. Coverage shall be continued and/or an extended reporting period in effect for at least three (3) years after completion of all Work by the Subcontractor.

G. Builder's Risk

1. Coverage/Limits

The Builder's Risk Insurance Policy shall be in effect from the start of construction until Final Completion and shall cover all risks of direct physical Loss of or damage (including additional perils of flood, earthquake, wind, names storm and collapse) to property during the course or construction.

Coverage shall apply to all permanent and temporary works and shall include all materials and supplies in transit or storage, whether on or off-site.

Coverage limit shall be greater of \$100,000,000 or the Probable Maximum Loss (PML) that the Contractor determines for the Project. The PML study shall be conducted by a qualified third-party advisor and the PML study shall be subject to review and acceptance by SCDOT. Coverage shall also include at least \$1,000,000 in Delay in Start Up Coverage.

Coverage shall include appropriate sub-limits for soft costs, resulting damage from faulty workmanship and design Error (LEG 3), debris removal, demolition, and damage to adjacent SCDOT property, etc.

II. SUBCONTRACTOR INSURANCE

All such policies to be carried by Subcontractors must comply with the requirements set forth in Article 11 of the Agreement and under this section shall be endorsed to:

1. Include a waiver of any right of subrogation in favor of SCDOT, the State of South Carolina and their elected or appointed officers, officials, employees, volunteers and agents.
2. All Subcontractors performing Work at the Site, off-site fabrication, and/or off-site Professional Services shall procure and maintain the following minimum insurance coverages during any time period they are undertaking Work on the Project:

A. Workers Compensation and Employer's Liability Insurance

The policy shall have limits for statutory workers compensation coverage and employer's liability limits of not less than \$500,000 each accident and \$500,000 bodily injury by disease applicable per employee and in the aggregate. If applicable, coverage shall be included for the United States Longshoremen's and Harbor Workers Act, the Federal Employers Liability Act and the Jones Act. Coverage must be maintained for the duration that the Contractor performs any of the Work.

B. Commerical General Liability Insurance

The Commercial general liability insurance (CGL) policy with limits not less than \$1,000,000 each occurrence and \$1,000,000 aggregate (aggregate limit to apply on a per project basis). Coverage shall include premises and operations, independent contractors, personal injury, products and completed operations, broad form property damage, and contractual liability. There shall be no exclusion for Work within 50 feet of a railroad. Coverage must be maintained for the duration that the Subcontractor performs any of the Work and maintained for three years after the Subcontractor completes all work.

C. Automobile Liability Insurance

The Automobile Liability policy shall have a limit of not less than \$500,000 combined single limit. Such insurance shall cover liability, including bodily injury or death and property damage, arising out of any auto (including owned, hired, and non-owned autos), as appropriate. Coverage must be maintained for the duration that the Contractor performs any of the Work. Should any vehicles be transporting Hazardous Materials, such policy must be endorsed to include Motor Carrier Act Endorsement - Hazardous Materials Clean-up (MCS-90).

D. Umbrella / Excess Liability Insurance

The Umbrella / Excess liability insurance policy shall provide coverage on a following-form basis in excess of the underlying limits of commercial general liability, automobile liability, and employer's liability. The minimum limits required are as follow: Subcontractors with contract value below \$2.0 million, no umbrella/excess liability policy required; Subcontractors with contract value from \$2.0 million to \$10 million, a limit of \$2.0 million, and Subcontractors with a contract value above \$10 million, a limit of \$4.0 million. Coverage must be maintained for the duration that the Subcontractor performs any of the Work and with completed operations coverage or so-called 'tail coverage' kept in effect for three (3) years after all of the Subcontractor's Work is complete.

END SECTION

EXHIBIT 8
CONTRACT CHANGE REQUEST FORM



South Carolina
Department of Transportation

Change Request Form

Project ID:		
Contract ID:		
Project Name:		
CR #		TYPE

STEP I - INITIATION

Design Element:		Design Discipline:			
Requested By:	Choose One	Request Date:		Required Date:	
Specification Affected:					
Drawings Affected:					
Details:					

EOR Comments Attached: ☐

Supplemental Info Attached: ☐

Signature:

STEP II - DISPOSITION

Disposition By:	Choose One	Disposition:	Choose One
Comments:			

EOR Comments Attached: ☐

Supplemental Info Attached: ☐

Signature:



CR No.:

Additional Comments

Details:

Comments By: _____

Date: _____

Entity/Role: _____

Signature:

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EXHIBIT 9
INITIAL DESIGNATION OF AUTHORIZED REPRESENTATIVES

SCDOT and Contractor's Authorized Representatives will be included with the completed agreement.

SCDOT Authorized Representative(s)

Contractor's Authorized Representative(s)

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EXHIBIT 10
LIST OF PROJECT INFORMATION PACKAGE DOCUMENTS

Excluded.

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Technical Provisions

The following Technical Provisions detail the criteria by which the Project shall be designed and constructed. It is the responsibility of the Contractor to obtain clarification from SCDOT if a question arises from the use of the below Technical Provisions. The criteria are divided into project and discipline-specific sections as listed below:

Technical Provision 100	General
Technical Provision 110	Project Management
Technical Provision 111	Third-Party Agreements
Technical Provision 112	South Carolina Ports Authority
Technical Provision 120	Safety
Technical Provision 130	Public Involvement
Technical Provision 140	Utilities
Technical Provision 150	Railroad
Technical Provision 160	Environmental
Technical Provision 200	Roadway
Technical Provision 400	Pavement
Technical Provision 600	Maintenance of Traffic
Technical Provision 625	Pavement Markings
Technical Provision 650	Signing
Technical Provision 675	Traffic Signals
Technical Provision 680	Traffic Operations
Technical Provision 685	Intelligent Transportation Systems (ITS/WZITS)
Technical Provision 690	Lighting
Technical Provision 700	Structures
Technical Provision 711	Geotechnical
Technical Provision 714	Hydraulics
Technical Provision 809	Right of Way
Technical Provision 900	Sustainability
Technical Provision 1000	Special Provisions and Contract Requirements

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TECHNICAL PROVISIONS
FOR THE DESIGN & CONSTRUCTION
of
LONG POINT ROAD INTERCHANGE IMPROVEMENTS
(P041314)
CHARLESTON COUNTY, SOUTH CAROLINA
A DESIGN-BUILD PROJECT
BY AND BETWEEN
SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION
and
[insert legal name of Design-builder]

Dated as of: _____, 20__

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100 GENERAL

Contractor shall perform all Work in accordance with TP Section 100.

100.1 LONG POINT ROAD INTERCHANGE IMPROVEMENT PROJECT OVERVIEW

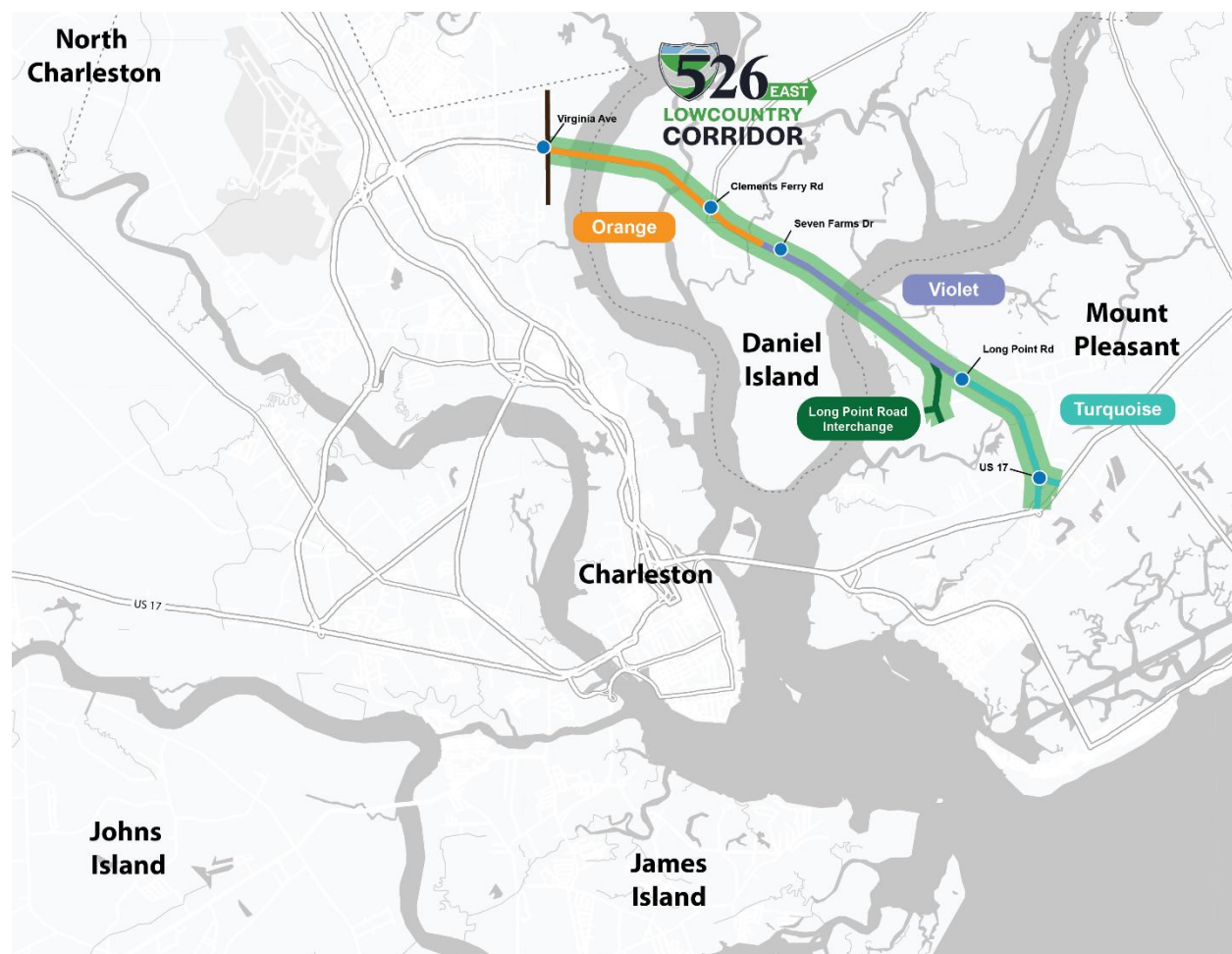
The Long Point Road Interchange Project is part of the I-526 Low Country Corridor family of projects that bridge the Charleston region. It connects port terminals to distribution centers, links to neighborhoods and jobs, and provides access to regional recreation destination for residents and visitors alike. Specifically, the project is located within the I-526 Low Country Corridor East limits that extend from Virginia Avenue in North Charleston to US 17 in Mount Pleasant. The purpose of the I-526 Low Country Corridor East project is to reduce congestion and improve travel time reliability along I-526 from Virginia Avenue to US 17.

The I-526 Low Country Corridor East program is a corridor improvement project which includes widening I-526 and improvements at the interchanges listed below as well as replace the major river crossings of the Cooper and Wando Rivers.

- A. I-526 at Virginia Avenue.
- B. I-526 at North Rhett Avenue
- C. I-526 at Clements Ferry Road
- D. I-526 at Seven Farms Road
- E. I-526 at Long Point Road
- F. I-526 at US 17

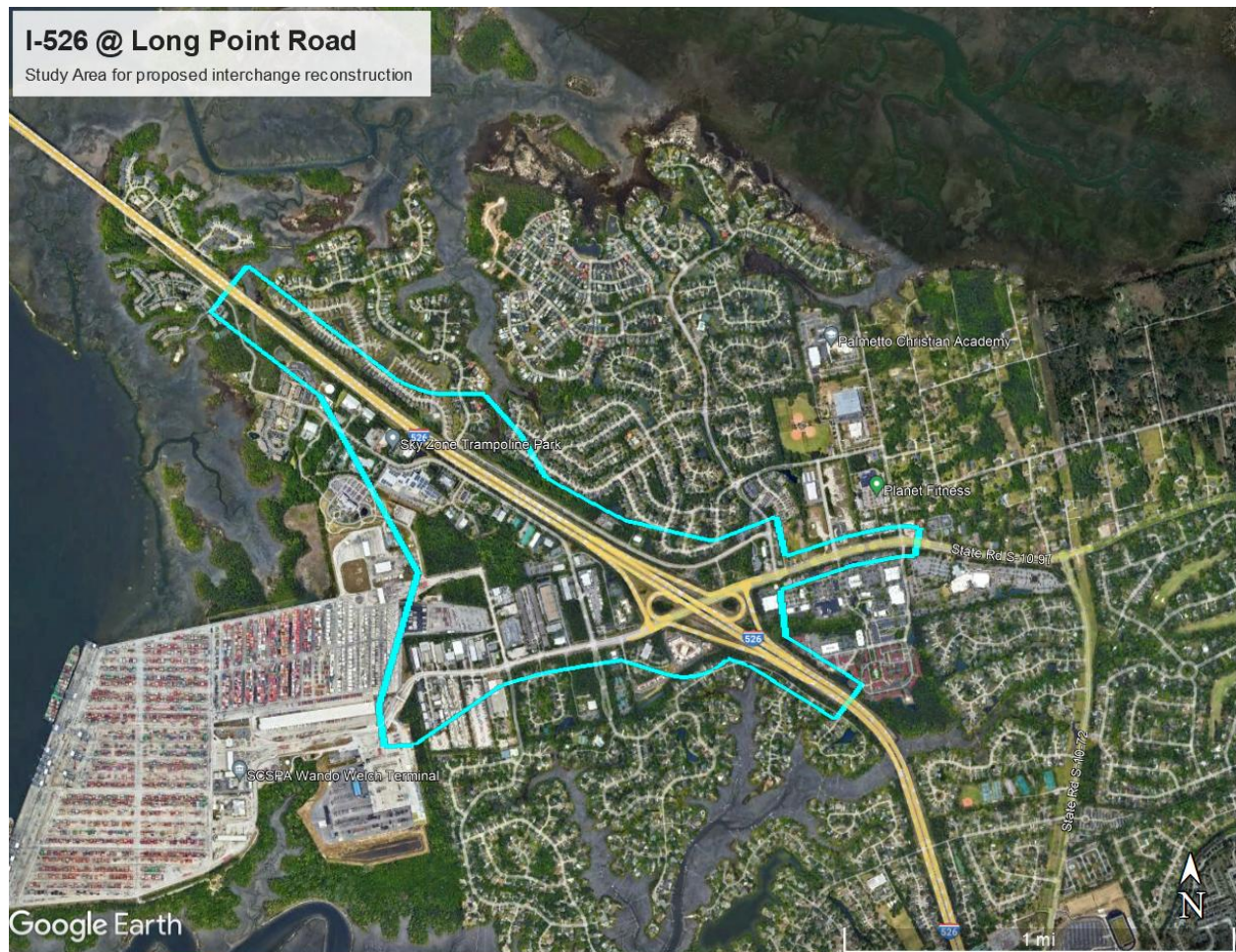
The Low Country Corridor East program is divided into multiple phases as generally shown in Figure 100-1 for design and construction as separate projects. It is critical to the success of the I-526 Low Country Corridor East program that the Work undertaken in each phase is compatible with adjacent phases. The Long Point Road Interchange Project is the first phase identified to move forward.

TP Figure 100-1 I-526 Low Country Corridor East Program Phasing



The purpose of this project is to improve the operations of the I-526 mainline and the interchange at Long Point Road as well as reduce operational conflicts between port-related and local traffic within the interchange area. The Long Point Road Interchange Project area is shown in [Figure 100-2](#).

TP FIGURE 100-2 – Long Point Road Interchange Project Area



The Project consists of all work necessary to complete the design and reconstruction of I-526 exit 28 at S-97 (Long Point Road). The Project includes design and construction of new ramp exit and entrance points to I-526 and a new connector road to access the State Ports Authority Wando Welch Terminal. Work includes reconstruction of the existing S-97 (Long Point Road) interchange, reconstruction a portion of S-97 (Long Point Road) to intersect the new connector road, interstate widening and overlay of existing mainline pavement, new bridges over I-526 and S-97 (Long Point Road), ramps, retaining walls, noise barrier walls, sidewalks, shared use path, drainage facilities, and related roadway appurtenances. The project also includes right-of-way acquisition and coordination, design, and relocation of utilities.

100.2 STANDARDS

100.2.1 APPLICABLE STANDARDS

Contractor shall manage, design, and construct the Project in accordance with the Contract Documents, Good Industry Practice, all applicable Laws, and SCDOT standards, manuals, guidelines, and procedures. The standards, manuals, and guidelines listed in TP Attachment 100-1 is not a comprehensive list; other publications may be applicable to complete the Project in accordance with Good Industry Practice. Requirements for any portion of the Work are not limited to any individual section of the Technical Provisions and may be addressed within more than one section. Contractor shall review and comply with all requirements related to the Work as described in all sections of the Technical Provisions.

References to standards, manuals, guidelines, and procedures shall mean the most recent editions adopted by SCDOT in effect on the Final RFP release date, unless expressly provided otherwise. Standards, manuals, guidelines, and procedures, as well as statutes, regulations, and other standards or requirements may be modified, replaced, or supplemented pursuant to Agreement Section 6.2.4 or other applicable provisions of the Contract Documents.

In the event of any conflict, ambiguity, or inconsistency among the Technical Provisions or the standards referenced herein, the following order of precedence shall apply:

- A. A term in the Technical Provisions that addresses a manner of performing Work specifically for the Project shall prevail over a general term that is not specific to the Project and appears in a standard;
- B. A term in a standard referenced in any of TP Section 110 through TP Section 1000 shall prevail over a term in the standards identified in this TP Section 100.2.1;
- C. Among the standards referenced in a particular TP section, a term in a standard referenced in a subsection of that TP section that addresses a specific type, aspect, or manner of performing the Work shall prevail over a term in a standard referenced in the “Standards” table in TP Attachment 100-1;
- D. Subject to the foregoing, when a specific part, article, section, or provision of a standard is referenced, the terms of the referenced part, article, section, or provision shall prevail over a general reference to a standard as a whole; and
- E. Subject to the foregoing, a provision in a standard that establishes a higher-quality manner or method of performing the Work, establishes improved Good Industry Practice, or uses more stringent standards shall prevail.

100.2.2 INTERPRETATION OF STANDARDS

The following rules of interpretation apply to all standards identified in TP Section 100.2.1 and the “Standards” table in TP Attachment 100-1:

- A. **Contractor exercises engineering judgment as to conditional Terms, subject to SCDOT review and comment.** Where a standard includes conditional provisions that imply design discretion, including provisions

qualified by language such as “may be justified,” “may be based,” “may be used”, or provisions conditioned by “designer’s discretion,” “engineering judgment or experience,” or similar, Contractor shall exercise its professional or engineering judgment, subject to SCDOT’s review and comment. All language granting discretion with respect to acceptances means “subject to SCDOT’s review and comment.”

- B. **Elements requiring “consideration.”** Where a standard requires an individual or group to “consider” a design input or take a design input “into consideration,” Contractor shall evaluate the factor subject to consideration in calculations or in a memorandum and include the calculations or memorandum in the associated Submittal.
- C. **Words related to “required” mean “required.”** When a standard refers to an action as “necessary,” or “needed,” Contractor shall construe the action as required.
- D. **Other design-bid-build-specific provisions.** Except as listed in TP 1000, Special Provisions Section 109, Contractor shall disregard references related to pay items or quantities, measurement for payment, method of measurement, basis of payment, extra work, adjustment of unit prices, time extensions, or similar phrases.

100.3 GENERAL PROJECT REQUIREMENTS

Contractor shall:

Manage, plan, execute, and control all aspects of the Work;

- A. Coordinate its activities with the Governmental Entities and other Persons that are directly or indirectly impacted by the Work; and
- B. Document and report all Work in accordance with Good Industry Practice, applicable Governmental Entities’ requirements, and the Contract Documents.

Except as otherwise set forth in the Contract Documents, all Project Elements must be new. Except as otherwise set forth in the Contract Documents, Contractor shall pay for all utility consumption services to manage, design, and construct the Project. Contractor shall be responsible for all temporary facilities, including obtaining approval from SCDOT and all applicable Persons.

100.3.1 SALVAGEABLE MATERIALS

All salvage materials belong to Contractor, except as required in this TP Section 100.3.1. Contractor shall salvage SCDOT-Owned Salvageable Materials without damage. Contractor shall stockpile the SCDOT-Owned Salvageable Materials until ready for Contractor’s transportation to a location specified by SCDOT. SCDOT-Owned Salvageable Materials include the following:

100.3.2 SCDOT-FURNISHED MATERIALS

The following is a list of SCDOT-Furnished Materials:

- A. None

100.3.3 MEETINGS

Contractor shall arrange and conduct Project meetings with SCDOT and other parties as agreed upon by the parties or otherwise in accordance with the Contract, as reflected in this TP Section 100.3.3. The meetings identified in TP Table 100-1 are not intended to be an all-inclusive- listing of meetings identified in this TP Section 100.3.3 and are not intended to be an all-inclusive- listing of meetings in the Contract Documents.

TP Table 100-1: Meetings

Description	Frequency	TP Section
Project kickoff meeting	Once	100.3.3.2
Partnering / Pre-Construction Meeting	Once	100.5.3.3
Executive management meetings	Monthly	100.5.3.4
Project management meetings	Weekly	100.5.3.5
Pre-design coordination meetings	Once per discipline	100.5.3.6
Technical work group meetings	As determined by Contractor and SCDOT	100.5.3.7
Pre-activity meetings	Prior to any major Construction Work and once per activity	100.5.3.8
Utility relocation coordination meetings	As determined by Contractor and SCDOT	100.5.3.9
Quality meetings	Weekly	100.5.3.10

100.3.3.1 General Procedures

Contractor shall schedule all meetings, develop all Meeting Agendas, develop and distribute final Meeting Notes, attend all meetings, and provide all meeting facilities and materials for all meetings required by the Contract Documents or as otherwise requested by SCDOT in accordance with this TP Section 100.3.3. Project meetings must conform to the following:

- A. Contractor shall invite SCDOT and other attendees, as determined by SCDOT, to all Project related meetings. Contractor attendees must have all required authority to commit Contractor to decisions agreed upon at the Project meeting;
- B. Project meetings must be held at a mutually agreed upon location. Virtual (e.g. phone, video conference, etc.) meetings may be provided for Project meetings if agreed to by SCDOT;
- C. Except in the case of urgency, as determined by SCDOT, Contractor shall submit written Meeting Notice, Agendas, and Meeting Materials to SCDOT and any other attendee in accordance with TP Table 100-3; and

- D. Contractor shall lead and facilitate meetings, and prepare draft Meeting Notes that must include:
1. A complete list of attendees (including their affiliations, telephone numbers, and email addresses).
 2. Documentation of the issues discussed and any associated responses or decisions for the issues.
 3. Description of remaining open issues and action items (including the person(s) responsible for follow-up and target date for resolution).

Contractor shall submit the draft Meeting Notes to SCDOT in accordance with TP Table 100-3. Contractor shall incorporate SCDOT's and any other attendee's comments and prepare final Meeting Notes. Contractor shall submit final Meeting Notes to SCDOT and any other attendee in accordance with TP Table 100-3.

Contractor shall prepare a Project Meeting Schedule that identifies the major and regularly scheduled meetings for the Project for the upcoming month. Contractor shall submit the Project Meeting Schedule to SCDOT in accordance with TP Table 100-3.

100.3.3.2 Project Kick-off Meeting

No more than 10 Business Days after issuance of NTP, Contractor shall schedule and the Parties will attend a Project kick-off meeting with SCDOT to discuss the Project, exchange information, and discuss additional topics relevant to the Project, as identified by SCDOT or Contractor.

100.3.3.3 Partnering / Pre-Construction Meeting

Prior to the approval of any RFC plans, Contractor shall schedule, and all Parties will attend, a Partnering / Pre-Construction meeting to establish a partnering relationship and develop execution strategies to effectively design and construct the Project. The purpose of the partnering relationship is to establish and maintain effective communication between the Parties to cooperatively identify and resolve critical Project-related issues. Pre-construction discussions will be held to ensure mutual understanding of project scope, specification, and expectations; review Contract requirements; discuss D&C Work schedules; identify risks and mitigation strategies; and review environmental commitments, quality processes, and safety priorities.

100.3.3.4 Executive Management Meetings

Contractor shall participate in management meetings and meetings held at the request of SCDOT to review and discuss the status of the Project. In the meetings, the Parties will address pending issues only related to the Project, including the causes, responsible Party, impacts, and potential solutions to all issues identified related to the Project with the intent of finding the most effective solutions to problems. Contractor shall make the

project executive, Project Manager, quality manager, and other appropriate personnel available to participate in the management meetings.

100.3.3.5 Project Management Meetings

Contractor shall participate in progress meetings to review and discuss the progress of the design and construction of the Project. In the meetings, the Parties will discuss the planned design and construction Work for the week and discuss planned design and construction Work for the next three weeks. Contractor shall make the Project Manager, Lead Design Engineer, Construction Manager, and other appropriate personnel available to participate in the progress meetings.

100.3.3.6 Pre-Design Coordination Meetings

Contractor shall schedule a pre-design coordination meeting, per discipline, with SCDOT to familiarize the designers and SCDOT review personnel with design concepts, issues, status, and review procedures; Contractor will attend such meetings. Contractor shall conduct the first pre-design coordination meeting no later than 10 Business Days prior to any Design Work associated with NTP, unless superseded by additional or more stringent meeting requirements, i.e. the number of Business Days, in other TPs.

100.3.3.7 Technical Work Group Meetings

Contractor may arrange and conduct technical work group meetings with SCDOT to identify and resolve issues and concerns raised by Contractor or SCDOT. The purpose of these technical work group meetings is to acquaint personnel with the details and features of the Work and to facilitate completion of the Project.

Technical working group meetings may include Project visits at either Party's request. At a minimum, the personnel assigned to perform the relevant type of Work involved shall attend. Contractor shall invite SCDOT and other relevant Governmental Entity staff as identified by Contractor. Technical work group meetings do not replace the review process described in TP Section 110.5.6.

100.3.3.8 Pre-Activity Meetings

Contractor shall schedule a pre-activity meeting with SCDOT prior to commencement of any major construction activity identified in the Project Schedule, and with any new personnel, at least 10 Business Days prior to beginning construction.

Contractor shall establish the level of detail to be required for measuring progress with regard to construction prior to the pre-activity meeting. At the pre-activity meeting, Contractor shall discuss such details, the Safety Management Plan, and the comprehensive Environmental Management Plan. Contractor shall also discuss its construction schedule.

100.3.3.9 Utility Relocation Coordination Meetings

As determined by Contractor and SCDOT, Contractor shall arrange and conduct utility-specific coordination meetings with the affected Utility Company and SCDOT to acquaint Parties with the scope of Work of the Project, review construction schedule and impacts of potential Utility Company Delays, review and coordinate Utility Adjustment Work, and collectively address concerns raised by Contractor or SCDOT to facilitate completion of the Project.

100.3.3.10 Quality Meetings

Not required for this project.

100.3.4 COORDINATION OF THE WORK

Contractor shall coordinate the D&C Work with all development planning, design, and nearby construction projects that may impact the Work. Contractor shall monitor and coordinate Work with such projects, whether performed by SCDOT or another Governmental Entity, community groups, landowners, Governmental Entities' consultants or contractors, resource agencies, environmental groups, or any other Person. Contractor shall be aware of the impact all such work may have on the Project and shall account for all such impacts in the Design Documents and Construction Documents.

Contractor shall identify and examine features of any work for each project that may impact the Project, and Contractor shall demonstrate full compatibility in horizontal and vertical alignment and other pertinent technical data between the Work and the work of such project(s). The Design Documents must resolve any inconsistencies or design conflicts between the Design Work and the work of such project(s).

A list of known SCDOT and other Governmental Entity projects that are either under construction or planned to be under construction during the Term that are adjacent to, or within five miles of, the Project Limits is shown in TP Table 100-2.

TP Table 100-2: Known SCDOT and other Governmental Entity Construction Projects (Not including minor, incidental projects)

SC41 Widening Project – Charleston County - P028111
Town of Mount Pleasant is/was planning a modification to operations on Long Point at 526 EB. Project may start or be complete prior to May 2026.
Town of Mount Pleasant is making modifications at Long Point & Belle Point signal. Project may start or be complete prior to May 2026.
Charleston County is making modifications at Long Point & Belle Point signal, prior to Town project. Project may start or be complete prior to May 2026.
SC 41 from US 17 to the Wando River bridge (Clements Ferry Rd)

100.3.5 RESERVED

100.3.6 BASIS OF DESIGN REPORT

Contractor shall prepare a Basis of Design Report for the Project that includes the following:

- A. Cover sheet
- B. Table of contents
- C. A summary of the specific methodologies, manuals, and references that Contractor proposes to use for the analysis and design of the Project for each technical discipline outlined in the Technical Provisions.
- D. A summary of all anticipated software and the applications for each proposed software for the design and analysis of the Work.
- E. A summary of specific methodologies, manuals, guidelines, procedures, or references that Contractor proposes to use to construct the Project, including any change to recommended practices set forth in such publications.
- F. All other items required by the Contract Documents.

Contractor shall submit the Basis of Design Report to SCDOT in accordance with TP Table 100-3. Contractor shall amend and prepare an updated Basis of Design Report, to identify new methodologies, manuals, guidelines, procedures, and references that are added or revised for the Project. Contractor shall submit the updated Basis of Design Report to SCDOT in accordance with TP Table 100-3. Contractor shall not submit any Submittal that is not consistent with the Basis of Design Report or updated Basis of Design Report.

100.3.7 PRESERVATION OF THE SITE

Contractor shall be responsible for the preservation of all public and private property and shall protect from disturbance or damage all land monuments and property markers. Contractor shall not move land monuments and property markers until directed by SCDOT. Contractor shall repair any damage to public or private property, including existing fences, pole lines, signs, buildings and structures that are to remain in place after Final Completion, caused by any Contractor-Related Entity.

100.3.7.1 Existing Conditions Site Documentation

Contractor shall prepare the existing conditions Site Documentation that identifies and documents the existing conditions within the Site, including photographing the whole Project. Contractor shall investigate and photograph existing Elements in the Project ROW that are planned to remain in place to determine its condition, size, material, location, and other pertinent information. Contractor shall record the northing and easting for each photograph with GPS accuracy (or better), and Contractor shall assign a unique number to each photograph. The existing conditions Site Documentation must include adjacent roadways, detours, drainage facilities (including pump stations, channels, flowing waterways, fences, walls, houses, buildings, wells, sensitive habitats, landscape, and irrigation systems), and areas where activities will be performed by Contractor or

Subcontractors. Contractor shall include in the existing conditions Site Documentation all facilities and Utilities that may be impacted by the Work, including downstream drainage facilities, adjacent roadway conditions, and sensitive habitats. The photographs must show details of the condition of all properties and structures, pavement conditions of crossroads, and proposed and potential haul routes. Contractor shall schedule field meetings with SCDOT to observe and participate in the existing conditions Site Documentation. Contractor shall submit the existing conditions Site Documentation to SCDOT in accordance with TP Table 100-3.

100.3.7.2 Construction Site Documentation

At commencement of construction and every month during the Term, Contractor shall photograph construction activities covering the following:

- A. All structures and properties that may be impacted by the Work.
- B. The Work reflecting the activities underway during the month.
- C. Any accidents, conditions, and complaints.

Contractor shall record the northing and easting for each photograph with GPS accuracy (or better) and shall assign a unique number to each photograph. Contractor shall prepare the construction Site Documentation so that it includes digitally produced photographs. Contractor shall organize all such photographs in accordance with activity and date. Contractor shall obtain all necessary permission from property owners to enter their property for any construction Site Documentation of private property. Contractor shall submit the construction Site Documentation to SCDOT in accordance with TP Table 100-3.

100.3.7.3 Final Construction Site Documentation

Contractor shall prepare final construction Site Documentation complying with TP Section 100.3.7.2. Contractor shall submit the final construction Site Documentation to SCDOT in accordance with TP Table 100-3. Contractor shall repair all damage not documented as pre-existing in the existing conditions Site Documentation in accordance with the Contract Documents.

100.3.8 MAINTENANCE DURING CONSTRUCTION

Contractor shall be responsible for keeping the roads within the Project Limits safe and convenient for use by the traveling public. Maintenance responsibilities include but are not limited to patching potholes, repairing damaged guardrail and end treatments, vegetation management, and keeping roadways drained at all times. Contractor shall create and maintain a Roadway Maintenance Log to document all maintenance issues, identify the location of the issue, describe the corrective action(s), and track the resolution of the issue. Once an item is identified as maintenance responsibility of the Contractor by SCDOT, the Contractor shall document the issue in the Roadway Maintenance Log, investigate the maintenance issue, determine the correction action(s), and schedule the repairs.

as soon as practical. Any item identified as a safety hazard shall be repaired within 24 hours of being notified by SCDOT.

100.4 SUBMITTALS

TP Table 100-3 reflects a list of Submittals identified in TP Section 100 and is not intended to be an all-inclusive- listing of Submittals. Contractor shall determine and submit all Submittals as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 100-3: Submittal Summary

Submittals	Level of Review*	Number of Copies	Submittal Schedule	TP Section Reference
		Electronic		
SCDOT-Furnished Materials Request	2	1	Shall be submitted with RFC Plans associated with the SCDOT-Furnished Materials	100.3.2
Meeting Notice, Agendas, and Meeting Materials (weekly or more frequently)	2	1	No less than one Business Day prior to the associated meeting	100.5.3.1
Meeting Notice, Agendas, and Meeting Materials (less frequent than weekly)	2	1	No less than five Business Days prior to the associated meeting	100.5.3.1
Draft Meeting Notes	2	1	Not later than 48 hours after the meeting	100.5.3.1
Final Meeting Notes	3	1	Not later than 48 hours after receipt of SCDOT's comments	100.5.3.1
Project Meeting Schedule (weekly or more frequently)	3	1	Not later than one Business Day prior to the meeting day	100.5.3.1
Project Meeting Schedule (less frequent than weekly)	3	1	Not later than five Business Days prior to the beginning of each calendar month	100.5.3.1
Basis of Design Report	1	1	Prior to issuance of NTP	100.3.6
Updated Basis of Design Report	1	1	Not later than 10 Business Days after the occurrence of the change or direction triggering the need for the update	100.3.6
Existing Conditions Site Documentation	2	1	Prior to construction	100.3.7.1
Construction Site Documentation	2	1	Not later than 48 hours after SCDOT's request	100.3.7.2
Final Construction Site Documentation	2	1	Prior to Substantial Completion	100.3.7.3
Roadway Maintenance Log	2	1	Prior to NTP and maintained throughout duration of the Work	100.5.8

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

End Section

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110 PROJECT MANAGEMENT

110.1 GENERAL REQUIREMENTS

Contractor shall manage the Project in accordance with TP Section 110. Contractor shall provide all Project management Work to support design and construction of the Project. The Project management Work generally includes:

- A. Project Management;
- B. Document Management;
- C. Quality Management;
- D. Submittal Management;
- E. Schedule Management; and
- F. Human Resources Management.

110.2 PROJECT MANAGEMENT PLAN- THIS IS NOT NEEDED FOR THIS PROJECT

110.3 DOCUMENT MANAGEMENT

110.3.1 GENERAL REQUIREMENTS

Contractor shall manage Documents for the Project in accordance with this TP Section 110.3. Contractor shall provide all Document management Work to support design and construction of the Project.

110.3.2 DOCUMENT MANAGEMENT PLAN

Contractor shall prepare a Document Management Plan that:

- A. Describes Contractor's Document control system to store and record all Documents, correspondence, design inputs, drawings, progress reports, technical reports, specifications, Submittals, calculations, test results, test reports, inspection reports, Non Conformance Reports (NCR), administrative Documents, independent quality Documents, and other Documents generated under the Contract Documents, including all hardcopy and electronic records.
- B. Identifies how records are to be maintained and kept throughout the Term.
- C. Describes the methods by which all Documents the Contractor issues or receives are to be logged, tracked, retrieved, and approved.
- D. Identifies how all Documents are to be tracked using a unique Document control number in accordance with TP Section 110.5.5.
- E. Describes how Contractor intends to submit all Submittals and other documentation required by the Contract Documents to SCDOT's Project management information system in accordance with TP Section 110.3.3.
- F. Describes how Contractor intends to transfer all Project data to SCDOT at the end of the Term.
- G. Contractor shall submit the Document Management Plan to SCDOT in accordance with TP Table 110-6.

110.3.3 ELECTRONIC DOCUMENT MANAGEMENT SYSTEM FOR THE PROJECT

ProjectWise Explorer will be used for electronic document management for the Project.

110.4 QUALITY MANAGEMENT

110.4.1 GENERAL REQUIREMENTS

Contractor shall perform all Work in accordance with this TP Section 110.4. Contractor shall provide all quality management Work to support design and construction of the Project.

110.4.2 QUALITY MANAGEMENT PLAN

Contractor shall prepare a comprehensive Quality Management Plan (QMP) for the Project that consists of the following:

- Volume 1: Quality Management Plan (QMP) – General Requirements;
- Volume 2: Professional Services Quality Management Plan (PSQMP); and
- Volume 3: Construction Quality Management Plan (CQMP).

Contractor shall prepare, implement, and maintain the QMP throughout the Term. The QMP must describe the system, policies, and procedures Contractor will employ to ensure and document that the Work complies with the Contract Documents. The QMP must promote consistent operation, process ownership, and thorough documentation and must allow for efficient review, comment, approval, and audit by SCDOT, as applicable.

The QMP must address all Work to be performed by Contractor and Subcontractors of all tiers, and must contain detailed procedures for Contractor's quality assurance and quality control (QC) activities. Contractor's quality process must address planned and systematic testing, inspection, verifications, and audits undertaken by the Contractor's QC staff for Construction Work and by Contractor's quality staff for Professional Services. Contractor shall conduct all quality activities, performance confirmation, and coordination among disciplines, in accordance with the QMP and the requirements of the Contract Documents.

110.4.2.1 Quality Management Plan – General Requirements

As part of the QMP, Contractor shall prepare the QMP-General Requirements according to this TP Section 110.4.2.1. This volume must include procedures for interdisciplinary quality reviews and coordination. Contractor shall submit the QMP general requirements to SCDOT in accordance with TP Table 110-6.

110.4.2.1.1 Quality Management Organization

Contractor shall document and regularly maintain the QMP so that it contains current versions of the following information:

- A. An organizational chart that identifies all quality management personnel, their roles, authorities, and line reporting relationships;
- B. Description of the roles and responsibilities of all quality management personnel and those who have the authority to stop Work for quality-related issues;
- C. Resumes for all Key Personnel and Project critical quality management personnel, including required licenses and certifications held;
- D. Procedures for ensuring independence of quality staff and procedures for assuring their authority to effect changes in the event of Contractor's failure to comply with the Contract Documents; and
- E. Identification of quality acceptance Subcontractors, including information on each Subcontractor's role, capability to provide the specific services required for the Work, certifications held, and location of offices and laboratories for services and products provided both on and off the Project Site.

110.4.2.1.2 Quality Policy

The QMP must contain a complete description of the quality policies and objectives that Contractor will implement throughout its organization. The policy must demonstrate Contractor's commitment to implement and continually improve the quality management system for the Work. Contractor's Quality Manager, Professional Services Quality Manager (PSQM), and Construction Quality Control Manager (QCM) must have the authority to stop Work for quality-related issues.

110.4.2.1.3 Quality Reporting and Audits

The QMP must contain the procedures to prepare records that demonstrate compliance with the requirements of this TP Section 110.4.2.1 and the approved QMP (quality records). Contractor shall prepare quality records that include all documentation and other supporting material documenting quality program activities, Submittals, and compliance, in any medium or format. Contractor shall maintain all quality records, and such quality records shall be accessible at all times for inspection, review, and verification by SCDOT. Contractor shall submit the quality records to SCDOT in accordance with TP Table 110-6.

The QMP must contain the procedures for quality audits for the Project. Contractor shall prepare results of internal audits that include the quality program audit findings and documentation specified in the respective volumes of the QMP. Contractor shall submit the results of internal audits to SCDOT in accordance with TP Table 110-6. Contractor shall notify SCDOT within 48 hours after becoming aware of Nonconforming Work. Contractor shall prepare a Non Conformance Report for each incident of

Nonconforming Work. The Non Conformance Report must document the issue and recommend resolution and must include an action plan to prevent similar future incidences. Contractor shall submit Non Conformance Report to SCDOT in accordance with TP Table 110-6.

The QMP must contain the procedures for annual quality audits for the Project. Each year, beginning at the end of the first calendar year after NTP and until Final Completion, an Annual Audit Report that audits:

- A. Contractor's design and construction control process, with particular regard to compliance with the QC requirements, and
- B. Professional Services and construction safety control plans in place, and recordkeeping for compliance with the Contract Documents.

Contractor shall submit the Annual Audit Report to SCDOT in accordance with TP Table 110-6. Additionally, Contractor shall submit a final Audit Report to SCDOT no later than 30 days after completion of all Punch List items.

110.4.2.2 Professional Services Quality Management Plan

As part of the QMP, Contractor shall prepare a Professional Services Quality Management Plan (PSQMP) that describes Contractor's policies, procedures, and staffing to manage quality for Professional Services Work in accordance with the requirements of this TP Section 110.4.2.2. Contractor shall submit the PSQMP to SCDOT in accordance with TP Table 110-6. Contractor shall not provide any design deliverables until the PSQMP is approved by SCDOT.

110.4.2.2.1 PSQMP General Requirements

The PSQMP must describe and include the following general requirements:

- A. The scope, Contractor management support, and internal process for implementing and managing change to the PSQMP.
- B. The structure, responsibilities, and hierarchy of the design quality personnel.
- C. Define the quality control and quality review procedures for Professional Services Work products and define the procedures to assure that work products will be organized by discipline (such as structural, civil, and utilities). These procedures must specify measures to ensure that appropriate quality requirements are specified, included in the Professional Services Work product and to control Deviations from such requirements.
- D. Specific quality control and quality review procedures, including all required forms and checklists, must be specified for

- preparing and verifying all Professional Services Work products to ensure that they are independently checked and back-checked in accordance with Good Industry Practice and the requirements of the Contract Documents.
- E. Define the details of the design check process and discuss how, in addition to final Design Documents, the process also applies to calculations and other material intended to support the Final Design. Contractor shall clearly identify the designer and checker on the face of all Design Documents. The PSQMP must also include specific procedures for verifying the Professional Services work product and identify any computer programs and methods being used for such purposes.
 - F. Discuss how design standardization and coordination will be achieved throughout the entire Project. The procedures for coordinating Professional Services Work performed by different individuals or firms working in the same area, in adjacent areas, or on related disciplines or tasks to ensure that conflicts, omissions or misalignments do not occur between drawings or between the drawings and the specifications or other applicable Submittals. This must also include the coordination of the review, approval, release, distribution, and revision of Documents involving such parties.
 - G. The procedures to:
 - 1. Ensure that Contractor personnel are familiar with all the provisions of the Contract Documents concerning their respective responsibilities;
 - 2. Provide for the education, training, and certification, as appropriate, of personnel performing activities affecting or assessing the quality of the Work to assure that such personnel achieve and maintain reasonable proficiency; and
 - 3. Ensure that the Work is performed according to the PSQMP, Good Industry Practice in the State of South Carolina, and the Contract Documents.
 - H. Procedures to establish complying with documentation requirements; the filing of design criteria, reports and notes, calculations, Plans, specifications, schematics, and supporting materials needed during the design; and the specific responsibilities of personnel to satisfy these requirements. All Design Documents must be maintained, organized, and indexed by Contractor and copies made available to SCDOT upon request.
 - I. Procedures and schedules for the Professional Services Quality Manager to perform audits of the quality control procedures under the PSQMP.
 - J. Discuss how Contractor's Professional Services quality organization will assure that constructability and maintenance considerations are incorporated into design reviews.

- K. Discuss the requirements of the Released for Construction (RFC) process, including how Document history will be reflected, and how Documents will be distributed and tracked.
 - 1. Define internal procedures to assure that all Documents ultimately released for construction have been subject to the appropriate checks and balances, regardless of their source or medium.
 - 2. Define the potential RFC Submittal sources and media and define how the process may change as portions of the Project transition from design to construction.
 - 3. Define how RFC Submittal status will be tracked and how Documents will be made available for use by all Project Parties.
- L. Discuss Contractor's post-design services process, staff, authority, scope, documentation, and product review process, including shop drawings and working drawings and design changes. Define the interface between design and construction personnel and related processes. Identify the role of the design team during construction.
- M. Discuss the responsibilities, activities, and source of information associated with the as-built process.

110.4.2.3 Construction Quality Management Plan

As part of the QMP, Contractor shall prepare a Construction Quality Management Plan (CQMP) that describes Contractor's policies, procedures, and staffing to manage quality for Construction Work in accordance with the requirements of this TP Section 3. The Contractor shall be responsible for Quality Control for this Project. Contractor shall submit the CQMP to SCDOT in accordance with TP Table 110-6. Contractor shall submit a Quality Management Plan that outlines how the Contractor shall assure that materials and work are in compliance with the contract documents. The plan shall be updated as necessary prior to the start of any specific construction operation.

110.4.2.3.1 CQMP General Requirements

The CQMP must describe and include the following general requirements:

- A. a list of SCDOT certified personnel responsible for management and quality control of the project and define the authority of each individual
- B. how the Contractor will monitor quality and deal with failing materials.
- C. include the QC testing and sampling frequencies and shall indicate the frequency at which the QC Manager will provide QC test results to SCDOT

- D. the Contractor shall include a summary of quantities to SCDOT for the purposes of meeting the minimum acceptance and independent assurance sampling and testing requirements for the Project.
- E. include copies of certifications for all personnel required to obtain samples or conduct material testing
- F. Discuss how the Contractor will establish additional sampling and testing to ensure that all workmanship and materials are in compliance with the contract requirements
- G. Procedures to ensure that quality has been incorporated into all elements of work prior to requesting acceptance testing and inspection
- H. Actions taken to remedy repeated discoveries of non-compliant work by those performing acceptance inspection and testing
- I. Corrective action procedures in the event of repeated observations of any QC quality shortfalls. These corrective action procedures may include:
 - 1. addition of new quality control procedures
 - 2. revision to existing quality control procedures
 - 3. re-training of quality control personnel
 - 4. removal and replacement of quality control personnel
 - 5. other such actions which will restore the effectiveness of the quality control program
- J. A list of the Hot Mix Asphalt testing laboratories and AASHTO certification of those laboratories

110.5 SUBMITTAL MANAGEMENT

110.5.1 GENERAL REQUIREMENTS

Contractor shall perform all Work in accordance with this TP Section 110.5. All Submittals must be in English, use imperial units, and use dimensions in International Feet. All Design Documents and Construction Documents must be stamped, signed, and dated by the engineer responsible in charge for that item, Element, or phase of the Work as required by Law.

Contractor shall be responsible for obtaining all required permits and approvals from the applicable Governmental Entities. Contractor shall coordinate with other Governmental Entities to determine those entities' Submittal review requirements.

Contractor shall provide Submittal packages in accordance with the Contract Documents. Submittal packages must include administrative Documents (plans and Documents) and Design Documents. Contractor shall submit Submittal packages concurrently with all supporting information necessary for SCDOT and the Governmental Entities to conduct a review and to ensure that the design is progressing appropriately.

A complete Submittal package shall be limited to one stage (ex. Preliminary/ROW/Final/RFC) and include all design deliverables specified in TP Section 110.5.

All Documents of a Submittal package must be submitted by 11:59 PM for the review period to begin the next Business Day.

Contractor shall provide Submittal packages as defined in TP Section 110.5.3 and TP Section 110.5.4, prior to commencement of permanent construction activities on any defined segment or bridge structure, SCDOT will have the right, but not the obligation, to review and comment upon all Submittal packages pertaining to said segment or structure. SCDOT reserves the right to provide comments on the design or Plans at any time when an issue is identified that is not compliant with the Project design criteria, the RFP, or is an Error or omission.

110.5.2 SUBMITTAL PACKAGES

This section describes the makeup of design Submittal packages or other design related Submittals subject to design review and permanent record retention by SCDOT. All Submittals shall be in accordance with SCDOT guides, including but not limited to, the Road Design Reference Material for Consultant Prepared Plans, as amended herein, and shall include all checklists, indexes and electronic files in the specified format and folder structure.

Acceptable packaging of deliverables is outlined in TP Table 110-2. Each deliverable or deliverable package shall be submitted in a separate transmittal through the SCDOT's EDMS. Requirements for deliverables noted as a "package" are outlined in this TP Section 110.5.3.

TP Table 110-2: Acceptable Submittals

Design Submittal Stage	Deliverable(s)	Technical Discipline(s)
Preliminary	Roadway Package(s)	Roadway, Hydrology/Hydraulic, Geotechnical, Traffic Engineering
Preliminary	Bridge Package(s)	Structural, Hydrology/Hydraulic*, Geotechnical Engineering
Preliminary	30% Utility Plan(s)	Utility
Right of Way	Roadway Package(s)	Roadway, Hydrology/Hydraulic, Traffic Engineering
Right of Way	Conceptual Work Zone Traffic Control Roll Plots	Traffic Engineering
Right of Way	Traffic Signal and Communications Plans	Traffic Engineering
Right of Way	60% Utility Plan(s)	Utility
Final	Roadway Package(s)	Roadway, Structural*, Hydrology/Hydraulic,

Design Submittal Stage	Deliverable(s)	Technical Discipline(s)
		Geotechnical, Traffic Engineering
Final	Work Zone Traffic Control Plans	Traffic Engineering
Final	Bridge Package(s)	Structural, Hydrology/Hydraulic*, Geotechnical Engineering
Final	100% Utility Plans	Utility
RFC	Roadway Package(s)	Roadway, Structural*, Hydrology/Hydraulic, Geotechnical, Traffic Engineering
RFC	Work Zone Traffic Control Plans	Traffic Engineering
RFC	Bridge Package(s)	Structural, Hydrology/Hydraulic*, Geotechnical Engineering
RFC	Utility Plan(s)	Utility

*If applicable

A. Preliminary Roadway Packages shall include:

1. Preliminary Roadway Plans in accordance with TP Section 200.7.1
2. Preliminary Roadway Drainage Design Report
3. Preliminary Roadway Geotechnical Report
4. KMZ File
5. KML File
6. Preliminary Design Calculations

B. Preliminary Bridge Packages shall include:

1. Preliminary Bridge Plans
2. Preliminary Seismic Design Summary Report
3. Preliminary Bridge Hydraulic Design Report
4. Preliminary Bridge Geotechnical Report

C. Right of Way Roadway Packages shall include:

1. Right of Way Plans in accordance with TP Section 200.7.2
2. Right of Way Hydraulic Reports
3. KMZ File
4. KML File

D. Final Roadway Packages shall include:

1. Final Roadway Plans in accordance with TP Section 200.7.3
2. Final Roadway Drainage Design Report
3. Final Roadway Geotechnical Report
4. KMZ File

5. KML File
6. Final Design Calculations

E. Final Bridge Packages Shall include:

1. Final Bridge Plans
2. Final Bridge Load Rating Package
3. Final Seismic Design Summary Report
4. Final Bridge Hydraulic Design Report
5. Final Bridge Geotechnical Report
5. Final Design Calculations

F. RFC Roadway Packages shall include:

1. RFC Roadway Plans
2. RFC Roadway Drainage Design Report
3. RFC Roadway Geotechnical Report
4. RFC Design Calculations
5. Native Design Files including Computer Aided Drafting Files
6. KMZ File
7. KML File
8. FEMA “No-Rise” Certifications

G. RFC Bridge Packages shall include:

1. RFC Bridge Plans
2. RFC Seismic Design Summary Report
3. RFC Bridge Hydraulic Design Report
4. RFC Bridge Geotechnical Report
5. RFC Design Calculations
6. Native Design Files including Computer Aided Drafting Files

For additional design deliverable requirements, see other Technical Provisions.

110.5.3 SUBMITTAL PACKAGE REQUIREMENTS

The following requirements apply to Submittal packages.

- A. Incomplete submittal of required contents of the preliminary, right of way, or final Submittal packages will not be allowed and is cause for rejection of the packages, unless noted otherwise in TP Section 110.5.
- B. Perform a thorough QC review of the Submittal packages prior to submitting them to SCDOT, per requirements of PSQMP in TP Section 110.4.2.2.1.
- C. Digital or inked signatures are allowable for RFC Documents. However, only one method of signature, digital or inked, is allowed per Project ID. Documents other than RFC Documents of a Submittal package shall not contain digital signatures.
- D. All pdf Documents of a Submittal package shall be flattened before being uploaded with the exception of original digital signed RFC Documents.

- E. Plans shall be submitted electronically as a landscape 22"x36" pdf file, bridge plans will be 22"x34".
- F. Reports shall be submitted electronically as a portrait 8.5"x11" pdf file. Larger sheets may be included for charts, diagrams, etc.
- G. Contractor shall submit calculations and/or design files, including computer aided drafting files for review at any time upon request from SCDOT or its Representative, in addition to requirements to submit these files as stated in TP Section 110.5.3.
- H. For Right of Way and Final Design stages, Work zone traffic control deliverables shall be transmitted no later than the same Business Day as their corresponding roadway package.
- I. The initial bridge load rating documentation package Submittal shall be transmitted on the same Business Day as its corresponding final bridge package.
- J. Utility Submittal packages shall be coordinated with the Roadway design and Bridge design as necessary.

110.5.4 SUBMITTAL FORMAT

Submittal packages, and the files included in each package, shall follow the requirements of the SCDOT Design-Build File Naming Conventions. Submittal package descriptions shall contain the Submittal number and a description of the Submittal (i.e. Submittal 001 – Final Roadway Package, SD-001 – Bearing Pads).

Contractor shall submit all Submittal Documents in electronic format as specified in TP Table 110-3 unless otherwise specified in the Contract Documents.

TP Table 110-3: Submittal Format

Submittal Stage/Submittal	Electronic	
	Native ³	PDF
Administrative Documents (e.g. Project Schedule)	X	X
Specifications, Technical Reports, Calculations, Modeling, Input and Output Files	X	X
Preliminary Design Submittal		X
Right of Way Design Submittal		X
Final Design Submittal		X
RFC Submittal	X	X
Conformed RFC Submittal	X	X
Shop Drawings and Working Drawings ¹		X
Change Request (CR) Documents, i.e. RFIs, Contract Change Requests, DCNs, FCNs		X
As-Built Plans	X	X
Other Governmental Entity Submittals ²		X

1. Contractor shall submit plan sheets in 11x17 format and calculation packages in Letterformat.
2. Contractor shall determine the additional format requirements required by the applicable Governmental Entity.
3. Native files include design files.

110.5.4.1 Electronic Format

Contractor shall use SCDOT-provided electronic forms and processes, where applicable. Contractor shall submit, as identified in the Contract Documents, electronic Submittals compatible with existing SCDOT program systems and software. Systems and software currently being used by SCDOT include, but not limited to, the following:

- A. Microsoft Windows 10
- B. Microsoft Office (Word, Excel, Outlook, and Media Player)
- C. Oracle Primavera P6

Contractor shall submit electronic files to SCDOT electronically through SCDOT's EDMS. Contractor shall include with all electronic Submittals a transmittal letter that is electronically signed by Contractor. Contractor shall submit full size Plans in optical character recognition PDF format for the preliminary design Submittal, Right of Way Design Submittal, final design Submittal, RFC Submittal, Conformed RFC Submittal, and As-Built Plans Submittal.

110.5.5 SUBMITTAL REVIEW PROCESS

110.5.5.1 SCDOT Submittal Review Process

Contractor shall not be relieved of its responsibility for the satisfactory completion of the Work in accordance with the Contract Documents by SCDOT's participation in design reviews. SCDOT may require resubmittal of any Design Documents and Construction Documents in accordance with this TP Section 110.5.6. SCDOT will have the right to refuse and reject any Submittal that does not comply with the Contract Documents, including quality requirements. SCDOT will provide a written Notice that includes the basis for SCDOT rejection for any Submittal package that does not conform to the requirements of the Contract Documents or is incomplete. If any Submittal is rejected, Contractor shall notify all recipients to remove all copies from circulation. Rejected Submittal packages will be considered a new Submittal package and reviewed as described below. Contractor may not assert that SCDOT's rejection of any nonconforming or incomplete Submittal packages entitle Contractor to any relief hereunder. Contractor shall redistribute the replacement Submittal to SCDOT and any other appropriate Governmental Entity.

SCDOT reserves the right to utilize Bluebeam Studio or SCDOT's Comment Matrix Spreadsheet to facilitate design reviews between SCDOT and the Contractor. The initial review period for each Submittal package shall be fifteen (15) Business Days following the date SCDOT receives an accurate and complete Submittal in conformity with the Contract. SCDOT review comments will be sent to the Contractor, who shall respond to each initial review comment within five (5) Business Days. SCDOT will then status (provide disposition of) Contractor's responses and will provide additional comments, if any, within five (5) Business Days. If any open comments remain after the initial 15-day review and subsequent 5-day

review and comment periods, there will be no time constraint for the Contractor to respond.

For all subsequent iterations of Contractor Submittals which include revised or updated Documents (for verification review), SCDOT will status Contractor's unclosed responses and will provide additional comments, if any, within ten (10) Business Days.

Comments on the Submittals received from parties other than SCDOT are not required to follow the above-described SCDOT comment format.

If durations other than fifteen (15) days are required per other TPs, those durations shall govern over durations in this TP Section 110.5.6.1.

Contractor shall compile all Submittal review comments generated outside of a Bluebeam Studio Session on a comment resolution form. The comment resolution form must contain the date for each comment from SCDOT or review entity, response, disposition, and must associate each entry with the relevant version of the Submittal. The comment resolution form is a living Document in which Contractor shall incorporate all comments and resulting resolutions for the Submittal package for the duration of the Submittal. Contractor shall include previous Submittal comments, if applicable, and comment resolution forms with each subsequent Submittal identified with an alphanumeric tracking number corresponding to the package submission in accordance with TP Section 110.5.6. With the subsequent Submittal (for verification review), Contractor shall prepare review comment responses that include responses to all review comments. Contractor shall submit the review comment responses to SCDOT in accordance with TP Table 110-6.

Contractor shall schedule a comment resolution meeting (CRM) to address unresolved comments. Contractor may request SCDOT to waive a CRM. SCDOT may waive a CRM at its discretion. The purpose of the CRM is to discuss Contractor's responses to review comments, determine which of the review comments Contractor shall incorporate into the Work, and discuss and resolve the pending comments. More than one CRM per Submittal may be necessary to discuss all review comments provided to Contractor. Contractor shall attend every CRM and prepare CRM notes that summarize the discussion at the CRM and for each review comment discussion. Contractor shall submit the CRM notes to SCDOT in accordance with TP Table 110-6. The Project Manager, Lead Design Engineer, and all Contractor staff requested by SCDOT shall attend the CRM. The Parties will use the Project's partnering process in Agreement Article 21 to address unresolved comments.

Contractor shall not submit a subsequent-stage Submittal until all comments are resolved in the previous-stage Submittal. Contractor shall revise design deliverables and upload utilizing SCDOT's EDMS for verification review to allow SCDOT to close review comments. Verification design deliverables are not required for preliminary stage Submittal packages. Verification design deliverables are required to close SCDOT

comments in order to approve ROW and authorize RFC-stage Submittal packages. Contractor shall resubmit the Final Design Submittal as many times as necessary to obtain approval of the Final Design Documents. No additional compensation or time extension is allowed for any resubmittals.

Contractor shall clearly identify and describe any changes made to a verification design deliverable that are unrelated to SCDOT review comments. Changes made that are not related to SCDOT review comment(s) can be described in the narrative portion of the transmittal.

A complete verification package shall include revised contents for design deliverables with open SCDOT review comments and be submitted along with Contractor responses. After comments are closed and before RFC Submittal packages are uploaded utilizing SCDOT's EDMS, any changes made to design deliverables may, at the sole discretion of SCDOT, require a new Submittal package be provided and require adjustment to the Contractor's Design Review Submittal Schedule.

For packages whose deliverables require third-party approval and signature, e.g., Utility packages, after comments are closed, the Contractor shall submit the unsigned package, receive package signed by third party, and then upload the RFC package.

110.5.5.1.1 Over-the-Shoulder Reviews

Over-the-shoulder reviews are informal examinations by SCDOT of Design Documents during the Project design process and are not considered formal reviews as specified in TP Section 110.5.6. Over the shoulder reviews are mainly intended to assess whether the requirements and design criteria of the Contract Documents are being followed and whether PSQMP activities are being undertaken in accordance with the QMP.

The intent of these reviews is to check for concept, level of detail, design criteria, and patent flaws. Comments made by SCDOT are considered nonbinding. These reviews are not intended to routinely include detailed calculation or drawing reviews, although SCDOT will have the right to perform detailed reviews of any item at any time. If mutually agreed upon between the Parties for specific review items, the over-the-shoulder review may consist of an exchange of electronic files between Contractor's designer and SCDOT.

Contractor shall schedule any over-the-shoulder reviews with SCDOT during the course of the development of each design package. The over the shoulder reviews are not critical activity points that restrict the progress of design. They are simply reviews of the design as it progresses and opportunities for SCDOT to provide comments and feedback on the design.

Contractor shall schedule Maintenance of Traffic (MOT) specific over-the-shoulder reviews with SCDOT once a month from issuance of NTP until all MOT related Plans have been released for construction. The meeting schedule and frequency may be adjusted as directed by SCDOT. The MOT over-the-shoulder reviews shall cover at a minimum:

- A. Transportation Management Plan (TMP) and its implementation; and
- B. Contractor's MOT Plans, specifications, and details.

If over the shoulder reviews are performed, SCDOT will conduct them, as appropriate, in either Contractor's office or at SCDOT's offices. Over-the-shoulder reviews shall be conducted in the presence of Contractor's personnel with the intent to minimize disruption of ongoing Design Work. Formal assembly and Submittal of drawings or other Documents shall not be required. The review shall be of progress prints, computer images, draft Documents, working calculations, draft specifications or reports, or other Design Documents.

SCDOT has no obligation to conduct over-the-shoulder reviews.

110.5.5.2 All Other Submittal Review Process

Contractor shall be responsible for obtaining all required permits and approvals from the applicable Governmental Entities. Contractor shall coordinate with other Governmental Entities to determine those entities' requirements and Submittal review requirements. Prior to submitting concurrent copies of such Submittals to SCDOT, Contractor shall notify SCDOT of any additional Governmental Entities' requirements. Contractor shall be responsible for all costs and schedule impacts for all Governmental Entities' requirements. Contractor shall submit a copy of all Governmental Entity correspondence and Submittals that Contractor submits to or receives from the Governmental Entities, to SCDOT in accordance with TP Table 110-6.

110.5.5.3 Submittal Review Periods

After SCDOT receives a complete and comprehensive Submittal, SCDOT will review the Submittal for compliance with the Contract Documents for the review periods reflected in TP Table 110-4. Review times are applicable only for the submission of complete and comprehensive Documents that are deemed acceptable by SCDOT for review. SCDOT's review periods will begin the next Business Day after receipt from Contractor.

Subject to any right to relief Contractor has under the Contract Documents, SCDOT will not guarantee any specific review period for the Governmental Entities. The review period for each review to be performed by a Governmental Entity is established by the Governmental Entity, at its

discretion, after a Submittal package has been provided to the Governmental Entity.

TP Table 110-4: Submittal Review Periods

Category	Submittal To	Review Period (Business Days)
Professional Services		
A	SCDOT (Initial Review)	15 ¹
B	SCDOT (Verification Review)	10 ¹
C	SCDOT (Design Exception)	30
D	SCDOT (Change of Access)	20
E	Other Governmental Entities	Varies ²
F	Other Public Entities	Varies ²
Construction		
G	Change Request (CR) Documents, e.g. RFIs, Contract Change Requests, DCNs, FCNs	10
H	Shop Drawings	30
I	Working Drawings	30
J	Other Construction Reviews	10
K	As-Built Plans	20

1. If other durations are stated in other TPs, those durations shall govern over durations in this table.
2. Contractor shall coordinate with other Entities to determine the entities' Submittal requirements.

A maximum of 10 Submittals can be submitted per week per technical discipline. Technical disciplines for the purpose of maximum review Submittals include:

- A. Land Surveying
- B. Geotechnical/Earthwork
- C. Pavement
- D. Environmental
- E. Public Involvement
- F. Utilities*
- G. Railroad
- H. Roadway
- I. Hydraulics
- J. Aesthetics and Landscaping
- K. Structural
- L. Traffic
- M. Lighting

Each In-Contract Utility will be considered a separate technical discipline for the purpose of maximum submittals per week.

Contractor acknowledges and agrees that no more than 10 Submittals per technical discipline may be pending for review by SCDOT at any given time. Contractor may request authorization from SCDOT for the right to

make Submittals in excess of the stipulated maximum number stated in this TP Section 110.5.5.3. SCDOT will have the right to withhold authorization if SCDOT deems the request unreasonable or if SCDOT personnel cannot accommodate the additional reviews.

110.5.6 SUBMITTAL LIST

Contractor shall prepare a Submittals list that indicates all anticipated Submittals for the Project. The Submittals list must include the Document control number in accordance with TP Section 110.5.5 and title of each submission, the Submittal type, the Submittal stage (where applicable), a brief description of each submission, and a schedule showing when each submission is scheduled to be made, and the actual Submittal date. Contractor shall submit the Submittals list to SCDOT in accordance with TP Table 110-6.

On the first Business Day of every month, Contractor shall update the Submittal list to include any new Submittal anticipated. Contractor shall submit the updated Submittals list to SCDOT in accordance with TP Table 110-6.

110.5.7 SUBMITTAL SCHEDULE

Contractor shall prepare a Submittal Schedule for the development, scheduling, and characterization of Contractor's design plan. The intent of the Submittal Schedule is to enable SCDOT to adequately plan its review resources. The Submittal Schedule must identify individual Submittal packages for each bridge and group of wall structures. Contractor shall incorporate the review periods for each Submittal package to be submitted as identified in the Submittal Schedule in the Project Schedule. Contractor shall submit the Submittal Schedule to SCDOT in accordance with TP Table 110-6.

On the first Business Day of every month, Contractor shall update the Submittal Schedule to include any new Submittal anticipated, the anticipated Submittal and return dates, and actual Submittal and return dates. The Submittal Schedule must also indicate the disposition of the Submittal (e.g. accepted, revise and resubmit, etc.). Contractor shall submit the updated Submittal Schedule to SCDOT in accordance with TP Table 110-6.

110.5.8 DESIGN REQUIREMENTS

Design Documents must be prepared by or under the supervision of a Registered Professional Engineer of the applicable discipline. The Registered Professional Engineer in charge must seal, sign, and date all RFC Submittals and Conformed RFC Submittals.

Except as otherwise specified in the Contract Documents or approved by SCDOT, Contractor shall develop formal Submittals of Design Documents following the steps described in this TP Section 110.5.9. The primary design Submittal package stages are:

- A. Preliminary Design Submittal
- B. Right of Way Design Submittal

- C. Final Design Submittal
- D. RFC Submittal
- E. Conformed RFC Submittal

110.5.8.1 Plans

Contractor shall prepare Plans, which must include design drawings specific for the Project that show the location, character, dimensions, and details of the Work to be performed. Such Plans shall be prepared in accordance with the requirements of the SCDOT Roadway CADD Manual, the applicable Governmental Entities, Good Industry Practice, the Contract Documents, and TP Attachment 100-1. Plans must include all sheets required to check the design and to construct the Project.

Contractor shall ensure that all non-SCDOT standard drawings and details are detailed on Plans. Plans must include all existing topographical features, natural and man-made, surface and subsurface facilities for the area included in the Project ROW and an area of at least 100 feet in width adjacent to the Project ROW, and all proposed and actual changes to the Planned Project ROW. If Contractor's design requires changes to the Planned Project ROW, the Submittal package must clearly indicate the Project ROW changes proposed, and the Submittal package must include a narrative detailing the need for the change.

110.5.8.2 Specifications

Contractor shall prepare specifications for any part of the Work that is not covered by the standards and specifications referenced in the Contract Documents. Contractor-prepared specifications must include, but not be limited to, descriptions of Work, material requirements, methods of construction, and inspection and testing requirements.

110.5.8.3 Calculations

Contractor shall prepare calculations for Project Elements including, but not limited to, structural Elements, final geometry, geotechnical design, pavement, hydraulics, hydrology, and stormwater management. Contractor shall prepare calculations necessary to support the design complying with the Contract Documents. Calculations shall be in a format for ease of navigation, such as electronic separators and internal links.

At the request of SCDOT or its Representative, in addition to the requirements of TP Section 110.5.3, Contractor shall submit calculations and/or design files, including computer aided drafting files, for review with a Submittal package.

110.5.8.4 Preliminary Design Submittal

When the design for a given Element or design unit meets the criteria for a preliminary, or approximately 30 percent, design Submittal set forth by SCDOT or the applicable Governmental Entity, Contractor shall prepare a

preliminary design Submittal. The purpose of this submission is to supplement or augment Contractor's Schematic Design included in the Technical Proposal. The preliminary design Submittal must include Plans, specifications, and other pertinent data needed to verify the design, as applicable with each preliminary design Submittal. Contractor shall submit the preliminary design Submittal to SCDOT in accordance with TP Table 110-6.

110.5.8.5 Right of Way Design Submittal

When the design for a given Element or area meets the criteria for a Right of Way, or approximately 60 percent, design Submittal set forth by SCDOT or the applicable Governmental Entity, Contractor shall prepare a Right of Way Design Submittal. Each Right of Way Design Submittal must include Plans, specifications, and other pertinent data. The Right of Way design Submittal must also include a comment resolution form, where comments and responses are not contained within Bluebeam Studio, showing how the Right of Way Design Submittal addresses the review comments generated during the previous Submittal review. Contractor shall submit the Right of Way Design Submittal to SCDOT in accordance with TP Table 110-6.

110.5.8.6 Final Design Submittal

When the design for a given Element or area meets the criteria for a final, or approximately 95 percent, design Submittal set forth by SCDOT or the applicable Governmental Entity, Contractor shall prepare a Final Design Submittal. Each Final Design Submittal must include Plans, specifications, technical memorandums, reports, studies, calculations, and other pertinent data. The Final Design Submittal must also include a comment resolution form, where comments and responses are not contained within Bluebeam Studio, showing how the Final Design Submittal addresses the review comments generated during the previous Submittal reviews. Contractor shall submit the Final Design Submittal to SCDOT in accordance with TP Table 110-6.

110.5.8.7 RFC Submittal

When the design for an Element or area is 100% complete and all previous comments have been closed in accordance with TP Section 110.5.6.1, Contractor shall prepare a RFC Submittal. The RFC Submittal must include Plans, specifications, technical memorandums, reports, studies, calculations, and other pertinent data, as applicable with the RFC Submittal. The RFC Submittal must also include a comment resolution form, where comments and responses are not contained within Bluebeam Studio, showing how the RFC Submittal has addressed the review comments generated during the previous Submittal reviews. The engineer of record (by discipline) shall seal, sign, and date the RFC Submittal. Contractor shall submit the RFC Submittal to SCDOT in accordance with TP Table 110-6.

SCDOT's review of any RFC package does not constitute approval of subsequent construction and does not relieve Contractor of its responsibility to comply with the requirements of the Contract Documents. Contractor shall ensure construction complies with the requirements of the Contract Documents, Laws, and Governmental Approvals. Contractor shall bear the risk of any required modifications to the component construction due to subsequent design changes resulting from further design development.

110.5.8.8 Conformed RFC Submittal

Upon completion of all Design Work, Contractor shall combine the RFC packages for the entire Project into one Conformed RFC Submittal. The purpose of the Conformed RFC Submittal is to create a single package of the design Plans for the entire Project in order to assist with SCDOT record-keeping purposes. Contractor shall organize the RFC Submittals for individual Work items, components, Elements, or phases such that the Conformed RFC Submittal is assembled in a manner similar to the standard Construction Documents typically provided to SCDOT for conventional project bidding. Contractor shall submit the Conformed RFC Submittal to SCDOT in accordance with TP Table 110-6. SCDOT, at its discretion, may require resubmittal of the Conformed RFC Submittal or other design Submittals.

110.5.9 CONSTRUCTION REQUIREMENTS

110.5.9.1 Shop Plans and Working Drawings

Contractor shall prepare and submit all shop Plans and working drawings necessary to construct the Project in accordance with TP Section 110.5.10 and Section 725 of the SCDOT Standard Specifications. Contractor shall submit shop Plans and working drawings via SCDOT's EDMS, and must include drawings, calculations, and certifications, must describe the methods of construction proposed, and must adequately define and control the Work. The Lead Design Engineer or Engineer of Record must review and certify shop Plans and working drawings.

Contractor shall submit Shop Plans in accordance with Section 725 of the Standard Specifications, to the Contractor's Lead Design Engineer or Engineer of Record for review and approval. Route all approved shop Plans to SCDOT for review and distribution. After reviewing the Plans, SCDOT will either accept the Plans or provide comments. If comments are provided, the Contractor's Lead Design Engineer or Engineer of Record shall review the comments prior to resubmitting to SCDOT for further review. The Contractor's Lead Design Engineer or Engineer of Record shall stamp the shop Plans "approved" prior to Submittal to SCDOT. SCDOT will stamp and distribute the shop Plans. Do not commence fabrication and construction/erection until after SCDOT distributes the shop Plans.

Submit working drawings and design calculations, as defined by SCDOT Supplemental Specification "Shop Plans and Working Drawings For

Structures”, to the Contractor’s Lead Design Engineer or Engineer of Record for review and approval. Route all approved working drawings and design calculations to SCDOT for review and distribution. SCDOT will review the drawings and calculations and either provide acceptance of the drawings as prepared or provide comments. If comments are provided, the Contractor’s Lead Design Engineer or Engineer of Record shall review the comments prior to resubmittal to SCDOT for further review. The Contractor’s Lead Design Engineer or Engineer of Record shall stamp the working drawings and design calculations “approved” prior to Submittal to SCDOT. SCDOT will stamp and distribute the drawings and calculations. Do not commence construction/erection until after SCDOT accepts the drawings and calculations. The responsible engineer, registered as a Professional Engineer in the State of South Carolina, shall seal, sign, and date all design calculations and working drawings. SCDOT will review the working drawings and design calculations only to verify that the specifications have been addressed.

110.5.9.2 Request for Information

Design issues may arise in ongoing Work reflected in RFC packages. Contractor may utilize the RFI process as a communication tool between design and construction. RFIs may be initiated by Contractor or SCDOT. Contractor-initiated RFIs must reflect the general nature, location, and description of the issue; Contractor’s proposed mitigation with supporting documentation of the issue; and the QCM’s approval of such mitigation. SCDOT will provide Contractor an RFI for issues identified by SCDOT. SCDOT will submit SCDOT-initiated RFIs to Contractor for incorporation into the RFI process.

When an issue or change arises, including those identified by SCDOT-initiated RFIs, Contractor shall submit the RFIs to SCDOT in accordance with TP Table 110-6. Contractor shall provide an independent and unique numbering system for Contractor-initiated RFIs, different from SCDOT-initiated RFIs or those of any other Governmental Entity. Contractor shall prepare a Response to SCDOT-initiated RFIs. Contractor shall submit the Response to SCDOT-initiated RFIs to SCDOT in accordance with TP Table 110-6. Refer to TP 1000 Section 104 for information on how to submit an RFI.

110.5.9.3 Design Changes

During Construction Work, adjustments to the design may be required to fit field conditions. The engineer of record for the design at the time of the design change must provide approval for any design change that occurs during construction, or design changes that occur to Design Documents, unless otherwise specifically authorized in writing by SCDOT. Design changes must undergo the same QMP checks, reviews, and certifications that are required of all original design submittals. Design changes must include plan sheets, specifications, technical memorandums, reports, studies, calculations, and other pertinent data, as applicable per the Submittal content required by the level of the Submittal.

Design change documentation must include confirmation of the following:

- A. The design change has been designed in accordance with the requirements of the Contract Documents, applicable Laws, and Governmental Approvals;
- B. The design change has been checked in accordance with Contractor's PSQMP;
- C. The design change has been prepared consistently with other Elements of the original design;
- D. The design change complies with the design certification requirements as set forth in the QMP; and
- E. SCDOT comments are resolved.

Contractor shall submit the design changes to SCDOT in accordance with TP Table 110-6. Contractor shall request and schedule interim and final design reviews for all design changes made during construction or to the final Design Documents. Contractor shall document all changes made through the design change process in the As-Built Plans in accordance with TP Section 110.5.10.4. Refer to TP 1000 Section 104 for information on how to submit a request for a design change.

110.5.9.4 As-Built Plans

Contractor shall prepare As-Built Plans for the Project in accordance with the SCDOT As-Built Manual. The Lead Design Engineer or engineer of record shall professionally endorse (seal and sign) the As-Built Plans and shall certify the As-Built Plans comply with the QMP. Contractor shall submit the As-Built Plans to SCDOT in accordance with TP Table 110-6. Contractor shall:

- A. Provide a copy of the As-Built Plans in accordance with the Manual of Instruction for the Preparation of As-built Plans.
- B. Provide a final copy of all electronic data as noted in As-built Manual Sections 3.4.1 and 3.4.2 which capture all changes to electronic data since the final Plans Submittal.
- C. A complete As-Built set of signing Plans, including SignCAD copies of all layouts, shall be submitted to the SCDOT as directed by the Director of Traffic Engineering at the conclusion of the Project.
- D. Provide As-Built "red-lined" signal Plans to the District Signal Shop after the signal Work is completed.

110.6 SCHEDULE MANAGEMENT

110.6.1 GENERAL REQUIREMENTS

Prepare a Project Schedule for acceptance. Show in the schedule the proposed sequence to perform the Work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire Project is required and shall encompass the entire contract period. The scheduling of design and construction is the responsibility of the Contractor. Contractor management personnel must actively participate in its development. Designers, Subcontractors, and Suppliers

working on the Project must also contribute to developing and maintaining an accurate Project Schedule.

Provide a schedule that is a forward planning as well as a Project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules. Prepare each Project Schedule using the Precedence Diagram Method (PDM).

The Project Schedule shall be used as a quantitative basis for:

- Monitoring and evaluating the Contractor's progress in completing contracted Work;
- Evaluating requests for additional contract time;
- Budgeting for construction partial payment estimates; and
- Managing SCDOT engineering and inspection personnel.

The Contractor's CPM construction schedule shall represent a reasonable plan for completion of the Work and be developed consistent with the contract milestones and the contract maintenance of traffic plan. Critical Path activities shall be identified for the duration of the Project.

110.6.2 ADMINISTRATIVE REQUIREMENTS

110.6.2.1 Software Requirements

Contractor shall prepare the Project Schedule using Oracle's Primavera P6. Contractor shall coordinate with the SCDOT's district scheduler to provide an exported schedule importable into the Primavera version used by the SCDOT.

110.6.2.2 Primavera P6 Settings and Schedule Configurations

The following settings are mandatory and required in all schedule submissions to SCDOT:

- A. Project Planned Start is the date of NTP; time set to beginning of the day.
- B. Project Must Finish By date at the Project level shall be set to the current Final Completion Date; time set to the end of the day.
- C. Set Schedule Option for defining progressed activities to "Retained Logic"; the use of Progress Override or Actual Dates calculation methods are not allowed.
- D. Calculate Start-to-Start lag from: Early Start.
- E. Set Schedule Option for defining Critical Activities to "Longest Path".
- F. Compute Total Float as: Finish Float = Late Finish – Early Finish.
- G. Calendar for scheduling Relationship Lag: Predecessor Activity Calendar.
- H. Calculations | Activities: Link Budget and At Completion for not started activities.
- I. Calculations | Activities: Reset Remaining Duration and Units to Original.

- J. Calculations | Resource Assignments: When updating Actual Units or Cost: Subtract Actual from At Completion.
- K. Calculations | Resource Assignments: Uncheck “Recalculate Actual Units and Cost when duration % complete changes”.
- L. Calculations | Resource Assignments: Link actual to date and actual this period units and costs.
- M. Activity % Complete Types must be set to Physical % Complete.
- N. Activity Codes must be Project Level, not Global or EPS level.
- O. Activity Code descriptions (names) must include the Contract ID number. This does not apply to Code Values.
- P. Calendars assigned to activities must be Project Level, not Global or Resource level.
- Q. Resource Dependent Type activities are not allowed.
- R. Suspend Dates - The use of suspended dates is prohibited. If the activity is disrupted, break out into additional activities and explain in the Narrative.
- S. The use of Expected Finish Dates is not allowed.

110.6.2.3 Scheduler

The Project Schedules must be prepared and managed by a scheduler with a minimum of 10-years’ experience preparing and managing a construction schedule on projects of similar size and complexity.

110.6.3 SCHEDULE DEVELOPMENT

The Parties will use the Project Schedule for planning and monitoring the progress of the Work to verify Pay Requests in accordance with Agreement Article 7. The Project Schedule serves as the foundation for the Monthly Progress Schedule. Contractor shall coordinate with Governmental Entities when developing and maintaining the Project Schedule and shall make provisions for adjacent projects and Governmental Entities comments. Contractor shall ensure that the Project Schedule reflects the following information:

- A. Activity Identification. Activities must be assigned consistent descriptions, alpha-numeric identification codes (Activity ID number), and Project Level Activity Codes and assignments that can be used for sorting and filtering.

Activity Code dictionaries used for filtering, grouping, and sorting are: (a) subject to SCDOT’s prior consent, (b) must group activities using meaningful schemes (definition and assignment) defined by Contractor and SCDOT, and (c) must include, at a minimum, a lead Responsibility designation for each activity. Use format: “Responsibility – [contract ID number]”. Values for the Activity Code “Responsibility” shall include all organizations responsible for completing any activity in the schedule. Assign the primary responsible party to each activity in the schedule. The use of Global Activity Codes is not permitted.

Provide a unique activity description for each activity. Indicate in each activity description its associated scope and location of Work (such as type of Work, discipline, bridge number, station to station locations, highway alignment

such as RT, LT, MID, structure & pipe number, etc.). Also include a verb in the activity description to indicate the action undertaken (such as install, place, fabricate, etc.). Each activity name must contain only one type of Work (scope, discipline, or trade) completed by only one responsible Party (Contractor, owner, Subcontractor, etc.). Do not use duplicate activity descriptions and do not combine the efforts of more than one responsible party in a single activity.

Where sufficient detail has not been provided in the Schedule to facilitate quantitative progress of Work and reliable forecast of inspection and cost, SCDOT will request additional activities be added. SCDOT will not be responsible for Delays which may be caused by the Contractor's failure to include all required contract Work in the schedule, apply appropriate logic and durations, or abide by the accepted schedule.

Cost Allocation. Allocate price and commodity quantities throughout the Project activities in the Project Schedule. Accurately reflect the total value for each Project activity except submittal reviews, curing times, and milestone activities unless otherwise stated in the Contract. All Work must be represented by cost -loaded Project activities. Contractor shall not artificially inflate, imbalance, or front-load line items in the Project Schedules. The price of each Project activity must be all-inclusive and include all direct and indirect costs, overhead, risks, and profit.

Milestones. Contractor shall separately identify each Project milestone, conforming to the scheduling requirements set forth in the Contract Documents. At a minimum, the following milestones shall be included in the Schedule:

1. NTP
2. Interim Contractual Completion Dates
3. All Proposal Commitments
4. Start and Finish of Traffic Control Phases
5. On-site construction crew mobilization
6. Start of paving
7. Start of construction of each Element
8. Substantial Completion
9. Final Completion

- B. **Activity Information.** Contractor shall divide the Work into activities with appropriate logic ties to show Contractor's overall approach to the planning, scheduling, and execution of the Work. Contractor shall base duration and logical relationships of the Project activities on the actual duration and relationships anticipated. Each activity must have an original duration not exceeding 20 Business Days. Where necessary to meet the original duration max limit of 20 Business Days, subdivide activities appropriately. Activities such as design Submittal preparation and reviews, permitting efforts, long-lead material or equipment fabrication and delivery, and cure periods may contain original durations that exceed 20 Business Days on condition that sufficient justification is provided. All durations are subject to the acceptance of SCDOT.

- C. WBS. Include a well-defined Work Breakdown Structure (WBS) that clearly communicates Project organization and approach to Work. WBS for the construction activities shall be consistent with the phasing and staging shown in the Contract Documents. Each activity must be assigned to an appropriate WBS. Provide the preliminary WBS to SCDOT for review and acceptance prior to the Baseline Schedule Submittal.
- D. Constraints. Contractor shall not use calendar dates or constraints to logically begin or complete any Project activity unless calendar dates are shown in the Technical Provisions or other relevant Contract Documents. The use of Zero Float Constraints such as Start On or Finish On constraints are not allowed. The Project Schedule must not contain unspecified milestones, constraints, Float suppression techniques, or use of Project activity durations, logic ties, and/or sequences deemed unreasonable in whole or in part by SCDOT.
- E. Design and Permit Activities. Include design and permit activities with the necessary conferences, follow-up actions, and design package submission dates. Include the design schedule in the Project Schedule, showing the sequence of events involved in carrying out the Project design tasks within the specific contract period. Provide a detailed level of scheduling sufficient to identify all major design tasks, including those that control the flow of Work. Also include review and correction periods associated with each item. Submittal review activities must be separate and not included in the durations of the Submittal preparation activities.
- F. Procurement Activities. Include activities associated with the required construction Submittals and their approvals, procurement, fabrication, including delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 60 calendar days. Provide sufficient detail in the Submittal activities if packages are planned or anticipated to be submitted in multiple packages.
- G. SCDOT Activities. Include SCDOT and other agency activities that could impact progress or require tracking or monitoring. These activities include, but are not limited to approvals, acceptance, design reviews, environmental permit approvals by State regulators, inspections, ROW acquisitions, and Notice(s) to Proceed for phasing requirements, etc. Provide justification if schedule shows a SCDOT activity or third-party activity on the Critical Path.
- H. Structures Activities. Include activities to represent all bridges, box culverts, retaining walls, sign structures, etc.
- I. Specialty Activities. Include activities to represent all lighting, signalization, ITS, roadway signs, guardrail, pavement markings, handrails, landscaping, etc.
- J. MOT Activities. Include maintenance of traffic (MOT) activities, including Start and Finish milestones of any Stages, Phases or other specific events

that are included in the Traffic Control Plans. Include activities to represent major traffic shifts into or out of major phases.

- K. Utility Installation and Relocations. Include utility Work noted in the Contract Documents, discovered during field review, or included in the design Plans. Where utility durations are unknown, the Contractor shall provide a reasonable estimate of duration. Utility durations are subject to concurrence by the utility provided and SCDOT. Include the Utility facility number within the Activity Description. Ensure appropriate logic dependencies are established between utility relocation / installation activities and proposed Work which may be affected by the utility relocation or installation.
- L. Physical Access Activities. Incorporate physical access and availability restraints, where applicable, as schedule activities and assign appropriate logic to the affected proposed Work. These can be configured as milestones at Contractor's option.
- M. Progress and Data Dates. The initial baseline Construction Schedule shall have a Data Date equal to the NTP date (time set to the beginning of the day) and not include any Work progress.

Monthly schedule updates shall have a Data Date set to the most recent estimate period end date (time set to the end of the day). Contractor shall show actual progress and not calculated progress in the Monthly Progress Schedule Updates. Contractor shall incorporate minor logic changes and other Work changes into the Monthly Project Schedule update. Each Monthly Project Schedule update Submittal must clearly and individually define the progression of the Work within the applicable timeframe by using separate Project activities.

- N. Cost Loading. Each activity, including all design related activities, shall be cost loaded using expense categories. Exceptions include curing activities and design review activities. Additional exception may include long-lead fabrication activities if Contractor does not anticipate seeking stored-materials reimbursement. Contractor shall assign the SCDOT contract items as expenses to each activity, and these shall be the only expense categories associated with activities. Under expenses, populate the fields Budgeted Units, Price/Unit, and Actual Units. The information provided under Expenses is used to evaluate daily production rates and the monthly pay application. The total Budgeted Cost shall equal the contract amount.
- O. Resources. Contractor shall resource-load construction activities in the Project Schedule with crew and material resources.
 - 1. All Resource codes shall begin with the Contract ID Number. Submit the Resource Code Dictionary to SCDOT for acceptance prior to the Submittal of the Project Baseline Schedule.
 - 2. Material resource quantities shall be assigned based on the quantity associated with the construction activity, or estimated effort in-place quantity. Material quantities must be finalized immediately after RFC Plans are issued for each major scope of work.

3. A list of all crew and material resources used in the schedule shall be provided in the narrative, or as an attachment to the narrative, once determined. Typical crew composition detailing number and skill (trade) of labor shall also be provided for each of the crew resources. Crew composition may be provided as an attachment to the narrative.
 4. Typical crew composition may be based on averages and may vary in the field.
 5. All Resources shall be grouped in a Project resource structure (dictionary). This structure shall begin with the Project's specific contract ID followed by the Project Name. Individual resources shall be shown as a sublevel to the main resource level and shall include an appropriate description. Each Resource shall begin with the Project's specific Contract ID.
 6. The Max Units/Time for each crew (labor) resource must represent the maximum hours per day allowable based on the number of planned crews of that type. Provide Max Units/Time per crew resources in the narrative update. Update the narrative when the number of planned crews changes.
 7. Update crew resource loading as necessary in the Monthly Progress Schedule once RFC Plans are issued. Crew resource loading must be complete prior to the construction activity start.
- P. Calendars. Clearly define calendars.
1. Time Periods must be set as follows: 8.0 hours/day, 40 hours/week, 172 hours/month, and 2,000 hours/year.
 2. All calendars assigned to the Project Schedule activities must be configured as Project level calendars. Do not assign a global calendar or a calendar which inherits Holidays or exceptions from a global calendar to any activity.
 3. Include calendars that adequately represent non-workdays associated with limitations (such as paving, utilities, landscape, etc.).
 4. Include SCDOT Holidays as non-workdays, except in the 7-day calendar.
 5. Account for expected weather impacts. Weather and seasonal conditions shall be appropriately accounted for in the Project Schedule within project-level custom calendars, incorporating NOAA historical weather information using a minimum average of 10 years for the Project area. Use custom calendars to show weather, seasonal conditions, or seasonal shutdowns. Expected weather impacts shall not be accounted for in both seasonal calendars and activity durations. Excessive non-work periods for weather days are considered Float suppression and is not allowed. No time extensions shall be granted for weather except for applicable Relief Events as described and authorized in Exhibit 1.
 6. Include a seven-day calendar to be utilized for cure, settlement, and other activities as appropriate.
 7. Assign calendars appropriately and consistently among similar activity types.
 8. Assign global calendars to resources which are consistent with the activity calendar assignment.

9. Calendar configurations and assignments, as shown in the Project Baseline Schedule, shall not be changed without prior justification by Contractor and approval by SCDOT.
- Q. Open Ended Logic. Only two open ended activities are allowed: the first schedule activity "NTP" may have no predecessor logic, and the last schedule activity -"Final Completion" may have no successor logic. Level of Effort (LOE) activities may have open-ended successors, so long as they have both a Start-to-Start and Finish-to-Finish predecessor, subject to the review and approval of SCDOT. All other activities must be tied into the logic network appropriately. Each activity task must contain a finish type of successor.
- R. Prohibited Logic Relationships: Start-to-Finish logic ties on task activities and the use of leads (negative lags) between any activities are prohibited. Do not use lag in lieu of an activity or a portion of an activity's duration. All lags are subject to SCDOT acceptance.
- S. Once the CPM Baseline Schedule has been accepted, activities shall not be deleted from the schedule. If scope or an activity no longer applies, close the activity using the following process:
 1. Apply actual start and finish dates (if applicable) based on the date it was determined the activity was no longer required.
 2. Remove activity's successor logic.
 3. Add a Finish-to-Start successor relating this activity the Substantial Completion milestone.
 4. Finalize any cost loading (redistribute budgets where necessary).
 5. Add note in the activity Notebook field explaining reason for closing the activity and add the word "DELETED" to the beginning of the activity description.
 6. Position the activity in a WBS category titled "Deleted Activities".
 7. Include an explanation in the narrative for this action and describe if this change altered the Critical Path in any way.

110.6.4 FLOAT

- A. Float available in the schedule may not be considered for the exclusive use of either SCDOT or the Contractor including activity and/or Project Float. Float may be used by either Party on a first-come-first-served basis. Activity Total Float is the number of Business Days that an activity can be delayed without causing a Delay to the Contract Completion finish milestone. Project Total Float is the number of Business Days between the projected early finish and the contract completion date.
- B. Contractor shall not utilize (1) Float suppression techniques in the Schedule, or (2) inclusion of activities or constraints in a path or chain leading to a Project milestone which are unrelated to the Work as stated and specified in the Contract Documents, or (3) activity durations or sequences deemed by SCDOT to be unreasonable in whole or in part.
- C. Preferential sequencing (i.e., whereby activities that could be performed concurrently and are established in the Project Schedule as sequential simply to consume Float) and/or indicating artificial activity durations (i.e., inflating activity original or remaining durations in the schedule to consume

Float and influence the Critical Path) are unacceptable. Sequestering of Float is cause for rejection of Contractor's schedule Submittal. In the event that Float sequestering is identified, Contractor shall correct the schedule appropriately.

- D. SCDOT will consider extensions of time for performance of the Work required under the Agreement only to the extent that the equitable time adjustment for activities affected by any condition or event which entitles Contractor to a time extension exceed the Total Float along the path of the activities affected at the time Notice to Proceed was issued for the condition or event.
- E. If Contractor is delayed in performing the Work, Contractor shall absorb any related Delay, disruption, interference, hindrance, extension, or acceleration costs, however caused, except as otherwise provided in Agreement Article 14. Both SCDOT and the Contractor may use available positive Float, if any, to absorb Project Delays. Contractor shall include a description of the cause of Delay, the projected amount of Float to be used, and the revised Monthly Progress Schedule showing the use of the Float in the Monthly Progress Report. Contractor shall work cooperatively with SCDOT, other contractors, and third parties to identify and implement, to the maximum extent possible, no-cost measures to recover all schedule Delays, regardless of the cause of the Delays. One example of such measures is no-cost re-sequencing of Work activities.

110.6.5 EARLY COMPLETION SCHEDULE AND THE RIGHT TO FINISH EARLY

An early completion Schedule is a Project Schedule that indicates all scope of the required contract Work will be completed before the contractually required completion date.

- A. No Project Schedule indicating an early completion will be accepted without being fully resource-loaded (including crew sizes and man hours) and SCDOT agreeing that the schedule is reasonable and achievable. The acceptance of an early completion Schedule is at the sole discretion of SCDOT.
- B. SCDOT is under no obligation to accelerate Work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the Project to meet the Contractor's accelerated Work. SCDOT will not be liable in any way for Contractor's failure to complete the Project or any interim contractual milestone prior to the specified Contract Completion Date(s). In the absence of a contract Change Order adjusting the Contract Completion Date to the earlier anticipated completion date, any positive Float developed between an Early Completion Date and the contract completion date will be considered a shared commodity, not for the exclusive use or benefit of either Party.
- C. No extended overhead will be paid for Delay prior to the original contract completion date for an early completion Project Schedule. Any additional cost, including extended overhead incurred between Contractor's scheduled early completion date and the allowable contract completion date shall be the responsibility of the Contractor. Where the Contractor elects to not utilize all available contract time in the baseline schedule submission, future Delays against the time not used will not entitle Contractor to any additional time or compensation.

- D. Any schedule showing an early completion date must show the time between the scheduled completion date(s) and the applicable contractual completion deadline(s) as Float.

110.6.6 SCHEDULE SUBMISSION PROCESS

110.6.6.1 Schedule Submission Process Administrative Requirements

Contractor shall use the schedule Submittal process outlined in this TP Section 110.6.6 for the preparation and Submittal of all Project Schedules provided by Contractor to SCDOT for review and acceptance, unless otherwise specified in the Contract Documents. For each Project Schedule Submittal, Contractor shall provide the following:

- A. Electronic version of the schedule in both native XER (including activity data, logic, and coding) and PDF formats. When submitting schedules to SCDOT, the Contractor shall assign file names and Project IDs to each schedule file (Baseline and Updates) according to the convention in TP Table 110-5 (dates are YYMMDD):

TP Table 110-5: Schedule File / Project ID Naming

Type of Schedule Submitted:	Baseline	Update	As-Built	Revised Baseline	Recovery Schedule
File Name / Project ID Convention:	[Contract ID]b[Data Date]	[Contract ID]u[Data Date]	[Contract ID]ab[Data Date]	[Contract ID]rb[Data Date]	[Contract ID]rc[Data Date]
File Name / Project ID Example:	3282571b210531	3282571u210531	3282571ab210531	3282571rb210531	3282571rc210531

- B. Schedule Narrative in accordance with TP Section 110.6.7.
 C. Look-Ahead Schedule in accordance with TP Section 110.6.14.
 D. Recovery Schedule, as needed, in accordance with TP Section 110.6.13.
 E. Schedule Delay Analysis, as needed, in accordance with TP Section 110.6.16.

110.6.6.2 Schedule Submission Review Process

The Project Schedule Submittal must progress with the following steps:

- A. Contractor shall submit Project Schedules for review and acceptance by SCDOT.
 B. SCDOT will review the schedule Submittal and will endeavor to return it with or without comments within 20 Business Days for baselines and 10 Business Days for updates, not including Holidays.
 C. Contractor shall address all SCDOT comments and revise the Project Schedule, as necessary.

- D. Contractor shall provide a revised or corrected schedule within 10 Business Days after receiving SCDOT comments, as necessary.

110.6.6.3 SCDOT's Review and Comment on a Project Schedule

SCDOT's review of and comment on a Project Schedule does not do the following:

- A. Imply approval of any particular construction methods or relieve Contractor of its responsibility to provide sufficient materials, equipment, and labor to complete the Project in accordance with the Contract Documents and Project Schedule.
- B. Attest to the validity of assumptions, activities, relationships, sequences, resource allocations, production rates, or any other aspect of the Project Schedule.
- C. Imply Contractor is entitled to any supplemental agreement extending the contractual Completion Deadline or adjusting the Contract Price.
- D. Relieve Contractor from compliance with the requirements of the Contract Documents or result in the approval of any variation from the Contract Documents.
- E. SCDOT's review and acceptance of the Schedule and progress updates does not preclude a later review of any previously submitted Schedule. If upon later review SCDOT discovers an issue of non-compliance with the Specifications or a discrepancy in the Schedule, SCDOT reserves the right to request a revised or corrected schedule per these Specifications.

Failure to include any Element of Work required by the Contract Documents in the Project Schedule does not release or relieve Contractor from responsibility to perform such Work.

110.6.7 SCHEDULE NARRATIVE

At each Project Schedule Submittal, Contractor shall prepare and submit a stand-alone Schedule Narrative with sufficient detail to explain the basis of the submitted Project Schedule to SCDOT. The Schedule Narrative must describe the activities, including how the activities interrelate. Contractor shall ensure that the schedule narrative includes the following information:

- A. Title page stating the contract number, Project number, Project name, Contractor name, date of Submittal, schedule file Project ID, schedule version (BL01, UP01, etc.), and the current data date.
- B. Provide a summary which includes the current contract completion date, the current contract amount, the sum of actual earnings as of the current data date, the current forecasted early finish date to the Contract Completion as shown in the CPM, and the current total Float value.
- C. Include an Earned Value Analysis summary with the following metrics:
- D. The Planned Value (PV) in accordance with the accepted Baseline Schedule;
- E. Earned Value (EV) which must not include EV of any "deleted" activities;
- F. Schedule Performance Index (SPI) [Earned Value / Planned Value];
- G. Schedule Variance (SV) [Earned Value - Planned Value]; and

- H. Schedule Variance Index (SVI) (Earned Value - Planned Value) / Planned Value.
- I. Briefly describe how the schedule is organized.
- J. Identify schedule settings used such as the scheduling methodology, Earned Value calculation settings, and the Project / Activity default settings.
- K. Provide full descriptions of all abbreviations or acronyms used in the narrative and schedule files.
- L. Describe the use of intelligent activity ID numbers, if used.
- M. Provide the justification for any activity with a duration exceeding 20 Business Days.
- N. Provide a comprehensive list of and justification for any constraints used.
- O. Describe calendars used in the Schedule, assignment methodology, and details of the typical work and non-work exceptions per calendar such as Holidays, winter shut-downs, and weekends.
- P. Include Contractor's approach used to apply relationships between activities, including a list of activity relationships with lags and the justification for the use of each lag (e.g., all ties are based on physical relationships between Work activities [such as "rebar must be placed before concrete is placed"] or relationships are used to show limited resources [such as "bridge two follows bridge one" because Contractor is utilizing only one bridge crew]).
- Q. Include as applicable:
 - 1. Contractor's Site management plan (e.g., lay down, staging, traffic, and parking);
 - 2. The use of construction equipment and resources;
 - 3. Estimated planned daily production rates, per scope of Work, per crew;
 - 4. Basis and assumptions for schedule development, activity durations and logic;
 - 5. Compliance with winter weather requirements;
 - 6. Any shifts, non-Business Days, and seasonal calendars applied to the activities;
 - 7. The philosophy supporting the approach to the Work outlined in the submitted Project Schedule;
 - 8. The reasons for the sequencing of Work, including a description of any limited resources, potential conflicts, and other salient items that may affect the schedule and how they may be resolved; and
 - 9. Description of changes in resources to be used on remaining Work.
- R. Include the crew resource composition.
- S. Include the objectives for the next 30 days.
- T. Describe each Critical Path. Provide a comparison of early dates and late dates, which shall be included as a filter attached to the narrative.
- U. For all Schedule update Submittals, the Schedule Narrative must indicate if the Project is on schedule, ahead of schedule, or behind schedule and recap progress and days gained or lost versus the previous Progress Schedule, problems and Delays that have been experienced to date, the party responsible for the problems or Delays, and Contractor's plan to resolve the problems or bring the delayed activities back on schedule, potential problems that may be encountered during the next period and the proposed solutions (identify all potential problems and explain what action SCDOT needs to take and the date by which the action needs to be taken to avoid the problem).

- V. Describe changes in resources to be used on remaining Work.
- W. Each Schedule update narrative must describe if the Critical Path has changed and the factors that caused the change.
- X. Each Schedule update narrative must itemize and explain the reasons for making all non-progress changes (revisions) to the schedule from the previous update, including any associated impacts of such changes to the Critical Path and/or overall status of the Project. These non-progress changes include, but are not limited to:
 - 1. Added activities
 - 2. Adjustments to activity original durations
 - 3. Changes in logic between activities
 - 4. Changes to constraints
 - 5. Changes to the Project calendars, including activity assignments
 - 6. Changes to activity budgets or expense category changes
 - 7. Changes to activity descriptions
 - 8. Major changes to the WBS structure
 - 9. Changes in previously reported actual starts and actual finish dates
- Y. Describe any challenges that may arise associated with Critical Path activities.
- Z. Include a comparison of all contract milestones and commitments with the forecasted completion dates in the current update.
- AA. Address all previous schedule review comments.

110.6.8 SCHEDULE DELIVERABLE REQUIREMENTS

Contractor shall prepare and maintain the Project Schedule, which consists of the following:

- A. Project Baseline Schedule
- B. Monthly Progress Schedule
- C. Recovery Schedule (as needed or directed by SCDOT)

Contractor shall also prepare and maintain the following schedules:

- D. Look-Ahead Schedule
- E. As-Built Schedule

110.6.9 PROJECT BASELINE SCHEDULE

Prior to issuance of NTP, Contractor shall submit a Project Baseline Schedule to SCDOT for acceptance in SCDOT's good faith discretion. Contractor shall use the Preliminary Project Baseline Schedule submitted with the Proposal as a foundation to prepare the Project Baseline Schedule. The Project Baseline Schedule must clearly define the prosecution of the Work from issuance of NTP to Final Completion by using the separate Critical Path method (CPM) activities including but not limited to: design; Project ROW activities, Environmental Commitments, and mitigation activities; construction; testing; permitting; Submittal preparation, reviews, resubmissions, and concurrence; material and equipment deliveries; interfaces with other contractors; required Utility relocations; final inspection; Punch List; milestones, Substantial Completion; and Final Completion. Contractor

shall detail CPM activities and logic ties in the Project Baseline Schedule as necessary to show Contractor's Work sequencing and separately define all requisite SCDOT tasks. For each activity in the Project Baseline Schedule, Contractor shall indicate the duration, in days, required to perform the activity and the anticipated beginning and completion date of each activity. The Project Baseline Schedule must indicate the sequence of performing each activity and the logical dependencies and interrelationships among the activities.

The Project Baseline Schedule must include a listing of all Submittals as called out in the Contract Documents. This includes design and construction Submittals. Submittal review activities must be separate and not included in the duration of the Submittal preparation activities. Resubmittal and additional review activities must be added to the schedule as required during the monthly updates when multiple iterations are required. The Submittal Schedule within the Project Baseline Schedule must reflect the sequence and approach the Contractor intends on following during the design and construction Submittal process. Submittal packages (design or construction) shall not be combined in the Project Baseline Schedule. Each Submittal package must be followed by an appropriate review activity with the appropriate review period as specified within the Contract Documents. The design Submittal iteration sequence for a single design Submittal package shall include:

- A. Prepare & Submit [specified package]
- B. Initial Review [specified package]
- C. Respond to Comments [specified package]
- D. Status Comments [specified package]
- E. Submit Responses [specified package]
- F. Update Statuses / Closeout Comments [specified package]

The added activities to represent additional Submittal iterations of any package must include the iteration number within the activity ID and activity description. Actualized dates for each step in each sequence (activity) must be accurate.

Contractor shall use the Project Baseline Schedule to coordinate all activities on the Project, including those with other entities, such as Subcontractors, vendors and Suppliers, Utility companies, Governmental Entities, and SCDOT.

Contractor shall also use the Project Baseline Schedule as the basis for Monthly Progress Schedule Submittals. The completion/acceptance of the Project Baseline Schedule is a condition to commencement of any Design & Construction Work.

After the execution of significant contract changes, the SCDOT will request the Contractor to prepare and submit a revised baseline schedule that complies with the new contract completion dates and contract amounts. Changes to the payout schedule may also be necessary.

If the Contractor believes a baseline revision is needed, the Contractor shall provide a request, in writing, to SCDOT.

110.6.10 MONTHLY PROGRESS SCHEDULE

Within 10 Business Days of the pay estimate period end date / Data Date, Contractor shall submit the Monthly Progress Schedule to SCDOT for acceptance in SCDOT's good faith discretion. The Monthly Progress Schedule must reflect progress up through the closing date, forecast finish for in-progress activities, and re-forecast early dates for activities planned in the next update period. The Monthly Progress Schedules must include the following:

- A. Actual start and finish dates for completed activities.
- B. Actual start dates, percentage complete, and remaining duration for activities in progress. Do not under- or over-report progress.
- C. All proposed activities, logic, and restraint date revisions required to:
 - 1. Implement changes in the Work;
 - 2. Detail all impacts on preexisting activities, sequences, and restraint dates;
 - 3. Reflect Contractor's current approach for completion of remaining Work;
 - 4. Incorporate any Delays that have been negotiated between SCDOT and Contractor; and
 - 5. Include any approved Change Orders.
- D. Planned start and finish dates for future activities.
- E. Progress for the current invoice Submittal for Project activities.
- F. Application of actual units and costs for each completed or in-progress activity.

Actual costs and percent complete applied in the update must align with the approved pay application actual costs and percent complete for each activity.

Do not apply actual start dates to activities that have not substantially started. All activities that reflect 100% complete must also have an accurate actual finish date applied. Punch List Work on any activity shall not preclude application of an actual finish date. Do not apply actual units or cost greater than zero (0) for any not-started activity.

If Work is performed out of sequence, Contractor shall apply logic corrections to reflect correct as-built logic and to allow the out-of-logic sequence Work to proceed in the network. Contractor shall document and justify these changes, which must be highlighted or identified, in any Monthly Progress Schedule, updated narrative, and corresponding Monthly Progress Report. All significant changes in the sequence of construction that deviates from the accepted Baseline Schedule must first be approved by SCDOT and submitted as a Schedule Revision.

Once the Monthly Progress Schedule is accepted by SCDOT, Contractor shall use the Monthly Progress Schedule as the basis for the next Monthly Progress Schedule. All changes made in schedule updates or revisions must be documented in the Narrative or relevant Activity Notebook Field along with reasons for the change. All changes are subject to acceptance by SCDOT. SCDOT has no obligation to approve payment of an invoice until SCDOT receives an acceptable Monthly Progress Schedule and all other conditions for acceptance have been satisfied.

110.6.10.1 Contract Schedule Performance Evaluation

- A. The Contractor shall update actual completed quantities and physical percent complete (% of Work complete for the activity) for all activities impacted during the most recent estimate period. Budgeted cost of Work performed (Earned Value EV) and budgeted cost of Work planned (Planned Value PV) from the accepted Baseline schedule are used to determine Project performance using Schedule Variance Index (SVI) where $SVI = (EV - PV) / PV$. The Planned Value shall be based on cumulative expected earnings according to the early calendar of the accepted Baseline schedule.
- B. Where the SVI at the end of any reporting period is greater than or equal to -0.10, SCDOT shall continue to monitor payouts, completion of Critical Path activities, and the forecasted completion of Project milestones. If at any time, SCDOT believes progress reported is in error or the forecast for completion of Project milestones is not reasonably presented and realistic, SCDOT reserves the right to initiate the Issue Resolution Ladder Process per Agreement Section 21.2.1.1.
- C. Where the SVI at the end of any reporting period is less than -0.10 (which is considered to be falling behind schedule):
 - 1. First occurrence: A Preliminary Notice of Delinquency (PND) will be issued w/o bonding notification and request Recovery Schedule.
 - 2. Second occurrence:
 - a. PND w/o bonding notification and request recovery schedule.
 - b. Initiate Issue Resolution Ladder Process per Agreement Section 21.2.1.1.
- D. Where any schedule update Submittal reflects the Project Completion date is beyond the current Contract Completion Date, or the planned completion of any contractual milestone or commitment date exceeds the Contract or commitment by 1 – 29 calendar days:
 - 1. First occurrence: SCDOT shall continue to monitor payouts, completion of Critical Path activities, and the forecasted completion of Project milestones. If at any time, SCDOT believes progress reported is in error or the forecast for completion of Project milestones is not reasonably presented and realistic, SCDOT reserves the right to initiate the Issue Resolution Ladder Process per Agreement Section 21.2.1.1.
 - 2. Second occurrence:
 - a. PND w/o bonding notification and request Recovery Schedule.

- b. Initiate Issue Resolution Ladder Process per Agreement Section 21.2.1.1.
- E. When the criteria for a Recovery Schedule in TP Section 110.6.13 are satisfied:
 - 1. First occurrence: Follow Recovery Schedule requirements per Agreement Section 7.11.
 - 2. Second Consecutive Occurrence:
 - a. Follow Recovery Schedule requirements per Agreement Section 7.11.
 - b. Initiate Issue Resolution Ladder Process per Agreement Section 21.2.1.1.

110.6.11 MONTHLY PROGRESS REPORT

Contractor shall provide additional, separate, filtered reports of the Project activities and Work Elements based on the Monthly Progress Schedule with the Monthly Progress Report, including the following in PDF format:

- A. Description of coordination with Utility Companies and accomplishing Utility Work.
- B. Bar chart schedule sorted by Elements, indicating the physical status of all activities as of date of the update.
- C. Graphical report, which compares Contractor's progress to planned progress by Elements.
- D. Design Document Submittals for the forthcoming period.
- E. Tabular report listing all activities with 20 workdays or less Float.
- F. 60-day look ahead report specifically highlighting all of SCDOT and Governmental Approvals required.
- G. Critical items graphical report for each Critical Path sorted by activity early start date.
- H. Monthly cash flow and expenditure curves by WBS.
- I. Discussion of actions/corrections to be taken to achieve current contractual Schedule milestones.
- J. Reporting of noncompliance events from the previous month.
- K. Bar chart including activities that should have started or finished but failed to do so in the previous reporting period.
- L. Tabular report No. 1 listing: All activities' Activity ID, Activity Name, Responsible Party, Longest Path, Original Duration, Remaining Duration, Actual Duration, At Completion Duration, Physical % Complete, Start, Finish, Late Start, Late Finish, Free Float, Total Float, BL Project Start, BL Project Finish, Variance – BL Project Finish Date, Calendar.
- M. Tabular report No. 2 listing: All activities' Activity ID, Activity Name, Original Duration, Remaining Duration, Phys % Complete, Start, Finish, Budgeted Total Cost, Planned Value Cost, Earned Value Cost, Actual Total Cost, Remaining Total Cost, Schedule Performance Index, Schedule Variance, Schedule Variance Index.

- N. Notebook entry report for any new or changed Project level, WBS level, or activity level entries.

All changes made in the schedule updates or revisions are subject to acceptance by SCDOT.

Contractor shall submit the Monthly Progress Report package to SCDOT together with the Monthly Schedule update. Ensure the applicable reports provided align with, and are generated from, the same native XER file submitted in the Monthly Schedule update package.

The Contractor shall present the most current schedule at progress meetings to discuss any issues and upcoming events. When requested by SCDOT, the Contractor shall attend meetings to specifically discuss issues about the schedule.

110.6.12 BASELINE SCHEDULE REVISION

After the execution of significant contract changes, the SCDOT will request the Contractor to prepare and submit a Revised Baseline schedule that complies with the new contract completion dates and contract amounts. SCDOT may direct the Contractor to provide a revised Project Baseline after such occurrence.

If the Contractor believes a Baseline revision is needed, the Contractor shall provide a request, in writing, to SCDOT with the following information:

- A. An electronic copy of the proposed revised baseline Schedule Narrative which also include rationale warranting a new revised baseline.

The revised Baseline shall be prepared and submitted in accordance with scheduling and narrative requirements contained in TP Section 110.6. A decision for acceptance of a revised baseline will be made by the SCDOT within 20 Business Days of receipt.

110.6.13 RECOVERY SCHEDULE

Unless otherwise directed in writing by SCDOT, if at any time that is eighteen (18) months prior to the Substantial Completion Deadline, SCDOT's review of the Monthly Progress Schedule indicates the Substantial Completion Deadline will slip by 60 or more calendar days, Contractor shall prepare a Recovery Schedule which displays how Contractor intends to reschedule those activities to regain compliance with the milestones and the Agreement. All costs incurred by Contractor in preparing, implementing, and achieving the Recovery Schedule shall be borne by Contractor and shall not result in a change to the Contract Price. Whenever a Recovery Schedule is required, Contractor shall provide the following information:

- A. Transmittal letter;
- B. Time-scaled network diagram;
- C. Electronic copy of the P6 XER file used for the proposed Recovery Schedule; and

- D. Narrative describing any proposed changes to the Project Schedule, in detail, with justification for the changes, including the following:
1. Changes to activity original durations;
 2. Changes to activity relationships and/or schedule logic;
 3. Cause of schedule slippage and actions taken to recover the schedule within the shortest reasonable time (e.g., hiring of additional labor, use of additional construction equipment, and expediting of deliveries);
 4. Identification of activities that have been added or modified; and/or
 5. Changes to the Project Schedule's Critical Path.
 6. The Recovery Schedule shall be prepared and submitted in accordance with the scheduling requirements contained in TP Section 110.6.

Within 10 Business Days of receipt of SCDOT's written direction, Contractor shall submit the Recovery Schedule to SCDOT for acceptance in SCDOT's good faith discretion. Contractor shall not be required to prepare a Recovery Schedule if Contractor requests in writing, entitlement to an extension of the design and/or construction period, due to Relief Event Delay(s).

SCDOT will provide comments or acceptance within 10 Business Days of receipt of the Recovery Schedule. Within 5 Business Days after any rejection by SCDOT of the Recovery Schedule, Contractor shall resubmit a revised Recovery Schedule addressing SCDOT's comments. If the Recovery Schedule is still not acceptable to SCDOT and not resolved within 10 Business Days, then SCDOT will have the right to withhold 5% of progress payments until SCDOT has approved such Recovery Schedule. When SCDOT accepts Contractor's Recovery Schedule, Contractor shall, within 5 Business Days after SCDOT's acceptance, incorporate such schedule in the updated Project Schedule, deliver the same to SCDOT, and proceed in accordance with the accepted Recovery Schedule.

110.6.14 LOOK-AHEAD SCHEDULE

The look-ahead Schedule is a computer-generated bar chart that indicates the previous week's Work and the Work planned for the next 4 weeks. No later than 12:00 pm each Monday, Contractor shall submit the weekly look-ahead Schedule to SCDOT. Contractor shall base the look-ahead Schedule on the Project CPM Schedule and provide a greater breakdown of the Project Schedule activities including but not limited to design Submittals, Utilities, construction, and major traffic shifts. The look-ahead Schedule must clearly note and explain any Deviations from the current accepted Project Schedule. Contractor shall reference the Project CPM Schedule activity identification number (Activity ID) for each item in the look-ahead Schedule and define subsequent specific daily operations for all Work activities reflected during the 4-week period. The look-ahead schedule must also include references, annotation, or an indicator to the current Progress CPM Schedule Critical Path activities and for any activities to be performed during night-time operations. The Contractor shall review and discuss the look-ahead schedule at each weekly Project meeting.

110.6.15 AS-BUILT SCHEDULE

Within 20 Business Days prior to scheduled Final Completion, Contractor shall submit the As-Built Schedule to SCDOT. Contractor shall prepare an As-Built Schedule that includes actual start dates, actual finish dates, and as-built logic which reflects the actual sequence of Work performed for all activities. The As-Built Schedule, once accepted, serves as the final update of the Project Schedule. Contractor shall include a written certification with the As-Built Schedule Submittal signed by the Project Manager and an officer of Contractor in accordance with the following:

“To the best of my knowledge, the enclosed final update of the Project Schedule reflects the actual start and completion dates, as well as the actual as-built logic of the activities for the Project contained herein.”

Submittal of the final update of the Project Schedule and the Project Manager’s certification is a condition to Final Completion in accordance with Agreement Section 6.6.4.

110.6.16 EVALUATION OF DELAYS

The Contractor shall evaluate Delays and calculate the appropriate time extension due based on the following:

- A. The Contractor shall base all evaluations of Delay and all calculations of the appropriate time extensions due on the schedules submitted to and accepted by the SCDOT. The Contractor shall not use schedules that did not exist on the Project or create schedules after the Delay has occurred to demonstrate entitlement to a time extension.
- B. The Contractor shall base evaluations and calculations related to the determination of extensions of time on the Critical Path as established by the schedules submitted to and accepted by SCDOT. The Contractor is not entitled to a time extension for Delays that do not delay the Critical Path and Project completion date. The Contractor is not entitled to a time extension for any Delay or part of a Delay that does not absorb all available positive total Float on the Critical Path activities affected. The Critical Path is defined as the longest path through a Project Schedule.
- C. The evaluations and calculations required to establish entitlement to a time extension will vary depending on the nature and timing of the Delay and whether the contract time is measured in working days, calendar days, or based on a fixed completion date.
- D. The schedules relevant to the evaluation and calculation of time extensions are the most current schedules submitted to and accepted by the SCDOT. For example, if SCDOT determines that Extra Work is required and the supplemental agreement adding this Work will be dated June 2, then the determination of the time extension due the Contractor will be based on the last schedule submitted and accepted by SCDOT prior to June 2 of the same year.
- E. The Contractor’s evaluations and calculations to document an appropriate time extension shall be performed as follows:

- F. For Delays that have not yet occurred or been absorbed into the Project, the Contractor shall use the method known in the construction industry as the prospective Time Impact Analysis (TIA) to identify and measure critical Delays. The Contractor shall not use this method to evaluate Delays that have already occurred (retrospective). In general terms, the Contractor shall perform a TIA as follows:
1. Develop a “mini” schedule depicting the changed work (hereby referred to as a fragnet). Submit the fragnet to SCDOT for approval prior to proceeding with the next step. Fragnet must include detail of all logic ties, predecessors, and successors.
 2. Identify the current Progress Schedule as described in TP Section 110.6.10. Record the scheduled completion date in this schedule.
 3. Insert the fragnet into the current Progress Schedule by properly linking the fragnet with the existing activities in the current Progress Schedule.
 4. Recalculate the current Progress Schedule with the fragnet inserted and record its scheduled completion date.
 5. The difference in the scheduled completion dates (or other milestone dates) between the current Progress Schedule and the Progress Schedule calculated with a properly inserted and properly composed fragnet is the Delay attributable to the changed Work. The time extension due, if any, will be based on this Delay.
- G. The Contractor shall use a contemporaneous analysis when evaluating Delays that have already occurred. In general terms, the Contractor shall perform a Contemporaneous Analysis as follows:
1. Identify the accepted Progress Schedule that is immediately before the start of the Delay being evaluated.
 2. Identify each Progress Schedule in effect during the Delay and prepare and submit for approval a Progress Schedule with a data date immediately following the conclusion of the Delay.
 3. Identify the Critical Path each day from immediately before the start of the Delay to the accepted Progress Schedule immediately following the Delay.
 4. Determine whether the Delay falls on the Critical Path.
 5. If the Delay does not fall on the Critical Path, then no Project Delay occurred, and no time extension is due.
 6. If the Delay falls on the Critical Path, then determine the number of days the Critical Path is delayed. The time extension due, if any, will be based on this Delay to the scheduled completion date.
 7. Consideration shall be taken into account for unreasonable and/or extended actual durations and periods of time where activity was stalled through no fault of SCDOT.
- H. Concurrent Delays are two separate and independent delays that both delay the critical path at the same time. Concurrent Delays can occur when a CONTRACTOR caused delay is concurrent with an SCDOT-caused delay, when a delay that is the responsibility of neither the CONTRACTOR nor SCDOT is concurrent with an SCDOT-caused delay, or when a CONTRACTOR caused delay is concurrent with a delay that is the

responsibility of neither the CONTRACTOR nor SCDOT. In each of these scenarios, the Contractor is entitled to an extension of Contract Time but is not entitled to recover additional time-related costs for the period of concurrency.

110.6.17 SUBMITTALS

If SCDOT determines any schedule Submittal is deficient, it will be returned to the Contractor. A corrected Submittal shall be provided within 10 Business Days from the SCDOT's transmittal date unless otherwise specified elsewhere in TP Section 110.6. Unless otherwise indicated, Contractor shall submit all Submittals in electronic format. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit all schedule related Submittals in TP Table 110-6 to SCDOT in the formats described in TP Section 110.5.

110.7 HUMAN RESOURCE MANAGEMENT

110.7.1 GENERAL REQUIREMENTS

Contractor shall ensure that all personnel performing the Work satisfy the applicable requirements in accordance with TP Section 110.7.2. Contractor acknowledges and agrees that:

- A. All personnel performing Work on the Project must have the experience, skill, and knowledge to safely and efficiently perform the Work assigned to them;
- B. All personnel performing Work on the Project must also have appropriate required professional licenses and certifications; and
- C. Such licenses and certifications must be acquired prior to the individual starting Work on the Project and must be kept active throughout the Term.

TP Section 110.7.2 provides a brief job description and requirements of Key Personnel assigned to the Project.

110.7.2 KEY PERSONNEL

Contractor shall designate the Key Personnel for the Project as follows:

- 1. Project Manager
- 2. Lead Design Engineer
- 3. Structural Engineer
- 4. Construction Manager

Replacement and/or staffing of all Key Personnel positions must follow the processes described in this TP Section 110.7.2.

110.7.2.1 Project Manager

The Project Manager shall be the primary Person in charge of and responsible for delivery of the Project in accordance with the contract requirements. The Project Manager should have full authority to make final decisions on behalf of the Contractor and have responsibility for

communicating these decisions directly to SCDOT, with exception of decisions associated with the quality assurance of the Work or materials. The SOQ must identify the Project Manager and the employing firm and, confirm the Project Manager has full authority, or clearly define what authority the Project Manager has to finalize decisions, the role of the executive level in those decisions, and the role and responsibility of the Project Manager relative to the member firms. The Project Manager shall be available to be on-site during all construction activities, attend and lead weekly status meetings during the design and construction phases, and be available at the request of the SCDOT. For the duration of this procurement, the Project Manager will be considered unavailable for other SCDOT Design-Build procurements. If the Proposer is successful, the Project Manager shall be dedicated solely to managing the Project; have no other assigned Project responsibilities and not be utilized on any other projects.

The Project Manager's relevant experience includes the following:

- A. A minimum of 10 years of progressive experience that demonstrates growth in responsibility and expertise in the management of highway transportation projects.
- B. The Project Manager must provide qualitative or quantitative proof that demonstrates experience in the management of projects with similar:
 - 1. Scope – project requirements, tasks, goals and deliverables;
 - 2. Magnitude – workload, contract size, and resources needed to successfully complete the project; and
 - 3. Complexity – time constraints, sequencing, site accessibility, environmental concerns, engineering, uncertainty, and risk.

110.7.2.2 Lead Design Engineer

The Lead Design Engineer shall be in charge of and responsible for all aspects of the design of the Project, subject to Oversight of the Project Manager. For the duration of the design phase, the Lead Design Engineer shall be assigned to the Project full-time during the design phase, be readily available to attend meetings, address design questions when construction activities are being performed, be readily available by phone, and be on-site to perform their responsibilities throughout the Project duration. The Lead Design Engineer shall be a full-time employee of the lead design firm. The Lead Design Engineer's relevant experience and qualifications includes the following:

- A. Minimum of 10 years of experience that demonstrates growth in responsibility and expertise in the management of highway transportation projects.
- B. Provide qualitative or quantitative proof that demonstrates experience in the management of projects with similar:

1. Scope – project requirements, tasks, goals and deliverables;
2. Magnitude – workload, contract size, and resources needed to successfully complete the project; and
3. Complexity – time constraints, sequencing, site accessibility, environmental concerns, engineering, uncertainty and risk.

110.7.2.3 Structural Engineer

The Structural Engineer shall have a minimum of 10 years of progressive experience in the design of bridges and roadway structures. The Structural Engineer shall provide qualitative or quantitative proof that demonstrates experience in the design of projects with similar:

- A. Scope – project requirements, tasks, goals and deliverables;
- B. Magnitude – workload, contract size, and resources needed to successfully complete the project;
- C. Complexity – time constraints, sequencing, site accessibility, environmental concerns, engineering, uncertainty and risk

110.7.2.4 Construction Manager

The Construction Manager shall be responsible for all aspects of the construction of the Project, subject to Oversight of the Project Manager. For the duration of construction, the Construction Manager shall be dedicated solely to construction of the Project, shall have no other assigned Project responsibilities, and shall not be utilized on any other projects. The Construction Manager shall be on-site to perform their responsibilities throughout the Project duration. For the duration of construction, the Construction Manager shall attend weekly status meetings and be available at the request of the SCDOT.

The Construction Manager's relevant experience and qualifications includes the following:

- A. 7 years of experience that demonstrates growth in responsibility and expertise in the management of highway transportation projects.
- B. Provide qualitative or quantitative proof that demonstrates experience in the management of the construction phase of projects with similar:
 1. Scope – project requirements, tasks, goals and deliverables;
 2. Magnitude – workload, contract size, and resources needed to successfully complete the project; and
 3. Complexity – time constraints, sequencing, site accessibility, environmental concerns, engineering, uncertainty, and risk.

110.8 SUBMITTALS

TP Table 110-6 reflects a list of Submittals identified in TP Section 110 and is not intended to be an all-inclusive listing of Submittals. Contractor shall determine and submit all Submittals as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract

Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 110-6: Submittal Summary

Submittals	Level of Review*	Number of Copies	Submittal Schedule	TP Section Reference
		Electronic		
Document Management Plan	2	1	Prior to issuance of NTP	110.3.2
QMP-General Requirements	2	1	Prior to issuance of NTP	110.4.2.1
Quality Records	3	1	In accordance with requirements of <u>TP Attachment 110-1</u>	110.4.2.1.3
Results of Internal Audits	2	1	Not later than 5 Business Days after its completion	110.4.2.1.3
Non Conformance Report (NCR)	2	1	Not later than 48 hours after discovery of the Nonconforming Work.	110.4.2.1.3
Annual Audit Report	2	1	Not later than 30 days after the end of each applicable calendar year	110.4.2.1.3
PSQMP	2	1	Prior to issuance of NTP	110.4.2.2
CQMP	2	1	Prior to construction start	110.4.2.3
Review Comment Responses	2	1	Concurrent with the subsequent Submittal (for verification review)	110.5.5.1
CRM Notes	2	1	Not later than 5 Business Days after the CRM	110.5.5.1
Copies of all Governmental Entity Correspondence and Submittals that Contractor submits or receives from Governmental Entities	2	1	Not later than 48 hours after submission or receipt	110.5.5.2
Submittals List	2	1	Prior to issuance of NTP	110.5.7
Updated Submittals List	3	1	On the first Business Day of each month	110.5.7
Submittal Schedule	2	1	Prior to issuance of NTP	110.5.8
Updated Submittal Schedule	3	1	On the first Business Day of each month	110.5.8

Submittals	Level of Review*	Number of Copies	Submittal Schedule	TP Section Reference
		Electronic		
Preliminary Design Submittal	2	1	When the design for a given Element or segment is approximately 30% complete	110.5.9.4
Right of Way Design Submittal	2	1	When the design for a given Element or area is approximately 60% complete	110.5.9.5
Final Design Submittal	2	1	When the design for a given Element or area is approximately 95% complete	110.5.9.6
RFC Submittal	3	1	When the design for a given Element is 100% complete and all previous comments have been addressed and appropriately incorporated	110.5.9.7
Conformed RFC Submittal	3	1	Not later than 20 Business Days after the Submittal of final RFC Submittal by Contractor	110.5.9.8
Shop Drawings and Working Drawings	2	1	Per Section 725 of the Standard Specifications	110.5.10.1
RFIs	2	1	Not later than 15 Business Day prior to implementation of the associated RFI Work	110.5.10.2
SCDOT-initiated RFIs	2	1	Not later than 5 Business Days after receipt of the SCDOT initiated RFI	110.5.10.2
Design Changes	2	1	Varies	110.5.9.3
As-Built Plans	2	1	As a condition of Final Completion in accordance with <u>Agreement Article 6.6.4</u>	110.5.9.4
Schedule Narrative	2	1	Concurrent with each Project Schedule Submittal	110.6.7
Project Baseline Schedule	2	1	Prior to issuance of NTP	110.6.9
Monthly Progress Schedule	2	1	Within 10 Business Days of the pay estimate cutoff date	110.6.10

Submittals	Level of Review*	Number of Copies	Submittal Schedule	TP Section Reference
		Electronic		
Baseline Schedule Revision	2	1	Upon approved request, when significant changes in contract scope, changes in SCDOT priorities, Delays beyond the control of the Contractor occur, or issuance of significant RFC Plans.	110.6.12
Recovery Schedule	2	1	Within 10 Business Days of receipt of SCDOT written direction or when any Critical Path item slips by 60 calendar days or more	110.6.13
Revised Recovery Schedule	2	1	Not later than 5 Business Days after rejection by SCDOT of the Recovery Schedule	110.6.13
Updated Project Schedule	2	1	Not later than 5 Business Days after SCDOT's acceptance of a Recovery Schedule	110.6.13
As-Built Schedule	2	1	Within 20 Business Days prior to Final Completion	110.6.15
Look-Ahead Schedule	2	1	No later than 12:00 pm on Monday of each week.	110.6.14
Monthly Progress Report	2	1	Concurrent with each Project Schedule Submittal	110.6.11
Prospective Time Impact Analysis	2	1	With each Relief Request or SCDOT Request for Proposal when applicable (prospective Delay analysis). Prior to Delay occurring.	110.6.16
Contemporaneous Period (Windows) Analysis	2	1	With each Relief Request when applicable (retrospective Delay analysis). After Delay has been absorbed.	110.6.16

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

End Section

111 THIRD-PARTY AGREEMENTS

111.1 GENERAL REQUIREMENTS

Contractor shall perform all Third-Party Agreement Work in accordance with TP Section 111. Contractor shall provide all Third-Party Agreement Work to support design and construction of the Project.

111.2 ADMINISTRATIVE REQUIREMENTS

The Third-Party Agreements are listed in TP Table 111-1. Contractor shall perform all SCDOT obligations under or pursuant to the Third-Party Agreements unless stated otherwise in this TP Section 111.

TP Table 111-1: Third-Party Agreements

TP Attachment	Governmental Entity	Description
111-1	Town of Mount Pleasant	Town of Mount Pleasant Municipal Agreement
111-2	South Carolina Ports Authority	Memorandum of Agreement

111.3 SCDOT RETAINED OBLIGATIONS

111.3.1 SCDOT WILL COORDINATE WITH THE SOUTH CAROLINA PORTS AUTHORITY (SCPA) REGARDING ANY RIGHTS-OF -WAY SERVICES.

End Section

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112 SOUTH CAROLINA PORTS AUTHORITY

112.1 GENERAL REQUIREMENTS

The project interfaces with the Wando Welch Terminal owned and operated by the South Carolina Ports Authority (SCPA). Contractor shall perform all Work adjacent to, requiring or affecting access to the SCPA property and gates in accordance with TP Section 112.

112.2 ADMINISTRATIVE REQUIREMENTS

The Contractor shall comply with the requirements of The South Carolina Ports Authority Special Provision Document, TP Attachment TP 112-1 for work adjacent to the SCPA property.

End Section

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130 PUBLIC INVOLVEMENT

130.1 GENERAL REQUIREMENTS

Contractor shall perform all public involvement Work in accordance with TP Section 130. Contractor shall conduct public involvement activities to support design and construction of the Project. The public involvement Work generally includes:

- A. Development and implementation of a Community and Public Relations Support Plan.

130.2 ADMINISTRATIVE REQUIREMENTS

130.2.1 STANDARDS

Contractor shall perform all public involvement Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

130.2.2 ROLES AND RESPONSIBILITIES

SCDOT will lead public involvement activities for this Project and will be responsible for directing the public relations and communications efforts. Unless noted otherwise in this RFP, SCDOT's responsibilities include:

- A. Utilizing the SCDOT brand guide, develop and maintain Project brand and other identifying materials including logo and templates to be used in outreach efforts during Project. The Project logo will also be used on all official materials. The Project logo shall not be used by the Contractor on any promotional materials without SCDOT approval.
- B. Develop, maintain, and update Project website.
- C. Solicit and administer advertisements and media announcements.
- D. Review and distribute all communications materials.
- E. Manage and maintain Project social media with information provided by the Contractor.

130.2.3 COMMUNITY AND PUBLIC RELATIONS SUPPORT PLAN

The Contractor shall develop a Community and Public Relations Support Plan. This plan shall identify the process by which the Contractor will provide SCDOT with relevant and timely construction-related information for public distribution. This plan shall identify the process by which the Contractor will assist SCDOT with promoting public awareness of the Project while efficiently and accurately communicating the Project's benefits and impacts. The Contractor's Community and Public Relations Support Plan shall include full details and descriptions of how the Contractor shall fulfill the following responsibilities assisting SCDOT with public relations and communications efforts.

130.2.3.1 Activity Reports

The Contractor shall provide activity reports to notify SCDOT of construction activities as listed below. The Contractor shall provide a

written activity report to SCDOT RCE and SCDOT Project Director on a monthly basis to assist the program with community awareness of major construction activity that may impact the traveling public and/or stakeholders who live in, work in, or represent communities and neighborhoods within the Project footprint.

Major construction activities may include but are not limited to: start of construction, major traffic shifts, lane closures, road closures, ramp closures, detours, earthwork activities, night work, utility interruptions, general construction progress updates, travel impacts for Holiday traffic, and special events as defined in subsection 601.1.3 of SCDOT's Standard Specifications, and Project completion.

Construction activity reports shall use visuals to clearly explain concepts and provide accurate and current information. Visuals must be of high enough quality for utilization on the Project website and social media platforms. The Contractor shall prepare detour or other Maintenance of Traffic maps as needed for publication by the SCDOT.

130.2.3.2 Project Coordination Meeting

The Contractor shall be prepared to discuss construction impacts to the public, protocols for disseminating construction information to SCDOT at the Pre-Construction meeting as per TP 100. This information shall be used by the Contractor in the Community and Public Relations Support Plan and by SCDOT to inform its construction communications plan.

130.2.3.3 SCDOT Meetings

On a monthly basis, the Contractor shall meet with the SCDOT Project communications team. The Contractor shall draft and submit to SCDOT relevant agenda items for SCDOT's Meeting Agenda for review 24 hours prior to the meeting.

Meeting information shall include a monthly lookahead of construction status and traffic impacts. The Contractor shall be prepared to discuss key stakeholder issues and action items. The Contractor shall ensure that all subjects of community relations and public impact from construction operations are on the agenda. These items may include, but are not limited to, traffic control phasing, graphic illustrations, and Project pictures, etc., are included on the agenda of each construction progress meeting.

The Contractor shall include supporting graphics for all information presented so that SCDOT may review, approved and/or request changes at that time.

130.2.3.4 Public Announcements

The Contractor shall use templates provided by SCDOT Public Engagement Office to draft construction announcements for construction activities. Announcements shall be drafted by the Contractor in

coordination with SCDOT RCE, SCDOT Project Director, and Public Engagement Office (PEO). Construction impact significance shall be determined by SCDOT RCE. Announcement templates will be made available via ProjectWise Deliverables Management. The Contractor shall provide communication drafts no less than twenty-one (21) working days prior to the start of the impact to allow enough time for approvals and distribution by SCDOT.

130.2.3.5 Public and Stakeholder Engagement

The Contractor shall forward to SCDOT any general stakeholder inquiries and recommended responses to the inquiry within 24 hours of receipt. SCDOT will review responses and respond to the inquiry directly with the Contractor copied.

The Contractor shall forward to SCDOT (via media@scdot.org) any inquiries from elected officials or key stakeholders identified in the database and recommended responses to the inquiry within two hours.

The Contractor shall not distribute any information, respond to inquiries, or schedule events or meetings for the public, stakeholders, or elected officials without prior approval of SCDOT's PEO.

The Contractor shall provide information for SCDOT's use in direct mailers, flyers, presentation slides, and other promotional materials when requested.

130.2.3.6 Media Relations

SCDOT's PEO is responsible for interfacing with the media. The Contractor shall direct all questions from the media to media@scdot.org and copy the SCDOT RCE and SCDOT Project Director. The Contractor shall provide Project details and visuals for media releases and advisories to SCDOT when requested.

The Contractor shall not speak to the media about the Project without prior authorization from SCDOT's Director of Public Engagement. Unauthorized communication by Contractor staff with any member of the media or elected officials may require the Contractor to replace its employee with an alternate staff member possessing equivalent experience.

130.2.3.7 Crisis Communications

The Contractor's Community and Public Relations Support Plan shall include the Contractor's rapid response protocols for a crisis that affects the Project, which includes emergencies, crashes and incidents within the Project ROW, utility incidents, a sudden, catastrophic event that materially impairs the ability to use the freeway, materially and adversely impacts construction activities, requires lane closures of an unusual or more frequent nature than normal; requires a full shutdown or detour of the

roadways within the Project limits; or otherwise creates a health or safety hazard.

The plan shall identify an individual and an alternate who must be available and can be contacted 24/7/365 when an Emergency is identified. The Contractor shall make Emergency and alternate telephone numbers available to the Project team. The crisis communications plan must include the following commitments:

- A. The Contractor's process for integrating its crisis communications protocols into the crisis communications plan.
- B. Within thirty minutes of becoming informed of the crisis, the Contractor will notify SCDOT RCE via phone call, text messaging, and email of any Emergency affecting the Project, that occurred within the Project Right of Way or required unexpected roadway closures.
- C. The crisis communications plan must include the Contractor's plan to support SCDOT's dissemination of information on an expedited basis to motorists, to the media, and through social media to make the public aware of the crisis within 90 minutes of the event.
- D. The Contractor shall prepare a written report documenting the incident and submit it to the SCDOT RCE within 24 hours of the incident. The report shall document the time, location, participants, and cause of the Incident, as well as the Contractor's action (or intended action) to resolve the incident.

130.2.3.8 Special Events

Subsection 601.1.3 of SCDOT's Standard Specifications identifies special events as events generating excessive traffic as determined by SCDOT. The Contractor shall develop a Contractor Community Event Package to document potential special events and accommodation protocols in the area. The Package shall contain:

- A. Maintained up-to-date list of special events occurring in the Lowcountry region with a specific focus on events occurring within the local jurisdictions.
- B. A list of event managers and up-to-date contact information.
- C. A plan to coordinate closures or MOT to accommodate the event traffic.

The Contractor shall coordinate with the SCDOT RCE prior to contacting event manager(s) providing specific Project information relevant to event planning and execution, including potential alternate routes and traffic management. The Contractor shall document this exchange of information in ProjectWise.

130.2.3.9 511 Notification

The Contractor shall provide the SCDOT RCE and SCDOT's Traffic Management Center with a contact list of the Contractor's communication

staff and their roles. A designated Contractor Representative will be responsible for contacting 511 to inform the operators of construction activities scheduled each day. Calls will be placed prior to construction activities starting, during construction (should changes occur due to early completion, weather, an incident at the Site, etc.), and when the activities have been completed and traffic is no longer affected. When sending the information to 511, the Contractor Representative will also send the updates to the Project team via email. If changes occur and 511 is not notified but receives information that is not consistent with the report, the operator will contact the first Person listed on the contact list to verify the alert. 511 information website and contact information will be included on social media accounts, the I-526 Lowcountry Corridor/I-526 @ Long Point Road website, in press releases, and in all distributed public information materials.

130.2.3.10 Photography / Videography

Contractor shall photograph and collect video of construction activities as needed to convey the state of the Project in materials disseminated to the stakeholders. Photos and videos must be of adequate quality to be printed electronically and streamed. Examples of activities to photograph and video include signage installation, major earthwork, bridge construction, paving, and other milestones. At a minimum, the Contractor shall provide fifteen (15) photos and five (5) one minute-long videos with monthly activity reports during and at completion of construction activities as described in 130.2.3.1 that can be utilized on the Project website and social media platforms.

130.3 DELIVERABLES

TP Table 130-1 reflects a list of Deliverables identified in TP Section 0 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and the Government Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 130-1: Deliverable Summary

Deliverables	Level of Review*	Number	of Copies	Deliverable Schedule	TP Section Reference
		Electronic			
Community and Public Relations Support Plan	2	1		Prior to issuance of NTP	130.2.3
Contractor Community Event Package (including promotional materials as needed)	2	1		45 days prior to the event	130.2.3.5
Crisis Communications Reports	3	1		Within 24 hrs of event	130.2.3.7
Monthly Photographs and Videos	3	1		Last calendar day of each month	130.2.3.14

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

End Section

140 UTILITIES

140.1 GENERAL REQUIREMENTS

Contractor shall perform all Utility Adjustment Work in accordance with TP Section 140. Contractor shall provide all Utility Adjustment Work to support design and construction of the Project.

Any descriptions of conflicts or relocations in TP Section 140 are based on conflicts and associated relocations resulting from the Schematic Design. The Contractor shall refine In-Contract Utility Adjustment Work based on conflicts resulting from the Contractor's Schematic Design.

It is the responsibility of the Contractor to obtain concurrence from the Utility Owner on what constitutes a minor change to the RFC Plans that may be constructed without prior written approval. All other deviations from the RFC Plans shall be approved by the Utility Owner in writing in advance of construction of the Utility Adjustment Work.

The Utility Adjustment Work generally includes:

- A. Utility Adjustment Work performed by Utility Company; and
- B. In-Contract Utility Adjustment Work performed by the Contractor.

140.2 ADMINISTRATIVE REQUIREMENTS

140.2.1 STANDARDS

Contractor shall perform all Utility Adjustment Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1, and with the applicable Utility Company's standards provided in TP Attachment 140-1 through TP Attachment 140-4.

140.2.2 COMMUNICATION WITH UTILITY COMPANIES

Contractor shall be responsible for coordinating, documenting, monitoring, scheduling, and providing all efforts to know and understand the Project construction and demolition activities with all Utility Companies that may be affected by the Project, including but not limited to, sending Plans, meetings, correspondence, phone calls, as may be necessary for the construction of the Project. This includes coordination with Utility Companies that will perform their own Utility Adjustment Work and coordination with Utility Companies that have chosen the Contractor to perform In-Contract Utility Adjustment Work.

140.2.3 SCDOT-PROVIDED UTILITY INFORMATION

SCDOT has performed preliminary utility coordination efforts for the Project. This effort included underground and above ground utility mapping and Subsurface Utility Engineering (SUE) in accordance with American Society of Civil Engineering (ASCE) Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data (CI/ASCE 38-02). Level B, C, and D SUE was collected between June

2018 and December 2018, and supplemented in December 2022. SUE information is provided in TP Attachment 140-5 and TP Attachment 140-6.

Utilities have been designated along the Schematic Design; however, the designation may not include all Utilities within the Schematic ROW. It is the Contractor's responsibility to verify any new Utility installed since Level B, C, and DSUE was obtained and to verify all utilities within the limits of D&C Work. Level B SUE was performed for direct buried conventional telecommunication lines 100-pair or larger, conventional telecommunication and CATV line in conduit, all fiber optic utility mains, all gas mains, all water mains, all force main sanitary sewer lines and underground transmission utilities. Level C SUE was performed for direct buried conventional telecommunication lines less than 100-pair and direct buried coaxial CATV lines. Sanitary gravity sewer lines are depicted at Quality Level 'C' (QL-C) from manhole to manhole. Services to residents and business are not included.

A general project information meeting was held with all Utility Companies to inform the Utility Companies of the Project, the Schematic Design, Project Schedule, potential conflicts, and associated Utility Adjustments. Additional meetings were held with Utility Companies to discuss their facilities in greater detail, review Prior Rights claims, anticipated conflicts and associated Utility Adjustments, and any design, coordination, or construction requirements.

Contractor shall ensure that all Utility Work performed by or on behalf of Contractor complies with the requirements of this Contract. Contractor is responsible for complying with the Utility Company requirements provided in TP Attachment 140-1 through TP Attachment 140-4.

140.2.4 PROCEDURES

Contractor shall meet with the SCDOT's Office of Alternative Delivery and Utilities Office within 30 days after issuance of the NTP. The intent of the meeting will be to review utility coordination procedures and establish points of contact and communication protocol.

Contractor shall initiate coordination with all Utility Companies performing their own Utility Adjustment Work and provide the Utility Companies with design Plans for their use in developing relocation sketches as soon as the Plans have reached a level of completeness adequate to allow the Utility Companies to fully understand the Project impacts. If a party other than the Utility Company prepares relocation sketches, there shall be a concurrence box on the Plans where the Utility Company accepts the relocation sketches as shown.

Contractor shall hold utility coordination meetings on a bi-weekly basis or more often as needed, with SCDOT and the Utility Companies to communicate with the Utility Companies, Contractor's staff, and others to ensure that conflicts are being resolved throughout the duration of the design of the Project.

140.2.5 PRIOR RIGHTS DETERMINATION

Utilities that have Prior Rights meet the definition in Exhibit 1. SCDOT will certify any Prior Rights claims. SCDOT will provide any certified Prior Rights information collected as of the Setting Date. Utility Company specific certified Prior Rights information, if applicable, will be provided as part of TP Attachment 140-1 through TP Attachment 140-4. Contractor is responsible for collecting and reviewing Prior Rights Documentation for all other Utilities and will make a recommendation to SCDOT on Prior Rights certifications.

Contractor shall certify to SCDOT that all Utilities have been identified and that those Utility Companies with Prior Rights or other claims related to relocation or coordination with the Project have been relocated or their claims otherwise satisfied or will be satisfied by Contractor.

140.3 DESIGN REQUIREMENTS

Contractor shall minimize impacts to all Utilities. Utility Adjustments within the Project ROW must comply with the requirements of the SCDOT *Utilities Accommodations Manual, A Policy for Accommodating Utilities on Highway Rights-of-Way*, except as modified in the Contract Documents.

140.3.1 UTILITY IDENTIFICATION

Contractor shall verify that all Utilities within the Project ROW have been designated. Utility contact information, quick reference table, base CADD file(s), utility sheets, encroachment permits, and an encroachment permit log can be found in the Project Information Package.

140.3.1.1 Utility Companies

Contractor shall identify and verify the location of all Utilities within the Project Limits or otherwise affected by the Work. Utility Companies or agencies known to have facilities within or near the Project Limits include the following:

- A. Mount Pleasant Waterworks – water, gravity sewer and force main;
- B. Dominion Energy Distribution – electric/power;
- C. Dominion Energy Lights – street lighting;
- D. Dominion Energy Transmission – electric transmission;
- E. Dominion Energy Gas - gas;
- F. SCDOT ITS – ITS and traffic signals;
- G. Berkeley County Electric Cooperative – electric/power;
- H. AT&T – telecommunications and fiber optic cable;
- I. Sagra/Spirit – telecommunications and fiber optic cable;
- J. Level 3/Lumen/Century Link (lease from Windstream) – telecommunications and fiber optic cable;
- K. Earthlink/Windstream – fiber optic cable;
- L. Charter/Duke Net – communications cable;
- M. Comcast – communications cable;
- N. Wide Open West – communications cable

- O. Verizon Wireless – small cell;
- P. American Tower Corporation (Private) – cell tower; and
- Q. South Carolina Ports Authority (SCPA) (Private) water, sewer/lift station, electric and telecommunications, electric service station.

140.3.1.2 Investigations

Contractor shall be responsible for all conflict avoidance measures, including all temporary relocation costs, if applicable, and eliminating any conflicts among all Utilities within the Project's right of way. This includes performing such design Work, additional Subsurface Utility Engineering (SUE) (including quality Level A), surveying and coordinating to avoid conflicts, including specifically where Utilities from different Utility Companies are co-located or closely located. Contractor shall ensure that each relocated Utility does not present a current or future conflict with the Project or other Utility Adjustments. The resolution of any conflicts between Utility Companies and the construction of the Project shall be the responsibility of the Contractor.

Contractor shall conduct a Reasonable Investigation of the Project Work Site prior to commencement of any construction Work in any particular area to facilitate proper identification of all utility facilities in that area and include in its design Work all such utility facilities so as to minimize disruption to Utilities and Utility Companies' normal operations, to avoid conflicts between or among Utilities and the completed Project where possible, and to minimize conflicts where conflicts cannot be avoided. If there is a Dispute between the Contractor and SCDOT as to whether a Utility Adjustment is required, SCDOT shall have the final determination.

Contractor at all times shall coordinate and cooperate, and require its Subcontractors, consultants, and suppliers to coordinate and cooperate with SCDOT, Utility Companies, adjacent property owners, and any third parties (whether retained by or on behalf of SCDOT) performing with, on or around the Project's right of way.

140.3.2 UTILITY CONFLICT MATRIX

Contractor shall prepare a database of utility conflicts with individual utility conflict numbers that facilitates tracking and reporting of Utility Adjustment Work including location, size, Prior Rights, and cost associated with the Utilities.

140.3.3 DESIGN DOCUMENTS

Contractor is responsible for and shall incorporate all Utility Information and Utility Adjustment Work into the Design Documents. Contractor shall be aware of the boundaries of the Project study area (PSA) and the impacts documented in the I-526 Low Country Corridor Loing Point Road Interchange Improvements Environmental Assessment and Finding of No Significant Impact (EA/FONSI) by the Federal Highway Administration (FHWA) on August 22, 2024, and any subsequent NEPA Re-Evaluations, and US Army Corps of Engineers (USACE) Section 404 Individual Permit (pending) and any subsequent modifications (TP

Attachment 160-2 and TP Attachment 160-5) and shall avoid or minimize impacts to the most practicable extent. In cases where impacts cannot be avoided, or if the Contractor elects to construct the Project in a manner that is not consistent with the assumptions in the SCDOT prepared environmental Documents, Contractor shall be responsible for performing a NEPA Re-Evaluation and any necessary permit modifications; see Agreement Article 4 and TP Section 160 for additional information.

Prior to permit application, Contractor shall obtain Utility Company approval of Design Documents prepared by Contractor. Contractor shall apply for and receive all necessary permits, including Construction Permit Application for Water / Wastewater Facilities through South Carolina Department of Environmental Services (SCDES), for the Utility Adjustment Work. See TP Section 160 and Agreement Article 4 for information on USACE Section 404 Individual Permit already obtained by SCDOT and for additional USACE Section 404 Permits, mitigation, and coordination required for Utility Adjustment Work.

140.3.3.1 Plans Prepared by Contractor

Contractor shall submit Design Documents to SCDOT for review, and SCDOT shall provide the Design Documents to the Utility Company for their review. Following Utility Company's review of the Plans, Contractor shall resolve comments prior to Submittal of Released for Construction (RFC) Plans.

Contractor shall submit 30-percent, 60-percent, and 100-percent Design Documents to SCDOT for design review in accordance with TP Section 140 and TP Section 110. Plans for Utility Adjustment Work shall be independent Plans under separate cover from roadway Plans, but utility design shall be fully coordinated with the roadway design. The roadway design, including drainage systems and all structures, shall be shown in Design Documents.

140.3.3.2 Plans Prepared by the Utility Company

Contractor shall be responsible for collecting and submitting to SCDOT the following from each Utility Company whose utility facilities are located, whether by Utility Adjustment, or otherwise, within the Project right-of-way:

- Relocation sketches including letters of "no cost" where the Utility Company does not have Prior Rights;
- Utility Agreements between SCDOT and Utility Companies including documentation of Prior Rights, cost estimate and relocation Plans where the Utility Company has Prior Right; and/or
- Letters of "no conflict" where the Utility Company's facilities will not be adversely affected by the Project. Include location sketches on SCDOT Plans confirming and certifying that utility facilities do not present a conflict.
- Encroachment Permits for all Utilities encroaching into the Project ROW.

Contractor shall assemble the information required under the Utility Agreements and such other agreements between SCDOT and the Utility Company as part of the RFC Document package.

140.4 CONSTRUCTION REQUIREMENTS

140.4.1 UTILITY SERVICE MAINTENANCE

Utility Company shall maintain existing facilities in place at its expense until new facilities that are acceptable to Utility Company have been constructed, tie-ins and switch-overs have been completed, and existing facilities are ready to be removed. Contractor shall furnish the necessary equipment and furnishings required by the Utility Company. This includes any and all necessary special trench, conduit and backfill, and fence enclosures or gates required by each Utility Company. Contractor shall be responsible for installation and maintenance of all temporary facilities and maintains ownership of temporary facilities, while Utility Company retains responsibility for operation of any temporary supporting systems facilities which support the operation of the Contractor's temporary facilities.

140.4.1.1 Mount Pleasant Waterworks Critical Utility Service/Shutdown Limitations

Mount Pleasant Waterworks has identified two critical customers in the Project area:

- Roper Mount Pleasant Medical Center at 570 Long Point Road, and
- A resident at 581 Antebellum Lane.

If water service is disrupted to these two customers, the Contractor shall provide other means of providing water to these customers prior to and for the duration of service interruption.

Any anticipated shut down of Mount Pleasant Waterworks waterlines greater than 12-inches in diameter shall be coordinated with the construction schedule for the Charleston County Highway 41 Corridor Improvements Project. In no case shall a water main greater than 12-inches in diameter be shut down on Long Point Road Interchange Improvement Project and the Highway 41 Corridor Improvement Project simultaneously. The Contractor shall coordinate with SCDOT, Town of Mount Pleasant Waterworks, Charleston County, and the Highway 41 Corridor Improvements Project Contractor to schedule water main shutdown a minimum of four (4) weeks in advance of any proposed shutdown.

140.4.2 EXISTING UTILITY PROTECTION

Contractor shall determine if utilities require Protection in Place in accordance with SCDOT *Utilities Accommodations Manual, A Policy for Accommodating Utilities on Highway Rights-of-Way* except as modified in TP Section 140.

140.4.3 ABANDONMENT AND REMOVAL

Contractor shall determine if existing utilities require Abandonment or removal and shall abandon / remove existing utilities in accordance with SCDOT *Utilities Accommodations Manual, A Policy for Accommodating Utilities on Highway Rights-of-Way* except as modified in TP Section 140.

140.4.4 ADVANCED UTILITY RELOCATIONS BY SCDOT

140.4.4.1 Dominion Energy Transmission

SCDOT has entered into a Utility Memorandum of Agreement with Dominion Energy Transmission for the relocation of a portion of the existing transmission line on Long Point Road from approximate stations 38+50 left to end of project on Long Point Road on the right. This relocation will be completed under a separate contract from this Project. The proposed alignment of the transmission line can be found in the Project Information Package. The Contractor shall design the Project to avoid conflict with relocated transmission line alignment, in accordance with requirements in SCDOT *Utilities Accommodations Manual, A Policy for Accommodating Utilities on Highway Rights-of-Way* and specifications in TP Attachment 140-1.

140.4.5 IN-CONTRACT UTILITY ADJUSTMENT WORK BY CONTRACTOR

In-Contract Utility Adjustment Work is anticipated for wet utilities only. More information will be provided as it becomes available.

140.4.6 UTILITY ADJUSTMENT WORK BY UTILITY COMPANY

For those Utilities that have Prior Rights and elect not to be in-contract but perform their own Utility Adjustments, such Utility Work will be covered by an executed Utility Agreement.

For those Utilities where the Contractor determines that the SCDOT has Prior Rights, Contractor may exercise these rights. If the Utility Company disputes that SCDOT has senior real property rights in such portion of the Project's right of way, then Contractor shall promptly notify SCDOT in writing, and SCDOT shall be responsible for addressing the Dispute. As between Contractor and SCDOT, SCDOT shall have final determination of the Utility Company's real property rights and specifically who, as between SCDOT and the Utility Company, which entity has Prior Rights.

After the Effective Date, Contractor shall coordinate, monitor, and otherwise undertake the necessary efforts to cause Utility Companies performing their own Utility Adjustments to perform such Work timely, in coordination with the Contractor's Work under this contract, and in compliance with the standards of design and construction and other applicable requirements specified in the Agreement.

Contractor may not authorize a Utility Company to begin its Utility Adjustments until authorized in writing by SCDOT. Any early authorization by Contractor shall be at the Contractor's risk. Contractor shall provide timely design information reasonably required by Utility Companies who are performing their own Utility Adjustments under Utility Agreements and No Prior Rights Arrangements.

140.4.6.1 Dominion Energy Transmission

SCDOT has entered into a Utility Memorandum of Agreement with Dominion Energy Transmission for the relocation of a portion of the existing transmission line on near the location of the proposed Wando Port Connector road/rear entrance to SCSPA at Shipping Lane. This relocation will be completed under a separate contract from this Project. The proposed alignment of the transmission line can be found in the Project Information Package. The Contractor shall coordinate the relocation of these facilities, based on the Contractor's final Schematic Design, in accordance with requirements in SCDOT *Utilities Accommodations Manual, A Policy for Accommodating Utilities on Highway Rights-of-Way*. The Contractor shall account in their schedule time for the design, right-of-way acquisition, and relocation necessary for Dominion Energy Transmission at/near Shipping Lane (poles 6, 7, & 8).

140.4.7 UTILITY RECORD DRAWINGS

At the time that the Contractor notifies SCDOT that Contractor has reached Final Completion, Contractor shall certify to SCDOT that all utilities have been identified and that those utilities with Prior Rights or other claims related to relocation or coordination with the Project have been relocated or their claims otherwise satisfied by Contractor. Contractor shall accurately show the final location of all utilities on the As-Built Plans for the Project, including As-Built for encroachment permit closeout of Utility Adjustment Work.

140.5 DELIVERABLES

TP Table 140-1 reflects a list of Deliverables identified in TP Section 140 and is not intended to be an -all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, the Governmental Entities, and Utility Companies.

At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 140-1: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
30% Design Documents	2	1	Per Approved CPM Schedule	140.3.3.1
60% Design Documents	2	1	Per Approved CPM Schedule	140.3.3.1
100% Design Documents	2	1	Per Approved CPM Schedule	140.3.3.1
RFC Design Documents	3	1	Per Approved CPM Schedule	140.3.3.1

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

End Section

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150 RAILROAD- THIS TP IS NOT NEEDED FOR THIS PROJECT

End Section

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160 ENVIRONMENTAL

160.1 GENERAL REQUIREMENTS

Contractor shall perform all environmental Work in accordance with TP Section 160. Contractor shall provide all environmental Work to support design and construction of the Project.

160.2 ADMINISTRATIVE REQUIREMENTS

Contractor shall perform all environmental Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

160.3 GOVERNMENTAL APPROVALS

Contractor shall be responsible for obtaining all Governmental Approvals required to complete all Work unless otherwise specified in the Agreement. This includes all permits associated with the In-Contract Utility Adjustment Work. The Contractor shall maintain and comply with all permits obtained by SCDOT and Contractor necessary for completion of this Project. Governmental Approvals previously acquired by SCDOT shall be provided to the Contractor. A summary of the provided approvals is given in TP Table 160-1. The Contractor shall comply with all local, state, and federal permitting requirements.

TP Table 160-1: SCDOT-Provided Approvals Summary

TP Attachment	Governmental Entity	SCDOT-Provided Approval	Status	Availability Date
160-1, 160-3, & 160-6	FHWA	I-526 Lowcountry Corridor Long Point Road Interchange Improvements Environmental Assessment and Finding of No Significant Impact (EA/FONSI) (8/22/2024) and subsequent re-evaluation (6/10/2025)	Executed	7/22/25
160-7	US Army Corps of Engineers (USACE)	Preliminary JD SAC-2022-01082	Completed	7/22/25
160-9	SCDES-BCM	BCM Critical Area Line Plat	Complete	7/22/25
160-11	US Army Corps of Engineers and SCDES	401 Water Quality Certification and 404 Individual Permit SAC ###	Pending	TBD
160-12	SCDES-BCM	Individual Critical Area Permit #xxxx & Associated Coastal Zone Consistency Certification	Pending	TBD

Contractor shall implement and adhere to all commitments, conditions and requirements included in, or required for compliance with, all Governmental Approvals. The EA/FONSI and subsequent NEPA Re-evaluation, Individual Section 404/401 and Critical Area permits and Individual Coastal Zone Consistency include all the commitments, conditions and requirements.

Contractor acknowledges that SCDOT-provided approvals are based on the Schematic Design. SCDOT provided approvals may either be completed Governmental Approvals, or require completion, re-evaluation, amendment, modification, or supplement as the Work progresses or to accommodate actions not identified in or permitted by those approvals. Changes to the design shown in the Schematic Design or incorporation of Contractor-Designated ROW and Additional ROW into the Project may require new or updated Governmental Approvals. Contractor shall be responsible for coordinating with SCDOT to determine whether an additional environmental study, re-evaluation, amendment, or modification is necessary to address potential impacts resulting from such changes in the Schematic Design or incorporation of Contractor-Designated ROW and Additional ROW into the Project.

Utility Adjustments and other activities outside the Schematic ROW and/or outside of the identified impact area covered by SCDOT's obtained permits may require a separate permit or modification and other associated approvals to obtain said permit(s).

If Contractor seeks an additional environmental study, re-evaluation, amendment, or modification, Contractor shall be solely responsible for pursuing and undertaking any such actions, including any Delay or cost associated therewith. Contractor shall submit the Governmental Approval Package(s) to SCDOT in accordance with TP Table 160-2. Contractor shall be responsible for ensuring that all agency coordination shall be coordinated through the SCDOT Environmental Services Office (ESO).

160.3.1 PROVIDED GOVERNMENTAL APPROVALS

160.3.1.1 US Army Corps of Engineers Section 404 Individual Permit (IP)

The Contractor shall be responsible for permit modification preparation, acquisition, and compliance. Contractor's proposed design shall reflect stream and wetland impacts equal to or less than those presented in the SCDOT's USACE IP, dated MONTH DAY, 2025. If Contractor cannot obtain equal or less impacts or proposes impacts differing from the approved IP, Contractor shall be responsible for preparing the modification submittal and providing the necessary mitigation to obtain the modification approval from USACE.

160.3.1.2 SCDES-BCM Critical Area Permit (CAP)

The Contractor shall be responsible for permit modification preparation, acquisition, and compliance. Contractor's proposed design shall reflect tidal water impacts equal to or less than those presented in the SCDOT's CAP, dated MONTH DAY, 2025. If Contractor cannot obtain equal or less impacts or proposes impacts differing from the approved CAP, Contractor shall be responsible for preparing the modification submittal and providing

the necessary mitigation to obtain the modification approval from SCDES-BCM.

160.3.2 ALL OTHER GOVERNMENTAL APPROVALS APPLIED FOR OR ISSUED IN SCDOT'S NAME

Contractor shall provide assistance for Governmental Approvals that must be formally submitted or issued in SCDOT's name. In cases that require SCDOT to act as the coordinating Party for Governmental Approvals, Contractor shall provide all required data to support, secure, or comply with the conditions of such Governmental Approvals. SCDOT shall cooperate in this effort and perform the functions that the permitting process dictates must be performed by SCDOT. The Contractor shall submit permit applications to SCDOT, and SCDOT will submit the permit application to the appropriate Governmental Entity indicating that Contractor is acting as an agent for SCDOT. SCDOT has undertaken certain preliminary work, which are included in the TP Attachments and the Project Information Package.

160.3.3 PERMIT MODIFICATION PROCESS

- A. The Contractor shall completely update an Impacts and Mitigation summary table based on Contractor's projected impacts resulting from its design. The table shall be part of the SCDOT's USACE Section 404 IP and/or the BCM modification request. Contractor is responsible for showing all individual feature impacts in the table. The Contractor will be responsible for any additional mitigation credits required for the Project outside those credits established for impacts previously authorized for the Project.
- B. In the table, increases in impacts shall be depicted using red text while reductions shall be depicted using green text.
- C. The Contractor shall update permit drawings according to its projected impacts as a result of final design. The Contractor shall depict design and impact changes in red on all applicable permit drawings sheets. Additionally, the Contractor shall include an updated revision date on all drawing sheets even if no impact changes occurred on those sheets. These requirements shall apply to the current modification request. Previous revisions shall be in black.
- D. The Contractor shall provide SCDOT with a schedule for the modification preparation, delivery, and anticipated need by date. The schedule shall account for internal reviews, comment responses as well as agency reviews and comment responses. Because this is a modification of the approved IP/CAP, the Contractor shall take into account appropriate review timeframes in its schedule. SCDOT will review and submit comments or approval to the Contractor within ten (10) business days of all Contractor Submittals pertaining to the IP/CAP. It is anticipated that there will be at least two pre-application meetings with USACE/BCM/SCDES 401 prior to permit modification Submittal. The meetings should cover items A-C listed above. The ESO will coordinate with the USACE/DES-BCM on all Submittals, meetings, and provide updates on behalf of the Contractor. All coordination with USACE/DES-BCM should be initiated by SCDOT.

160.4 ENVIRONMENTAL MANAGEMENT PLAN

160.4.1 ENVIRONMENTAL MANAGEMENT PLAN

Contractor shall prepare an Environmental Management Plan (EMP) that describes Contractor's approach to implementing the Environmental Commitments. The EMP must include, at a minimum, the following elements:

- A. Environmental Compliance Plan
- B. Documentation confirming that Contractor has provided each Subcontractor, including its agents associated with the design, construction, and maintenance of the Project with a copy of all permits issued by Governmental Entities for the Project;
- C. Schedule of EMP activities;
- D. Hazardous Waste Management Plan
- E. Sedimentation and Erosion Control Plan per TP Section 714.3.3.2

Prior to the beginning of Construction Work, Contractor shall submit the EMP to SCDOT for approval. Contractor shall not perform any Construction Work prior to SCDOT's approval of the EMP. Contractor shall review, revise, and update the EMP annually to reflect the Project's current state and to incorporate any changes attributable to revisions of State or Federal guidelines. Contractor shall prepare interim EMP revisions, in the form of addenda, if revisions to the EMP are needed before the annual update.

160.4.2 ENVIRONMENTAL COMPLIANCE PLAN

As part of the EMP, the Contractor shall provide an Environmental Compliance Plan (ECP) for the Project. The plan shall be submitted to and approved by SCDOT prior to any construction activity. The plan shall identify specific measures that the Contractor will implement to ensure compliance with all environmental Documents, permits, and Environmental Commitments. The plan shall also designate specific personnel that are charged with carrying out monitoring and compliance activities included in the Environmental Compliance Plan. A template is provided in TPA 160-10. CONTRACTOR shall include details related to all applicable sections included in the Template.

160.4.3 HAZARDOUS MATERIALS

Contractor shall manage Hazardous Materials in accordance with Agreement Section 6.8. If suspected Hazardous Materials are encountered during construction-related activity, Contractor shall cease all further disturbances and activities at that location and notify SCDOT RCE to make arrangements for assessment, treatment, and disposal of those materials. Contractor shall comply with the protocols outlined in the EMP in the event of the discovery and substance disturbance of any materials containing lead-based paint and asbestos.

160.4.3.1 Hazardous Waste Management Plan

As part of the EMP, Contractor shall prepare a Hazardous Waste Management Plan (HWMP) for the management and disposal of

Hazardous Materials, whether encountered at or brought onto the Project Site by Contractor, encountered, or brought onto the Project Site by a third party, or otherwise, during the Project duration.

The HWMP must include procedures compliant with all applicable Environmental Law and must include:

- A. For all chemicals to be used on the Project, Contractor shall keep and update Safety Data Sheets (SDS), per Occupational Safety and Health Administration (OSHA) requirements, for the Project duration.
- B. Designated individuals responsible for implementation of the plan.
- C. Procedures for identifying and documenting potential contaminated sites that might impact Project development other than those provided in the Project Information Package.
- D. Procedures and Plans for mitigation of known and unknown Hazardous Materials, contaminated or otherwise regulated waste sites anticipated to impact construction.
- E. Procedures for mitigation of contamination caused as a result of the Work.
- F. Procedures for developing a detailed Spill Response Plan. The Spill Response Plan must include specific measures for mobilizing resources to contain and mitigate spills, including resources to contain and mitigate spills into the "waters of the U.S." and/or "waters of the State." Contractor shall review and update the Spill Response Plan at least annually to incorporate advances in technology.
- G. Procedures for training personnel to responding to and mitigating Incidents involving Hazardous Materials, contamination, or regulated waste.
- H. Provisions for appropriate management and disposal of all waste generated on the Project for the Project duration.
- I. Procedures for preparing an Investigative Work Plan (IWP) and Site Investigative Report (SIR) in the event that Hazardous Materials, contamination, or regulated waste are discovered during the duration of the Project.
- J. Identification and contact information for designated responsible individuals.

The HWMP must include provisions for making all on-site workers aware of the potential Hazardous Materials and regulated waste to which they may be exposed, limiting Contractor's and other Site workers' exposure to Hazardous Materials and regulated waste, and providing all necessary personal protection equipment to protect workers from exposure. The HWMP must require Contractor to provide any non-Contractor personnel who visit the Project with the appropriate personal protection equipment.

The HWMP must require that all personnel of Contractor and its Subcontractors handling Hazardous Materials be trained and certified at least to the minimum requirements established under the current guidelines of OSHA 1910.120 (HAZWOPER Training).

The HWMP must also include procedures for ensuring that all applicable certifications, licenses, authorizations, and Governmental Approvals for personnel of Contractor that manage and dispose Hazardous Materials and regulated waste are current and valid through the duration of the Work.

160.4.4 HAZARDOUS MATERIALS TESTING AND DISPOSAL SUBMITTALS

The Contractor shall submit to SCDOT:

- Results of any Hazardous Materials' analytical testing of sampled or excavated subsurface materials as outlined in Agreement Section 6.8.
- Manifests of all Hazardous Materials requiring disposal.

Contractor shall prepare Hazardous Materials and regulated waste Documentation for all activities that require management and disposal of regulated materials, as well as any activities that require Governmental approvals and/or reporting, including but not limited to:

- A. Licenses and certifications of Contractors.
- B. Inspection Logs
- C. Testing and sampling reports.
- D. Chains of custody of abated materials.
- E. Written logs and manifests for transportation of regulated and waste materials
- F. Required Governmental items: waste approvals, report Submittals, Notices, and/or coordination.
- G. Landfill documentation and receipts.

Contractor shall submit the Hazardous Materials documentation to SCDOT in accordance with TP Table 160-2 for review and approval.

160.4.5 INVASIVE SPECIES CONTROL PLAN

As part of the EMP, the Contractor shall provide an Invasive Species Control Plan (ISCP) for the Project. The plan shall be submitted to and approved by SCDOT prior to any construction activity. The plan shall identify specific measures that the Contractor will implement to prevent the introduction of invasive species to new areas and provide for their control. The Contractor shall comply with the intent of Executive Order 13112.

160.5 ENVIRONMENTAL REQUIREMENTS

160.5.1 PRECONSTRUCTION / PARTNERING CONFERENCE(S)

Contractor shall conduct one (or more, if appropriate) pre-construction/ partnering conference(s) prior to any construction activity to discuss environmental, permitting issues, which conference shall include all Subcontractors. Representatives from USACE, the SCDES and its successor agencies, Water Quality Division, FHWA, Contractor, and SCDOT shall be invited.

160.5.2 ENVIRONMENTAL COMMITMENTS

The Contractor shall comply with the Environmental Commitments applicable to the Project as set forth in the Environmental Assessment and Finding of No Significant Impact (EA/FONSI) dated August 12, 2024, and all supplemental Environmental Commitments applicable to the Project resulting from subsequent NEPA Re-evaluations.

See TPA 160-5 for the list of Environmental Commitments and instructions outlining requirements and responsibilities for SCDOT and the Contractor regarding fulfilling the Environmental Commitments for the Project. A

160.5.3 PERMIT CONDITIONS

The Contractor shall comply with the Permit Conditions applicable to the Project as set forth in approved USACE Individual Section 404 Permit dated MONTH DAY, 2025, SCDES-BCM Individual Critical Area Permit dated MONTH DAY, 2025 and all supplemental Permit Conditions applicable to the Project in subsequent permit modification(s).

See TPA 160-## for a list of 404 Permit Conditions / 401 Water Quality Certification and TPA 160-## for the SCDES-BCM Critical Area Permit, and instructions outlining requirements and responsibilities for SCDOT and the Contractor regarding fulfilling the Permit Conditions for the Project..

160.5.4 COMPENSATORY MITIGATION

SCDOT is responsible for compensatory mitigation up to but not exceeding the mitigation credits identified for the Project as set forth in the USACE Section 404 Individual Permit and SCDES-BCM Critical Area Permit. The total number of freshwater feature credits are ### and tidal credits are ###. Contractor is responsible for all compensatory mitigation requirements in excess of the aforementioned mitigation credits due to final design increases.

Contractor is also responsible for compensatory mitigation associated with the wet utilities to be relocated by the Contractor. Compensatory mitigation for wetland/stream impacts beyond the identified impact area covered by SCDOT's IP and CAP for wet Utility Adjustments may be available through an approved mitigation bank or PRM as defined in the EPA's 2008 Mitigation Rule. If the impacts associated with the wet utilities to be relocated by the Contractor do not exceed those impacts per each jurisdictional feature identified in the approved permit of the Project, no additional mitigation will be required.

160.6 CONSTRUCTION REQUIREMENTS

The Contractor shall avoid impacts to the Environment to the most practicable extent. In cases where impacts cannot be avoided, the Contractor shall minimize impacts to the Environment to the most practicable extent.

The Contractor shall coordinate all permitting through SCDOT's ESO.

The Contractor shall provide a summary report documenting how all commitments that fall within its responsibility have been satisfied.

The Contractor shall stake out and delineate the jurisdictional area and critical area using temporary barrier fence as set forth in Supplemental Specification.

The Contractor shall install a double row of silt fence along construction limits adjacent to jurisdictional features and critical area not authorized for impacts in accordance with approved USACE permit.

160.6.1 TREE-CLEARING MORATORIUM

The tricolored bat (*Perimyotis subflavus*) is currently proposed for federal listing as endangered, and it is potentially occurring in the Project. During spring, summer, and fall, tricolored bats are found in forested habitats where they primarily roost among the leaves of live or recently dead deciduous hardwood trees. Areas of the Project with potential habitat have been identified in TPA 160-4.

To minimize potential impacts to the tricolored bat, the Contractor shall not perform any tree-clearing Work between the dates of April 1st through July 15th and December 15th through February 15th each year in the areas outlined in TP Attachment 160-4.

160.7 DELIVERABLES

TP Table 160-2 reflects a list of Deliverables identified in TP Section 160 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and any applicable Government Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 160-2: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Environmental Management Plan (EMP)	2	1	As part of the Project Management Plan	160.4.1
Governmental Approval Package (in SCDOT's name)	2	1	Prior to the time of Submittal to the Governmental Entity having jurisdiction.	160.3.2.1
Governmental Approval (in Contractor's name)	3	1	At the time of Submittal to the Governmental Entity having jurisdiction.	N/A
Hazardous Materials Testing Submittals	2	1	As needed	160.8.1

*Levels of Review

4. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
5. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
6. Submittals not subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

End Section

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200 ROADWAY**200.1 GENERAL REQUIREMENTS**

Prepare the roadway geometric design for the Project using the design standards and criteria that are most appropriate based on design speed, functional classification, design traffic volumes, right-of-way, and aesthetics. The design Elements shall include, but not be limited to, the horizontal and vertical alignments, cross sectional Elements, roadside safety, intersections and interchanges.

200.2 ADMINISTRATIVE REQUIREMENTS**STANDARDS**

Contractor shall perform all roadway Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

200.3 DESIGN REQUIREMENTS**200.3.1 CRITERIA**

Classify the terrain as level on all routes within the scope of Work.

200.3.2 FUNCTIONAL CLASSIFICATION AND DESIGN SPEED

The functional classification and design speed for each roadway are listed in TP Table 200-1.

TP Table 200-1: Functional Classification & Design Speed

Route / Ramp (Local name)	Classification	Design Speed (mph)
I-526 (begin Sta. – 1426+00)	Urban Arterial (Freeway)	65
I-526 (1426+00 – end Sta.)	Urban Arterial (Freeway)	60
Line A - Directional Ramp	Urban Arterial (Freeway)	45
Line B - Directional Ramp	Urban Arterial (Freeway)	45
Rel. Line 1 - Directional Ramp	Urban Arterial (Freeway)	50
Rel. Line 3 - Directional Ramp (1375+18 – 1391+17)	Urban Arterial (Freeway)	45
Rel. Line 3 - Directional Ramp (1391+17 – 1429+98)	Urban Arterial (Freeway)	50
Rel. Line 4 - Directional Ramp (1405+00 – 1436+00)	Urban Arterial (Freeway)	50
Rel. Line 5 - Directional Ramp	Urban Arterial (Freeway)	50
Rel. Line 4 - Loop Ramp (1436+00 – 1445+45)	Urban Arterial (Freeway)	25

Route / Ramp (Local name)	Classification	Design Speed (mph)
Rel. Line 6 - Loop Ramp	Urban Arterial (Freeway)	25
S-97 (Long Point Rd.)	Urban Arterial (Principal / Multilane)	45
WP CONN (Wando Port Connector)	Urban Arterial (Multilane)	45
L-2118 (108+61 – 113+38) (Wando Park Blvd)	Urban Local Group 4	30
L-2118 (113+38 – 126+86) (Wando Park Blvd)	Urban Local Group 4	35
S-2523 (Seacoast Pkwy)	Urban Local Group 4	35
L-8861 (Shipping Ln.)	Urban Local Group 4	30

200.3.3 TRAFFIC VOLUMES

TP Table 200-2: Traffic Volumes

Route	2024 Existing Year AADT	2050 Design Year AADT	Truck (%)	Annual Growth Rate (%)
I-526 (begin Sta. – 1433+05)	75,200(2-way)			
I-526 (1435+05 – end Sta.)	61,300 (2-way)			
I-526 Eastbound (begin Sta. - 1375+00) 1-way	37,600	64,000	14	1.7
I-526 Eastbound (1375+00 1433+05) 1-way	30,600	31,600	5	1.3
I-526 Eastbound (1433+05 end Sta.) 1-way	30,650	48,000	5	1.2
I-526 Westbound (begin Sta. - 1405+00) 1-way	37, 600	64,400	12	1.7
I-526 Westbound (1405+00 - 1451+38)1-way	37,600	52,000	4	1.3
I-526 Westbound (1451+38 to end Sta.)1-way	30,650	48,000	5	1.2
Line A - Directional Ramp	-	12,400	90	3.9
Line B - Directional Ramp	-	12,400	90	3.9
Rel. Line 1 - Directional Ramp	9,300	16,400	4	1.0
Rel. Line 2 – Directional Ramp (Begin Ramp Sta. - 1442+40.40)	10,000	15,000	3	1.2

Route	2024 Existing Year AADT	2050 Design Year AADT	Truck (%)	Annual Growth Rate (%)
Rel. Line 3 - Directional Ramp (Begin Ramp Sta. – 1391+17)	17,000	32,400	32	2.0
Rel. Line 3 - Directional Ramp (1391+17 – End Ramp)	17,000	20,000	5	1.2
Rel. Line 4 - Directional Ramp (1405+00 – 1422+00)	10,200	5,400	4	1.3
Rel. Line 4 - Loop Ramp (1422+00 – 1445+45)	-	20,400	3	1.2
Rel. Line 5 - Directional Ramp	4,300	7,600	4	1.2
Rel. Line 6 - Loop Ramp	5,100	8,800	3	1.0
S-97 (Long Point Rd.) North of I-526	27,400	38,000	3	1.1
S-97 (Long Point Rd.) South of I-526	34,800	28,400	8	1.2
S-97 (Long Point Rd.) South of Wando Park Blvd.	23,300	34,200	10	1.7
WP CONN (Wando Port Connector)	-	24,800	90	3.9
L-2118 (Wando Park Blvd)	17,000	22,000	5	1.0
S-2523 (Seacoast Pkwy)	Not Available	8000	2	1.4
L-8861 (Shipping Ln.)	Not Available	600	5	2.0

200.3.4 TRAVEL LANE, SHOULDER & MEDIAN CRITERIA

With the exception of specific criteria in this section, develop travel lane, shoulder, and median widths in compliance with the SCDOT Roadway Design Manual (RDM). Guidance is found in TP Table 200-3.

TP Table 200-3: Travel Lane, Shoulder, & Median Widths

Route	Lane Width (ft)	Shoulder Width (ft) (R)-Right side (L)-Left side Set by direction of traffic			Median Width (ft)
		Total (ft)	Paved (ft)	Earth (ft)	
I-526 (begin Sta. – 1362+00)	11(R) 12(M) 11(L)	12(R) 10(L)	10(R) 4(L)	2(R) 6(L)	24
I-526 (1362+00 – 1371+25)	12	12(R) 10(L)	10(R) 4(L)	2(R) 6(L)	Varies 24' to 28'
I-526 (1371+25 – 1426+00)	12	12(R) 10(L)	10(R) 4(L)	2(R) 6(L)	50.33
I-526 (1426+00 – end Sta.)	12	12 (R) 10(L)	10(R) 4(L)	2(R) 6(L)	60' Grass

Route	Lane Width (ft)	Shoulder Width (ft) (R)-Right side (L)-Left side Set by direction of traffic			Median Width (ft)
		Total (ft)	Paved (ft)	Earth (ft)	
Line A - Directional Ramp	16' – 1 lane 24' – 2 Lane	10(R) 10(L)	6(R) 4(L)	4(R) 6(L)	N/A
Line B - Directional Ramp	16' – 1 lane 24' – 2 Lane	10(R) 10(L)	6(R) 4(L)	4(R) 6(L)	N/A
Rel. Line 1 - Directional Ramp	16' – 1 lane 24' – 2 Lane	10(R) 10(L)	6(R) 4(L)	4(R) 6(L)	N/A
Rel. Line 3 - Directional Ramp (1375+18 – 1391+17)	16' – 1 lane 24' – 2 Lane	10(R) 10(L)	6(R) 4(L)	4(R) 6(L)	N/A
Rel. Line 3 - Directional Ramp (1391+17 – 1429+98)	16' – 1 lane 24' – 2 Lane	10(R) 10(L)	6(R) 4(L)	4(R) 6(L)	N/A
Rel. Line 4 - Directional Ramp (1405+00 – 1436+00)	16' – 1 lane 24' – 2 Lane	10(R) 10(L)	6(R) 4(L)	4(R) 6(L)	N/A
Rel. Line 5 - Directional Ramp	16' – 1 lane 24' – 2 Lane	10(R) 10(L)	6(R) 4(L)	4(R) 6(L)	N/A
Rel. Line 4 - Loop Ramp (1436+00 – 1445+45)	16	10(R) 10(L)	6(R) 4(L- outside) C&G (L- inside)	4(R) 6(L- outside) C&G (L- inside)	N/A
Rel. Line 6 - Loop Ramp	16	10(R) 10(L)	6(R) 4(L- outside) C&G (L- inside)	4(R) 6(L- outside) C&G (L- inside)	N/A
S-97 (Long Point Rd.)	12	Curb & Gutter			15 (TWLTL)
WP CONN (Wando Port Connector)	12	Curb & Gutter			16 (TWLTL)
L-2118 (108+61 – 113+38) (Wando Park Blvd)	11	Curb & Gutter			15 (TWLTL)
L-2118 (113+38 – 126+86) (Wando Park Blvd)	11	Curb & Gutter			N/A
S-2523 (Seacoast Pkwy)	11	Curb & Gutter			N/A
L-8861 (Shipping Ln.)	11	Curb & Gutter			15 (TWLTL)

At the tie-in locations for all roadways, unless specified otherwise in TP Section 200.4, tie to existing conditions in accordance with the SCDOT RDM.

All freeway lane drops shall occur from the right side (outside) and shall conform to the recommended guidelines in the SCDOT RDM.

200.3.5 I-526 FUTURE TRAVEL LANES

Design the Project to accommodate the future construction. The future 12 ft. travel lane and 10 ft. paved shoulder shall be accommodated within the median. Future median width is minimum 22.5 ft. flush median with concrete median barrier.

200.3.6 HORIZONTAL ALIGNMENTS

Develop horizontal curves and superelevation in compliance with the SCDOT RDM and the SCDOT Standard Drawings.

For horizontal sight distance, use grade adjusted SSD values along interstates, collector distributors, and ramps where the downgrades are 3 percent or greater.

The use of broken-back horizontal curves is not permitted.

200.3.7 VERTICAL CURVES, GRADES, AND CLEARANCES

Develop vertical curves, grades, and clearances in compliance with SCDOT RDM. Guidance is found in TP Table 200-4.

TP Table 200-4: Maximum and Minimum Grade

Route	Maximum Grade	Minimum Grade
I-526 (begin Sta. – 1426+00)	3.0	0.5
I-526 (1426+00 – end Sta.)	3.0*	0.5*
Line A - Directional Ramp	5.0	0.5
Line B - Directional Ramp	5.0	0.5
Rel. Line 1 - Directional Ramp	5.0	0.5
Rel. Line 3 - Directional Ramp (1375+18 – 1391+17)	5.0	0.5
Rel. Line 3 - Directional Ramp (1391+17 – 1429+98)	5.0	0.5
Rel. Line 4 - Directional Ramp (1405+00 – 1436+00)	5.0	0.5
Rel. Line 5 - Directional Ramp	5.0	0.5
Rel. Line 4 - Loop Ramp (1436+00 – 1445+45)	7.0	0.5
Rel. Line 6 - Loop Ramp	7.0	0.5
S-97 (Long Point Rd.)	6.0	0.3
WP CONN (Wando Port Connector)	6.0	0.3
L-2118 (108+61 – 113+38) (Wando Park Blvd)	7.0	0.3
L-2118 (113+38 – 126+86) (Wando Park Blvd)	7.0	0.3
S-2523 (Seacoast Pkwy)	7.0	0.3
L-8861 (Shipping Ln.)	7.0	0.3

*Grades may vary when necessary to tie into the existing grades, but may not be less than or exceed the existing grades when they do not meet the above requirements.

Spline grades are only acceptable on ramps and only within the limits of the gore area.

All vertical curves shall meet the minimum length of three times the design speed ($L=3V$).

Use grade adjusted SSD values where the downgrades are 3 percent or greater.

Final vertical clearances for both proposed and existing overpassing structures shall at a minimum meet the criteria for new or replace overpassing structure in accordance with the SCDOT RDM.

200.3.8 SIDE SLOPES

Develop side slopes in compliance with the SCDOT RDM.

Fill slopes or ditch slopes steeper than 2H:1V are not allowed. See TP Section 711 for geotechnical requirements.

200.3.9 CROSS SLOPES

Develop cross slopes in compliance with the SCDOT RDM.

200.3.10 CLEAR ZONE

Use the SCDOT RDM (2021 with updates) Chapter 8 and the (AASHTO) Roadside Design Guide 2011 4th edition, based on traffic volumes, design speed, and slopes. When using Table 3-1 with a range of values, select higher value. The footnote subscript "a" shall not be used to set the clear zone. SCDOT does not typically use a 3H:1V fill slope, however, see the Roadside Design Guide for clear zone calculations where a 3H:1V fill slope is used. Use 3H:1V fill slopes only where fill heights are required to match existing conditions, and clear zone can be obtained within the project limits.

Along S-97 (Long Point Rd.) and only at parcel 163, the ASSHTO clear zone requirements tables' lower value for the road's speed and ADT may be used.

Provide guardrail and/or barrier on all approaching quadrants of each bridge. Provide MASH compliant leading end treatments (MT2 or MT3) in all locations where guardrail is terminated. Provide MASH compliant guardrail and/or barrier on all trailing end quadrants of each bridge.

For those areas where no guardrail currently exists, and no additional lanes or adjacent ramps are proposed design fill and cut slopes to obtain clear zones and to avoid the need for protection. Where achieving the clear zone requirements results in new right-of-way, wetland impacts, or impacts to immovable obstructions; protection of substandard areas is contingent upon receiving SCDOT advanced approval.

Where existing fill and cut slopes are presently protected by guardrail and no rigid barrier is proposed, replace damaged guardrail and extend/install new guardrail at locations that do not meet current MASH guardrail standards. All new guardrail shall meet MASH guardrail standards. Existing guardrail that is retained and meets NCHRP 350 requirements, may be extended or repaired using NCHRP 350 guardrail standards. Also, clear and grind in accordance with SCDOT Engineering Directive (ED) 29.

In cases where existing bridges are retained (Wando, Tidal Creek, LPR, Line 5), provide Pre-MASH guardrail on all approaching quadrants of each bridge. Provide

Pre-MASH compliant leading end treatments where guardrail is terminated. Provide Pre-MASH compliant guardrail and/or barrier on all trailing end quadrants of each existing bridge retained.

200.3.11 ROADSIDE BARRIERS

Develop roadside barriers in compliance with SCDOT Standard Drawings and the AASHTO Roadside Design Guide, 2011, 4th Edition. Include the following items in the work:

- A. Guardrail: Ensure that all new guardrail and end treatments are listed on the Qualified Products Policies & Listings.

Provide non-mow strip under guardrail along the interstate and interchange ramps in accordance with the guidance found in TP 1000, Special Provisions Section 805. Non-mow strips shall be constructed utilizing asphalt, no proprietary materials will be allowed.

Use additional length guardrail posts with compressed guardrail shoulder break only where right of way or environmental impacts dictate that standard guardrail shoulder break cannot be built. Slopes shall be designed to meet TP 711 criteria regardless of the standard drawing allowable slopes.

Do not use thrie-beam guardrail with critical offset posts at the bridge piers.

Cable Median Barrier: Only a single-run of median cable barrier is allowed. Reset or reused cable median barrier must meet current design standards and subject to RCE approval.

- B. Concrete Median Barrier: Provide concrete median barrier where pier protection is present and where proposed medians are less than 36 feet wide. Pave entire width; inside shoulder to the face of barrier.

Where concrete median barrier terminates and cable median barrier starts, use PREMASH stiffness transitions in conjunction with a PREMASH impact attenuator and provide cable barrier overlap in accordance with Standard Drawing 805-935-00.

See TP 700 for design and detailing requirements. Provide custom barrier design where required in accordance with TP 700.

- C. Concrete Roadside Barrier: Concrete roadside barrier treatment is required in the following conditions:

1. Where the clear zone of an interstate lane, auxiliary lane, or interstate ramp lane overlaps with the shoulder of an adjacent proposed or existing service road.
2. Where physical separation is required between the interstate mainline lanes and adjacent ramp or collector-distributor lanes to control access to/from the mainline.
3. To provide protection where retaining walls, noise barriers, pipe/culvert headwalls, or similar linear hazard over 10 feet in length (measured

parallel to the roadway centerline) which cause an abrupt/near vertical change in roadside grading greater than 3 feet are located within the clear zone of any roadway.

Where roadside rigid barriers and pier protection are present, pave the outside shoulder to the barrier. If concrete roadside or median barrier is required beyond the required paved shoulder width, then the area from the edge of the paved shoulder to the barrier shall be paved

Paint all new concrete barriers in accordance with SCDOT Standard Specifications.

For determination of the need for barrier protection per the conditions above, the clear zone shall be the full distance as defined in section "Clear Zones" within section 200.3.10 regardless of presence of other protection such as guardrail. Placement of the concrete barrier walls must accommodate the future corridor widening.

See TP 700 for design and detailing requirements. Provide custom barrier design where required in accordance with TP 700.

200.3.12 SIGHT DISTANCE

Develop sight distance in compliance with the SCDOT RDM.

If necessary, adjust the alignment of the roadway, concrete median and roadside barrier, and or expand the shoulders along the inside of curved traveled ways, to provide the required stopping sight distance throughout the entire length of the horizontal curves.

200.3.13 RAMPS

Develop ramps in accordance with the SCDOT RDM.

All ramps providing freeway access shall exit and enter from the right.

New ramp horizontal and vertical alignments must accommodate intersection sight distance for all movements at ramp terminal intersections.

Slip ramps shall not be used to provide direct access to or from facilities with no control of access or partial control of access. Splitting/merging interchange ramps together is acceptable.

200.3.14 INTERSECTIONS

Develop intersections in compliance with the SCDOT RDM.

Multilane roundabouts are not allowed as an intersection type.

Pedestrian & Bicycle Facilities

Additional bicycle/pedestrian accommodations are required as part of this project.

A shared-use path (SUP) shall be constructed along the right side of Long Point Road between Wando Park Blvd. and Belle Point Dr. and along the right side of Wando Park Blvd. within the limits of reconstruction. Design of the SUP shall be in accordance with AASHTO Guide for the Development of Bicycle Facilities, 2012 4th Edition.

Shared-use path:

Design speed	20 mph
Path width	10 ft.
Shoulders	4' (inside); 2' (outside)
Cross slope (path)	2.0%
Cross slope (shoulder)	5.0%

200.3.15 DESIGN EXCEPTIONS

Design Exceptions are not allowed on this project.

200.4 RIGHT-OF-WAY AND CONTROL OF ACCESS

Refer to SCDOT Roadway Design Manual Chapter 12 for practices and procedures for right of way.

Maintain fully controlled access along interstate, all interchanges, and ramp intersections, including the Wando Port Access Road to the intersection with Long Point Road. Follow SCDOT and FHWA guidelines for Control of Access at interstate interchanges.

Provide sufficient right-of-way to cover all permanent facilities. Permanent facilities is defined as the area between construction limits left and right including the NPDES limits.

Clear and grub the entirety of the right-of-way that can be accessed without crossing a wet stream, creek, or tributary, with the exception of grubbing in wetlands. Contractor shall clear but not grub in the wetlands. Refer to the SCDOT Roadway Design Manual to determine the NPDES construction limit. The NPDES then sets the clearing and grubbing limits in fill sections that are adjacent to wetlands.

Where it becomes necessary to construct retaining walls to contain cut slopes, establish the right-of-way limit a minimum of 1.2 times the wall height behind the fill face of the wall. Where it becomes necessary to construct retaining walls to contain fill slopes, establish the right-of-way limit as the lessor of either 15 feet beyond the exposed face of the wall or 1.2 times the wall height beyond the exposed face of the wall. In addition, right-of-way must completely cover all retaining wall footings and wall anchorages. Depending upon the design of the wall, additional right of way may be required to construct the wall.

200.5 DESIGN FILE

Design files may be developed using MicroStation and GEOPAK or OpenRoads software or Autodesk Civil 3D.

200.6 CONSTRUCTION REQUIREMENTS

200.6.1 TRAFFIC LANE, SHOULDER & MEDIAN CRITERIA

Where tie-in locations are within 500 feet of other proposed roadway re-alignment, intersection improvements, or other Work along the same road, resurface the existing roadway between the tie-in and other proposed improvements to create uniform rideability and appearance.

200.6.2 CLEAR ZONES

Where existing fill and cut slopes are presently protected by guardrail and no rigid barrier is proposed, replace damaged guardrail and install new guardrail at locations that do not meet current MASH standard. Also, clear and grind in accordance with SCDOT Engineering Directive 29 (ED-29).

200.6.3 ROADSIDE BARRIERS

Include the following items in the Work:

- A. Guardrail: Ensure that all new MASH guardrail and end treatments are listed on the Qualified Products Policies & Listings.

Provide non-mow strip under guardrail in accordance with the requirements found in TP 1000, Special Provisions Section 805. When guardrail is adjacent to noise barrier, extend non-mow strip pavement under guardrail to the face of the noise barrier. Non-mow strips shall be constructed of asphalt or concrete.

- B. Concrete Roadside Barrier: If concrete roadside barrier is required beyond the required paved shoulder width, then the area from the edge of paved shoulder to barrier shall be paved. See TP Section 400 for paving criteria of this area.

200.6.4 CONTROLLED ACCESS FENCING

Fencing may be installed offset from the right of way to provide space for utility maintenance. In no case shall ground mounted fence be located within the clear zone of the roadway. Fencing shall be in accordance with Standard Drawing series 806. Use chain link fence 72" throughout the Project corridor for ground mounted applications. At locations where the existing fencing is properly located 72" fencing, the fence may remain if approved by the SCDOT.

Where fencing is required to be placed between the interstate or ramp and frontage road where barrier wall is located, use 48" chain link fence on top of barrier.

When controlled access fencing is located atop roadside barriers or barrier walls, mount fence posts in galvanized pipe sleeves with bottom caps (or an approved equivalent) embedded at a depth of a minimum of six inches. Once the fence posts are installed into the sleeve, an epoxy or non-shrink, non-corrosive grout (or an approved equivalent) shall be placed around the fence post at the top of the sleeve to ensure no water will enter between the post and the sleeve. Fence post anchors mounted atop barrier wall are not allowed unless approved by SCDOT.

Controlled access fencing shall be maintained at all times.

200.7 DELIVERABLES

TP Table 200-5 reflects a list of Deliverables identified in TP Section 200 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 200-5: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Preliminary Roadway Plans	2	1	Per approved CPM Schedule	200.7.1
Right of Way Plans	2	1	Per approved CPM Schedule	200.7.2
Final Roadway Plans	2	1	Per approved CPM Schedule	200.7.3
RFC Roadway Plans	3	1	Per approved CPM Schedule	N/A

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

200.7.1 PRELIMINARY ROADWAY PLANS

The Plans shall include, but not be limited to, the following:

- A. Title sheet
- B. Roadway typical section
- C. Roadway plan and profile
- D. Cross sections
- E. Existing right-of-way
- F. Roadway structure layout limits
- G. Noise barrier wall alignment
- H. Conceptual signing Plans
- I. Conceptual lighting roll plots and Lighting Design Analysis Report

200.7.2 RIGHT OF WAY PLANS

The Plans shall include, but not be limited to, the following:

- A. Title sheet
- B. Roadway typical section
- C. Special paving details such as jointing, dowelling, tie bar placement, etc.

- D. Property Strip Map
- E. Right of Way Data
- F. Roadway plan and profile
- G. Cross sections (include sediments basins, dams and crosslines)
- H. Clearing limits on plan view and cross sections
- I. Drainage features
- J. Existing right of way (See TP Section 809)
- K. Proposed right of way (See TP Section 809)
- L. Roadway structures layout limits
- M. Noise barrier wall alignment
- N. Right of Way signing Plans
- O. Right of Way lighting Plans and Lighting Design Analysis Report

200.7.3 FINAL ROADWAY PLANS

The Plans shall include, but not be limited to, the following:

- A. Title sheet
- B. Roadway typical section
- C. Special paving details such as jointing, dowelling, tie bar placement, etc.
- D. Right of Way Data Sheet
- E. Property Strip Map
- F. Roadway plan and profile
- G. Cross sections
- H. Clearing limits on plan view and cross sections
- I. Drainage design (include drainage tables behind each drainage sheet)
- J. Existing right-of-way
- K. Proposed right-of-way
- L. Summary of estimated quantities
- M. Sediment and erosion control design
- N. Proposed barrier locations
- O. Permanent signing Plans
- P. Permanent pavement markings Plans
- Q. Traffic signal and communications Plans
- R. Permanent lighting Plans and Lighting Design Analysis Report
- S. Roadway structures Plans

Electronic files Submittals: Information herein is an abbreviated list of electronic deliverables taken from the Road Design Reference Material for Consultant Prepared Plans. Submit checklists, indexes and files in accordance with the format and attachments specified in the Document.

Roadway design shall be developed using MicroStation/GEOPAK or OpenRoads Designer (ORD) or Autodesk Civil 3D. All required design submittals shall be in MicroStation/GEOPAK format, if ORD is used to develop the roadway design, then ORD files are also required to be submitted in addition to the required MicroStation/GEOPAK files.

- A. CADD electronic files index with the detailed descriptions of the contents of each file must be provided in a "readme" file. The index should also include detailed descriptions and names of horizontal and vertical alignments and profiles utilized by the GEOPAK software (and ORD software if applicable) on

the Project. A copy of the file folder structure is shown in Road Design Reference Material for Consultant Prepared Plans.

- B. All surveyed mapping, control points, benchmarks, GPS setup, 2D or 3D contours, spot points, survey notes, DTM, breaklines, TIN files, aerial photos and all other CADD files and data used in developing surveys for the Project. Also, the survey points should be provided in ASCII file format (Point number, N, E, Z, and Descriptions). Contact information for the survey company should be provided. All electronic survey files are to be placed in a separate folder.
- C. All MicroStation resource files used by the Project's workspace. Especially any MicroStation files that would supplement the ability to view files correctly such as reference files, plot configuration files, pen tables, font libraries, custom linestyle libraries, and cell libraries.
- D. All .gpk or 3D .dgn files and any other GEOPAK files (and ORD models if applicable), such as input and criteria files that are needed to facilitate the review of Plans should be submitted.
- E. All Autodesk Civil 3D files and any design kml files used to run calculations.
- F. If other Civil Engineering software packages were utilized for Project development, all binary or ASCII files that are software dependent for that package shall be submitted.
- G. All electronic files that pertain to the construction stake out. Files will be in SMI format and will include all horizontal controls, vertical controls and templates. SMI data will be provided in a separate folder.
- H. Copies of all handwritten or electronic calculations or notes (non-CADD) that will facilitate verification and review of the Plans.
- I. On each printed sheet in the Plans, the electronic folder name, filename, and date must be shown.
- J. Provide plot setting to include levels used, symbology, line weights and pen tables in order to reproduce all Plans sheets
- K. All roadway structures' design criteria with calculations will be provided in a separate folder.
- L. Pavement design will be provided in a separate folder with soil support data, traffic volumes, and ESAL
- M. Electronic files for specifications and special provisions in Adobe PDF or Microsoft Word format
- N. Approved Design Exceptions to AASHTO and/or SCDOT design standards developed during design.

200.7.4 ADDITIONAL ELECTRONIC FILES

All preliminary, Right of Way, and final roadway packages shall include a KMZ File and KML File. The following Elements shall be included on individual layers, matching the layers of SCDOT's plan preparation guidelines, in the KMZ File:

- A. Linework for all roadway and structure design Elements
- B. Alignment information
- C. Construction limits
- D. Drainage systems
- E. NPDES limits and BMPS
- F. Present R/W and property linework
- G. Tract numbers
- H. Proposed R/W

- I. Lighting fixture locations
- J. Overhead sign locations identifying cantilever or sign bridge

200.7.5 ROADWAY CALCULATIONS

All preliminary and final roadway packages shall include exhibits and calculations as necessary to demonstrate compliance with applicable design standards. The exhibits and calculations shall include, but not be limited to, the following:

- A. Sight Distance
 - 1. Stopping
 - a. for Obstructions
- B. Decision
- C. Superelevation
- D. Vertical Clearance
- E. Roadside Guardrail/Barrier Length of Need

End Section

400 PAVEMENT

400.1 GENERAL REQUIREMENTS

TP Section 400 includes requirements for design and construction of pavements.

The widening sections consist of widening I-526 to the outside from approximate MM 26 to MM 28.65.

The rehabilitation sections consist of full-depth concrete patching and asphalt overlay on I-526 west of the new interchange near Exit 28, covering the concrete section with an asphalt overlay from MM 26 to approximately MM 28. On the east side of Exit 28, rehabilitation includes full-depth asphalt patching and overlay on the asphalt section of I-526 from approximately MM 28 to MM 28.65.

Hot Mix Asphalt (HMA) riding surface is required for all roadways in accordance with the pavement designs in TP Section 400. Pavement designs in TP Section 400 include application rates for various HMA and non-HMA pavement components. HMA pavement components including Stone Matrix Asphalt (SMA), surface courses, intermediate courses, and base courses are listed in pounds per square yard (PSY). Non-HMA base courses including Graded Aggregate Base Course (GABC), and Portland Cement Concrete (PCC) are listed in inches of thickness.

400.2 ADMINISTRATIVE REQUIREMENTS

400.2.1 STANDARDS

Contractor shall perform all pavement Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

All new pavements shall be designed in accordance with the Pavement Design Guidelines, published in July 2008 by the Office of Materials and Research Pavement Design Unit. Materials used for construction of new pavement shall adhere to those listed in the SCDOT Standard Specifications.

400.3 DESIGN REQUIREMENTS

SCDOT has performed existing pavement evaluations and has developed designs for new pavements and pavement rehabilitation for this Project. Pavement design options for use on various roadways are included herein. The Contractor shall select from the options given for interstate mainline, shoulders and ramps, system-to-service routes, and non-interstate routes.

Shoulder pavement designs shall match the new pavement design for the associated roadway section.

Do not vary pavement design transversely for new location pavement, including widening.

Include appropriate notes and details on the roadway typical section sheets for subgrade stabilization technique(s) which will be used on the Project as necessary.

400.3.1 INTERSTATE NEW CONSTRUCTION

400.3.1.1 Concrete Mainline (West of Exit 28: MM 26-28)

Retain the existing inside shoulders and remove the existing outside shoulders. The new pavement shall consist of a plain jointed Portland Cement Concrete (JPCP), overlaid with a Hot Mix Asphalt (HMA) surface, with the following characteristics:

HMA Overlay

- 200 psy of Stone Mastic Asphalt (9.5 mm).
- 200 psy of Intermediate Type B.
- Cross-slope correction and build up as needed:
 - Less than 1.8 inches (200 psy) – Use Surface Type E.
 - Greater than 1.8 inches (200 psy) – Use Intermediate Type B.

PCC Pavement

PCC Pavement Thickness :	10 inches
Transverse Joint Spacing :	15 Feet
Transverse Load Transfer :	1.5 inches dowels, 18 inches Long, spaced 12 inches c-c
Longitudinal Reinforcement :	#5 Tie bar, 30 inches long, spaced 30 inches c-c
Nominal Compressive Strength:	4500 psi
Surface Texture :	Diamond Ground
Joint Sealant :	Hot pour, ¼ inch wide longitudinal and transverse (single saw cut, fill saw cut with sealant)
Base :	6 inches graded aggregate base course
Shoulders :	Full Depth - 10 inches of PCC Pavement with base option above
Note: • Tied concrete shoulders only. • Existing concrete to new concrete will be untied. • Place double shot of wax curing compound on existing concrete prior to widening	

For all new PCC pavements, construct an expansion joint – designed in accordance with Department standards – where the pavement abuts bridges or other structures. At bridge ends and other rigid obstructions, provide three 1-inch expansion: one directly at the obstruction, and the others located 75 feet and 150 feet away from it. Be sure to obtain approval of the expansion joint design and details from the RCE prior to construction.

400.3.1.2 Asphalt Mainline (East of Exit 28: MM 28-28.65)

Construct an HMA pavement with the following characteristics:

Option A

- 200 psy Stone Mastic Asphalt (9.5mm)
- 400 psy Intermediate Type B

- 900 psy Base Type A
- 10 inches of Graded Aggregate Base

Option B

- 200 psy Stone Mastic Asphalt (9.5mm)
- 400 psy Intermediate Type B
- 1000 psy Base Type A

400.3.2 INTERSTATE REHABILITATION FOR EXISTING PAVEMENT

400.3.2.1 Concrete Mainline (West of Exit 28: MM 26-28)

- Milling of the existing PCC pavement is not allowed, except where necessary to tie into the bridge structure.
- Perform full depth concrete patching as required in TP 1000, in coordination with the RCE.
 - Focus on the areas with longitudinal cracking in the outside lane of the eastbound direction.
- Clean and reseal joints in accordance with section 504 of the Standard Specifications and random cracks as specified in section 505 of the Standard Specifications.
- Cross-slope correction and build up as needed:
 - Less than 1.8 inches (200 psy) – Use Surface Type E.
 - Greater than 1.8 inches (200 psy) – Use Intermediate Type B.
- Place 200 psy of Intermediate Type B and 200 psy of SMA (9.5mm).

400.3.2.2 Asphalt Mainline (East of Exit 28: MM 28-28.65)

- Perform full depth asphalt patching as required in TP 1000, in coordination with the RCE.
- Cross-slope correction and build up as needed:
 - Less than 1.8 inches (200 psy) – Use Surface Type E.
 - Greater than 1.8 inches (200 psy) – Use Intermediate Type B.
- Overlay with 200 psy Intermediate Type B and 200 psy SMA (9.5mm)

400.3.3 INTERSTATE RAMPS AND LOOPS

Construct 10 inches of plain jointed Portland Cement Concrete (PCC) pavement with the above specified characteristics, except for the base. Choose the base option as shown below:

- 450 psy Asphalt Base Type A,
or
- 6 inches of Graded Aggregate Base with 175 psy Asphalt Surface Type C

The transition from mainline design to ramp design will occur at the physical gore. At the gore, start the termination of the asphalt layer via a variable mill not to exceed 2 inches with each lift of asphalt terminating into a butt joint.

400.3.4 GENERAL NOTES FOR PCC

For all new PCC pavements construct an expansion joint when abutting bridges or other structures. At bridge ends and other rigid obstructions, provide three expansion joints one-inch wide located at the obstruction and 75 feet and 150 feet away from the obstruction. Construct one inch transverse expansion joints utilizing a material off of the qualified product list (QPL) 81 and provide load transfer with either a 12-inch thick sleeper slab or dowels/expansion tube assembly. Construct one-inch longitudinal expansion joints utilizing a material off of the QPL 81.

- Temporary markings on PCC pavement shall be eradicated by water-blasting.
- PCC longitudinal joints must be placed at the lane lines.
- Avoid placing construction joints in wheel paths during temporary alignments.
- No partial width slabs shall be allowed, with the exception of ramps, where a center longitudinal joint is required due to overall width.
- Provide an isolation joint detail for pavement adjacent to median barrier or other walls and drainage structures.
- HMA pavement in direct contact with PCC pavement shall include 1% hydrated lime.
- The base, material type, in contact with PCC pavement shall not vary transversely across the pavement.

400.3.5 PAVEMENT REHABILITATION

Where applicable, develop Work zone traffic control design and roadway profiles to accommodate the rehabilitation procedures and requirements identified in TP Section 400.4.2 and TP Section 400.4.3.

400.3.6 TEMPORARY PAVEMENT DESIGN

Temporary pavement is pavement outside of the existing travel lanes that is utilized to carry traffic for any period of time during construction of the Project.

Pavement design shall be developed in consideration of the performance requirements in TP Section 400.4.4, traffic conditions, and duration of use. The minimum duration of use for design of temporary pavement shall be one year regardless of anticipated duration.

Design of Temporary non-mainline interstate pavement at the discretion of the Contractor. However, temporary pavement must provide a satisfactory rideability

to the public prior to opening to traffic and during construction. Satisfactory rideability is defined as any 0.1 mile segment having roughness not to exceed 100 inches per mile when tested in accordance with SC-T-125. In addition to rideability, rutting shall not exceed 1/4" when the wheel paths are measured with a 4-foot straightedge.

Mainline Interstate Temporary Pavement Options:

- Option 1
 - 200 psy SMA or Surface B
 - 200 psy Intermediate B
 - 700 psy Intermediate A, Base A or Intermediate B Special.
- Option 2
 - 1100 psy Intermediate B Special (2-3 lifts with max lift thickness 550 psy)

Any existing pavement information provided in the TP Attachments shall not be relied on for design and is given for information only. If existing shoulders are incorporated into the temporary pavement design, the existing conditions should be evaluated carefully.

All temporary pavement designs or utilization of existing shoulders as temporary pavement must be approved during the design process prior to use on the Project.

400.3.7 NON-INTERSTATE PAVEMENTS

400.3.7.1 S-97 Long Point Road

New Pavement	Existing
200 psy Surface Type B 400 psy Intermediate Type B 900 psy Base Type A Or 200 psy Surface Type B 200 psy Intermediate Type B 600 psy Base Type A 10 inches of Graded Aggregate Base	<u>Asphalt Mainline</u> 6 inches of Asphalt Full Depth Patching Overlay with 200 psy of Surface Type B (Variable mill and overlay to maintain clearance under I-526 overpass) <u>Concrete Mainline</u> 10 inches of Concrete Full Depth Patching Diamond grind all existing pavement and full depth concrete Clean and reseal joints

L-2118 Wando Park Blvd and L-2523 Seacoast Parkway

New Pavement	Existing
150 psy Surface Type B or C 200 psy Intermediate Type B 450 psy Base Type A	Perform 6 inches of asphalt full depth patching Mill uniform 2 inches Overlay with 200 psy Surface Type B or C
Or	
150 psy Surface Type B or C 200 psy Intermediate Type B 6 inches of Graded Aggregate Base	

400.3.8 ADDITIONAL HMA PAVING NOTES

Where leveling, build-up or cross slope correction is required, use HMA Surface Type E for thicknesses 0 to 1.8 inches. Use Intermediate B for any greater thicknesses. Placement and selection of mixes shall be in accordance with Asphalt Mix Design Guidelines found here:

[http://www.scdot.org/business/pdf/materials-research/Guidelines Asphalt Mix Selection.pdf](http://www.scdot.org/business/pdf/materials-research/Guidelines%20Asphalt%20Mix%20Selection.pdf)

400.4 CONSTRUCTION REQUIREMENTS

400.4.1 GENERAL CONSTRUCTION REQUIREMENTS

It is the Contractor's responsibility to confirm suitability of soils for placing pavement layers directly on subgrade. Contractor shall modify or remove and replace in situ soils as necessary.

Eradication of temporary or mis-placed permanent markings shall not be allowed on the final pavement surface. Prior to placing the final permanent pavement markings, all asphalt areas requiring revised pavement markings shall be milled and/or resurfaced with the required mixture type for interstate pavements or non-interstate pavements.

Construction joints for pavement shall be placed at the center of the lane or at lane lines for the Final Design configuration. Avoid placing construction joints in wheel paths during temporary alignments.

Utilize surface planing for any areas intended to carry traffic on the milled/planed surface.

Intermediate B Special may only be placed in a confined condition and is limited to a maximum lift thickness of 550 psy.

When performing cross slope correction or build-up, Surface Type E is limited to a maximum thickness of 1.8 inches; use intermediate Type B for thickness greater than 1.8 inches.

Milled-in rumble strips shall be used on all shoulders, both inside and outside, in accordance with Engineering Directive 53 (ED-53), SCDOT Standard Drawings, and specifications for the entire Project.

Cross-slope verification in accordance with TP 1000, Special Provisions Section 105 is required for interstate pavement.

Where surface planing is utilized, the test section and rideability requirements in TP 1000, Special Provisions Section 401 shall not be required where HMA Intermediate or multiple lifts of Surface are being placed as subsequent lifts.

400.4.2 TEMPORARY PAVEMENTS

Temporary pavement must provide a satisfactory rideability to the public prior to opening to traffic and during construction. Satisfactory rideability is defined as any 0.1 mile segment having roughness not to exceed 100 inches per mile when tested in accordance with SC-T-125. In addition to rideability, rutting shall not exceed 1/4" when the wheel paths are measured with a 4-foot straightedge.

When existing interstate shoulders are used as temporary pavement, do not eliminate the potential of access to the original alignment for a minimum of 2 weeks after shifting traffic to the existing shoulders, regardless of whether the shoulders have been strengthened for carrying temporary traffic.

If a pot hole appears in the temporary pavement, the Contractor shall take action within one calendar day to temporarily fill the pothole for safety. Once per week all temporarily filled pot holes shall be full depth patched varying in depth as necessary but shall be no less than 4 inches. Any full depth patching required on temporary pavement is not to be paid for from full depth patching quantities included in TP 1000.

If temporary pavement is to be incorporated in the final pavement structure, the pavement material's properties shall be verified in accordance with the Standard Specifications regardless of the width of pavement being placed. Prior to incorporating into the final pavement structure, it must be free of cracks and distortion prior to overlay. If the pavement has visible wear or deterioration, then take remedial action to improve or repair the pavement to the requirements of the Contract prior to overlaying or incorporation as final structure.

400.5 PAVEMENT SAFETY AND PATCHING

If potholes appear in the pavement, the Contractor shall take action to temporarily fill all potholes for safety. Once a pothole is identified by the Independent Quality Manager (IQM) or SCDOT as a safety hazard and notification is made to the Contractor, the potholes(s) must be temporarily filled within 24 hours. Liquidated Damages will be assessed in accordance with Agreement Section 19.9.1 when potholes are not temporarily filled within 24 hours of notification. Temporarily filling of potholes shall be considered incidental and is not subject to additional payment.

Include full depth asphalt patching in accordance with the Special Provision in TP 1000, Special Provisions Section 401. Patch interstate and non-interstate routes as directed by the IQM or SCDOT and/or as outlined below.

At least once per month, all temporarily filled potholes and any other areas required by the IQM or SCDOT to be patched shall be full-depth patched, varying in depth as necessary but shall be no less than four (4) inches.

Any full depth patching required on temporary pavements is not to be debited from the amount of patching included in the Contractor's bid or paid for separately as outlined in TP 1000, Special Provisions Section 401.

400.6 DELIVERABLES

TP Table 400-4 reflects a list of Deliverables identified in TP Section 400 and is not intended to be an all-inclusive listing of Deliverables. The Contractor shall determine and submit all deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, the Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 400-4: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Temporary Pavement Design Memoranda	2	1	Per Approved CPM Schedule	400.3.5

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

End Section

600 MAINTENANCE OF TRAFFIC

600.1 GENERAL REQUIREMENTS

Contractor shall perform all maintenance of traffic (MOT) Work in accordance with TP Section 600. Contractor shall provide all MOT Work to support design and construction of the Project. In accordance with the Document, *Rule on Work Zone Safety and Mobility: Implementation, Maintenance, and Safety Guidelines*, this Project has been classified as “SIGNIFICANT” and all components of the Transportation Management Plan prepared by the Contractor are required and shall be implemented. The MOT Work generally includes:

- A. Development of Transportation Management Plan (TMP) to include Temporary Traffic Control Plans, Transportation Operations Plan and Public Information Plan;
- B. Conceptual Work Zone Traffic Control Plans; and
- C. Final Work Zone Traffic Control Plans

The Public Information plan component of the TMP shall be developed in support of the community and public relations support plan as described in TP Section 130.2.3.

600.2 ADMINISTRATIVE REQUIREMENTS

600.2.1 STANDARDS

Contractor shall perform all MOT Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1. The Contractor shall maintain the travel patterns as directed by the traffic control Plans and shall execute construction schedules expeditiously.

The Contractor shall execute the item of traffic control as required by the Standard Specifications, the Standard Drawings for Road Construction, the Special Provisions, all Supplemental Specifications, the SCDOT Procedures and Guidelines for Work zone traffic control design, the MUTCD, the Plans, and the Engineer. The SCDOT work zone mobility requirements found within the *Rule on Work Zone Safety and Mobility: Implementation, Maintenance, and Safety Guidelines* (Policy) shall apply to this Project.

The typical traffic control standard drawings of the “Standard Drawings For Road Construction”, although compliant with the MUTCD, shall take precedence over the MUTCD. The traffic control standard drawings of the “Standard Drawings For Road Construction” shall apply to all projects let to contract.

600.3 DESIGN REQUIREMENTS

600.3.1 TEMPORARY SIGNING

Install the permanent construction signs as shown on the typical traffic control standard drawings designated for permanent construction signing. Signs not illustrated on the typical traffic control standard drawings designated for permanent construction signs shall be considered temporary.

The Contractor shall ensure proper signing (including but not limited to guide signs and overhead (OH) signing) is in place at all times during construction, as required

by the MUTCD. All signing shall be shown on the Traffic Control Plans. Signing to be reviewed and approved by SCDOT.

Existing OH and shoulder mounted guide signs shall not be replaced with the use of changeable message signs. Shoulder mounted signs may be utilized to temporarily replace OH signs except for exit only applications. Temporary shoulder mounted signs shall not reuse OH signs with down arrows or lane per arrows in the legend.

Install and maintain any necessary detour signing as specified by the typical traffic control standard drawings designated for detour signing, Part VI of the MUTCD, TP Section 600, and the Engineer.

600.3.2 RAMP CLOSURES

The existing lane widths for all loop ramps shall be maintained during construction. Other ramps should maintain their existing lane widths if possible; however, widths can be reduced to 12 feet as a result of constructability or staging concerns. Sufficient lane width shall be provided for the appropriate design vehicle during construction.

Nighttime short term full closure of individual ramps is allowed in accordance with the lane closure prohibitions in TP Section 600.3.3 and with approval from SCDOT once construction of the new ramp exit and entrance points to I-526 and the new connector road to access the State Ports Authority Wando Welch Terminal has been completed.

Entrance and exit ramps and loops shall always remain open during construction except for 54-hour weekend closures when the ramp tie-ins are required for staging with approval from SCDOT. Requests for weekend ramp closure shall be submitted for review and approval by SCDOT at least 2 weeks before implementation. Closure requests by the Contractor shall include the temporary traffic control plan, detour, and detailed schedule for the closure. Weekend ramp closures shall be in accordance with Holiday and special event restrictions as defined in Standard Specification 601.1.3.2

600.3.3 INTERSTATE ROUTES (SINGLE CLOSURES)

Maintain the existing number of I-526 lanes of traffic in each direction during the times of the lane closure restrictions. Maintain the existing number of travel lanes for all on-ramps and off-ramps during the times of the lane closure restrictions unless otherwise approved by SCDOT. All ramps must remain open to traffic and maintain free-flow operation (no yield control) unless otherwise approved by SCDOT. On I- 526 SCDOT prohibits single lane closures during the hours listed in TP Table 600-1

TP Table 600-1: I-526 West of Milepost 24 to Milepost 30

Hourly Lane Closure Prohibitions (Single)	
Eastbound	Westbound
MON-FRI: 6A-8P	MON-THU: 6A-9P
	FRI: 7A-10P
SAT: 7A-8P	SAT: 8A-10P
SUN: 8A-8P	SUN: 9A -9P

The hourly lane closure prohibitions will apply to the existing interstate conditions.

600.3.4 TEMPORAL MODIFICATIONS OF LANE CLOSURE PROHIBITIONS

The hourly lane closure prohibitions in TP Section 600.3.4 may be modified based on traffic data collected from the Project Site that demonstrates traffic volumes at the specific date of a proposed lane closure supports a lane closure. Utilizing the SCDOT Traffic Monitoring Program data from Station ID 90, or other data sources approved by SCDOT, the Contractor can demonstrate via a traffic technical memo that vehicle volumes on the interstate do not exceed 1,200 vehicles per hour per lane per direction during the proposed time adjustment in accordance with SCDOT Engineering Directive 32 (ED-32). Include an adjustment factor of 1.16 when using data from Station ID 90. New traffic patterns and volume adjustments as a result of construction staging and lane opening progressions must be considered during these demonstrations. Other acceptable traffic volume data sources may include spot counts during construction at the relevant stage.

The intention of these modifications in prohibitions is to accommodate the seasonal variations of traffic demand. Lane closure prohibition modifications shall not be implemented during Holidays as defined in Standard Specification 601.1.3.2

600.3.5 LANE CLOSURE RESTRICTIONS NON-INTERSTATE ROUTES

On S-97 (Long Point Road) SCDOT prohibits lane closures during the hours listed in TP Table 600-2.

TP Table 600-2: Lane Closure Restrictions S-97 (Long Point Road)

MON-FRI: 6A-9A and 12P(NOON)-7PM
SAT: 11A-7P
SUN: 11A-7P

600.3.6 TRAFFIC SPLITS

Through traffic traveling in the same-direction mainline lanes shall not be split and rejoined on I-526 including but not limited to: separation by any type of barrier, bridge piers, existing or proposed median.

600.3.7 DETOURS

No closures and detours will be allowed except as specified in Section 600.3.2.

600.3.8 WIDE LOADS

On ramps that are open to traffic, ensure that at least 16 feet of pavement width and 6 feet of shoulder width is available.

600.4 CONSTRUCTION REQUIREMENTS

600.4.1 WORK ZONE SIGNING

Truck mounted changeable message signs shall be required during all interstate lane closures. The Contractor shall provide, install, and maintain these signs in accordance with all requirements of the Standard Specifications for Highway Construction (latest edition) and the typical traffic control standard drawings designated for interstate lane closures.

The truck mounted changeable message signs are in addition to the requirements for trailer mounted changeable message signs. Truck mounted changeable message signs and trailer mounted changeable message signs are not interchangeable.

600.4.2 LANE CLOSURES

The Contractor may conduct various Work activities in the same direction at various locations concurrently if approved by SCDOT. Various Work activities in the same direction requiring simultaneous closures in the same travel lane or shoulder shall be separated by no less than 2 miles from the end of the first closure that a motorist will encounter to the beginning of the taper of the second closure. Also, various Work activities in the same direction requiring simultaneous right and left lane closures or Shoulder Closures shall be separated by no less than 4 miles from the end of the first closure that a motorist will encounter to the beginning of the taper of the second closure.

The CONTRACTOR shall install all lane closures as directed by the Standard Specifications for Highway Construction (latest edition), the Standard Drawings for Road Construction, TP Section 600, the MUTCD, and the Engineer. The CONTRACTOR shall close the travel lanes of interstate routes as directed by the typical traffic control standard drawings designated for lane closures on interstate routes and primary and secondary routes as directed by the typical traffic control drawings designated for primary and secondary routes.

The Contractor shall discontinue and remove a lane closure when the Work activities requiring the presence of the lane closure are completed or are discontinued or disrupted for any period of time to exceed 60 minutes unless the presence of unacceptable grade elevation differences greater than 1" in milled areas or greater than 2" in all other areas are present, unless otherwise directed by the Engineer.

600.4.3 SHOULDER CLOSURES

600.4.3.1 Interstate Routes

On I-526, SCDOT prohibits the Contractor from conducting Work within 15 feet of the near edge of the adjacent travel lane during the same lane closure restriction times in accordance with TP Section 600.3.3.

The hourly restrictions for lane closures shall also apply to Work activities conducted under a Shoulder Closure within 15 feet of the near edge of an adjacent travel lane or a median area. SCDOT reserves the right to suspend Work conducted under a Shoulder Closure if any traffic backups develop and are deemed excessive by the Engineer. Maintain all Shoulder Closure restrictions as directed by the Plans, TP Section 600, and the Engineer.

On interstate highways, SCDOT prohibits the Contractor from conducting Work within the limits of a paved shoulder or within 10 feet of the near edge of an adjacent travel lane under a Shoulder Closure. All Work that may require the presence of personnel, tools, equipment, materials, vehicles, etc., within the limits of a paved shoulder or within 10 feet of the near edge of an adjacent travel lane shall be conducted under a lane closure.

The Contractor shall install all Shoulder Closures as directed by the typical traffic control standard drawings designated for Shoulder Closures, and the Engineer. Substitution of the portable plastic drums with oversized cones during nighttime Shoulder Closures is PROHIBITED.

600.4.4 MOBILE OPERATIONS

A mobile operation moves continuously at all times at speeds of 3 mph or greater without any stops. The minimal traffic flow impacts generated by these operations involve brief traffic flow speed reductions and travel path diversions. Conduct Work operations that cannot be performed at speeds of 3 mph or greater under standard stationary lane closures.

SCDOT prohibits the Contractor from conducting mobile operations during the hours when lane closures are prohibited. The hourly restrictions for lane closures shall also apply to Work activities conducted under mobile operations. SCDOT reserves the right to suspend Work conducted under mobile operations if any traffic backups develop and are deemed excessive by the Engineer. Maintain all mobile operation restrictions as directed by the Plans, TP Section 600, and the Engineer.

The distance intervals between the vehicles, as indicated in the Standard Drawings for Road Construction, may require adjustments to compensate for sight distance obstructions created by hills and curves and any other conditions that may obstruct the sight distance between the vehicles. However, adjustments to the distance intervals between the vehicles should be maintained within the range of variable distance intervals indicated in the standard drawings unless otherwise directed by the Engineer.

600.4.5 FLAGGING

During construction on the ramps, the Contractor shall conduct flagging operations. The flagging operations shall either stop traffic or direct the traffic around the Work area. Installation and operation of these flagging operations shall be according to TP Section 600 and the MUTCD.

During nighttime flagging operations, flaggers shall wear a safety vest and safety pants that comply with the requirements of ANSI / ISEA 107 standard performance for Class 3 risk exposure, latest revision, and a fluorescent hard hat. The safety vest and the safety pants shall be retro reflectorized, and the color of the background material of the safety vest and safety pants shall be fluorescent orange-red or fluorescent yellow-green.

600.4.6 PAVING, SURFACE PLANING, AND MILLING

During surface planing and milling operations, the length of roadway with a milled surface open to traffic is restricted to 4 miles. This restriction does not apply to concrete diamond grinding operations.

600.4.7 BLASTING

The Contractor will be required to obtain approval from SCDOT prior to blasting. The MUTCD shall be the basis for the traffic control requirements, and SCDOT will work with the Contractor to determine the appropriate traffic control measures required to perform blasting operations in a safe and effective manner at specific locations.

600.5 DELIVERABLES

TP Table 600-2 reflects a list of Deliverables identified in TP Section 600 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 600-2: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Preliminary Transportation Management Plan	2	1	Prior to issuance of NTP	600.5.1
Transportation Management Plan	2	1	Before any construction activities can begin	600.5.1
Conceptual Work Zone Traffic Control Roll Plots	2	1	With ROW Roadway Package	600.5.2

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Final Work Zone Traffic Control Plans	2	1	With Final Roadway Package	600.5.3
RFC Work Zone Traffic Control Plans	3	1	With RFC Roadway Package	N/A

*Levels of Review

4. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
5. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
6. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

600.5.1 TRANSPORTATION MANAGEMENT PLAN

The Contractor shall submit a Transportation Management Plan in accordance with the Document *Rule on Work Zone Safety and Mobility: Implementation, Maintenance, and Safety Guidelines*. All components of the Transportation Management Plan prepared by the CONTRACTOR are required and shall be submitted for review by SCDOT and must be approved before any construction activities can begin.

600.5.2 CONCEPTUAL WORK ZONE TRAFFIC CONTROL PLANS

The Contractor shall submit conceptual Work zone traffic control Plans with the Right of Way (ROW) roadway package Submittal. The Contractor shall submit a KMZ File with the conceptual Work zone traffic control roll plots, which shows alignment information in a separate layer for all MOT stages. Roll plots shall be 36"x 96" and no more than 1"=200' scale.

The Plans shall include, but not be limited to, the following:

- A. Staging Narrative
- B. Concept Staging Plans with an accurate depiction of Site conditions for each stage to include:
 1. Areas of Work for the stage clearly defined with the active travel way also clearly defined.
 2. Proposed features constructed in previous stages shown with existing features that have not been removed or replaced.
 3. Proposed features not yet constructed and existing features removed or replaced shall not be shown.
- C. Widening/Rehabilitation Typical Sections for each Stage of Construction and any critical points.
- D. Show areas where additional ROW is warranted for the purposes of staging.
- E. Plan details and narrative for areas deemed critical by the design team for staging concerns and as deemed necessary for proper construction and implementation of the TTC. Plan details for these areas will require typical sections or cross sections for each stage of construction. Critical areas include but are not limited to locations with:

1. Temporary walls/shoring
2. Widening/rehabilitation
3. Pavement buildup
4. Longitudinal pavement elevation differences
5. Traffic shifts and transitions including between existing, temporary or proposed pavements
6. Removal, replacement, or construction of structures such as walls, culverts, or bridges.

600.5.3 FINAL WORK ZONE TRAFFIC CONTROL PLANS

The Contractor shall submit Work zone traffic control Plans with the final roadway package Submittal. The Plans shall be in accordance with the SCDOT Procedures and Guidelines for Work Zone Traffic Control Design and all other applicable design references listed in TP Attachment 100-1. The Contractor shall submit a KMZ File with the final Work zone traffic control Plans, which shows alignment information in a separate layer for all MOT stages.

Final Work zone traffic control Plans shall include items listed in the conceptual Work zone traffic control roll plots as defined in TP Section 600.5.2 and shall include, but not be limited to, the following:

- A. Plan for access to the median work zone (ingress and egress) to include acceleration and deceleration lanes.
- B. Types and locations of traffic control devices and signs used at each phase of traffic relocation if different from the normal practices contained in the Manual of Uniform Traffic Control Devices (MUTCD) or SCDOT Standard Drawings. This is to include permanent and temporary construction signing, traffic cones, and barrels.
- C. Horizontal and Vertical alignments for temporary travel lanes to include superelevation details, lane widths, taper lengths, pavement surface type, and other geometry necessary to define alignments. This includes when the travel lanes leave the existing roadway bed or direction on new alignment. (transition area) and returns (termination area).
- D. Plan for maintaining ramp traffic.
- E. Plan for maintaining positive temporary drainage during stages, to include:
 1. Temporary ditches, pipes, and inlets
 2. Clearly identified existing and final proposed structures utilized in each stage including connections
 3. Details to show drainage from median Work areas across travel ways and where longitudinal pavement grade changes occur with construction of temporary and permanent cross line structures clearly identified.
- F. Temporary pavement designs including proposed uses and duration of use.
- G. Plan for notifying the traveling public of upcoming stages.

End Section

625 PAVEMENT MARKINGS

625.1 GENERAL REQUIREMENTS

Contractor shall perform all pavement markings Work in accordance with TP Section 625. Contractor shall provide all pavement marking Work to support design and construction of the Project. The Contractor shall coordinate development of the pavement marking Plans with the signing design Plans to ensure accuracy and consistency of the pavement marking Plans. The Contractor shall provide detailed RFC permanent marking Plans for the entire length of the Project including all interstate mainline, CD routes, ramps, and non-interstate routes.

In addition to development of the permanent pavement marking Plans, the Contractor shall furnish and install all permanent pavement markings.

625.2 ADMINISTRATIVE REQUIREMENTS

625.2.1 STANDARDS

Contractor shall perform all pavement markings Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

625.2.2 COORDINATION WITH SCDOT – TRAFFIC ENGINEERING

The Contractor shall contact the Director of Traffic Engineering within 30 days of the issuance of NTP to review overall pavement marking requirements of the contract.

An example set of interstate pavement marking Plans is provided in the TP Attachments as a guide in the preparation of the final pavement marking Plans.

625.3 DESIGN REQUIREMENTS

625.3.1 RFC PLANS

All mainline, CD route, ramp edge lines, and lane lines shall be to interstate standards as detailed in the Standard Drawings. Interstate, CD roadway, and ramp edge lines shall be 6 inches in width. Interstate, CD roadway, and ramp lane lines shall be 8 inches which includes black contrast markings on each side as noted in TP Section 625.4.2. Exit and entrance gore markings, as well as mainline lane drop markings, shall be 12 inches in width. All crossing route markings shall be the widths as noted in the Standard Drawings.

The Contractor shall use preformed tape (T-1) markings on the interstate mainline, CD roadway and ramps to terminal ends as well as any other concrete surfaces. Thermoplastic markings shall be used on all non-interstate asphalt surfaces. The Contractor shall include raised pavement markers on all roads within the Project Limits.

In addition to the requirements of the Standard Drawings, clear/red bi-directional markers shall be required on all lane lines of the interstate mainline, CD roadway and ramps.

625.4 CONSTRUCTION REQUIREMENTS

625.4.1 THERMOPLASTIC PAVEMENT MARKINGS (NON-INTERSTATE ASPHALT SURFACES)

All thermoplastic markings installed on the crossing route or surfaced streets shall meet the requirements of Section 627 of the Standard Specifications.

625.4.2 PREFORMED PATTERNED TAPE (T-1) PAVEMENT MARKINGS (ALL INTERSTATE MAINLINE, CD ROADWAY AND RAMPS AND ALL OTHER CONCRETE SURFACES)

All T-1 Tape markings shall be 3M™ STAMARK™ HIGH PERFORMANCE ALL WEATHER TAPE SERIES 380 (380AW-White, 381AW-Yellow, 380-5AW White/Black, 381-5AW Yellow/Black), or equivalent.

Prior to application on the tape markings, the Contractor shall apply a primer/sealer recommended by the manufacturer.

The markings applied to the mainline lane lines and any exit or entrance ramp lane lines shall be white/black contrast tape. All lane lines shall be 8 inches wide consisting of a 5 inch wide reflective strip bordered on each side by a 1 ½ inch wide black non-reflective strip. The black preformed patterned border shall be bonded to the edges of the reflective marking to form a continuous roll.

All white and yellow edge line markings shall be standard (non-contrast) 6 inch wide lines. Gore channelization markings (non-contrast) shall be 12 inches wide and shall be applied as a single 12 inch piece. Application of two 6 inch pieces to form the 12 inch width will not be allowed.

Any 24 inch white and yellow diagonal lines on the shoulders may be applied as a single width piece or as two 12 inch wide pieces.

All arrows, word message “ONLY” or lane drop arrows shall be white/black contrast tape having a 1.5” black border.

All longitudinal markings shall be installed with a truck mounted application system or other motorized applicator approved by the manufacturer. The Contractor shall provide to the Department the manufacturer’s normal warranty which shall guarantee the tape materials for a period of 72 months from the date of installation from failure to retain the minimum reflectance values provided by the manufacturer and from failure due to loss of material adhesion or complete wear through. If failure occurs, the manufacturer will provide the replacement materials to restore the markings to their original effectiveness.

The finished lane line markings shall be free from waviness such that the lateral deviation shall not exceed one inch in fifteen feet. Any greater deviation shall be sufficient cause for requiring the Contractor to remove and correct such markings.

625.4.3 INSTALLATION OF SURFACE MOUNTED RAISED PAVEMENT MARKERS

The Contractor shall install surface mounted raised pavement markers in accordance with Section 630 of the Standard Specifications for Highway Construction.

625.4.4 REMOVAL OF EXISTING OR CONFLICTING MARKINGS

In addition to the basic requirements of Section 631 of the SCDOT Standard Specifications, removal of existing lines or temporary lines shall be accomplished using Hog Technologies Stripe Hog water blasting pavement marking removal equipment or an equivalent system approved by SCDOT. Complete removal of the existing lines is required on all pavement surfaces. The slurry created by the removal process shall be collected and disposed of properly.

The removal equipment shall be truck mounted. A truck mounted unit is defined as a self-propelled vehicle with six or more wheels and an enclosed cab housing a driver. The equipment shall have a minimum operating pressure of 40,000 psi and be capable of removing markings up to 22 inches wide. In addition, water blasting operations and removal of the slurry material produced shall be accomplished simultaneously by the same truck.

The Contractor shall ensure that the existing concrete pavement surface is not visibly damaged with only light discoloration of the pavement surface remaining after completion of removal operations. In addition, any flexible joints on the bridge decks should be covered during the removal process to prevent damage. In accordance with TP Section 400.4.1, eradication of temporary or mis-placed permanent markings shall not be allowed on the final pavement surface. See TP Section 400.4.1 for additional pavement marking removal details.

625.5 DELIVERABLES

TP Table 400- reflects a list of Deliverables identified in TP Section 625 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 625-1: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Final Pavement Marking Plans	2	1	With Final Roadway Package	625.5.1
RFC Pavement Marking Plans	3	1	With RFC Roadway Package	N/A

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

625.5.1 FINAL PAVEMENT MARKING PLANS

Final pavement marking Plans shall include details necessary for construction. Plans shall, in addition to satisfying requirements of TP Section 625.3, include but not be limited to:

- A. Callouts for all pavement markings to include color, width, type, and material.
- B. Pavement marking begin and end points.
- C. Existing roadway, sidewalks, curb. and pavement markings at tie-ins with the proposed Work.
- D. Physical gore locations including paved shoulders to detail gore pavement markings.
- E. Proposed roadway Elements including curbs, bridges, sidewalks, and sign structures.
- F. Proposed and existing lane widths.

Traffic flow arrows should not be included in the pavement marking Plans.

End Section

650 SIGNING

650.1 GENERAL REQUIREMENTS

The Contractor shall perform all signing Work in accordance with TP Section 650. The Contractor shall provide all permanent and temporary signing Work to support design and construction of the Project. See TP Attachment 650-1 for a conceptual signing Plan which shows the proposed sign locations and sign layouts for overhead signs and structures as well as signs mounted on I-beam breakaway posts for the interstate mainline, CD roadways, ramps and crossing routes. All signs shown in the conceptual signing plans shall be constructed of extruded aluminum panels with the exception of the Speed Limit signs which may be flat sheet aluminum.

The Contractor shall coordinate development of the signing Plans with pavement marking, lighting, roadway, maintenance of traffic (MOT) and signal design Plans to ensure accurate sign design as well as accurate placement of signs. Development of these signing Plans will include:

- A. Conceptual Signing Plan based the Contractor's Schematic Design
- B. Temporary signing Plans and sign designs for each stage of MOT to be included as part of the MOT Plans
- C. ROW Signing Plans – Structure locations for ROW verification
- D. Final and RFC Signing Plans and Sign Designs

In addition, develop sign designs for the Project that are consistent and compatible with the Plan for South Carolina State Ports Authority requirements in TP Section 112.

Also included is the removal of the signs, delineators, overhead structures and supports to be replaced or that are impacted by construction. Impacts to signing include relocating existing signs or causing existing signs to be non-compliant. Interim work shall be considered permanent for design considerations in accordance with TP Section 650.

650.2 ADMINISTRATIVE REQUIREMENTS

650.2.1 STANDARDS

Contractor shall perform all signing Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

650.2.2 CONCEPTUAL SIGNING PLAN COORDINATION WITH SCDOT TRAFFIC ENGINEERING

A conceptual signing Plan (concept Plan) based on the conceptual design is included in TP Attachment 650-1. This concept Plan shows the proposed sign locations and sign layouts for overhead signs as well as signs mounted on I-beam breakaway posts along the interstate mainline, CD roadway and ramps and crossing routes. Notes are provided on the concept Plan for each sign location that detail the sign size, sign identification numbers, and a brief description of Work to be accomplished.

The concept Plan does not show the location of flat sheet signs (intermediate reference location signs (mile markers), warning signs, regulatory signs, etc.)

along the interstate mainline and ramps or non-interstate roadways. These signs shall be included in the Contractor's Signing Plans.

Prior to Submittal of the conceptual signing Plans, the Contractor shall contact the Director of Traffic Engineering within 30 days of the issuance of NTP to review the Contractor's conceptual roadway Plans and discuss the overall signing requirements of the contract.

The Contractor shall then submit a conceptual signing Plan based on the proposed design for review by SCDOT Traffic Engineering. The Plan should have the same level of detail contained in the concept Plan contained in TP Attachment 650-1.

Layouts for all new and existing signs shown in the RFP concept Plan are provided in TP Attachment 650-1. Layouts/designs of the new signs are not final and are subject to change based upon the final roadway design. All sign designs are subject to review and modification by SCDOT as part of the plan development process.

An example set of interstate signing Plans is provided in TP Attachments as a guide in the preparation of the final signing Plans.

650.3 DESIGN REQUIREMENTS

650.3.1 PLANS

The Contractor shall develop Plans utilizing the conceptual signing Plan reviewed and approved by SCDOT Traffic Engineering. Logo signs, unless damaged or otherwise noted, shall be relocated to new supports upon coordination with SCDOT Traffic Engineering.

650.3.2 BASIC PLAN SHEET INFORMATION

The Contractor shall include the following information/plan format in the Plans:

- A. All OH, Q, D, regulatory, warning, mile marker, bridge clearance and crossing route information signs on interstate mainline.
- B. Delineator installations along interstate entrance and exit ramps
- C. OH sign lighting system information including proposed meter (power supply) locations
- D. Use SCDOT nomenclature for signs as shown in STD 650-105-00.
- E. Number Q and OH signs separately (example: Q-1 will be the first Q Sign, OH-1 will be the first overhead sign) and in order with increasing numbers that increase with the station.
- F. For OH, Q and D signs, show the station location and the sign number of the sign and include instructions for each existing structure as retain, relocate, refurbish, or remove.

650.3.3 SIGN DESIGN

Basic requirements for sign design include:

- A. All signs shall be designed using SignCAD software – South Carolina Standards.

- B. OH, Q and gore signs shall be designed using E modified and E fonts.
- C. D Signs shall be designed using 8" D font.

650.3.4 SIGN DESIGN LAYOUT SHEETS

Basic requirements for the sign design layout sheets include:

- A. Group signs on design sheets by sign type (OH, Q, gore, and D).
- B. Order signs on design sheets by sign number.

650.3.5 OVERHEAD SIGN CROSS SECTION SHEETS

Basic requirements for the overhead sign cross section sheets include:

- A. Label each OH sign with sequential numbers and stationing for its placement.
- B. Note design speed.
- C. Provide 1-foot minimum glare screen between top of OH walkway and bottom of sign.
- D. Provide a minimum distance of 1 foot between overhead signs.
- E. Walkway to be continuous along signs and to extend 5 feet minimum from EOP over outside shoulder.
- F. Dimension vertical clearance from the bottom of walkway or bottom point of structure to high point of roadway.
- G. Dimension length of vertical supports along centerline from bottom of walkway to top of barrier or ground.
- H. Dimension horizontal location of signs to structure and location of travel lanes to down arrows.
- I. Dimension total width of structure.
- J. Signs shown in the cross sections shall be shown to scale.
- K. Label all existing structures as existing, retain, refurbish, remove or other explanation.

650.3.6 OVERHEAD SIGN LIGHTING

Overhead sign lighting shall be included on all overhead signs on this Project. The Contractor will be responsible for:

- A. Designing and installing the complete electrical and sign lighting systems.
- B. Coordinating with the sign light manufacturer to produce photometric analyses for the overhead assembly of signs to determine the number of sign lights required as well as the appropriate spacing.
- C. Including sign light spacing information as part of the signing Plans on the overhead sign dimensional drawings as well as photometric analyses to support the spacing.
- D. Coordinating power supply with local utilities to provide connection from the nearest power supply lines to the lighting system which shall include all necessary conduit, power poles, conductors, etc., for connection to the meter base and from the meter base to the lighting system service panel.
- E. Submitting electrical Plans that show locations of power supply, conduit, and pull box locations as part of the signing plans. Details, including but not limited to, electrical service points, mounting for electrical equipment on the structure, pull boxes, and any other details necessary for complete construction of the

sign lighting system, will be included. A schematic of the lighting control equipment and cabinet shall also be provided.

- F. Voltage drop calculations shall be provided for each sign lighting circuit.
- G. All Work involving overhead sign lighting will be in accordance with SCDOT's Supplemental Specification entitled Sign Lighting Systems dated September 25, 1998, located in TP Attachment 650-2, of which Section 4 entitled "Materials - Sign Lighting Luminaires" is revised as follows:
 - 1. LED sign lighting luminaires will be required on the Project. The Contractor will be required to consult with fixture manufacturer to determine the number and appropriate wattage of fixtures (lumen output) that are necessary to properly illuminate the overhead signs. Sign luminaires shall be Holophane SignVue LED II model SVLED2SVLPK1XX50KPLMGYSDP (6000 lumens) or SVLED2SVLPK2XX50KPLMGYSDP (9000 lumens – for larger/taller signs), or approved equal. "XX" in the model number represents the voltage type. The Multivolt (MVOLT) option is typically selected. Any fixtures other than those specified should be approved by the Director of Traffic Engineering prior to submitting Project Proposals.
 - 2. Walkways shall be included in the design of all new overhead structures as noted in the Standard Specifications. Unistrut channels shall be installed on the walkway supports to support the new LED sign lights and shall be spaced to accommodate the hole pattern on the base of the fixtures cast aluminum housing. Placement (distance from the sign face) of the unistruts will be verified by the Contractor by contacting the lighting fixture manufacturer.
 - 3. Photometric analyses of all overhead sign assemblies shall be submitted to the Engineer for review and approval.

650.3.7 ROADSIDE BARRIER

Roadside barrier shall be detailed for all overhead uprights located within the clear zone on either side of the travel way. For guardrail installations that protect sign uprights, MASH requirements shall be followed. The center of the upright should be located approximately 9 feet behind the face of guardrail.

650.3.8 SOIL BORINGS

The Contractor will be responsible for obtaining soil borings to be used for foundation designs for all new overhead sign structures. Refer to TP Section 711 for additional information.

650.3.9 TEMPORARY SIGNING

Temporary signing overlays will be allowed on existing overhead and ground mounted guide signs if the messages and/or arrangement of arrows provide motorists proper direction and maintain conformance to the MUTCD. The overlays should be of the proper font and copy size if possible and should be constructed on flat sheet aluminum panels that will be fastened to the existing extruded panel

signs. The existing sign layouts are provided in TP Attachment 650-1 are available from SCDOT Traffic Engineering.

Provisions for temporary signing during maintenance of traffic (MOT) shall be included in MOT Plans. See TP Section 600. The Contractor will not be required to submit a separate set of temporary signing Plans.

The Contractor shall maintain existing overhead guide signs until new construction necessitates their removal, at which point existing or modified exit direction signs or advanced mileage signs shall be relocated to the shoulder of the roadway. The Contractor shall place the signs at their existing location or other location approved as part of the MOT Plan. Pull thru overhead signs with lane assignment arrows will be removed and shall not be relocated to the shoulder.

Overhead guide signs relocated to the shoulder shall be mounted with a minimum height of 10' measured as the elevation difference from the bottom of the sign and the edge of the nearest mainline travel lane (white edge line). The Contractor shall mount the signs on wood utility poles or other posts approved by SCDOT, and the signs should be protected by guardrail or temporary barrier wall. Steel angle iron the same length as the height of the signs should be attached to each side of the utility poles to facilitate installation of the extruded panel signs using existing post clips.

36" signs shall be used for detour route signing.

Guide and route signs shall be maintained on arterials throughout construction.

Changeable Message Signs (CMS) shall not replace the shoulder mounted detour signing assemblies. If CMSs are utilized for turn information, a CMS shall be located at least 50 feet before the intersection.

650.3.10 DELINEATORS

Install flexible delineators on both sides of the concrete median barrier wall at a spacing of two hundred (200) feet. The mounting height of the delineators is thirty-four (34) inches. See SCDOT Standard Drawing 656-110-00 for detail of delineator and mounting method.

Install ground mounted delineators along interstate and ramps as per SCDOT Standard Drawing 650-110-03. Flexible delineators on ramps on bridges shall be installed on the same side of the ramp and at the same spacing as the ground mounted delineators on either side of the bridge.

650.3.11 LOGO SIGNS

All I-beam posts for logo signs will be designed to support full size logo panels. Full size mainline panels are 15' X 10' and full-size ramp panels are 8.5' X 6.5'. In some cases where horizontal shoulder space is limited, such as where sound walls are to be installed, tall versions (9.5' X 13.5') may be used. New logo signing shall be located along the outside shoulder areas. If logo signs mounted on I-beam posts cannot be accommodated along the outside shoulder, coordinate with SCDOT regarding permanent removal of logo signs. Include in the conceptual

signing Plans any existing logo signs which cannot be accommodated or become obsolete based on the proposed design.

650.3.12 BRIDGE VERTICAL CLEARANCE AND CROSSING ROUTE INFORMATION PANELS

The Contractor will be required to erect bridge vertical clearance and crossing route number flat sheet signs on the new and existing bridges in both directions of travel. The signs shall be fabricated in accordance with the SCDOT sign design guidelines. Detailed layouts for the signs are available from SCDOT – Director of Traffic Engineering, (803) 737-1462.

The Contractor shall determine the actual minimum vertical clearance in each direction after all interstate mainline or crossing route surfacing is completed.

The vertical clearance sign shall be centered over the centerline of the interstate or crossing route travel way. The crossing route number sign shall be placed to the left of the vertical clearance sign with a minimum spacing of 8 feet between the right of the route number sign and the left of the clearance sign.

The flat sheet panels may be mounted on the outside beam on each side of the bridge using 3-M Very High Bond Tape in accordance with the tape manufacturer's recommendations, or other method, such as direct bolting, approved by the Engineer.

650.3.13 EXISTING STRUCTURES & FOUNDATIONS

Any sign structures and/or foundations which are retained shall be verified by the Contractor to be structurally adequate or replaced if proposed signs are larger than those shown in the conceptual signing Plans. Verification will follow the traffic shop drawings review process included in TP Attachment 110-3.

650.3.14 INSPECTION OF INSTALLED OVERHEAD STRUCTURES

Prior to final acceptance of the project, the Contractor will arrange for an independent engineering firm to conduct a comprehensive inspection of each newly installed overhead sign structure. The firm shall meet all the requirements outlined in the 2025 SCDOT Overhead Sign Structures Inspection and Inventory Manual and shall be approved by SCDOT prior to performing any inspections. All inspections and documentation shall be performed in accordance with the referenced manual.

Inspections should be scheduled to occur while the contractor is still on the job and use the Contractor's traffic control if possible. The inspection should be done after the structure and any attachments have been completed, but while the contractor erecting the structure is still on site. If the inspection reveals a nonconforming installation or material, the inspector will give a punch list of nonconforming work to both the Engineer and the Contractor.

It is the Contractor's responsibility to correct problems and have the structural components (bolts, anchors, connections, etc.) re-inspected to verify that all noted issues have been resolved.

650.3.15 AS-BUILT PLANS

In addition to RFC Plans, a complete set of As-Built signing Plans shall be submitted to the Director of Traffic Engineering at the conclusion of the Project.

650.4 CONSTRUCTION REQUIREMENTS

650.4.1 OVERHEAD SIGN DAMAGE

In the event an existing overhead structure is hit and damaged, the Contractor will be required to mobilize immediately and provide a professional evaluation and assessment of the structure damage. An engineering firm that specializes or has experience in this type of structural inspection and evaluation shall conduct a detailed on-site evaluation of the structure. If the major structural components or foundations exhibit obvious and significant/critical damage, the sign structure should be removed immediately. The damaged structure shall be placed at a location either protected by guardrail or beyond the clear zone as approved by SCDOT.

If the structure appears to be structurally sound and the damage appears to be limited to the sign hangers and/or walkway and lighting systems, the Contractor shall conduct a structural evaluation and provide the results of the evaluation within 24 hours of notification, which should include verification that the structure is sound and can remain in service or if it should be removed as soon as possible. The results shall be stamped by a Professional Engineer registered in the State of South Carolina.

If the inspector determines that the structure can remain in service, the evaluation should include recommendations of components that need to be replaced. The Contractor will be responsible for pursuing all repairs within an agreed upon schedule with SCDOT. The Contractor shall also provide all traffic control necessary for the proper inspection of the structure and any necessary repairs.

650.4.2 MAINTENANCE OF GROUND MOUNTED LOGO AND GUIDE SIGNS

The Contractor will be responsible for maintaining all existing logo and guide signs during construction. This includes repairing or replacing existing signs and/or posts damaged within Project Limits prior to construction activity or sign relocation.

Existing mainline and ramp directional and information signs mounted on I-beam breakaway posts may have to be relocated due to the construction. Where relocation is necessary, the mainline signs should be mounted temporarily on 4" X 6" wood posts using the method detailed on Standard Drawing 652-120-00. Ramp information signs (logo) should be mounted temporarily on 4" X 4" wood posts. No separate payment will be made for these relocations.

Most logo signs are to be maintained throughout construction. SCDOT will conduct an inspection/evaluation of logo signs prior to and at the conclusion of construction to determine if any damage occurred during execution of the contract. The Contractor shall be responsible for replacing damaged signs discovered by SCDOT.

The Contractor should notify SCDOT 60 days prior to the removal date so that SCDOT can properly notify participating businesses of the removal. SCDOT will remove the blue background panels and company logo shields during the 60-day period. The Contractor will be responsible for removing and disposing of the I-beam posts and stubs once the signs are removed.

650.4.3 VERTICAL CLEARANCE OF EXISTING SIGN STRUCTURES

Vertical clearances for existing sign structures shall be field verified and modifications made as necessary to provide appropriate vertical clearance.

650.4.4 BAR CODES

The Contractor is advised that all signs have a unique barcode sticker attached to the back of each sign, and each sign assembly has a unique barcode sticker attached to one post of the assembly. The Contractor will be required to record the barcode number for each sign and the associated assembly that is removed, replaced, or relocated. These numbers and the date that the sign and assembly were removed, replaced, or relocated shall be recorded on the Sign Barcode Form provided in the Project Information Package. This form shall be submitted to the Owner Verification Firm. SCDOT will place the new barcodes on signs.

650.4.5 FABRICATION OF OVERHEAD STRUCTURES

The Contractor shall not pursue design or fabrication of any overhead sign structure until RFC Plans have been reviewed and approved by SCDOT Traffic Engineering.

650.5 DELIVERABLES

TP Table 400- reflects a list of Deliverables identified in TP Section 650 and is not intended to be an all-inclusive listing of Deliverables. The Contractor shall determine and submit all deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, the Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 650-1: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Conceptual Signing Plan	2	1	With Preliminary Roadway Package	650.5.1
Right of Way Signing Plans	2	1	With ROW Roadway Package	650.5.2
Final Signing Plans	2	1	With Final Roadway Package	650.5.3
RFC Signing Plans	3	1	With RFC Roadway Package	N/A

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
As-Built Signing Plans	2	1	At the conclusion of the Project	650.5.4

*Levels of Review

4. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
5. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
6. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

650.5.1 CONCEPTUAL SIGNING PLAN

The Plans, in addition to satisfying requirements of TP Section 650.3, shall:

- A. Include SCDOT Typical Signing border sheet.
- B. Be overlaid with the proposed roadway plan with stationing, lane lines, paved shoulders, guardrail, and bridge, culvert and other overhead structures clearly indicated.
- C. Be commensurate with the Interchange Access Request.
- D. Include, at minimum, a level of detail consistent with the concept Plans included in TP Attachment 650-1, including signs necessary for future phases.
- E. Include all necessary information to clearly demonstrate the signing requirements for the Project.

650.5.2 RIGHT OF WAY SIGNING PLANS

The Plans, in addition to satisfying requirements of TP Section 650.3, shall:

- A. Include SCDOT Typical Signing border sheet.
- B. Be overlaid with the proposed roadway plan with stationing, lane lines, paved shoulders, guardrail, and bridge, culvert and other overhead structures clearly indicated.
- C. Be commensurate with Interchange Access Request.
- D. Include, at minimum, a level of detail consistent with the concept Plans included in TP Attachment 650-1.
- E. Indicate that all signs and sign structures are within SCDOT right of way.
- F. Include all necessary information to clearly demonstrate the signing requirements for the Project.
- G. Include overhead sign lighting locations on the sign cross sections.
- H. Include electrical plans showing the locations of power supply, conduit, and associated infrastructure for the sign lighting system.
- I. Lighting control equipment and cabinet details.
- J. Details, including but not limited to, electrical service points, mounting for electrical equipment on the structure, pull boxes, and any other details necessary for complete construction of the sign lighting system.
- K. Voltage drop calculations.

650.5.3 FINAL SIGNING PLANS

The Contractor shall submit a complete set of comprehensive signing Plans developed in accordance with the design requirements of TP Section 650.3. In addition, the Plans shall:

- A. Include SCDOT Typical Signing border sheet.
- B. Be overlaid with the proposed roadway plan with stationing, lane lines, paved shoulders, guardrail, and bridge, culvert and other overhead structures clearly indicated.
- C. Be commensurate with Interchange Access Request.
- D. Include, at minimum, a level of detail consistent with the concept Plans included in TP Attachment 650-1.
- E. Indicate that all signs and sign structures are within SCDOT right of way.
- F. Include all necessary information to clearly demonstrate the signing requirements for the Project.
- G. Include overhead sign lighting locations on the sign cross sections.
- H. Include electrical plans showing the locations of power supply, conduit, and associated infrastructure for the sign lighting system.
- I. Lighting control equipment and cabinet details.
- J. Details, including but not limited to, electrical service points, mounting for electrical equipment on the structure, pull boxes, and any other details necessary for complete construction of the sign lighting system.
- K. Voltage drop calculations.

650.5.4 AS-BUILT SIGNING PLANS

At the conclusion of the Project, the Contractor shall submit a complete As-Built set of signing Plans, including electronic SignCAD copies of all layouts to the SCDOT Traffic Engineering Office.

End Section

675 TRAFFIC SIGNALS

675.1 GENERAL REQUIREMENTS

Contractor shall perform all traffic signal Work in accordance with TP Section 675. Contractor shall provide new traffic signals at the following intersection locations with all new appurtenances. The traffic signals shall be completely installed, inspected, tested and fully operational prior to opening the new roadways/intersections controlled by the signals to traffic. The new signal installations required as part of this Project are listed below. If additional signalized intersections are impacted as part of the final design, they too shall be reconstructed as part of this project. Impacts may include, but are not limited to, signal poles or signal cabinets requiring removal and relocating due to curb, sidewalk, or drainage construction.

- Interstate 526 Eastbound Exit 28 and Long Point Road*
- Interstate 526 Westbound Exit 28 and Long Point Road *
- Relocated Long Point Road and proposed Wando Port Connector*

* Signal(s) shall use battery backup system.

The Contractor shall provide signal warrant analyses justifying the removal of any signals listed in this exhibit. The Contractor shall also provide signal warrant analyses justifying the addition of signals not listed in this exhibit. The analyses shall be submitted to SCDOT for review with the Preliminary Roadway Submittal Package.

All new permanent signals shall incorporate strain poles, video detection, all signal heads must include reflective backplates, and ground-mounted cabinet and controller. Flashing yellow arrow heads shall be incorporated into the signal designs when permissive or protected-permissive phasing is warranted. The video cameras shall be installed and detection zones verified for all projects in Engineering District One. At locations where pedestrian signals are warranted, countdown pedestrian signal heads shall be utilized. At traffic signal locations without pedestrian signals or where no sidewalks are present, where a field study indicates a need based on evidence of regular pedestrian use, such as visible walking paths, a minimum pedestrian treatment of push button assembly with sign R10-4a shall be utilized to ensure call and adequate minimum green time for pedestrians crossing over the main route.

Temporary signals shall utilize wooden poles or steel strain poles.

All traffic signals within the project limits along the Long Point Road signal system shall be coordinated (see Section 675.3.5). The final traffic signal installations at all interchange ramps shall be interconnected with new fiber-optic cable installed by SCDOT as part of new ITS system along I-526.

675.2 ADMINISTRATIVE REQUIREMENTS

675.2.1 STANDARDS

Contractor shall perform all Traffic Signal Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

675.3 DESIGN REQUIREMENTS

675.3.1 TRAFFIC SIGNAL DESIGN POLICIES

All work under this Contract shall be performed under: the SCDOT, *Standard Specification for Highway Construction*, Latest Edition; the SCDOT *Traffic Signals Technical Supplemental Specifications*; the SCDOT *Traffic Signal Standard Drawings*, these SCDOT Traffic Signal Special Provisions; the *Manual on Uniform Traffic Control Devices for Streets and Highways* (MUTCD) (latest revision); and the current edition of the SCDOT *Traffic Signal Design Guidelines*, as amended herein.

The 2021 Edition of the SCDOT *Traffic Signal Design Guidelines* shall be utilized for all traffic signals in this project.

675.3.2 EQUIPMENT

675.3.2.1 SCDOT Supplied Equipment

Any equipment provided by the SCDOT IT Services group shall be paid for by SCDOT. The Contractor shall make all SCDOT IT Services requests a minimum of 60 days in advance of the expected need for signal communications equipment or service to ensure adequate time for equipment delivery and integration.

675.3.2.2 Contractor Supplied Equipment

All Contractor supplied equipment shall be in accordance with the SCDOT Traffic Signal Supplemental Technical Specifications, 675.0 General Provisions.

675.3.3 MAINTENANCE AND OPERATIONS DURING CONSTRUCTION

Section 1.1.2 Operations during Construction and Section 1.1.3 Maintenance/Repairs of the SCDOT Traffic Signal Supplemental Technical Specifications, 675.0 General Provisions, are amended as follows: the design build team shall be responsible for the maintenance and operations of all existing and newly installed signals, from the commencement of any signal construction activities until the final acceptance of the project. The Contractor shall be responsible for temporary controller time settings with approval of the Engineer of Record.

At that point in the project when construction activity is about to occur which could affect the operation of any traffic signal within this project, the Contractor shall request SCDOT's concurrence, and the Contractor shall assume responsibility for maintenance of all traffic signals within this project. This request shall be in writing to the District Traffic Engineer and shall have a written

response. In the absence of the request, any activity of the Contractor which affects the operation of any traffic signal within this project shall be deemed evidence of the Contractor's assumption of responsibility for the maintenance of all traffic signals within this project.

The Maintenance of Traffic (as provided in the Traffic Control Plan), and the safety of traffic is of prime importance. Safety will be enhanced by providing for the continuous operation of traffic signals. Full Continuity of Operation shall be maintained by the Contractor and temporary signal devices shall be in place prior to construction activities that will affect signal operation. Damage to or failure of the existing traffic detection during the project shall be repaired by the Contractor.

Signals shall not be arbitrarily turned off for the convenience of the Contractor. When SCDOT gives permission to briefly turn off a signal, complete intersection control using a flagger and/or Police to direct traffic shall be provided.

Associated liquidated damages for failure to re-establish/maintain detection shall be \$1500 per intersection per day.

The Contractor shall coordinate installation and removal of temporary detection equipment with SCDOT's designated signal inspectors.

Unless noted otherwise on the Plans or stated in the Special Provisions, it is not permissible to adopt "uncoordinated" operation of adjacent signals. Damage to the interconnection cable between adjacent signals shall be repaired immediately by the Contractor, at no cost to SCDOT.

Temporary Signals shall be fully reliable, fully functional, and of professional appearance. This includes placing the signal heads at the proper height above the road, correctly aligning the signal heads with the lanes of traffic, and placing the signal heads at the proper distance from the stop line (see SCDOT Standard Drawings). The installation of a temporary Controller shall include transferring operation while simultaneously turning off the old controller.

675.3.4 MAINTENANCE OF TRAFFIC

The Contractor shall perform all maintenance of traffic (MOT) Work in accordance with TP Section 600.

675.3.5 COORDINATED SYSTEM REQUIREMENTS

The Contractor shall perform the following coordinated signal system timing tasks: Timing Plan Development, and Implementation and Fine Tuning of Signal Timing Plans.

675.3.5.1 Timing Plan Development

Preliminary Assessment: Prior to beginning the development of the signal timing plans, the Contractor will evaluate the operations of all coordinated system project intersections to determine any operational and/or geometric changes that would benefit the overall system operations. Additionally, the

assessment will also include preliminary recommendations and cycle lengths. The preliminary assessment will be submitted in memo form and will consist of the following:

- Proposed number of timing plans up to 5.
- Proposed cycle lengths.
- Proposed clearance intervals.
- Recommended time-of-day for each plan's time periods.
- Recommended day-of-week for each plan's time periods.
- Preliminary Assessment includes the evaluation of existing equipment and plan testing process.
- Development of timing plans will begin after the approval of proposed clearance intervals has been received from SCDOT.

Development of Base System Timing Plans: The Contractor will prepare timing plans for systems using Synchro and develop up to five (5) separate time-of-day plans. Synchro inputs shall use the current version of SCDOT's Synchro Default Settings. Default yellow and red clearance times shall be replaced with the signal clearance timing calculations prepared at each intersection.

To prepare the timing plans, traffic turning movement count data shall be collected in 15-minute intervals between

- 5 AM and 11 PM on a typical weekday
- 5 AM and Midnight on Saturday
- 5 AM and 11 PM on Sunday

Traffic counts in the preceding time periods shall be collected at the following intersections:

Long Point Road System

- Long Point Road at Whipple Road
- Long Point Road at Egypt Road
- Long Point Road at Belle Point Drive
- Long Point Road at I-526 Westbound Ramps
- Long Point Road at I-526 Eastbound Ramps
- Long Point Road at Wando Park Boulevard and Hobcaw Bluff Drive
- Long Point Road at proposed Wando Port Connector

The following shall be submitted as part of the development of the base system timing plans: Synchro output sheets from HCM 7th and SimTraffic Intersection Queue reports, the Synchro files, and the timing plan development methodology for department review and approval. Time space diagrams will be developed in Synchro and submitted with the timing plans for review. Based on the results of SCDOT's review, the Contractor will finalize the Synchro timings. Once finalized, the Contractor will export the files for importing into ATMS for SCDOT maintained signals.

675.3.5.2 Implementation and Fine Tuning of Signal Timing Plans

Proposed timing plans will be loaded and tested in the signal shop by the District signal shop or local government signal maintainer with the Contractor. After successful testing a time plan shall be established for field implementation: Contractor shall be present in the field during field implementation. The Contractor will begin to fine tune each system for each time-of-day plan. During the fine tuning, the Contractor will make recommendations for timing adjustments that will be made in the controllers by the District signal staff.

675.3.5.3 Final Documentation

The Contractor will prepare a final project report for each signal system. The report shall include:

- Implemented and fine-tuned TOD schedule.
- Implemented and fine-tuned signal timing plans (Final Synchro plans)
- Databases for each plan.
- Synchro files for each plan

End Section

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680 TRAFFIC OPERATIONS

680.1 GENERAL REQUIREMENTS

Contractor shall perform all traffic operations Work in accordance with TP Section 680.

An Interchange Access Request (IAR), *Technical Report: Interchange Access Request, December 2022*, for the Long Point Road Interchange project, has been approved, which includes the segment of I-526 and the proposed interchange of Long Point Road and the Wando Port access road connection. If the Contractor alters the Conceptual Roadway Plans in any way such as to impact the approved IAR, the Contractor is responsible for updating the IAR for approval by SCDOT, FHWA, and any other required agencies.

Note that critical elements of the proposed interchange concept that cannot be modified are listed below. These changes would be a major change to the operations of I-526 and the Conceptual Roadway Plans. Therefore, these are not allowed:

- A single exit on I-526 eastbound providing access to the proposed Wando Port access road and the existing Long Point Road intersection is required. As the proposed Conceptual design illustrates, this will require a CD type facility with separate exit ramps to Wando Port access road and Long Point Rd.
- On I-526 westbound, only two merge point connectors can be provided – one for the Long Point Road entrance ramps and one for the Wando Port access road entrance ramp as shown in the Conceptual design.
- The proposed Wando Port access road will be constructed as a four-lane facility with two travel lanes each direction. This includes a two-lane exit for inbound vehicles from I-526. Two lanes will also be maintained on the outbound Wando Port access road, transitioning to one lane limited to the Line A ramp to I-526 westbound in a similar manner to the Conceptual design.
- Shipping Lane shall not access the proposed Wando Port access road, as indicated in the Conceptual Design.

If the Contractor alters the Conceptual Design in any way such as to impact the approved IAR, the Contractor is responsible for the analyses required to update the IAR and obtaining approval of SCDOT, FHWA, and any other required agencies. The analysis will need to follow the standard traffic analysis procedures of each agency. In addition, if a Conceptual Design change is identified that requires re-evaluation approved EA/FONSI, modifications to environmental permits or certifications, the Contractor is responsible for all elements of the process. Modifications to environmental permits or certifications shall be in accordance with the provisions of TP Section 160.

680.2 ADMINISTRATIVE REQUIREMENTS

Contractor shall perform all traffic operations Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

680.3 TRAFFIC ANALYSIS REQUIREMENTS FOR CHANGES TO THE CONCEPTUAL DESIGN

As noted in Section 680.1, if the Contractor alters the Conceptual Design in any way such as to impact the approved IAR, the Contractor is responsible for traffic analyses required to revise the IAR and submit for approval by SCDOT and FHWA. This analysis will be conducted in a manner consistent with established SCDOT and FHWA standards and with the previously conducted IAR analysis.

All traffic analysis methods will be required to analyze 2030 Opening-year Build scenario, 2050 Horizon-year Build scenario, Failure Date (if applicable), and construction staging/temporary scenarios matching proposed MOT plans for AM and PM peak hours. All traffic analyses shall be based on the volumes found in the appendix of the approved IAR.

The design shall be evaluated with the same operational performance measures of effectiveness (MOEs) as in the approved IAR and Vissim File. Traffic analyses of any CONTRACTOR originated design changes shall be performed using the requirements and parameters outlined in the RFP. Traffic analyses methodology and results shall be “equivalent or better” than as shown in the approved IAR. The area of influence along arterials shall include, at a minimum, one signalized intersection beyond the interchange limits in each direction.

MOEs must include the following plus any additional MOEs analyzed in the Approved IAR:

680.3.1 FREEWAY OPERATIONS PERFORMANCE

- AM and PM Mainline/Merge/Diverge/Weave Densities and LOS
- AM and PM Mainline and Ramp Volumes
- AM and PM Ramp Queue Lengths
- AM and PM Mainline Speeds

680.3.2 ARTERIAL OPERATIONS PERFORMANCE

- Intersection/Approach/Movement Delays and LOS
- Intersection Queue Lengths

680.3.3 NETWORK PERFORMANCE

- AM and PM System Delay (vehicle-hour) – total and trucks only
- AM and PM Vehicle Miles Traveled (VMT) – total and trucks only
- AM and PM Vehicle Hours Traveled (VHT) – total and trucks only

AM and PM Average speed (mph) – total and trucks only
AM and PM Total Denied Entry
SCDOT values a design that reduces crash frequencies and eliminates crash patterns as compared to proposed design.

SCDOT values a design that preserves the effectiveness of providing the reduction of Port related truck interactions from existing I-526 and the local road network.

SCDOT values optimized intersection and signal spacing throughout the interchange area to reduce or eliminate queueing and weaving effects and improved operations. FHWA and

SCDOT value a design that does not have significant adverse impact on the safety and operations of I-526, Long Point Road, and other local roads.

Designs shall not include ramp metering or traffic demand strategies.

680.4 TRAFFIC ANALYSIS METHODOLOGY

680.4.1 TRAFFIC STUDY METHODOLOGY REQUIREMENTS

Evaluations of proposed changes to the Schematic Design may be conducted utilizing Highway Capacity Manual (HCM) methodology outputs with Synchro/SimTraffic and Vissim software. It is recommended to start with HCM methodologies and Synchro/SimTraffic to start the screening of alternatives and transition to Vissim for more complex analyses. SCDOT may also require Vissim simulations/analyses for smaller design changes if deemed necessary (even if changes to the IAR are not required). For example, SCDOT may accept a Synchro/SimTraffic analysis for a change at a signalized intersection at a frontage road; however, will require a Vissim simulation for a change requiring a revised Interchange Access Request.

680.4.1.1 Intersection Analysis

Intersection analysis shall be conducted using Synchro 11 or equivalent software for unsignalized and signalized intersections. Analysis shall evaluate overall intersection LOS and LOS of individual movements with HCM Reports. SimTraffic shall be run a minimum of 10 times (with no specific seed number) for each scenario to provide the 95th percentile queuing for each intersection. SimTraffic intervals shall be a minimum of 15-minute seeding or sufficient duration to saturate the model and recordings of 60 minutes. Roadways with traffic signals shall be treated as coordinated systems for the model runs. Synchro 11 default settings are provided in TP Attachment 680-X.

The CONTRACTOR shall only change the Synchro and SimTraffic settings in regard to changing geometry, intersection control type, lane configurations, volumes, and speed (justify in traffic analysis). The CONTRACTOR shall not change any other settings or parameters that would affect the capacity of the model including, but not limited to, driver types, capacity, headways, and gaps. The HCM Reports generated from the Synchro files and the SimTraffic 95th Percentile Queueing shall be reported in the Traffic Analysis and IAR (if necessary).

The CONTRACTOR shall include all signals to be included in the Long Point Road signal system in the analysis and reporting of all results. The CONTRACTOR shall utilize the Synchro file included in TP Attachment 680-X to fulfill this requirement.

680.4.1.2 Roundabout Analysis

Roundabouts (if proposed by the Contractor) shall be analyzed using SIDRA software.

680.5 COORDINATION WITH THE SOUTH CAROLINA PORTS AUTHORITY

Contractor shall incorporate the assumptions from the IAR in regards to port operations in all operation analyses.

680.6 DELIVERABLES

TP Table 160-1 reflects a list of Deliverables identified in TP Section 680 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and any applicable Government Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 680-1: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Revised Interchange Access Request	3	1		680.3 and 680.4

*Levels of Review

SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)

SCDOT Review and Comment (Section 3.1.5 of the Agreement)

Submittals not subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

End Section

685 INTELLIGENT TRANSPORTATION SYSTEM (ITS/WZITS)

685.1 General Requirements

Contractor shall perform all Intelligent Transportation System (ITS) Work in accordance with TP Section 685.

The Contractor is not responsible for the maintenance or construction of the permanent ITS components; however, the Contractor shall be responsible for the removal and disposal of existing ITS infrastructure. The Contractor shall also be responsible for coordinating impacts to the existing fiber-optic cable backbone within the project limits.

THE WORK ZONE INTELLIGENT TRANSPORTATION SYSTEM (WZITS) SHALL BE THE RESPONSIBILITY OF THE CONTRACTOR.

685.2 Administrative Requirements

685.2.1 Standards

Contractor shall perform all ITS Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

685.3 Design Requirements

685.3.1 Permanent Intelligent Transportation System (ITS)

An existing 96-count fiber-optic cable backbone is located along I-526 within the project limits. Refer to TP Attachment 140-5 for existing fiber backbone location. The Contractor shall coordinate with SCDOT a minimum of 30 days prior to beginning construction activities that may impact the fiber backbone to allow SCDOT to prepare the existing ITS system for decommissioning. SCDOT will complete the work within the 30-day window and inform the Contractor when the existing backbone mitigation is complete.

The Contractor is not responsible for the maintenance or construction of permanent ITS Elements.

The ITS Work includes the removal and disposal of existing ITS Elements impacted by the Project construction. The SCDOT will remove the existing ITS cameras and cabinets prior to the Contractor commencing Work. The Contractor shall remove and dispose of the remaining existing ITS infrastructure that is impacted by construction, including but not limited to poles, foundations, service boxes, concrete pads, conduit, and cabling. Refer to TP 1000, Special Provisions Section 600 for additional information. A standalone permanent ITS Submittal is not required; however, all ITS devices and poles that are to be removed shall be shown and called out on the Roadway Plans.

685.3.2 Work Zone Intelligent Transportation System (WZITS)

The Work Zone Intelligent Transportation System (WZITS) for this Project shall be the responsibility of the Contractor and shall cover I-526 at Long Point Road interchange for a sufficient distance to cover any anticipated or observed queue lengths.

Contractor shall submit a WZITS plan for SCDOT review and approval prior to the Contractor commencing any construction activities. The scope of work will include the system set-up, project deployment, project maintenance and project management for all phases of the Work Zone ITS system. All the WZITS components must be operational and online prior to any portion of the existing system going offline. The components will consist of the following to achieve maximum efficiency of the system as well as provide the SCDOT personnel with the most accurate real time traffic data:

- Operations system
- Device communication package
- Portable PTZ cameras
- On-site Adjustment of Project

The SCDOT shall have the ability to view and remotely control portable cameras in the work zone. The portable cameras shall be placed to provide full coverage in the project limits and all signed detour routes (if applicable). The maximum spacing for WZITS components shall not exceed one mile (1) for portable cameras unless specifically approved by the ITS Operations Program Manager. The system shall remain in operation until project completion or until final ITS components have been installed and made operational by SCDOT. The Contractor shall coordinate with SCDOT the relocation or adjustment of any WZITS devices.

685.4 Construction Requirements

685.4.1 Operations

The operations shall include at a minimum an interface for operation and control of the WZITS devices. The interface shall allow operators and other SCDOT staff as well as the CONTRACTOR to interface with the devices for control and operations. The private interface shall also show the health of the devices as well as their communications for maintenance purposes. The interface shall be password protected and allow different levels of security for operations and viewing of the devices.

The only devices that will be integrated into PALguide will be PTZ cameras where SCDOT modems are installed.

685.4.2 Device Communication Package

The Contractor shall coordinate with a provider of wireless communications to provide the necessary communications for each device.

SCDOT will install a SCDOT owned modem in the existing pole mounted cameras impacted by the fiber cut. The camera will be available to the CONTRACTOR and the public through SCDOT's 511 app and website. Air time for the SCDOT installed modems will be the responsibility of SCDOT.

685.4.3 Portable Camera With Communications

The camera shall provide images remotely to be used to monitor traffic conditions. The camera shall have full pan tilt and zoom capabilities and shall be mounted at

a minimum thirty feet above the roadway. The device shall be self-contained and powered and require no commercial power or hard wired connections. The device shall be capable of communicating to the CONTRACTOR's communication and control system to support decision making for other WZITS devices. The CONTRACTOR shall provide a web interface or website that allows SCDOT full access to operate the cameras and also allows the CONTRACTOR/vendor access.

685.4.4 On-Site Adjustment Of WZITS Devices

The Contractor shall provide the necessary labor and equipment to move devices as needed as conditions change throughout the construction project. Movement of devices shall be at the direction of the ITS Operations Program Manager or designee, the Resident Construction Engineer for the construction project, or at the discretion of the Contractor when deemed necessary.

685.5 Penalties And Liquidated Damages

The Contractor is advised that the WZITS downtime will be strictly enforced. Should the total WZITS go offline or the project effect any other SCDOT ITS components, liquidated damages will be assessed at the rate of \$2,500.00 (Two Thousand Five hundred Dollars) for each 1/4 hour interval the system is offline (or any portion thereof). Should any portion of the WZITS go offline, at which the criteria above is not met, liquidated damages will be assessed at the rate of \$500.00 (Five hundred Dollars) for each 1 hour interval each item is offline (or any portion thereof).

685.6 Deliverables

685.6.1 WZITS PLANS

No Deliverables are anticipated for ITS; however, all permanent ITS devices and poles that are to be removed shall be shown and called out on the roadway plans.

End Section

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690 LIGHTING

690.1 General Requirements

Contractor shall perform all lighting Work in accordance with TP Section 625. Contractor shall provide all lighting Work to support design and construction of the Project.

The work on this project consists of designing, fabricating, furnishing, and installing new roadway lighting for the Project for the new entrance and exit ramps for the Wando Port Connector, described as:

- Line A From Station 1364+48 to Station 1414+99.84
- Rel. Line 3 from 1375+75.52 to Station 1391+16.97
- Line B From Station 1391+16.97 to Station 1411+55.03
- Wando Port Connector from Station 122+35.87 to 104+00

SCDOT will require partial interchange lighting as defined in section 658 the SCDOT 2025 Standard Specifications for Highway Construction.

All lighting design for the Project shall be carried out in accordance with AASHTO Roadway Lighting Design Guide, effective as of the Final RFP release date, and the design criteria herein.

The Contractor is not responsible for the design or construction of the permanent street lighting system on S-97 (Long Point Road); however, the Contractor shall be responsible for coordination with the Town of Mount Pleasant and Dominion Energy for the replacement of the existing street lighting system on Long Point Road within the project corridor.

690.2 Administrative Requirements

690.2.1 Standards

Contractor shall perform all lighting Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

690.3 Design Requirements

690.3.1 Permanent Lighting

The Contractor shall provide all components to the lighting system to be fully functional upon completion of the project.

Standard poles (35') shall be used along the ramp and roadway segments noted in section 690.1.

The locations for the light standards shall be based on photometric analysis of the roadway design/alignment approved for construction. The Contractor shall submit the photometric analysis and lighting plans in accordance with Section TP Section 690.5. Minor shifts in pole locations may be permitted during final design and during construction to accommodate design features that are subject to field changes, such as drainage structures.

Bridge mounted light standards shall be used along Line A from Station 1398+00 to Station 1410+70 and along Line B from Station 1398+50 to Station 1408+00. The luminaires on the overpasses shall be designed to minimize light spillover onto the I-526 mainline. The Contractor will be required to coordinate with the designer of the bridge structure to ensure that pedestals for mounting the lights in accordance with the locations/spacing indicated in the photometric plan are incorporated into the bridge deck design.

The Contractor is advised that the light standards will be mounted on various barrier wall or MSE wall/moment slab barrier wall combinations along substantial portions of the ramps or roadways to be lighted. Proper installation of conduit and light foundations/mounting pedestals for the light standards at these locations will require careful consideration and planning during the design of these walls.

For ground mounted locations, the pole setback for standard (35') light poles will vary based on the speed and cross section design of the roadway. Standard 35' light poles located within the right-of-way or within the clear zone of the roadway shall be equipped with breakaway supports designed such that no fixed part of the support extends further than 3 inches above ground level. When used, the breakaway capability of the support shall incorporate the use of fused, breakaway electrical connectors such that no exposed energized electrical wires exist after impact by a vehicle.

690.3.2 Photometric Analysis

Use the illuminance calculation method described in the AASHTO Roadway Lighting Design Guide for lighting system design. The design shall include a light loss factor (LLF) of 0.9 for LED luminaires. Conduct the photometric analysis using 6 feet by 6 feet grid.

690.4 Construction Requirements

Construct lighting system in accordance with Section 658 of the SCDOT 2025 Standard Specifications for Highway Construction.

690.5 Deliverables

TP Table 690-1 reflects a list of Deliverables identified in TP Section 690 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 690-1: Deliverable Summary

	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Preliminary Lighting Plans	2	1	Submitted with Preliminary Roadway Plans	
Final Lighting Plans	2	1	Submitted with Final Roadway Plans	
RFC Lighting Plans	3	1	Per approved CPM Schedule	
Photometric Analysis	2	1	Submitted with Preliminary Roadway Plans and Final Roadway Plans	TP 690.3.2
RFC Photometric Analysis	3	1	Per approved CMP Schedule	TP 690.3.2

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

End Section

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700 STRUCTURES

700.1 GENERAL REQUIREMENTS

Prepare the structures design for the Project using the design standards and criteria that are most appropriate for the construction of new grade separations for ramps, interstate routes, waterways, railroads, and other roadways as required for the Project, the construction of retaining walls, concrete median barriers, bridge pier protection, concrete roadside barriers, and the replacement of existing bridges as denoted in Technical Provision (TP) 100.

Refer to Technical Provisions (TP) Attachments for additional Structures Design Criteria.

700.2 ADMINISTRATIVE REQUIREMENTS

700.2.1 STANDARDS

Contractor shall perform all structural Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

700.3 DESIGN REQUIREMENTS

700.3.1 CRITERIA FOR NEW HIGHWAY BRIDGES

700.3.1.1 Bridge Design

Design all new bridges in accordance with the requirements of the AASHTO LRFD Bridge Design Specifications, 9th edition. Use the HL-93 design live loading and all vehicles as required by SCDOT Load Rating Guidance Document and Load Rating Technical Notes.

700.3.1.2 Seismic Design and Detailing

In accordance with the SCDOT Seismic Design Specifications (SDS) for Highway Bridges, the bridge operational classification (OC) for new bridges carrying mainline interstate, collector-distributor or interstate-to-interstate ramp traffic is "I". OC for all other new bridges is "II". Except SDC A and single span bridges, submit Seismic Design Summary Reports in accordance with TP Section 700.5.

Seismic Design Specifications Section 3.11 is revised to eliminate the specific requirement to perform detailed seismic analysis and design using the acceleration coefficient given in the FEE for each intermediate construction stage of the bridge. Design the final bridge configuration to meet the seismic design requirements for permanent structures.

See TP Attachments for Revisions to the SCDOT Seismic Design Specifications.

700.3.1.3 Dimensions

Construct the new bridge with roadway widths that are equal to or greater than the approach roadway widths (travel way plus shoulders) that are specified in TP Section 200.

For bridges crossing over I-526, provide sufficient opening beneath to accommodate a minimum of three mainline lanes (in addition to any auxiliary, ramp, or collector-distributor lanes) in each direction, along with associated shoulders. The minimum inside shoulder width in the future condition at a proposed I-526 median bent is 6'-0".

See section titled "Horizontal Clearances/Pier and Abutment Protection" for horizontal clearance requirements where width is provided for future accommodations.

700.3.1.4 Horizontal Clearances / Pier and Abutment Protection

Locate all abutments and piers, and associated barrier protection to provide horizontal clearances under the new bridges over interstates and interstate ramps that are sufficient to accommodate roadway widths that are equal to or greater than the roadway widths (traveled way and shoulders) specified in TP Section 200 and the additional future accommodations required by section titled "Dimensions" in this Exhibit.

Horizontal clearance provided under bridges for future accommodations are to be free of obstructions, including but not limited to physical obstacles and unrecoverable/non-traversable slopes.

For interior bents located within the roadway clear zone, comply with AASHTO LRFD Bridge Design Specifications 9th Edition, article 3.6.5. SCDOT Bridge Design Memo DM0213 does not apply to this project. SCDOT rigid barrier pier protection standard drawings in effect for this project do not meet the offset distance requirement for redirecting or absorbing collision force. To satisfy the AASHTO protection of structures requirement in article 3.6.5, either design substructure for the collision force or provide reinforced concrete pier components that are at least 3.0 feet thick and have a concrete cross-sectional area greater than 30.0 ft² as measured in the horizontal plane at all elevations from the top of the pier foundation to height of at least 5.0 feet above grade.

Provide abutment wall protection for walls located within the roadway clear zone in accordance with the detail titled "Rigid Barrier Adjacent to Wall" in TP Attachments.

Provide rigid barriers at an elevation that accommodates the future widening along I-526.

700.3.1.5 Vertical Clearances

Provide vertical clearance in accordance with the SCDOT Roadway Design Manual (RDM) or other requirements in TP Section 700 and TP Section 200. Consider the horizontal and vertical geometry as well as cross slope effects associated with the future lane requirements in TP Section 700.3.1.3 in computation of vertical clearances for bridges.

Provide vertical clearance at water crossings in accordance with TP Section 714.

700.3.1.6 Superstructures

For this Project, Section 12.3.3 of the SCDOT Bridge Design Manual (BDM) does not apply. Allowable superstructure types are outlined in Sections 12.3.2.1, 12.3.2.2 and 12.3.2.3 of the BDM, with the following exception. For the proposed I-526 Westbound off-ramp bridge over Tributary to Hobcaw Creek, use flat slab superstructure type.

Do not combine concrete and steel girders within the same bridge.

Design and load rate prestressed concrete I-girder bridges in accordance with the design criteria and assumptions outlined in the applicable SCDOT Structural Drawings and Details Instructional Memorandum (IM704 series). IM704 design criteria and assumptions are supplemental to design criteria contained in the BDM and subsequent design memos (2006 and later), which remain in effect. Additionally, design prestressed concrete girders so that the algebraic sum of the beam deflections due to prestress and beam self-weight, the beam dead load deflections due to non-composite dead load, and deflections due to composite dead loads (not including future wearing surface) results in a positive (upward) camber. For this calculation, the beam camber due to prestress and self-weight shall be considered "At erection" as described in the PCI Bridge Design Manual, 4th Edition (PCIBDM) Section 8.7.1 and shall be augmented by the "At erection" multipliers commensurate with PCIBDM Table 8.7.1-1.

For prestressed concrete beams that exceed a span-to-depth (S/D) ratio of 25 (where S is span length and D is beam depth), the bridge engineer of record is responsible for performing a stability analysis to ensure the design accounts for stresses and deformations induced during handling, transportation and erection. Perform the stability analysis in accordance with the Recommended Practice for Lateral Stability of Precast, Prestressed Concrete Bridge Girders (CB-02-16), including errata dated March 2020, published by the Precast Concrete Institute (PCI), as well as the User Manual for Calculating Lateral Stability of Precast, Prestressed Concrete Bridge Girders (PCI publication CB-04-20). Input assumptions used in the analysis for handling and hauling shall be shown on the beam sheets in the final bridge plans. Verify assumptions for the hauling vehicle with the proposed precast fabricator for the project.

Design and load rate flat slabs in accordance with the design criteria and assumptions outlined in IM702-FS.

For steel welded plate girder superstructures, use structural steel girders that are "I" shaped. Use structural steel that conforms to the requirements of AASHTO M 270. Paint new structural steel using paint system PS1 in accordance with Section 710 of the SCDOT Standard Specifications.

For girder bridges used on this Project, BDM Section 12.2.5.3 is amended to increase the maximum girder spacing to 12.0 feet.

For straight, parallel girders, provide exterior girder designs with depth and resistance equal to or greater than that of the adjacent interior girder.

At each bearing location, connect I-girders to the substructure using anchor bolts.

Design each construction stage that carries traffic with a minimum of three lines of girders.

Bridge decks are to be continuous over the girders from side to side and end to end; "exposed" girders without deck between are not permitted.

700.3.1.7 Concrete Strength

In prestressed concrete piles and beams, concrete design strengths are not allowed to exceed 8,000 and 10,000 psi maximum, respectively. Utilize Class 4000 concrete in all cast-in-place concrete bridge components, with the following exception. Utilize Class 4000DS concrete in drilled shafts and drilled piles. All precast concrete bridge components shall have a minimum design strength of 5000 psi.

For bridge deck and flat-slab superstructure concrete, design the Class 4000 concrete mix to include between 20-25% Type F fly ash by mass of total cementitious material. High early strength mixes are prohibited. Limit the maximum cement content to 700 pounds per cubic yard.

700.3.1.8 Lightweight Concrete

Lightweight concrete is only permitted in cast-in-place decks and barrier parapets. Use lightweight concrete that conforms to the requirements of TP Section 1000, Special Provisions Section 701. When calculating dead loads, include a minimum allowance of 7 pounds per cubic foot for reinforcing steel.

700.3.1.9 Corrosion Protection

Provide galvanized rebar in all new bridge decks (including flat slabs) and barriers for this project. Galvanizing is not required on steel studs and beam stirrups. Galvanizing is required on bent diaphragm reinforcing (diaphragms poured integral with the deck). Provide galvanized rebar in accordance with the Standard Specifications. Galvanized rebar is not required in the approach slabs or their associated barriers. Additionally, for substructure of the proposed replacement bridge on I-526 Westbound off-ramp over Tributary to Hobcaw Creek, provide concrete covers as follows:

1. 4.5" for substructure concrete within the splash zone, water column, and/or scour zone. The splash zone is the vertical distance from 4 feet below the mean low tide water elevation to 12 feet above the mean high tide elevation. The scour zone is the vertical distance between the natural ground elevation and the lower of the 100-year and 500-year scour elevation.
2. 4.5" for concrete cast against and permanently exposed to earth
3. 4" for substructure concrete exposed to earth or weather
4. 3" for prestressed concrete piles
5. 6" for drilled shafts in soil (applies to hoops)
6. Design all cast-in-place concrete elements for crack control with a Class 2 exposure condition in accordance with AASHTO LRFD Bridge Design Specifications.

700.3.1.10 Post-Tensioning

Post-tensioning is allowed for bent caps only on this project. Design using grouted, bonded tendons only. External and vertical post-tensioning will not be permitted. Post-tensioning will not be permitted to be placed or extend above the top of any girder.

Design and detail post-tensioned bent caps in accordance with the requirements of the AASHTO LRFD Bridge Design Specifications. Design parameters including, but not limited to, anchor set, friction coefficient, and wobble coefficient shall be actual values provided by the post-tensioning supplier for the specific post-tensioning components to be used on the project. Evidence of this coordination shall be included in the design calculations.

The minimum center-to-center vertical spacing between ducts shall be the outer duct diameter plus 1.5 times the maximum aggregate size, or outer duct diameter plus 2 inches, whichever is greater. The minimum center-to-center horizontal spacing between ducts shall be the outer duct diameter plus 3 inches. Design and detail post-tensioned bent caps to meet or exceed these minimum requirements.

Exercise care in the cap design and detailing to adequately distribute post-tension stresses across the girder face for the exterior girders. More small tendons are recommended rather than fewer large tendons. A relatively uniform post-tensioning force applied to the face of the exterior girders is preferred to very-high anchorage loads at a few points.

Engineer of Record (EOR) shall develop project-specific special provisions in accordance with supplemental design criteria in TP Attachments. Special provision shall be submitted with the Final Bridge Submittal Package for bridges with post-tensioned caps. The final Special Provision shall be signed and sealed by the EOR for the bridge plans which the post-tensioning is to be used and provided with the RFC Plan Submittal.

700.3.1.11 Bridge Decks

Design girder bridge decks with reinforced cast-in-place concrete.

The minimum bridge deck thickness is 8.5 inches.

Make the connection of the reinforcing of decks between stages by lap-splice or mechanical splice.

Asphalt overlays are not permitted on bridge decks.

Longitudinal expansion joints are not permitted.

If excess deck area (area in addition to the traveled way and shoulder widths specified in TP Section 200) exists, locate a SCDOT MASH barrier at the edge of deck.

700.3.1.12 Barriers

Provide bridge barrier in accordance with the SCDOT Bridge Design Memo DM0119 and the SCDOT Structural Drawings and Details. Provide two (2) conduits in each barrier as shown in the SCDOT Structural Drawings and Details.

Detail barrier parapets parallel to the edge of the adjacent travel lane.

700.3.1.13 Highway Light Pole Supports

For light poles located on bridges, design and detail supporting structures as an extension of bridge deck, outside of the traffic barrier. Provide pull boxes for lighting conduits at each light pole. Provide conduits from the pull boxes through the deck extension to daylight at the base of the light pole. Use embedded or bolt-through anchor bolts for attaching the light post base plate. Adhesively bonded anchors are not an acceptable substitute for the embedded or bolt-through anchor bolts required. See TP Section 690 for light pole support locations as well as requirements for conduit to be provided at light pole support locations.

700.3.1.14 Overhead Sign Attachment to Bridges

For overhead signs attached to bridges, design the bridge for the sign loads and state the loading assumptions on the bridge load rating summary form. Signs attached to the outside of superstructure cannot decrease vertical clearance. No field welding to steel girders or field drilling to precast beams is allowed.

For signs attached to the outside of an overpass superstructure (no poles), attachment to cast-in-place concrete Elements shall be made with inserts or in accordance with SCDOT Bridge Design Memo DM0408 for Adhesively Bonded Anchors and Dowels. Attachment hardware shall be galvanized or stainless steel.

For overhead sign structure poles attached to bridges, poles shall be mounted on a built-out portion of MASH bridge barrier parapet. Design shape transitions for the barrier and additional reinforcing. Do not transition the inside face of MASH barrier parapet. Use embedded anchor bolts for

attaching sign post base plates. Adhesively bonded anchors are not an acceptable substitute for embedded anchor bolts.

700.3.1.15 Bridge Drainage

Low points on the bridge are not permitted. Avoid bridge geometry that results in ponding on the bridge deck due to a superelevation transition in combination with a relatively flat longitudinal gradient. Bypass flow at superelevation rollover locations is restricted to 0.20 cubic feet per second.

BDM Subsection 18.2.2 does not apply to this project. Allowable water spread requirements in BDM Figure 18.2-1 shall dictate the minimum number of drainage inlets on the bridge in the final condition. For stage-constructed bridge decks, refer to TP Section 714 for temporary drainage design event. Restrict temporary drainage spread to the shoulder width on bridge decks. Free-falling discharge is not allowed onto travel lanes beneath a bridge, in both temporary and permanent conditions.

Provide standard concrete flumes or catch basins at each bridge corner receiving runoff. At the last end collector (flume or catch basin) receiving bridge deck runoff, limit bypass gutter flow to 0.20 cubic feet per second. Include calculations showing discharge at each bridge inlet, each flume/catch basin, and bypass flow at each inlet and flume/catch basin in the Final Hydraulic Report.

At bridge corners with flumes, provide shoulder paving triangles with 4:1 taper as shown on Standard Drawing 805-325-75. At bridge corners without flumes or roadway curb and gutter, provide shoulder paving triangles with 4:1 or flatter taper to ensure the entire width of approach slab interfaces with pavement.

Provide deck drain details in conformance with SCDOT Structural Drawings and Details. Use a minimum drain diameter of six inches. Grated inlets larger than 6" in diameter may be used in conjunction with closed drainage systems, where required to control spread.

If a closed drainage system is required, connect the deck inlets to under deck collector pipes which outfall to protected slopes, riprap pads, or connect to adjacent roadway drainage facilities. Provide accessible cleanouts for collector pipes.

Downspouts and collector pipes shall be fiberglass and shall be colored (not painted) to match the finished bridge color. No drains or discharge pipes shall be allowed inside of structural Elements other than the bridge deck. Collector pipes are not to extend below the bottom of the girders except for downspout locations.

At finger joint locations, detail drainage troughs with downspouts and collector pipes to capture all runoff into the joint. Expansion joint drainage shall not discharge freely out from the side of the bridge deck.

For bridges crossing roadways with allowances for future conditions, locate drains so they do not discharge onto current or future travel lanes. Bridge deck drains are not allowed to discharge directly into railroad right-of-way. Scupper placement over Waters of the U.S. shall be coordinated with permitting requirements.

700.3.1.16 Pile Sizes and Types

Minimum pile sizes and acceptable pile types are listed in TP Table 700-1. No other pile types are permitted. Where the geotechnical report indicates an aggressive environment in accordance with the SCDOT Geotechnical Design Manual (GDM), provide sacrificial steel thickness for corrosion. The sacrificial thickness shall be discounted from the pile section properties when determining capacity for all limit states, including seismic.

TP Table 700-1: Pile Sizes and Types

PILE TYPE	MINIMUM SIZE
Steel H-Piles	HP12x53
Steel Pipe Piles	16" Dia. (min. wall thickness equal to ½")
Solid Prestressed Concrete Piles	18" Square
Prestressed Concrete Pile Points	W8x58

700.3.1.17 Steel Pipe Pile Connection Details

The pile connection detail described in Item 2 of Section 19.2.6.3 of the BDM does not apply for this Project. Terminate steel pipe piles to provide a 2" minimum gap below the bottom of the end bent cap or footing. Connect the piles to the cap or footing using a reinforced concrete infill, with the reinforcing extending into the cap or footing for a minimum anchorage length of 24 times the bar diameter. Extend the reinforced concrete infill inside the pipe pile, as a minimum depth, to the point where the moment demand is less than half of the maximum moment demand (i.e. below ground plastic hinge moment). The moment demand used for minimum infill depth must consider the effects of scour (when present). Additionally, extend the reinforced concrete infill below the bottom of liquefiable soil layers into competent soil. The minimum clearance of the reinforcement shall satisfy the requirement of SCDOT Bridge Design Memo DM0107.

700.3.1.18 Drilled Shafts

SCDOT Bridge Design Memo DM0111 contains a requirement to detail the portion of shaft below the construction casing with a diameter that is six inches smaller than the diameter of the casing. This six-inch reduction requirement does not apply to this Project. For this Project, detail the portion of the shaft below the bottom of the construction casing, whether in soil or rock, with a diameter that is at least two inches smaller than the outer diameter of the casing.

When the design for the upper portion of a drilled shaft requires a column reinforcement cage to be inserted into a larger diameter drilled shaft reinforcement cage, provide a construction joint in the shaft just below the bottom of the column cage.

700.3.1.19 Crosshole Sonic Logging (CSL) Testing

Design and detail drilled shafts to include access tubes in all drilled shafts in accordance with the SCDOT Standard Specifications.

700.3.1.20 Substructures

Design Interior Single and Multi-Column Bents using cast-in-place reinforced concrete bent caps and columns supported on cast-in-place reinforced concrete drilled shafts or pile footings, with the following exceptions. Post-tensioned integral interior bent caps are allowed for the proposed on-ramp flyover bridge from the port facility to I-526 Westbound. Interior pile bents are allowed for the flat-slab bridge replacement over Tributary to Hobcaw Creek. Design Interior Pile Bents using cast-in-place reinforced concrete bent caps and a single row of vertical prestressed concrete piles (with or without prestressed concrete pile points). For corrosion protection of the pile, ensure concrete portions of piles with points extend a minimum of 2 feet below final ground line or predicted scour line, whichever is deeper.

Set the tops of pile footings in accordance with Section 19.5.5 of the BDM. In cases where there is pavement above the pile footing, locate the top of footing a minimum of two feet below the bottom of the base course. When rigid pier protection is present, set top of footing a minimum of one foot below the bottom of embedded portion of pier protection.

Slope the bottom of single and multi-column interior bent cap overhangs a minimum height of one-third of the cap height as shown in Interior Bent Cap Overhang Details sketch in TP Attachment 700-5. This does not apply to integral interior bent caps or the bridge replacement over Tributary to Hobcaw Creek.

Design end abutments as either vertical abutments or spill through abutments with a 2:1 slope. Spill through abutments are required at water crossings. Vertical abutments include the end bents supporting the bridge spans along with the associated wall structures retaining the embankment of the bridge approaches. Design vertical abutments and vertical abutment wing walls using cast-in-place reinforced concrete or MSE walls. Vertical abutment wing walls refer to the part of the wall structure extending beyond the end of the end bent cap retaining the embankment of the bridge approaches, not the bridge wings connected to the end bent cap. For spill-thru abutments, set the elevation of the berm so that the top of the berm is no greater than 5 feet below the superstructure and limit level-berm-length to 4 feet. If riprap is placed on top of the berm, increase the cap depth as needed to provide 1-foot minimum clear distance from top of riprap to top of bent cap.

At MSE wall abutments, use bridge ends consisting of cast-in-place reinforced concrete caps supported with piles or cast-in-place reinforced concrete drilled shafts that are set back behind the MSE wall faces as shown in the SCDOT Geotechnical Drawings and Details.

The following applies to bent cap cantilevers (the distance from centerline of exterior pile to outside end of cap) for pile bents:

- For a cap supported by prestressed concrete piles, provide a minimum of the equivalent of 2 pile widths of distance from the centerline of the exterior pile to the end of the cap.
- For I-girder bridges, detail the centerline of exterior pile outside of the centerline of exterior girder (i.e. bearing points shall not be located on the bent cap cantilever).
- Provide a distance from the centerline of exterior pile to the edge of a flat slab or cored slab superstructure, measured along the bent cap centerline, that is less than or equal to 30 percent of the average pile spacing of the bent.

The following applies to multi-column interior bents:

- The column spacing shall not exceed 30 feet center to center of columns.
- Provide a cantilever distance from the center of exterior column to the end of the bent cap that is less than or equal to 35 percent of the average column spacing of the bent.

700.3.1.21 Approach Slabs and Wing Walls

Provide approach slabs for all bridges on this project.

Provide parallel wing walls (wing walls that are parallel to the centerline of bridge or edge of approach slab) for all bridges on this project, except where a turned-back MSE abutment wall is acting as a wing wall. Detail the bottom of the wing wall level and at the same elevation as the bottom of the bent cap. Detail the top of parallel wing walls sloped away from the roadway and at the same elevation as the outside edge of the approach slab.

Where a MSE Wall turns back at a bridge abutment, detail the outside edge of end bent cap to conceal the MSE Wall panels in accordance with the SCDOT Geotechnical Drawings and Details for MSE Walls (Drawing No. 713-01j).

For bridge ends near intersections or ramp merge/diverge locations where approach slabs extend into the crossing roadway, adjust approach slab and/or barrier geometry as necessary to accommodate attachment and appropriate clearances for guardrail or other roadway components required for roadside safety.

At deep superstructure ends, limit the extent of uncompacted aggregate underdrain below the approach slab and provide a minimum length of 5-feet of approach slab bearing on compacted subgrade material.

700.3.1.22 Slope Protection and Shoulder Paving

Provide fiber reinforced concrete slope protection for the end fills under new bridges over interstate routes, ramps, or other roadways in accordance with the SCDOT Structural Drawings and Details.

At water crossings, provide rip rap at bridge ends in accordance with Standard Drawing 804-105-00, with the following exceptions. Place riprap on all new spill-through slopes. Ensure riprap is keyed in 2-feet minimum-depth below toe-of-slope or new bench elevation (when a bench is cut to provide abutment setback). At locations where the berm elevation is located below the design high water elevation, place riprap on top of the berm.

Where concrete median barriers, pier protection, and abutment wall protection are constructed under bridges, extend shoulder paving to the barrier.

700.3.1.23 Bridge Plans

Provide bridge plans that comply with memo DM0724.

As required by the BDM, include in the bridge Plans reinforcing steel schedules and quantities tables for each bridge component (end bents, interior bents, spans, etc.). When these components are required to be constructed in stages, break the reinforcing steel schedules and quantities tables down by stage. Immediately following the title sheet, provide a quantities sheet that includes a tabulation of estimated quantities and a summary of estimated quantities.

700.3.2 CRITERIA FOR RETAINING WALLS

Do not change type of retaining wall within a single / continuous run of wall.

700.3.2.1 Mechanically Stabilized Earth (MSE) Walls

Design and construct MSE walls in conformance with Supplemental Technical Specification SC-M-713, SCDOT Geotechnical Drawings and Details, Drawing Nos. 713-01 and 713-02, and the additional requirements contained herein.

If MSE wall is adjacent to an underground drainage feature (drainage structure, pipe, culvert, etc) the leveling pads of the MSE wall must be located to facilitate future maintenance of the drainage feature. The leveling pad elevation and offset distance from the feature shall provide sufficient slope stability for open trench working condition during future maintenance operations.

Do not eliminate drainage internal to the MSE wall backfill (1'-0" x 1'-0" drain with stone backfill and 6" diameter perforated pipe wrapped in geotextile fabric for drainage filtration), except when stone backfill is used and the entire limits of stone backfill is wrapped with geotextile fabric for drainage filtration. Weep holes through wall panels may be eliminated, provided the internal drainage pipe is extended to daylight into a drainage ditch at the end of wall or connects to a roadway drainage system.

For all MSE walls on this Project, construct wall facing using precast concrete panels with a modern fin finish in accordance with the Wall Formliner Finish drawings provided in TP Attachments. Incorporate two State Inlay emblems per bridge abutment wall, in accordance with the Wall Formliner Finish drawings provided in TP Attachments, into specific MSE wall panels. Specific inlay panel locations will be directed by SCDOT based on the final wall layout.

Design wall heights and lengths to provide adequate cover for roadway and bridge drainage inlets and pipes in the roadway approaches. In addition, design wall heights and lengths to provide adequate slope transitions to maintain stable shoulders and slopes and design clearances and templates in accordance with the design criteria.

In cases where retained fill slopes towards the fill face at the top of wall and no other features are present to intercept runoff, provide a concrete paved drainage ditch along the top of the wall as shown on the SCDOT Geotechnical Drawings and Details. Size the drainage ditch and provide drainage inlets and piping as necessary to prevent water from overtopping the wall during the design storm.

For noise barrier walls mounted on top of MSE Walls, see Noise Barrier Wall Design Criteria in TP Attachments.

700.3.2.2 Reinforced Concrete Walls

Design cast-in-place concrete cantilever walls in accordance with SCDOT Geotechnical Design Manual.

Exposed retaining wall face shall have a modern fin finish in accordance with the Wall Formliner Finish drawings provided in TP Attachments.

Provide a 2-foot high concrete coping securely attached to the top of wall, in accordance with standard MSE Wall coping (width and attachment details may be modified as needed).

Provide a concrete paved drainage ditch along the top of the wall if the retained soil slopes towards the back of the wall. Provide drainage inlets as necessary to prevent water from overtopping the wall during the design storm.

Spread footings are permitted for concrete retaining walls that are not directly supporting bridges. Step the retaining wall footings when there is a

change in grade. Limit step height between adjacent footing segments to 1 foot.

700.3.2.3 Other Wall Types

Other acceptable roadway wall types (for bridge abutment walls, see “Substructures” section of this exhibit) include Sheet Pile walls, Soldier Pile and Lagging walls, and Soil-Nailed walls.

Prior to commencing any designs of other wall types as specified herein submit to SCDOT the wall type selected, design methodology, design criteria, and material and construction specifications for review. In the design criteria, include wall geometry and location, resistance factors, soil properties, and material properties of the wall. Submit shop Plans and design calculations for other wall types in accordance with TP Section 110.

Provide a concrete paved drainage ditch along the top of the wall if the retained soil slopes towards the back of the wall. Provide drainage inlets as necessary to prevent water from overtopping the wall during the design storm.

Wall face shall be concrete (precast panels or cast-in-place reinforced concrete) and all exposed faces shall have a modern fin finish in accordance with the Wall Formliner Finish drawings provided in TP Attachments.

Provide a 2-foot high concrete coping in accordance with standard MSE Wall coping (width and attachment details may be modified as needed) on top of all wall types.

700.3.2.4 Requirements for Horizontal Layout of Walls

For all retaining walls, locate wall and/or proposed new right-of-way line to provide a minimum horizontal distance 15 feet between the fill face of the wall and the right-of-way line.

In addition to the wall location criteria above, locate walls and/or proposed new right-of-way line such that all retaining wall footings, MSE wall reinforcing, and wall anchorages are within right-of-way.

Entirely remove any abandoned utilities or abandoned drainage structures at locations where they are in conflict with proposed retaining wall limits, including leveling pads and the reinforced zone of MSE walls and anchored walls.

700.3.2.5 Plans Preparation

See supplemental criteria in TP Attachments titled “Roadway Structure Plan Preparation Requirements.”

700.3.3 CRITERIA FOR CONCRETE BARRIERS

700.3.3.1 Concrete Median Barrier

Construct concrete median barrier according to SCDOT Standard Drawings except as modified in TP Section 700. Utilize 56" minimum height Test Level 5 median barrier with exception of when there is an elevation difference between the two sides greater than 18 inches, the higher side can be 46" minimum or Test Level 4.

Condition A – Concrete median barrier with grade separations of 18 inches or less: Use details from the SCDOT Standard Drawings.

Condition B – Concrete median barrier with grade separations between 18 inches and 36 inches require a complete design. Design and detail the barrier structurally to resist the Test Level 4 impact force at the increased moment arm generated by the grade separation.

Condition C – Concrete median barrier with grade separations 36 inches and greater: In addition to the design requirements of Condition B, design the cantilever wall barrier in accordance with SCDOT Geotechnical Design Manual requirements at the Extreme Event I limit state.

For Conditions B and C, when evaluating the wall geometry and minimum expansion joint spacing required for stability, use a transverse force of 10 kips. For Conditions B and C, design and detail the barrier to provide a minimum height, measured from top of higher pavement surface to top of barrier, of 46 inches. Use a 10:1 taper to transition between Condition A barriers and Conditions B and C barriers.

700.3.3.2 Concrete Roadside Barriers and Pier Protection

For cast-in-place concrete gravity and semi-gravity retaining walls serving as roadside barriers, the analysis/design methodologies and conditions outlined for Conditions B and C in TP Section 700.3.3.1 shall apply.

For bridge piers located within the roadway clear zone, comply with AASHTO LRFD Bridge Design Specifications 9th Edition, article 3.6.5. SCDOT Bridge Design Memo DM0213 does not apply to this project for proposed bridges. For existing bridges and where the design choice for proposed bridges is to redirect or absorb the collision load, modify the SCDOT rigid barrier pier protection standard drawings to provide an offset distance of 3.25 feet or more from the face of pier component being protected. For proposed bridges where the design choice is to provide structural resistance, either design substructure for the collision force in accordance with AASHTO or provide reinforced concrete pier components that are at least 3.0 feet thick and have a concrete cross-sectional area greater than 30.0 ft² as measured in the horizontal plane at all elevations from the top of the pier foundation to height of at least 5.0 feet above grade.

Except for barriers incorporated into cast-in-place concrete gravity and semi-gravity retaining walls, barriers must be detailed independently of the

adjacent wall or obstruction and must be supported by a moment slab. An exception to this is that at the low side of a wall only, the detail entitled “Rigid Barrier Adjacent to Wall” in TP Attachments, shall be used in lieu of the barrier supported by moment slab.

When required, provide barriers supported by moment slabs that are designed in accordance with the MASH TL-4 SCDOT Standard Drawings for rigid barrier with moment slab (805-840 series). Where moment slabs are required along the top of MSE walls or other earth retaining structures, detail the moment slabs independently of MSE walls as shown on the SCDOT Geotechnical Drawings and Details. Extend moment slab as necessary to avoid conflicts with buried wall termination detail and guardrail posts.

In all concrete roadside barriers on top of retaining walls, provide two (2) conduits in each barrier as shown in the SCDOT Structural Drawings and Details.

700.3.4 BRIDGE LOAD RATING

Perform load capacity ratings in accordance with the SCDOT Load Rating Guidance Document, associated Technical Notes on the SCDOT Bridge Maintenance website, and the Manual for Bridge Evaluation, latest edition.

Request a new bridge Asset ID prior to preliminary plan submittal in order to provide the new Asset ID on the first bridge plan set submitted for QA review.

In the event the load rating indicates the bridge would require load posting (any LRFR load rating factors <1), redesign the bridge and update the load rating until the required capacity is achieved. No new bridge will be accepted by SCDOT which indicates the need for load posting.

Submit Load Rating files for review with the final bridge plan submittal in accordance with Chapter 20 of the LRGD and Technical Note 09. The SCDOT Bridge Maintenance QA review process must be completed prior to releasing the final bridge plans for construction and approval of shop plans. Following construction, update the load rating and submit final load rating documentation and software files with the as-built plans. All load ratings shall be signed and sealed by a South Carolina registered professional engineer.

700.3.5 BRIDGE INSPECTION

In the event the designer determines the need to design a bridge that contains non-redundant steel tension members or complex components as defined in the SCDOT Bridge Inspection Guidance Document (BIGD), develop and submit bridge specific inspection procedures meeting the requirements of the BIGD.

700.4 CONSTRUCTION REQUIREMENTS

700.4.1 GENERAL CONSTRUCTION REQUIREMENTS

700.4.1.1 Removal and Disposal of Existing Bridges

Remove and dispose of existing bridges being replaced in accordance with the SCDOT Standard Specifications.

700.4.1.2 Final Finish of Exposed Concrete Surfaces and Anti-Graffiti Coating

Apply final surface finish, in accordance with the Standard Specifications, to the following designated bridge areas:

- Entire surface of all barrier rails, parapet walls, approach slab curbs, concrete utility supports, and wing walls; outside vertical edge of bridge deck slabs and sidewalks
- Outside face of exterior prestressed concrete beams
- Entire surface of all substructure units, except top of bent caps and piers

Apply final surface finish, in accordance with the Standard Specifications, to exposed concrete surfaces of median barriers, pier protection, and concrete roadside barriers.

Apply an anti-graffiti coating, in accordance with TP Section 1000, Special Provisions Section 700, to the following designated wall areas:

- Exposed concrete surfaces of bridge abutment walls and roadway walls visible to traffic, including precast panels and coping of MSE Walls.
- Exposed concrete surfaces of noise walls, including concrete posts and panels (both sides). Where sound absorptive material is required on the interstate traffic face of noise wall panels, omit anti-graffiti coating.

Apply final surface finish and anti-graffiti coatings at rates specified by manufacturer. Do not apply anti-graffiti coating on top of exposed bridge components that are painted with final surface finish.

700.4.1.3 Grooved Surface Finish

Apply a grooved surface finish to all concrete bridge deck riding surfaces in accordance with the SCDOT Standard Specifications.

700.4.1.4 Stay-in-Place Bridge Deck Forms

The Contractor may use permanent stay-in-place bridge deck forms for concrete deck slabs between new beams and girders. Fabricate permanent stay-in-place bridge deck forms and supports from steel conforming to ASTM A 653, Grades 40 or 50. Do not use fillers in the flutes of the stay-in-place forms. Fill form flutes with concrete as the deck slab is placed.

700.4.1.5 Drilled Shafts

Prior to casting the upper portion of the shaft, remove all drilling fluids and unsound concrete and roughen the surface of the construction joint. Arrange for CSL testing to be performed prior to placement of the column reinforcement cage. Install the column reinforcement cage in the upper portion of the shaft prior to drilled shaft concrete placement in the splice region. Acceptance of drilled shafts will be based on separate SCDOT-conducted CSL testing.

700.4.1.6 MSE Walls

For proposed drainage pipes located below the bottom of wall limits, backfill the pipe trench with flowable fill up to the bottom of wall elevation.

700.5 DELIVERABLES

TP Table 700-2 reflects a list of Deliverables identified in TP Section 700 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 700-2: Deliverable Summary

Deliverables	Level of Review*	Deliverable Schedule	TP Section Reference
Preliminary Bridge Plans	2	Per approved CPM Schedule	700.5.1.1
Final Bridge Plans	2	Per approved CPM Schedule	700.5.2.1
RFC Bridge Plans	3	Per approved CPM Schedule	N/A
Preliminary Seismic Design Summary Report	2	Submitted with Preliminary Bridge Package	700.5.1.2
Final Seismic Design Summary Report	2	Submitted with Final Bridge Package	700.5.2.2
RFC Seismic Design Summary Report	3	Submitted with RFC Bridge Package	N/A
Final Design Calculations	2	Submitted with Final Bridge Package	110.5.10.4
RFC Design Calculations	3	Submitted with RFC Bridge Package	N/A
Bridge Load Rating Documentation (As-Let, Signed)	2	Submitted with Final Bridge Package	700.5.2.3

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

700.5.1 PRELIMINARY SUBMITTAL PACKAGES

700.5.1.1 Preliminary Bridge Plans

The Plans shall include, at a minimum, all items described in Chapter 3 of the BDM and applicable items included on the Structural QC Checklist for Preliminary Plans.

700.5.1.2 Preliminary Seismic Design Summary Report

Provide the information outlined in Bridge Design Memo DM0122.

700.5.2 FINAL SUBMITTAL PACKAGES

700.5.2.1 Final Bridge Plans

The Plans shall include, at a minimum, all items described in TP Section 700.3.1.22, Chapters 3 and 6 of the BDM, and applicable items listed in the Structural QC Checklist for 95% Plans. Partial Submittal of the required contents of the final set of Plans will not be allowed for this Project.

Electronic files Submittals:

- A. All CADD resource files used by the Project's workspace. CADD files that would supplement the ability to view and print files correctly such as reference files, plot configuration files, pen tables, font libraries, custom linestyle libraries, and cell libraries.
- B. Copies of all handwritten or electronic calculations or notes (non-CADD) that will facilitate verification and review of the plans.
- C. Electronic files for specifications and special provisions not included in the contract or plans, in Adobe PDF or Microsoft Word format.

700.5.2.2 Final Seismic Design Summary Report

Provide the information outlined in Bridge Design Memo DM0122.

700.5.2.3 Bridge Load Rating Documentation

See TP Section 700.3.4.

End Section

711 GEOTECHNICAL

711.1 General Requirements

Contractor shall perform all geotechnical Work in accordance with TP Section 711. Contractor shall provide all geotechnical Work to support design and construction of the Project. The geotechnical Work generally includes:

- Bridge Foundations
- 1. Earth Retaining Structures
- 2. Embankments
- 3. Cut Excavations
- 4. Culverts & Pipes
- 5. Sound Barrier Walls
- 6. Miscellaneous Structures

711.2 Administrative Requirements

711.2.1 Standards

Contractor shall perform all geotechnical Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1.

711.2.2 Existing Geotechnical Information

A Geotechnical Subsurface Data Report (GSDR) and field testing data files have been provided electronically in TP Attachment 711-1 and TP Attachment 711-2.

All geotechnical testing shall comply with the requirements of the SCDOT 2022 Geotechnical Design Manual (GDM). Geotechnical design shall comply with the requirements stated below and the TP 1000 Special Provisions.

Geotechnical information provided in the TP Attachments as part of this RFP may be used in the design of the Project at the Contractor's discretion. If the Contractor elects to use the geotechnical information in the TP Attachments, the Contractor shall verify that the information provided is applicable to the Contractor's specific design.

711.2.3 Software Requirements

All geotechnical analysis and design software used for the Project shall comply with the requirements in the GDM, Chapter 26.

711.2.4 Equipment Requirements

All geotechnical testing equipment used for the Project shall comply with the requirements in the GDM, Chapter 5.

711.2.5 Field Test Requirements

Field test logging used for the Project shall comply with the requirements in the GDM, Chapter 6.

711.2.6 Laboratory Testing Requirements

Soil and rock laboratory testing shall comply with the requirements of the GDM, Chapter 5.

711.3 Design Requirements

711.3.1 Geotechnical Subsurface Investigation Plan

A detailed subsurface investigation plan shall be prepared prior to the commencement of any field operations. The subsurface investigation plan shall comply with the requirements of the GDM, Chapter 4.

If a soil boring cannot be located within 30 feet of the design Element (design Elements listed in TP Section 711.1) for which it will be used, the Contractor shall prepare justification for not being able to obtain the boring at the intended location in the subsurface investigation Plan. Any borings located outside the 30-foot limit are subject to the SCDOT's review and acceptance. Justification shall include, at a minimum, discussion on the anticipated subsurface conditions, the proposed foundation locations, and the impacts on the design from the test location being moved, at a minimum.

711.3.2 Subsurface Investigation

Perform subsurface investigations in accordance with the GDM, Chapter 4.

711.3.3 Geotechnical Analysis And Design

Design the proposed geotechnical and structural elements in accordance with the GDM.

The Contractor shall be responsible for the load testing of all foundations used on this Project, if required by design. Refer to TP Section 711.4 for more information relative to load testing of foundations.

711.3.3.1 Earthwork

For borrow material requirements, refer to the 2025 SCDOT Standard Specifications, Section 203.

711.3.3.2 Driven Pile Foundations

Design driven pile foundations in accordance with the GDM, Chapter 16.

711.3.3.3 Drilled Foundations

Design drilled shaft and drilled pile foundations in accordance with the GDM, Chapter 16.

711.3.3.4 Drainage Structures

Design box culvert and pipe culvert foundations in accordance with TP Attachment 700-8.

711.3.3.5 Embankments (Cut and Fill Embankments)

Design roadway embankments and bridge embankments in accordance with the GDM, Chapter 17.

Fill slopes or ditch slopes steeper than 2H:1V are not allowed.

711.3.3.6 Earth Retaining Structures

Design earth retaining structures (ERS) in accordance with the GDM, Chapter 18. ERSs located near waterways shall include the effects of scour in the ERS design.

For MSE walls with panel type facings, the maximum allowed MSE wall height is forty (40) feet. The MSE wall height is measured from the top of the wall to the top of the leveling pad. MSE walls with modular block type facings are not allowed.

Complex MSE walls, as depicted in FHWA-NHI-10-024, are not allowed. The complex MSE wall prohibition does not apply to temporary or MOT structures or abutments supported by deep foundations through MSE reinforced fill where deep foundation Elements are isolated from the MSE backfill with pipes or casings.

All temporary and permanent shoring Submittals shall be reviewed and approved by the Lead Design Engineer and GEOR for the Project prior to submitting to the RCE.

711.3.3.7 Miscellaneous Structures

Miscellaneous overhead structure foundations such as lighting and signage shall be designed in accordance with AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, effective as of the Final RFP release date.

711.3.3.8 Noise Barrier Walls

Noise barrier wall foundations may consist of either shallow or deep foundations. Design the noise barrier wall foundations in accordance with TP Attachment 700-7.

711.3.3.9 Seismic Design

Seismic design of the bridge structures, earth retaining structures, and bridge embankments shall be required in accordance with the GDM and the SCDOT Seismic Design Specifications for Highway Bridges.

Three-Point acceleration design response spectrum (ADRS) curves have been generated for the Project and are provided as TP Attachment 711-3. The ADRS curves shall be used in the design of each bridge structure, earth retaining structure, and bridge embankment on the Project.

TP Table 711-1: ADRS Curve Data

Design EQ	PGA	S _{DS}	S _{D1}
FEE	0.23	0.48	0.23
SEE	0.45	0.95	0.75

Soil shear strength loss (SSL) triggering analyses shall be conducted for all soils formed within the Quaternary Period (Holocene and Pleistocene Epochs). This includes all soils formed within the last 2.58 million years.

The Simplified Newmark method described in Section 13.15.2 and Equation 13-72 of the GDM shall be used to analyze seismic ground displacements along a shear plane.

Use of discrete elements or rigid inclusions for soil shear strength loss (SSL) mitigation using area replacement ratio, shear modulus ratio, and shear stress reduction methodology are not permitted. Additionally, methods proposed to mitigate soil SSL that do not include densification or excess pore pressure reduction are not permitted. When allowing soil SSL to occur, the ground improvement or ground modification methods mentioned above may be used to mitigate the effects of soil SSL from occurring, but not for mitigating soil SSL.

711.3.4 GROUND IMPROVEMENT

Design the specific ground improvement in accordance with the GDM, Chapter 19, and the Project specific requirements.

711.3.5 GEOTECHNICAL ENGINEERING REPORTS

Provide geotechnical reports in accordance with the GDM, Chapter 21.

In addition to the minimum requirements of the GDM, the final bridge geotechnical engineering reports shall contain:

- A detailed subsurface profile on Plan sheets or a roll plot that shows, at a minimum, all test logs used for foundation and bridge embankment design, interpreted stratigraphy between the test locations, existing and proposed roadway profiles, and existing and proposed bridge foundations.
- Results from settlement and slope stability analyses of the bridge embankments, to include ERSs, at the beginning and end of the proposed bridge at both the side slopes and front slopes. The side slope stability analyses shall contain analysis of both the left and right-side slopes.

In addition to the minimum requirements of the GDM, the final roadway geotechnical engineering reports shall contain:

- A detailed subsurface profile that shows, at a minimum, all test logs used for ERS and embankment design, interpreted stratigraphy between the test locations, if practical, and existing and proposed roadway profiles.

711.4 Construction Requirements

The CONTRACTOR shall be responsible for the load testing of all foundations used on this project if required by design. All testing reports for driven piles shall bear the legible seal, signature, and date of the testing firm's engineer registered as a Professional Engineer in the State of South Carolina. The Contractor's Engineer of Record (EOR) and GEOR shall review and approve, in writing, all load test reports prior to submitting the reports to SCDOT's RCE or Construction Engineering and Inspection (CE&I) team as designated for review and acceptance or comment. Comments made by SCDOT shall be reviewed and rectified by the Contractor's EOR and GEOR prior to the results of the load testing being used in design.

711.4.1 Earthwork

At a minimum, the roadway Plans shall indicate a USCS and AASHTO soil classification that was used for design by which to compare to the material placed during construction. Contractor shall visually confirm the material collected from the borrow source generally meets the soil classification provided on the Plans

711.4.2 Deep Foundations

711.4.2.1 Driven Pile Foundations

The Contractor shall provide a Pile Installation Plan (PIP) that shall include the pile index testing program, if index piles are utilized, in addition to the requirements of the Standard Specifications. The pile index testing program shall at a minimum include the bent and pile number of each pile to be tested as well as the number of index piles to be tested. The number of index piles shall conform to the GDM. The GEOR and EOR shall provide a QC review of the Contractor's PIP prior to submitting to SCDOT's RCE or CE&I team as designated for QA review. After performing QA review, the RCE will submit the PIP to the SCDOT Bridge Construction Engineer (BCE) for final acceptance.

If pile driving analyzer (PDA) testing is required for driven piles by the GEOR's design, the Contractor's PDA testing shall be performed by a PDA certified operator with a Certificate of Proficiency from Pile Dynamics, Inc. of Advanced or higher. The PDA certification shall have been renewed within 4 years of the date of pile installation. In addition to the PDA testing, case pile wave analysis program (CAPWAP) analysis shall also be performed.

The GEOR shall review the PDA testing data and reports and develop driving criteria for the production piles. Following installation of the production piles, the GEOR shall perform a QC review of all production pile driving logs, PDA testing reports, and RFC Plans to verify that all criteria have been met. If all criteria have not been met, the Contractor shall perform additional Work as necessary to ensure all criteria have been met. The EOR shall submit an as-installed driven pile foundation package for each structural Element supported on driven pile foundations that includes all PDA testing reports and production pile driving logs with a certification statement that all criteria have been met.

The as-installed driven pile foundation package shall be submitted to SCDOT's RCE or CE&I team as designated for QA review. After performing QA review, SCDOT's RCE will submit the as-installed driven pile foundation package to the SCDOT BCE for final acceptance. As-installed driven pile foundation packages submitted with any deficiencies in criteria that have not been specifically addressed will be rejected. This process shall also be followed when PDA testing is not required by the GEOR's design.

711.4.2.2 Drilled Shaft Foundations

The Contractor shall provide a Drilled Shaft Installation Plan (DSIP) in accordance with the requirements of the Standard Specifications. The GEOR and EOR shall provide written documentation that a QC review of the Contractor's DSIP was performed prior to submitting to SCDOT's RCE or CE&I team as designated for QA review. After performing QA review, the RCE will submit the DSIP to the SCDOT BCE for final acceptance.

Following installation of the drilled shafts, the GEOR shall perform a QC review of all production drilled shaft logs, CSL and TIP test reports, and RFC Plans to verify that all criteria have been met. If all criteria have not been met, the Contractor shall perform additional Work as necessary to ensure all criteria have been met. The EOR shall submit an as-installed drilled shaft foundation package for each structural Element supported on drilled shaft foundations that includes all testing reports and production drilled shaft logs with a certification statement that all criteria have been met.

The as-installed drilled shaft foundation package shall be submitted to SCDOT's RCE or CE&I team as designated for QA review. After performing QA review, SCDOT's RCE will submit the as-installed drilled shaft foundation package to the SCDOT BCE for final acceptance. As-installed drilled shaft foundation packages submitted with any deficiencies in criteria that have not been specifically addressed will be rejected.

711.4.3 Earth Retaining Structures (ERS)

All ERS shop drawing Submittals shall be reviewed and approved by the EOR and GEOR for the Project prior to submitting to the RCE.

Prepare ERS subgrades and construct ERSs in accordance with the GDM and the SCDOT Standard Specifications. If deep foundations are necessary for support of the ERS, refer to TP Section 711.3.3.

711.4.4 Drainage Structures

Prepare box culvert and pipe culvert subgrades and construct these Elements in accordance with the GDM, the SCDOT Pipe Specification SC-M-714 (01/25), the SCDOT Standard Drawings, and the SCDOT Standard Specifications. If deep foundations are necessary for support of the drainage structures, refer to TP Section 711.3.3.

711.4.5 Dewatering And Groundwater Control

If groundwater is encountered during foundation, earth retaining structure, or embankment excavations, the need for dewatering may exist. The water level shall be maintained below required excavations to help maintain bottom of excavation stability. The Contractor shall consider the effects of long-term dewatering on nearby structures within the radius of influence of the dewatering operations and develop an appropriate monitoring program. A dewatering plan shall be developed and submitted to the RCE for review prior to construction. Prior to submitting the dewatering plan to the RCE, the plan shall be reviewed by the EOR and GEOR, and sufficient documentation of this review shall be provided. The dewatering plan shall be signed and sealed by a licensed Professional Engineer in the State of South Carolina.

711.4.6 Geotechnical Instrumentation

Prior to construction, the Contractor shall develop, implement, and maintain a Geotechnical Instrumentation Plan, as needed. All geotechnical instruments shall be installed and monitored by the Contractor. Any instruments damaged during construction and requiring removal and/or recalibration shall be replaced and/or recalibrated by the Contractor at no cost to SCDOT. For each recommended instrument type, the Contractor shall show locations, installation procedures and requirements, zones of influence, critical or limiting readings, frequency of readings, threshold settlement or other movement criteria, and procedures to modify construction methods should threshold criteria established in the design be exceeded.

Any geotechnical instrumentation Plan Submittal shall be reviewed and approved by the Lead Design Engineer and GEOR for the Project prior to submitting to the RCE.

711.5 Deliverables

TP Table 711-3 reflects a list of Deliverables identified in TP Section 711 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 711-3: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Preliminary Roadway Geotechnical Report	2	1	Submitted with Preliminary Roadway Package	711.5.1.1
Preliminary Bridge Geotechnical Report	2	1	Submitted with Preliminary Bridge Package	711.5.1.1

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Final Roadway Geotechnical Report	2	1	Submitted with Final Roadway Package	711.5.2.1
Final Bridge Geotechnical Report	2	1	Submitted with Final Bridge Package	711.5.2.1
RFC Final Roadway Geotechnical Report	3	1	Submitted with RFC Roadway Package	N/A
RFC Final Bridge Geotechnical Report	3	1	Submitted with RFC Bridge Package	N/A
Geotechnical Instrumentation Plan	2	1	Submitted prior to installation of the instrumentation, and with shop drawings if shop drawings are required	711.4.6
Construction Dewatering Plan	2	1	Submitted prior to installation of dewatering equipment	711.4.5
Geotechnical Subsurface Investigation Plan	2	1	Submitted prior to start of field investigation	711.3.1
Foundation Installation Plan	2	1	Per SCDOT requirements	711.1.1
Foundation Testing Submittals	2	1	Per SCDOT requirements	711.5.4
Temporary Shoring Shop Drawings	2	1	Per SCDOT requirements	N/A
MSE Wall Shop Drawings	2	1	Per SCDOT requirements	N/A

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

711.5.1 PRELIMINARY SUBMITTAL PACKAGES**711.5.1.1 Preliminary Roadway & Bridge Geotechnical Reports**

The geotechnical reports shall include, but not be limited to, the following:

- A. All items described in Chapter 21 of the SCDOT GDM and the latest design memorandums.

711.5.2 FINAL SUBMITTAL PACKAGES

711.5.2.1 Final Road & Bridge Geotechnical Reports

The geotechnical reports shall include, but not be limited to, the following:

- A. All items described in Chapter 21 of the GDM and the latest design memorandums
- B. Design details and Plan notes along with data that are consistent with that shown in the final bridge and road Plans
- C. Contractor's designer shall prepare the required geotechnical bridge and roadway Plan sheets that clearly detail any geotechnical requirements outlined in the reports

All soil test boring logs and laboratory testing results shall be provided electronically in both a .PDF file and as a gINT® file. In addition, all CPT and DMT data shall be provided electronically as both a .PDF file and as an Excel® (2010) spreadsheet following the order provided in Sections 6.2.2 and 6.2.3 of the GDM, respectively. As indicated in Section 6.4.1, the results of shear wave velocity (Vs) testing shall be presented as a graph in .PDF and Excel® (2010) spreadsheet formats including the data table.

711.5.3 FOUNDATION TESTING SUBMITTALS

Submit to SCDOT an electronic copy of all applicable foundation testing reports for all bridge and roadway structures to include but not limited to shaft load test and pile driving analyzer test reports.

End Section

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714 HYDRAULICS

714.1 General Requirements

Contractor shall perform all hydrologic and hydraulic design Work in accordance with TP Section 714. Contractor shall provide all roadway drainage, stormwater, and hydraulic design Work to support design and construction of the Project. The drainage and hydraulics Work generally include:

- A. Best Management Practices
- B. Bridge-Sized Culverts, Bridges and Scour
- C. Cross-line Pipes and Non-Bridge Sized Culverts
- D. Ditch Capacity and Stability
- E. Sediment and Erosion Control
- F. Stormwater Quality Design
- G. Storm Sewer Systems

714.2 Administrative Requirements

714.2.1 Standards

Contractor shall perform all roadway drainage, stormwater, and hydraulic design Work in accordance with the standards, manuals, and guidelines listed in TP Attachment 100-1. The Contractor is responsible for reviewing the design aids available at www.scdot.org; however, the use of the design aids shall not preclude conformance to applicable design criteria as noted in TP Attachment 100-1.

The Contractor shall also comply with all Hydraulic Design Bulletins, Roadway Design Bulletins, Preconstruction Memorandums, Preconstruction Advisory Memorandums, Supplemental Specifications, and Supplemental Technical Specifications in effect as of the Final RFP release date.

714.3 Design Requirements

Contractor shall perform all roadway drainage, stormwater, and hydraulic design Work in general conformance with the standards listed in TP Section 714 and industry standards for SCDOT projects. Specific design criteria are noted below for roadway drainage Elements, floodplains and floodways, and sediment and erosion control design, and land disturbance permitting.

714.3.1 Roadway Drainage Design

714.3.1.1 General

- 714.3.1.1.1 Evaluate the pre- and post- developed hydrologic and hydraulic conditions for roadway drainage. Perform all aspects of roadway drainage, to include approach runoff, cross line pipe, storm sewer systems, ditches, and outfalls. Adequately size and replace or supplement with additional drainage conveyance all drainage components that are analyzed within project limits and found to be

undersized. Ensure offsite areas that affect the hydraulic systems and outfalls of this project are accounted for.

- 714.3.1.1.2 Perform hydraulic analyses, to include headwater and tailwater effects, on all cross-line and median drainage structures for the design storm event. Additionally, include the 50-year surcharging event for median inlets. Pipes or culverts crossing multiple alignments shall be designed to the criteria of the highest roadway classification crossed by the pipe or culvert.
- 714.3.1.1.3 Design new and analyze existing closed-drainage systems with GEOPAK drainage software. Use Type-25 catch basins along new median barriers and along barriers separating travel lanes. Use Type-125 catch basins along new barrier-protected retaining walls. Place inlets at staggered stations when a grade separation exceeds (18) inches or cantilever walls are used. Consider interstate ramps as primary roads. Provide drainage conveyance structures to intercept offsite runoff prior to reaching the toe behind constructed walls. Design the structures to contain the 10-year storm event.
- 714.3.1.1.4 Perform open channel designs. Include ditch capacity and stability analyses on the median, sideline and outfall ditches impacted within the limits of the project. When existing ditches are filled in due to new slopes, design and construct means to convey the runoff to an outfall. Provide additional information and analyses for those locations where structures are upsized. Minimize velocities to non-erosive rates. Summarize the pre- and post-developed outfall conditions.
- 714.3.1.1.5 Design temporary drainage systems in accordance with AASHTO Drainage Manual Appendix 17A. Use permanent design criteria if design frequency given by Appendix 17A exceeds that of the permanent condition. Follow selection factor 2 on pages 17-25 through 17-27 when evaluating Loss of Life Impact Rating Value.
- 714.3.1.1.6 If pipes need to be abandoned, remove the abandoned pipe or fill with flowable fill. Note pipe locations that are to be filled with flowable fill on drainage plan sheets.
- 714.3.1.1.7 Repair or replace damaged drainage structures. Include notes on the drainage sheets when retaining or replacing these structures. All drainage structures shall be immediately accessible to the final surface grade by either a manhole or grate access. New storm sewer systems shall not include blind junctions. Work on structures is not prescribed unless a proposers design impacts the structure. The structure may remain if it has adequate capacity. Tie-

ins to existing structures will be required where pipes are specified for replacement and required by final design.

714.3.1.1.8 Perform rehabilitations to washout areas. Repair existing concrete and asphalt ditches in accordance with TP 1000 - Special Provision 815 Erosion Control.

714.3.1.1.9 Underground detention systems are not allowed to minimize post- construction discharges. Detention systems are not allowed within the interchange. Outside of the interstate mainline and the clear zone, detention is allowed. (Interchange limits are inside loops or triangles formed by ramps and mainline).

714.3.1.1.10 Investigate post-construction impacts at all right-of-way outfall locations to ensure post-construction discharges will not create adverse downstream impacts nor contribute to existing adverse downstream impacts.

714.3.1.1.11 Replace 15-inch pipe with minimum 18-inch pipe at all locations where design warrants retaining pipes except for driveways and yard drain connections that meet design standards.

714.3.1.1.12 At locations where fill height is greater than or equal to ten feet, provide a minimum five foot buffer between the toe of fill and the nearest top of bank of any sideline ditch or swale. See detail included in TP Attachment 714-1.

714.3.1.1.13 All new pipes under rigid or flexible pavement shall not encroach into the bottom of the pavement structure and shall follow the minimum cover requirements of SCDOT's Standard Drawings for Road Construction.

714.3.1.1.14 Pipes determined to be extended at the existing slope and same orientation, material, and size shall be extended with pipe collars constructed in accordance with SCDOT standard drawings. The Contractor may also remove the existing pipe to the nearest joint and extend the pipe based on the requirements of the SCDOT standard drawings and pipe specifications as long as the joint between the existing and new pipe satisfies all SCDOT requirements. If the extension includes a change in pipe size, orientation, material, slope, etc. the Contractor shall use a manhole or catch basin for the pipe connection.

714.3.1.1.15 In locations in which drainage structures are required to alter the alignment of the crossline, avoid abrupt changes to the flow direction, i.e., 90-degree bends. When drainage structures are used to alter the alignment, the crossline system shall be analyzed to show the hydraulic grade lines meet the hydraulic design requirements of the highest roadway classification for the crossline.

714.3.1.1.16 When retaining Type-5 and Type-6 catch basins, convert to Type-17 (719- 017-RX). When retaining Type-7 catch basins, convert to Type-18 (719- 018-RX). When conversions are not practical, replace with new structures. Replace all damaged Type-

9 catch basins with Drop Inlet Type-112 (719- 112-XX) within controlled access locations only. Replace all damaged Type-9 catch basins with Type-9 Ditch Installation (719-009-03) where practical. Replace all other damaged Type-9 catch basins using Type-9 Top Slabs with Integral Throat walls only (719-009-01). Replace damaged inlets such as DI 24x24, Type-12 and Type-112. Include notes on the drainage sheets indicating retention, removal, replacement, conversion, or adjustment of existing structures within project limits. All drainage structures shall be immediately accessible to the final surface grade by either a manhole or grate access (i.e., no blind junctions).

714.3.1.1.17 Maximum allowable deflection without a radius for proposed box culverts or box culvert extensions is 15 degrees measured along the centerline of the box culvert. Use a minimum radius of 30 feet for deflection angles greater than 15 degrees.

714.3.1.1.18 Field inspections have been performed on some of the existing cross-line structures within the project limits. Implement the alternatives as directed in appendix C of the "Preliminary Hydro Report" located in the project information package except where recommended to retain pipes under 36 inches in diameter. Replace pipes under 36 inches in diameter that are recommended to be retained and extended. Pipes within the project limits may be replaced in lieu of repaired. Verify the hydraulic capacity of the pipes. When analyses do not demonstrate compliance with the Hydraulic Design Requirements and other Criteria, replace the pipes or make other improvements to the system to achieve compliance. Analyses shall reflect the "n" value for the liner material and any reduction in diameter. Add notes to the plan sheets for the chosen alternatives when existing pipes are retained. Cross-line pipes within the project limits which have not been inspected shall be replaced when needed for proposed design. Pipes or culverts required for final design within the scope of work (exhibit 3) and project limits excluding rehab limits are required to be repaired or replaced. Pipes or culverts outside scope but within project limits and are adversely impacted by final design are required to be repaired or replaced.

No overtopping for design or 1% AEP will be permitted. Additional conveyance is required to meet RFP criteria where needed.

714.3.1.1.19 Encroachment on existing retention or detention ponds should be avoided. Ponds that are impacted by designs shall be relocated or redesigned to mitigate impacts.

714.3.1.2 Bridge Drainage

Spread criteria for new or replacement bridges shall follow TP Section 700. Temporary drainage spread will be restricted to the shoulder width.

714.3.2 Bridge Hydraulics

For bridges and bridge-sized culverts that are to be constructed, replaced, widened, or extended over water crossings, model the natural, existing, and proposed conditions using the 1-D model HEC-RAS. Include backwater effects from any downstream controls. Refer to the HEC-RAS Reference Manual v4.1 or latest edition for guidance on setting up cross sections and other inputs within the model(s). In addition to the four cross sections described within the manual, include additional cross sections, a minimum of 500 feet upstream and downstream, as necessary to achieve a downstream limit where a change in starting water surface elevation will not affect the computed high-water depth at the bridge and the upstream limit extends to the limit of backwater caused by the bridge. The hydraulic model can be executed starting at normal depth; then subsequent runs can be started three feet below and above normal depth to see if the model converges before the location of the proposed bridge.

Summarize the inputs and results. Include, at a minimum, natural, existing, proposed, and FEMA conditions, freeboard, and backwater.

The Contractor shall perform the scour analysis for the 100-year and 500-year storms in accordance with SCDOT requirements, SCDOT HDB 2019-3, USGS Scour Envelope Curves, and the latest FHWA's HEC-18 and HEC-20 editions. Abutment scour shall be calculated using the HEC-18 NCHRP 24-20 approach when using the HEC_18 scour method to compare with the USGS Envelope Curves. Plot the 100-year and 500-year total scour lines on the bridge plan and profile sheet.

The modeling efforts for all crossings shall demonstrate no adverse impacts to the upstream and downstream properties outside of the SCDOT right-of-way as a result of Project construction. When adverse impacts are unavoidable, the impacted area shall be shown on the Plans and acquired as Additional Right of Way.

The Contractor shall evaluate and mitigate scour by using HEC-14 for bridge-sized culvert structures.

The Contractor shall design and construct all bridges consistent with final models that do not demonstrate any adverse impacts and otherwise meet the constraints and performance requirements in this TP Section 714.3.2 and other applicable requirements of the Contract.

714.3.3 Sediment and Erosion Control and Water Quality

714.3.3.1 Determine the classification of the receiving waterbodies and note downstream impairments.

714.3.3.2 Develop a plan to meet the requirements of SCDOT's Construction Permit SCR160000 for erosion and sedimentation control during construction for the entire project length. In areas where sediment dams,

basins, or ponds are required, include plots of these structures on the roadway cross sections.

- 714.3.3.3 Provide additional water quality treatment when outfalls discharge to 303(d) listed, TMDL, and other sensitive waters. Utilize vegetative practices where possible and structural controls when vegetative practices are not applicable at these outfalls. Exhaust all options prior to implementing structural controls.

714.3.4 NPDES Permitting

Prepare the NPDES permit package(s), to include the Stormwater Prevention Plan (SWPPP) checklist found in TP 714-2, and perform the coordination with SCDES to obtain the permit. The SCDOT reviews, signs and submits the package to SCDES.

714.4 Construction Requirements

The Contractor shall note specific requirements for permitting, shop drawing Submittals, inspection, etc., noted in TP 1000 Special Provisions and Contract Requirements. Construction requirements include, but are not limited to, the following:

- A. AASHTO Classification A-2-4 soils are allowed for bedding / backfill material for permanent pipe culverts. AASHTO Classification A-2-5 soils are not allowed for bedding / backfill material for permanent pipe culverts.
- B. Reinforced concrete pipe shall be utilized on all interstate mainlines and ramps where installations are required under the roadway pavement, shoulders, and median areas. The roadway limits are defined to be all Project paved Project areas, including but not limited to, travel lanes, roadway shoulders, and gutter structures.
- C. Trenchless pipe installation shall be performed in accordance with TP Section 160 and TP 1000, Special Provisions Section 714.
- D. All exposed ends of drainage structures shall require culvert / pipe end treatment and protection unless otherwise shown on environmental permit exhibits. Pipe end treatment shall be performed in accordance with TP 1000, Special Provisions Section 714.

714.5 Deliverables

TP Table 714-1 reflects a list of Deliverables identified in TP Section 714 and is not intended to be an all-inclusive listing of Deliverables. Contractor shall determine and submit all Deliverables as required by the Contract Documents, Governmental Approvals, and the Governmental Entities. At a minimum and unless otherwise specified in the Contract Documents, Contractor shall submit the following to SCDOT in the formats described in TP Section 110.5:

TP Table 714-1: Deliverable Summary

Deliverables	Level of Review*	Number of Copies	Deliverable Schedule	TP Section Reference
		Electronic		
Preliminary Roadway Drainage Design Report	2	1	Submitted with Preliminary Roadway Package	714.5.1
Preliminary Bridge Hydraulic Report	2	1	Submitted with Preliminary Bridge Package	714.5.1
Right of Way Hydraulic Reports	2	1	Submitted with ROW Roadway Package	714.5.2
Final Roadway Drainage Design Report	2	1	Submitted with Final Roadway Package	714.5.3
Final Bridge Hydraulic Design Report	2	1	Submitted with Final Bridge Package	714.5.3
RFC Roadway Drainage Design Report	3	1	Submitted with RFC Roadway Package	N/A
RFC Bridge Hydraulic Design Report	3	1	Submitted with RFC Bridge Package	N/A
Land Disturbance Permit Package	2	1	Prior to Submittal to Governmental Entity	714.3.5

*Levels of Review

1. SCDOT Discretionary Approval (Section 3.1.3 of the Agreement)
2. SCDOT Review and Comment (Section 3.1.5 of the Agreement)
3. Submittals not Subject to Prior Review, Comment or Approval (Section 3.1.6 of the Agreement)

714.5.1 Preliminary Hydraulic Reports

714.5.1.1 Preliminary Roadway Drainage Report

Preliminary Roadway Drainage Design Reports shall include, but not be limited to, the following:

- A. **Pre/post outfall summaries**
- B. **HW/D summaries for crosslines**
- C. **Open channel designs**
- D. **Address permitting requirements**
- E. **Field Investigation and Pipe Inspection Report**

714.5.1.2 Preliminary Bridge Hydraulic Report

Preliminary Bridge Hydraulic Design Reports shall include, but not be limited to, the following:

- A. **Preliminary hydraulic model design and supporting documentation including Hydrology Data Sheets, the Hydraulic Design and Risk Assessment Form, FEMA Compliance Report and the NEPA Bridge Replacement Scoping Trip Risk Assessment Forms (for each applicable location)**
- B. **Modeling files and survey data**
- C. **Address permitting requirements (for each applicable location)**

NPDES permitting: If permitting is going to be phased, address how Submittals will be phased and anticipated Submittal Schedules.

714.5.2 RIGHT OF WAY HYDRAULIC REPORTS

Roadway Drainage Design Reports shall include, but not be limited to, the following:

- A. **Updates to the preliminary roadway drainage designs**
- B. **Gutter spread calculations and bridge deck drainage calculations**
- C. **GEOPAK drainage or OpenFlows StormCAD or CivilStorm summaries**
- D. **Storm sewer system profiles for the design storm and the 50-yr event at sag locations**
- E. **Outlet pipe protection (riprap) designs**
- F. **Sediment and erosion control designs**
- G. **Water quality and post construction designs**
- H. **Detention designs and supporting documentation**

NPDES package shall be provided for review in preparation for Submittal to SCDES/OCRM.

714.5.3 FINAL HYDRAULIC REPORT

714.5.3.1 Final Roadway Drainage Report

Final Roadway Drainage Design Reports shall include, but not be limited to, the following:

- A. **Updates to the Right-of-Way hydraulic drainage designs**

714.5.3.2 Final Bridge Hydraulic Report

Final Bridge Hydraulic Design Reports shall include, but not be limited to, the following:

- A. **Final hydraulic model design and supporting documentation including all updated forms**
- B. **Final modeling files**

- C. Scour Study: Additionally plot the 100- and 500-year lines for the bridge triple profile (for each applicable location)
- D. Address CLOMR (Conditional Letter of Map Revision) / LOMR (Letter of Map Revision) and/or "No Impact" Certifications (for each applicable location)
- E. Include the hydrology data for bridges, culverts and pipes greater than 48 inches on the bridge Plans

Complete NPDES package.

End Section

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809 RIGHT OF WAY – THIS TP IS NOT NEEDED FOR THIS PROJECT

End Section

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900 SUSTAINABILITY- THIS TP IS NOT NEEDED FOR THIS PROJECT

End Section

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1000 SPECIAL PROVISIONS AND CONTRACT REQUIREMENTS

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SPECIAL PROVISIONS**(1) DIVISION 100: STANDARD DRAWINGS:**

The Bidders are hereby advised that this project shall be constructed using the Current Standard Drawings with all updates effective at the time of the letting. The Standard Drawings are available for download at <https://www.scdot.org/business/standard-drawings.aspx>. All drawings that are updated are labeled with their effective letting date in red.

All references in the plans, standard specifications, supplemental specifications, supplemental technical specifications or special provisions to drawings under the previous numbering system (prior to 2007) are hereby updated to the new drawing numbers. Refer to sheets **000-205-01** through **000-205-07** to find new drawing numbers when looking for references to older drawing numbers. “Old sheet numbers” are also visible on the website when using the full set of drawings “current” search and are sortable by clicking the header “Old Sheet #” on the results page. Be aware that some older drawings now span over multiple pages due to detailing changes.

(2) DIVISION 100: MOST RECENT EFFECTIVE UPDATES:

The following drawings were removed, updated, or added effective with the January 2019 letting. See section 103 for imminent drawings on future lettings.

403-205-01	721-1	BRIDGE END FLUME MOVED TO 805-325-75 AND 719-920-00	REPLACED JAN 2019 BY 805-325-75, 805-325-76 AND 719-920-00
403-205-02	S 805-9B	BRIDGE END FLUME MOVED TO 805-325-75 AND 719-920-00	REPLACED JAN 2019 BY 805-325-75, 805-325-76 AND 719-920-00
403-210-00	721-1A	BRIDGE END FLUME MOVED TO 805-325-75 AND 719-920-00	REPLACED JAN 2019 BY 805-325-75, 805-325-76 AND 719-920-00
605-010-01	605-3(1)	CONSTRUCTION SIGNING - PERMANENT WORK ZONE SPEEDING - \$200 FINE PRIMARY ROUTES	JANUARY 2019 UPDATE
605-015-00	605-4	CONSTRUCTION SIGNING - PERMANENT WORK ZONE SPEEDING - \$200 FINE INTERSTATE ROUTE	JANUARY 2019 UPDATE
605-025-03	605-5(1)	CONSTRUCTION SIGNING - SPECIAL SIGNS \$5000 FINE FOR VIOLATIONS	JANUARY 2019 UPDATE
610-005-00	610-1	FLAGGING OPERATION TWO-LANE TWO-WAY PRIMARY & SECONDARY ROUTES	JANUARY 2019 UPDATE
610-005-20	2019 UPDATE	FLAGGING OPERATION WORK ZONE THRU STOP STOP SIGN CONTROLLED SIDE ROADS	JANUARY 2019 UPDATE

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610-005-30	2019 UPDATE	FLAGGING OPERATION WORK ZONE CONTINUE THRU STOP CONTROL INTERSECT ALL APPROACH	JANUARY 2019 UPDATE
610-005-40	2019 UPDATE	FLAGGING OPERATION WORK ZONE THRU TRAF SIGNAL W/LAW ENFORCEMENT OFFICERS	JANUARY 2019 UPDATE
610-005-50	2019 UPDATE	FLAGGING OPERATION WORK ZONE CONTINUE THRU TRAF CONTROL INTERSECT W/FLAGGER	JANUARY 2019 UPDATE
610-005-60	2019 UPDATE	FLAGGING OPERATION WORK ZONE TERMINATE @ INTERSECT W/2-LANE 2- WAY ROAD DEPARTURE	JANUARY 2019 UPDATE
610-005-70	2019 UPDATE	FLAGGING OPERATION INTERSECTIONS W/TWO- LANE TWO-WAY ROADWAYS APPROACH LANE	JANUARY 2019 UPDATE
610-005-80	2019 UPDATE	FLAGGING OPERATION STOP SIGN CONTROL INTERSECTION W/LOW SPEED $\leq$ 35 MPH	JANUARY 2019 UPDATE
610-005-90	2019 UPDATE	FLAGGING OPERATIONS STOP SIGN CONTROL INTERSECTION 40MPH- 60MPH MULTILANE ROAD	JANUARY 2019 UPDATE
610-515-00	610-28	EXTENDED ROAD CLOSURE OF NEW ROADWAY ALIGNMENT	JANUARY 2019 UPDATE
719-115-00	REPLACED	INSTRUCTIONS FOR DROP INLET TYPE 115 DI115 (PHASED OUT 1/2019)	USE DI125 OR 24X36 DI, DI115 PHASED OUT 1/2019
719-920-00	NEW 2019	4' SLOPE FLUME (CURB STYLE WITH CUTOFF WALLS)	NEW DELINEATOR AT LEADING END TREATMENTS OR AS SPECIFIED
805-001-01	NEW 2018	PERMANENT BARRIER GENERAL NOTES - GUARDRAIL, RIGID BARRIER, FLEXIBLE BARRIER	REVISED NOTE 10.11
805-001-02	NEW 2016	PERMANENT BARRIER GENERAL NOTES - GUARDRAIL, RIGID BARRIER, FLEXIBLE BARRIER	REVISED NOTES 50.01 & 50.02
805-090-00	NEW 2019	GUARDRAIL FABRICATION DETAILS NOTICE	NOTICE THAT FABRICATION DETAILS ARE COVERED IN STATUS FABRICATION

805-115-10	NEW 2018	SITE GRADING FOR LEADING END TREATMENT MT3 (TL3)	CORRECT SHOULDER GRADING REMOVE "24:1 LABEL", FONT
805-115-50	NEW 2018	SITE GRADING FOR LEADING END TREATMENT MT2 (TL2)	CORRECT SHOULDER GRADING REMOVE "24:1 LABEL", FONT
805-210-05	MASH UPDATE	MGS3 GUARDRAIL WITH 1 OMITTED POST (12' CLEAR SPAN)	NEW DELINEATOR AT LEADING END TREATMENTS OR AS SPECIFIED
805-325-30	805-325-00	MTBBC3 APPROACH STIFFNESS TRANSITION TL3	CORRECTS PAY ITEMS
805-325-50	805-325-00	MTBBC2 APPROACH STIFFNESS TRANSITION TL2	CORRECTS PAY ITEMS
805-325-70	805-325-00	APPROACH STIFFNESS TRANSITION CURB TO FLUME FOR CB	CORRECTS FLUME LOCATION AND CURB LENGTH
805-325-75	NEW 2019	FLUME INLET AT GUARDRAIL (HANDWORK)	WORKS WITH STANDARD FLUME 719-920-00
805-325-76	NEW 2019	FLUME INLET AT GUARDRAIL (HANDWORK)	WORKS WITH STANDARD FLUME 719-920-00
805-520-00	NEW 2011	GUARDRAIL POST INSTALLATION IN PARTIALLY WEATHERED ROCK (PWR)	MASH DETAIL, USES FULL LENGTH POST
805-545-00	MASH UPDATE	GUARDRAIL RELATED MULTIPLE OFFSET BLOCKS FOR MGS AND MTBBC SERIES DEVICES	NEW DELINEATOR AT LEADING END TREATMENTS OR AS SPECIFIED
805-600-00 to 705-779-99	PRE MASH	DRAWING NUMBERS RESERVED FOR PREMASH GUARDRAIL DETAILS. UPDATED DRAWINGS PENDING.	ATTACH TO EXISTING RAIL OR WHERE MASH DEVICE DOES NOT FIT
805-785-00	NEW 2017	NARROW & WIDE CRASH CUSHIONS	MASH UPDATE TO CRASH CUSHIONS
805-825-00	MASH UPDATE	SHAPE TRANSITION THRIE-BEAM TO SINGLE SLOPE	REVISED END BEVELS AND MASH GUARDRAIL GEOMETRY

(3) DIVISION 100: IMMINENT STANDARD DRAWINGS

On the Standard Drawings search page, enter status of Imminent with other fields blank to see a list of upcoming Standard Drawings and their corresponding effective let date. Imminent drawings may be used at any time they are available if approved by the Resident. Follow procedure shown in imminent drawings when noted in this section.

Imminent Drawings will be made available as soon as they are signed.

(4) DIVISION 100: STANDARD DRAWING ERRATA:

The Bidders are hereby advised that the following note changes apply to the published Standard Drawings.

On sheet **000-205-05**, add the following information under the columns below:

OLD DRAWING NAME

NEW DRAWING NAME

720-905-01 to 720-905-05

720-901-01 to 720-993-32

On sheet **605-005-05 (ver 1-1-2013)**, replace entire text of General Note #4 with the following text:

The square footage of sign panels attached to 2½" x 2½" 12 gauge sign support secured to a 3" x 3" 7 gauge breakaway anchor shall not exceed 20 square feet.

On sheet **610-005-00 (ver 5-1-18)** added the following definition to Note 1 of Flagging Operations section:

SIDE ROAD FLAGGER – This flagger is stationed on an intersecting side road and controls the side road traffic entering into the roadway where the work activity area is located.

On sheet **610-005-20 (ver 5-1-18)** added Note 5 :

5. When the work proceeds through a "STOP sign controlled" "SIDE ROAD" intersection continue the work operations through the intersection to a specific location point within the "DEPARTURE LANE" no less than 300 FT to 500 FT beyond the limits of the intersection to allow the work train and all portions of the lane closure to clear the intersection.

On sheet **610-005-20 (ver 5-1-18)**

Added dimension "300'-500'" for the work activity area after the intersection.

On sheet **610-005-30 (ver 5-1-18)** added Note 5 :

5. When the work proceeds through a "STOP SIGN CONTROLLED" intersection continue the work operations through the intersection to a specific location point within the "DEPARTURE LANE" no less than 300 FT to 500 FT beyond the limits of the intersection to allow the work train and all portions of the lane closure to clear the intersection.

On sheet **610-005-40 (ver 5-1-18)** added Note 5 :

5. When the work proceeds through a "TRAFFIC SIGNAL CONTROLLED" intersection continue the work operations through the intersection to a specific location point within the "DEPARTURE LANE" no less than 300 FT to 500 FT beyond the limits of the intersection to allow the work train and all portions of the lane closure to clear the intersection.

On sheet **610-005-50 (ver 5-1-18)** added Note 5 :

5. When the work proceeds through a "TRAFFIC SIGNAL CONTROLLED" intersection continue the work operations through the intersection to a specific location point within the "DEPARTURE LANE" no less than 300 FT to 500 FT beyond the limits of the intersection to allow the work train and all portions of the lane closure to clear the intersection.

On sheet **610-005-60 (ver 5-1-18)** Title block changed :

Title block now reads “Flagging Operations – Work Zones Beginning @ Intersections with Two-Lane Two-Way Roadways – Departure Lane.”

On sheet **610-005-70 (ver 5-1-18)** Title block changed :

Title block now reads “Flagging Operations – Work Zones Terminating @ Intersections with Two-Lane Two-Way Roadways – Approach Lane.”

On sheet **610-005-80 (ver 5-1-18)** Note 6 revised:

6. Dependent upon the location of the work zone in the “Departure Lane” or the “Approach Lane” of the two-lane two-way road, when the work zone progresses to a location that requires conversion from this flagging operation traffic control setup to a standard flagging operation traffic control setup or vice versa, comply with the requirements of Standard Drawing No. 610-005-60 or Standard Drawing No. 610-005-70 as necessary regarding these conversions.

On sheet **610-005-90 (ver 5-1-18)** Note 6 revised:

6. Dependent upon the location of the work zone in the “Departure Lane” or the “Approach Lane” of the two-lane two-way road, when the work zone progresses to a location that requires conversion from this flagging operation traffic control setup to a standard flagging operation traffic control setup or vice versa, comply with the requirements of Standard Drawing No. 610-005-60 or Standard Drawing No. 610-005-70 as necessary regarding these conversions.

In Section 714-000 – Pipe Culverts (Permanent) (ver January 2011)

Delete and replace all references to P1 Biaxial Geogrid with B4 Geogrid on all Drawings within this Section of the Standard Drawings.

On sheet **720-305-00 (ver May 2008)**, delete the entire note directly above main detail:

On sheet **720-405-00 (ver May 2009)** Detail 2 replace dimension 2’-6” maximum with:
2’-6” minimum

On sheet **720-901-01 (ver Feb 2015)** replace note 5.04 with:

5.04 When a mid-block crossing is required, consider mid-block staggered crossing (720-955-41) to encourage eye contact between the pedestrian and the oncoming traffic. Always angle the stagger so that the pedestrian travels through the refuge facing the oncoming traffic.

On sheet **722-305-00 (ver May 2010)** Detail 4 replace note “French Drain see note 21” with:

French Drain see note 4.5.

On sheet **722-305-00 (ver May 2010)** table 722-305A, 4th column, change the following:

Delete {SF}

Replace text “up to 36” with “up to 3’X3’ “

Replace text “larger than 36” with “larger than 3’X3’ ”

On sheet **722-305-00 (ver May 2010)** change general note 3.3 2nd sentence & Detail 4:

Place Class 2 Type C Geotextile for Erosion Control under riprap as specified in SCDOT Standard Specification.

On sheet **804-105-00 (ver May 2008)** Title Block replace text “Rirap (Bridge End)” with:
Riprap (Bridge End)

On sheet **804-105-00 (ver May 2008)** Change Note 2: Geotextile Pay Item to:

8048210 Geotextile for Erosion Control under riprap (Class 2) Type C.... SY

On sheet **804-205-00 (ver May 2009)** Change Note 2: Geotextile Pay Item to:

8048210 Geotextile for Erosion Control under riprap (Class 2) Type C.... SY

On sheet **804-305-01 (ver Jul 2017)** Change Note 4: Geotextile Pay Item to:

8048210 Geotextile for Erosion Control under riprap (Class 2) Type C.... SY

On sheet **804-305-02 (ver Jul 2017)** Change Section A: Geotextile Note to:

Geotextile for Erosion Control under riprap (Class 2) Type C

On sheet **804-310-00 (ver Jul 2017)** Change Note 3: Geotextile Pay Item to:

8048210 Geotextile for Erosion Control under riprap (Class 2) Type C.... SY

On sheet **805-001-01** Jan 2019 version, replace note 25.06 with:

25.06 FOR PROJECTS THAT SPECIFY PREMASH DEVICES (W-BEAM, TYPE T, TBBC, TYPE B, ETC.) INSTALL W-BEAM RAIL HEIGHT AT 29" +/- 1" (PREVIOUSLY NOTED AS 27.75" +3"/-0".)

On sheet **805-220-00** (ver Jul 2018) replace note 5:

FOR SITES WITH BRIDGES, BOLT GUARDRAIL TO BRIDGE PARAPET AS REQUIRED IN STIFFNESS TRANSITION, AND HOLD FACE OF GUARDRAIL POSITION (TYPICALLY 5'-3" FROM FACE OF CURB) THROUGH STIFFNESS TRANSITION. Make any necessary adjustments to face of guardrail within the LONGITUDINAL BARRIER. INSTALL END TREATMENT so that impact head is beyond the back of sidewalk.

On website, drawings between 805-500-00 and 805-779-99 are reserved as PREMASH standards. Do not value engineer or otherwise substitute PREMASH devices in any location where it has been determined that MASH devices fit and are specified. If MASH devices do not fit site condition, install PREMASH only upon approval by the Resident Engineer. Note that during MASH implementation, some PREMASH details may be published with old drawing numbering and a cover sheet that addresses drawing and pay item changes.

On sheets 805-860-xx (05, 10, 15, 20, 24, 30) (ver Jan 2016):

All references to toe drain details are revised to refer to drawing 805-875-10 (correct all notes pointing to drawings 805-895-00 or other incorrect drawing numbers.)

(5) DIVISION 100: CONSTRUCTION MANAGEMENT SYSTEM:

All references to SiteManager are hereby revised to SCDOT's Construction Management System.

(6) SECTION 102: UNIQUE ENTITY ID (SAM) REQUIREMENT FOR ALL PROJECTS

The Bidders are advised that the Prime Contractor must register and maintain a current registration in the System for Award Management (<http://sam.gov>) at all times during this Project. Upon registration, the Contractor will be assigned a SAM Unique Entity ID.

The Bidders are also advised that prior to the award of this contract, they **MUST** be registered, active, and have no active exclusions in the System for Award Management.

(7) SECTION 103: MOBILIZATION – SUBCONTRACTOR:

Mobilization – Subcontractor consists of the preparatory operations for subcontractors including: moving personnel and equipment to the project site; paying bond and insurance premiums; establishing offices, buildings, and other facilities necessary for work on the project; and all other preparatory work or costs incurred before beginning work on the project.

Mobilization - Subcontractor is paid at the lump sum price bid, which price and payment is full compensation for organizing and moving all subcontractor forces, supplies, equipment and incidentals to the project site, regardless of the number of times such moves are made. The price and payment also includes costs for demobilization.

When the item Mobilization – Subcontractor is included in the bid items, payment will be made on the first four estimates once construction begins. Each payment is for 25% of the lump sum price for Mobilization - Subcontractor, subject to the maximum total limit of 5.0% of the total contract amount.

If there is a remaining amount of the lump sum price for Mobilization after payments are made according to the limit above, then the remaining amount is paid after all work on the project has been completed and accepted.

Partial payment for this item in no way acts to preclude or limit any of the provisions of partial payments otherwise provided for by the Contract or these specifications.

(8) SECTION 104: CONTRACT CHANGES:**A. PURPOSE**

The purpose of this document is to establish the responsibilities and procedures for processing Requests for Information (RFI), Design Change Notifications (DCN), Field Change Notifications (FCN), and Contract Change Requests (CCR) after the execution of the contract for SCDOT design-build projects. These actions require review and either acceptance or approval prior to implementation. The timely review and response to Contractor/SCDOT submittals by the appropriate project officials is imperative to maximize the benefits of design-build contracting and reduce project delays.

B. DEFINITIONS

The following are the four different types of Contract Requests (CR).

Request for Information (RFI): A written request, typically by the CONTRACTOR to SCDOT, requesting clarifications or interpretations of the contract, plans, and specifications, including input required to resolve discrepancies. An RFI can also be used to obtain concurrence for construction means and methods that differ from traditional practice. In addition, SCDOT may initiate an RFI to the CONTRACTOR requesting clarification of means and methods.

Design Change Notification (DCN): A written notification by the CONTRACTOR that states changes within the contract requirements are needed to the design after the plans have been released for construction. These changes to the design will be subject to the same level of quality assurance and quality control reviews as the original design, including SCDOT and Contractor review, respectively.

Field Change Notification (FCN): A written notification by the CONTRACTOR to SCDOT to construct the project differently than shown in the Released for Construction (RFC) plans, but still within the contract requirements and SCDOT accepted practices. FCNs typically capture minor changes that do not require review and approval from the Engineer of Record (EOR), but will be noted on the as-built plans.

Contract Change Request (CCR): A written request to change contract requirements or deviate from SCDOT accepted practices. CCRs shall document all changes to contract time and price. CCRs, if approved, will be processed individually or in groups via a Change Order in SCDOT's Construction Management System.

C. PROCEDURES

1. General

- a. Utilize SCDOT CR Form for all RFIs, DCNs, FCNs, and CCRs.
- b. The CONTRACTOR will submit a form to the SCDOT RCE via ProjectWise, or vice versa, for all RFIs, DCNs, FCNs, and CCRs. FHWA shall be copied on Projects of Division Interest (PoDI).
- c. A sequential project-specific numbering system should be used for each CR submittal (e.g. CR-001).
- d. The RCE shall track the review progress for all documents in real time in a single spreadsheet in ProjectWise. This spreadsheet shall include:
 - 1) The CR number
 - 2) The duration agreed to for review completion
 - 3) The status of each submittal in each update
 - 4) The party that is currently responsible for reviewing and responding
- e. Initial review times for each of these documents will be 10 business days, unless otherwise agreed upon by the RCE and the CONTRACTOR. Review times may be extended if SCDOT's initial comments are not addressed. The CONTRACTOR and RCE are responsible for ensuring all parties fully understand the magnitude of potential schedule impacts of each submittal.
- f. If an approved CCR impacts contract time or price, it should be documented expeditiously as a Change Order in SiteManager.
- g. If a CR requires revision, it shall supersede all previous submittals and therefore must include all necessary attachments. A new CR form shall be submitted with

the original identification number and applicable revision number (e.g. CR-001-R1).

2. Requests for Information

An RFI may be initiated by the CONTRACTOR or SCDOT. RFIs that are internal to the Design-Build Team, i.e. Contractor, should not be tracked by SCDOT.

a. RFIs submitted by the CONTRACTOR to SCDOT:

- 1) All RFIs are to be submitted by the CONTRACTOR 's Project Manager to the RCE using the CR Form. The form and supporting documentation shall be placed in a designated ProjectWise folder that the CONTRACTOR, RCE, DOC's Office, and Preconstruction may access. A Bluebeam session may be initiated by SCDOT if multiple reviewers are involved.

Upon receipt, discipline experts for the CONTRACTOR, EOR, or SCDOT may discuss details independently, but any conclusions and supporting information must be documented in a formal response by the RCE utilizing the original CR Form.

b. RFIs sent by SCDOT to the CONTRACTOR:

- 2) The RCE will develop the RFI in coordination with SCDOT staff as needed using CR Form. The RCE will provide all RFIs via a designated ProjectWise folder that the Contractor, RCE, DOC's office, and Preconstruction may access.

The CONTRACTOR will submit the response and supporting information to the RCE in this same ProjectWise folder.

The RCE will review the CONTRACTOR's response and determine whether a separate notification or request is needed.

3. Design Change Notification

- a. The CONTRACTOR shall notify the RCE of any design changes being considered on any documents or plans that have been released for construction by utilizing CR Form. Supporting the form should be all revised documents that clearly identify all proposed changes. The form and supporting documentation shall be placed in a designated ProjectWise folder that the CONTRACTOR, RCE, DOC's Office, and Preconstruction may access. A Bluebeam session may be initiated by SCDOT if multiple reviewers are involved.
- b. Once the DCN is reviewed and if all comments are resolved, the RCE will accept the DCN using CR Form and submit to the CONTRACTOR along with all necessary attachments. If any SCDOT comments cannot be resolved in accordance with the contract requirements, the DCN will be rejected, and SCDOT will provide an explanation for the rejection and comment on the favorability as a CCR.
- c. Digital and hard copies of revised plans that will become revised RFC plans shall be submitted by the CONTRACTOR following the procedures outlined in the contract and as agreed to for the original RFC plans. The CONTRACTOR shall provide revised Released for Construction (RFC) plans after SCDOT accepts the DCN.

- d. The CONTRACTOR is to ensure that all parties affected by any design changes and/or plan revisions receive revised RFC plans, i.e. utility companies, subcontractors, sub-consultants, railroad company representatives, etc.

4. Field Change Notification

- a. The CONTRACTOR shall notify the RCE of any FCN under consideration utilizing CR Form.
- b. The CONTRACTOR shall clearly identify all proposed changes on CR Form and attach all supporting documents and details needed for SCDOT to fully understand the proposed changes. A Bluebeam session may be initiated by SCDOT if multiple reviewers are involved.
- c. If the FCN does not require any design changes to the RFC plans, does not violate the contract requirements, and in the opinion of the RCE, complies with SCDOT accepted practices, the RCE will accept the FCN.
- d. If the FCN requires design changes to the RFC plans, the FCN will be rejected and SCDOT will provide an explanation for the rejection and the need to resubmit as a DCN.
- e. If the FCN violates the contract requirements, the FCN will be rejected and SCDOT will provide an explanation for the rejection and comment on the favorability as a CCR.
- f. If the FCN does not comply with SCDOT accepted practices, the FCN may be accepted or rejected. If rejected, SCDOT will provide an explanation for the rejection and comment on the favorability as a CCR.
- g. The CONTRACTOR shall document all SCDOT accepted FCNs as redlines in the as-built plans.

5. Contract Change Request

- a. CCRs sent by Contractor to the SCDOT:
 - 1) The Contractor shall submit a CCR to the RCE using CR Form with sufficient description, information, calculations, justification, and any impacts to cost and time for SCDOT to make an informed decision. The Contractor shall provide the RCE with additional supporting documents or justification upon request.
 - 2) The RCE is to review the submittal and seek input from SCDOT discipline experts as needed. A Bluebeam session may be initiated by SCDOT if multiple reviewers are involved.
 - 3) Upon concurrence with SCDOT and FHWA staff, the RCE will approve or reject any CCR using CR Form.
 - 4) If the CCR is approved, including any changes to cost and time, a SiteManager Change Order will be issued to the Contractor for review and concurrence.
 - 5) If the CCR is determined to be necessary to the project but cost and time cannot be agreed upon, SCDOT reserves the right to direct the Contractor to perform the work under Force Account Procedures in lieu of rejection. Upon completion of the changed work, a SiteManager Change Order will follow for contractor review and concurrence.
- b. CCRs sent by SCDOT to the Contractor:
 - 6) The RCE shall submit a CCR to the Contractor using CR Form with sufficient description and information for the Contractor to respond.

- 7) The Contractor must respond with sufficient information, calculations, and justification for all cost and time changes.
- 8) The RCE is to review the response and seek input from SCDOT discipline experts as needed. A Bluebeam session may be initiated by SCDOT if multiple reviewers are involved.
- 9) Upon concurrence with SCDOT and FHWA staff, the RCE will approve or reject any cost or time changes associated with the CCR using CR Form.
- 10) If the CCR is approved, including any changes to cost and time, a SiteManager Change Order will be issued to the Contractor for review and concurrence.
- 11) If the CCR is determined to be necessary to the project but cost and time cannot be agreed upon, SCDOT reserves the right to direct the Contractor to perform the work under Force Account Procedures in lieu of rejection. Upon completion of the changed work, a SiteManager Change Order will follow for contractor review and concurrence.

(9) SECTION 104: DETOUR ROUTES:

When the contract documents allow/specify detours, SCDOT will maintain detour routes with the exception to detour signage and full-depth patching as required by the Resident Construction Engineer specified in Section 401.

(10) SECTION 105: SCDOT COMPUTER USAGE POLICY:

The **CONTRACTOR** and its designated employees, as well as any subcontractors and subcontractors of any tier, having access to SCDOT electronic data, is required to follow **SCDOT's** Acceptable Computer Usage Policy (http://www.dot.state.sc.us/pdf/departamental_directives/updated/DD37.pdf) which establishes guidelines for acceptable use and confidentiality of **SCDOT's** information technology for data entry into **SCDOT'S** computer system; provided that the section of the Policy pertaining to SCDOT's right to inspect any users email at any time is qualified to reserves unto SCDOT the right to inspect contractor or subcontractor emails that are SCDOT business related, including emails that are related to the services with which contractor is under contract.

The **CONTRACTOR** and its designated employees, as well as any subcontractors and subcontractors of any tier, having access to SCDOT electronic data, is required to also follow **SCDOT's** IT Security Policy (http://www.dot.state.sc.us/pdf/IT_Security_Policies_09042012.pdf), which sets forth **SCDOT** IT Security Policy including Network Security Policy, Network Access and Authentication Policy, Physical Security Policy, Backup Policy, Incident Response Policy, Corporate Security Policies, VPN Site-to-Site Policy, Wireless Access Policy, Remote Access Policy, Confidential Data Policy, Guest Access Policy, Third Party Connection Policy, Outsourcing Policy, and Mobile Device Policy; the South Carolina Act 190 of 2008; the Financial and Identity Theft Protection Act; and the Personal Financial Security Act. Prior to access to the **SCDOT** network, each person designated by the **CONTRACTOR** is required to sign an acknowledgment of the DD37 policy requirements.

The **CONTRACTOR's** obligations with respect to the provisions of computer use and data confidentiality shall survive termination or expiration of the contract. Without limiting any rights **SCDOT** may have, and notwithstanding any other term of this contract, the **CONTRACTOR** agrees that **SCDOT** may have no adequate remedy at law for a breach of the **CONTRACTOR's** obligations under this clause and therefore **SCDOT** shall be entitled to pursue equitable remedies in the event of a breach.

The **CONTRACTOR** is responsible for ensuring that it, as well as any subcontractors and subcontractors of any tier, having access to SCDOT electronic data, is required to manage and reduce risk by employing and using good cyber threat preventative measures. CONTRACTOR, subcontractors and subcontractors shall use the National Institute of Standards and Technology's Risk Management Framework (NIST RMF) as its cybersecurity framework or use other comparable frameworks and standards for cyber security protection. CONTRACTOR shall insert a NIST RMF or equivalent framework requirement provision in all subcontract for this Project which require or allow a subcontractor or subcontractor to have access to SCDOT data. **CONTRACTOR** shall provide SCDOT, upon request, third party certifications to verify implementation of an industry recognized cyber security framework during the Project. Other comparable cyber security frameworks include: NIST RMF; NIST CSF; ISO IES 27001/ISO 27002; SOC 2; IASME Governance; CIS Controls version 7; COBIT 5; FedRAMP; HIPAA; GDPR; FISMA; NERC CIP; HITRUST CSF.

(11) SECTION 105: CROSS SLOPE VERIFICATION:

Refer to Supplemental Specification titled Cross Slope Verification. As-built plans shall be electronic deliverables submitted according to the Resident Construction Engineer.

(12) SECTION 105: EQUIPMENT COSTS

Delete Paragraph 2 of Subsection 105.16.5 of the Standard Specifications and replace it with the following:

For purposes of computing extra equipment costs, rates used are based on the hourly rental rates as shown in the Rental Rate Blue Book published by DATAQUEST, Inc., which are in effect on the date of the Relief Event. Equipment rates will not be allowed in excess of those in the Rental Rate Blue Book with the appropriate adjustments noted in Subsection 109.5. The stand-by rate is 50% of the operating rate.

(13) SECTION 106: SOURCE OF TELECOMMUNICATION AND VIDEO SURVEILLANCE EQUIPMENT

In accordance with 2 CFR 200.216, Contractors, in the performance of this Contract, are prohibited from procuring or obtaining telecommunication or video surveillance equipment, services, or systems produced by:

- Huawei Technologies Company or ZTE Corporation (or any subsidiary or Alt of such entities).
- Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).

(14) SECTION 106: SOURCE OF SUPPLY AND QUALITY OF MATERIALS

Delete Paragraph 3 of Subsection 106.1 of the Standard Specifications and replace it with the following:

"When materials, components, or Elements that are not specifically covered in the Standard Specifications, Supplemental Specifications, Supplemental Technical Specifications, or Project Special Provisions are proposed to be incorporated into the Work, submit to the RCE a specification covering the proposed material, component, or Element for review and acceptance prior to incorporating it into the Work. Ensure that such

materials, components, or Elements meet the requirements of the AASHTO specifications that were effective as of the date of the Final RFP. If the materials, components, or Elements are not covered in the AASHTO specifications, ensure that they meet the requirements of the ASTM specifications that were effective as of the date of the Final RFP. Submission of a specification for a material, component, or Element not covered in the Standard Specifications, Supplemental Specifications, Supplemental Technical Specifications, or Project Special Provisions does not guarantee approval for use on the Project.”

(15) SECTION 107: PROJECT BULLETIN BOARDS

In accordance with the Required Contract Provisions Federal-Aid Construction Contracts Section II, Item 3, Part d, add the following:

Single Location Projects – On projects in which work is performed at a single location (such as bridge replacement projects, two-lane to five-lane widening projects, etc.), mount the project bulletin board in a permanent location within the Project Limits so that it is visible and accessible at all times.

Multiple Location Projects – On projects in which work is being performed or has the capability of being performed at multiple locations (such as resurfacing projects, pavement marking projects, etc.), display a portable bulletin board with at least one of the prime Contractor’s work crews. If the prime Contractor is not performing work, display the portable bulletin board with at least one of the subcontractor’s work crews. Display the portable bulletin board in a location and a manner that is acceptable to the RCE. Notify the RCE and all Subcontractors as to the location of the portable bulletin board. On resurfacing projects, mount an additional project bulletin board in a permanent location at the asphalt plant supplying asphalt mix to the project so that it is visible and accessible at all times.

(16) SECTION 107: FAIR LABOR STANDARDS ACT OF 1938, AS AMENDED

Attention is directed to this Federal Legislation, which has been enacted into Law. The Contractor will be responsible for carrying out all of the provisions of this legislation, which may affect this contract.

(17) SECTION 107: CARGO PREFERENCE ACT REQUIREMENTS

A. Use of United States-flag vessels – General Provisions:

"(1) Pursuant to Pub. L. 664 (43 U.S.C. 1241(b)) at least 50 percent of any equipment, materials or commodities procured, contracted for or otherwise obtained with funds granted, guaranteed, loaned, or advanced by the U.S. Government under this Agreement, and which may be transported by ocean vessel, shall be transported on privately owned United States-flag commercial vessels, if available.

"(2) Within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (A)(1) of this section shall be furnished to both the Contracting Officer (through the prime Contractor in the case of Subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590."

B. Use of United States-flag vessels - The Contractor agrees:

"(1) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.

"(2) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States. a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (B)(1) of this section to both the Contracting Officer (through the prime Contractor in the case of Subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.

"(3) To insert the substance of the provisions of this clause in all Subcontracts issued pursuant to this contract."

(18) SECTION 107: CONTRACT PROVISION TO REQUIRE CERTIFICATION AND COMPLIANCE CONCERNING ILLEGAL ALIENS

By submission of this bid, the bidder as the prime Contractor does hereby agree:

- A. to certify its compliance with the requirements of Chapter 14 of Title 8 of the S.C. Code of Laws regarding Unauthorized Aliens and Public Employment;
- B. to provide SCDOT with any documents required to establish such compliance upon request; and
- C. to register and participate and require agreement from Subcontractors and sub-Subcontractors to register and participate in the federal work authorization program to verify the employment authorization of all new employees, or to employ only workers who supply the documents required pursuant to S.C. Code 8-14-20(B)(2).

(19) SECTION 107: IRAN DIVESTMENT ACT

By submission of this bid/Proposal, the bidder/Proposer as the prime Contractor/consultant/vendor does hereby certify his compliance to the following:

- A. CERTIFICATION: (a) The Iran Divestment Act List is a list published pursuant to Section 11-57-310 that identifies persons engaged in investment activities in Iran. Section 11-57-310 requires the government to provide a Person ninety days (90) written Notice before he is included on the list. The following representation, which is required by Section 11-57-330(A), is a material inducement for the SCDOT to award a contract to you. (b) By signing your Offer, you certify that, as of the date you sign, you are not on the then-current version of the Iran Divestment Act List. (c) You must notify the SCDOT immediately if, at any time before posting of a final statement of award. You are added to the Iran Divestment Act List.
- B. ONGOING OBLIGATIONS: (a) You must notify SCDOT immediately if, at any time during the contract Term, you are added to the Iran Divestment Act List. (b) Consistent with Section 11-57-330(B), you shall not contract with any Person to perform a part of the Work, if, at the time you enter into the Subcontract, that Person is on the then-current version of the Iran Divestment Act List.
- C. OPTION TO RENEW RESTRICTION: Contractor acknowledges that, unless excused by Section 11-57-320, if the Contractor is on the then-current Iran Divestment Act List as of the date of any contract renewal, the renewal will be void ab initio.

(20) SECTION 107: SCDOT TRADEMARK RIGHTS**A. DESCRIPTION**

By execution of this Contract, CONTRACTOR agrees to comply with the following Terms with respect to the CONTRACTOR's rights and obligations relating to the use of the SCDOT service/trademark mark(s) (U.S. Registration No(s). 5963731; 5963732; and 6017777).

SCDOT is the owner of the following marks (hereinafter called MARKS)



SCDOT

SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION

Additionally, when any of the above-referenced trademarks are used on SCDOT or Contractor websites, advertising, or the like, the following Attribution Statement should be placed at the bottom of the page (with the proper year):

"© 2020 – All Rights Reserved – South Carolina Department of Transportation. The South Carolina Department of Transportation is the owner of US Trademark Registration Nos. 5,963,731; 5,963,732 and 6,017,777."

This language need not be used on internal documents, on the sides of trucks, or other places where it would be illegible or difficult for the public to read.

B. GRANT OF TRADEMARK LICENSE

SCDOT grants to CONTRACTOR a nonexclusive, nontransferable license to use the MARKS in connection with the goods and services covered by the above-referenced service mark registrations for the MARKS, and CONTRACTOR accepts the license subject to the following Terms and conditions.

C. OWNERSHIP OF MARKS

CONTRACTOR acknowledges the ownership of the SCDOT MARKS, agrees that it will do nothing inconsistent with such ownership and that all use of the MARKS by CONTRACTOR shall inure to the benefit of and be on behalf of SCDOT, and agrees to assist SCDOT in recording this Trademark License with appropriate government authorities, if necessary. CONTRACTOR agrees that nothing in this Trademark License shall give CONTRACTOR any right, title or interest in the MARKS other than the right to use the MARKS in accordance with this Trademark License and CONTRACTOR agrees that it will not attack the title of SCDOT to the MARKS or attack the validity of this Trademark License.

D. QUALITY STANDARDS

CONTRACTOR agrees that the nature and quality of all services rendered by CONTRACTOR in connection with the MARKS, all goods sold, or services provided by CONTRACTOR under the MARKS; and all related advertising, promotional and other related uses of the MARKS by CONTRACTOR shall conform to standards set by and be under the control of SCDOT.

E. QUALITY MAINTENANCE

CONTRACTOR agrees to cooperate with SCDOT in facilitating SCDOT's control of such nature and quality, to permit reasonable inspection of CONTRACTOR's operation, and to supply SCDOT with specimens of all uses of the MARKS upon request. CONTRACTOR shall comply with all applicable Laws and regulations and obtain all appropriate government approvals pertaining to the sale, distribution and advertising of goods and services covered by this Trademark License.

F. FORM OF USE

CONTRACTOR agrees to use the MARKS only in the form and manner and with appropriate legends as prescribed from time to time by SCDOT, and not to use any other trademark or service mark in combination with the MARKS without prior written approval of SCDOT.

G. INFRINGEMENT PROCEEDINGS

CONTRACTOR agrees to notify SCDOT of any unauthorized use of the MARKS by others promptly as it comes to CONTRACTOR's attention. SCDOT shall have the sole right and discretion to bring infringement or unfair competition proceedings involving the MARKS.

H. TERM

This Trademark License shall continue in force and effect for the effective Term of this Agreement.

I. TERMINATION FOR CAUSE

SCDOT shall have the right to terminate this Trademark License upon thirty (30) days written Notice to CONTRACTOR in the event of any affirmative act of insolvency by CONTRACTOR, or upon the appointment of any receiver or trustee to take possession of the properties of CONTRACTOR or upon the winding-up, sale, consolidation, merger or any sequestration by governmental authority of CONTRACTOR, or upon breach of any of the provisions hereof by CONTRACTOR.

J. EFFECT OF TERMINATION +

Upon termination of this Agreement, CONTRACTOR agrees to immediately discontinue all use of the MARK and any term confusingly similar thereto, and that all rights in the MARK and the good will connected therewith shall remain the property of SCDOT.

(21) SECTION 107: RESPONSIBILITY FOR THIRD PARTY DAMAGE CLAIMS, ETC.

Add the following paragraph to Section 107.16 of the Standard Specifications:

Report to SCDOT on a monthly basis all damage claims received on the project from third-party claimants related to the work. Include in the monthly report a notice of the current status of each damage claim. Retain all documentation used for all determinations and submit the information to SCDOT upon request.

(22) SECTION 108: PARTNERING**A. COVENANT OF GOOD FAITH AND FAIR DEALING**

This Contract imposes an obligation of good faith and fair dealing in its performance and enforcement.

The CONTRACTOR and Department, with a positive commitment to honesty and integrity, agree to the following mutual duties:

1. Each will function within the Laws and statutes applicable to their duties and responsibilities.
2. Each will avoid hindering the other's performance.
3. Each will proceed to fulfill its obligations diligently.
4. Each will cooperate in the common endeavor of the Contract.

B. PARTNERING

The Department encourages the foundation of cohesive partnering with the CONTRACTOR and its principle Subcontractors and Suppliers. This partnering is not a legal partnership as defined by South Carolina Law. Partnering will be structured to draw on the strengths of each organization to identify and achieve reciprocal goals. The objectives are effective and efficient contract performance and completion within budget, on schedule, and in accordance with the Contract.

The establishment of a partnering charter will not change the legal relationship of the Parties to the contract nor relieve either Party from any of the Terms of the Contract. Any cost associated with effectuating partnering will be agreed to by the Department and the CONTRACTOR and will be shared equally between them.

(23) SECTION 109: REFERENCES TO UNIT PRICING:

Except listed below, any references in the Contract Documents to unit price, measurement, and payment, are typical references for design-bid-build contracts and are not applicable to the extent they effect payment on Design-Build contracts. The Design-Build Contractor's schedule of values shall provide sufficient detail to compare Work progress to the Contractor's schedule and determine appropriate periodic payments.

The following Special Provisions contain unit rate and payment information specifically applicable to this Design-Build contract:

SECTION 105: CROSS SLOPE VERIFICATION

SECTION 401: HOT MIX ASPHALT (HMA) QUALITY ACCEPTANCE

SECTION 401: FULL DEPTH ASPHALT PAVEMENT PATCHING

SECTION 403: RIDEABILITY FOR ASPHALT MIXTURES

SECTION 503: PORTLAND CEMENT CONCRETE PAVEMENT UNIT COST

SECTION 701: NON-CONFORMING CONCRETE

SECTION 806: REPAIR EXISTING CONTROL OF ACCESS FENCE

(24) SECTION 202: REMOVAL OF EXISTING GUARDRAIL:

Section 202.3.4 is hereby modified:

202.3.4.1 General

Remove bolts securing existing guardrail and remove guardrail elements. Remove all materials from the roadway immediately to eliminate any obstacles for the traveling public. Store materials according to Subsection 601.2. The removed guardrail components become the property of the Contractor. Backfill postholes with suitable compacted material.

202.3.4.2 Guardrail that Becomes the Property of the Contractor

The existing guardrail removed becomes the property of the Contractor, store safely away from the traveling public until it is removed from the project.

(25) SECTION 202: STAGED REMOVAL OF EXISTING BRIDGES:

For existing bridges that will be removed in stages, maintain stability of the existing structure at all times while traffic is on or passing under the bridge. At a minimum, replace all tie rods after removal of any slab sections and maintain bracing on the existing piles at all times while traffic is on or passing under the bridge. Update the load rating of the existing bridge to represent the staged traffic configuration in accordance with the SCDOT Load Rating Guidance Document and technical notes.

(26) SECTION 203: BORROW EXCAVATION:

Delete paragraph 1 of Subsection 203.2.1.8 of the Standard Specifications and replace it with the following:

1. Borrow consists of material required for the construction of embankments or for other portions of the Work where the elevation of the existing subgrade is less than the subgrade elevation required on the Plans or directed by the RCE. When sufficient material is available entirely within the right-of-way, the Work is covered by the item Unclassified Excavation and the material shall meet the material requirements of Borrow Excavation in this subsection. When it is necessary to bring material from outside of the right-of-way, the Work is covered by the item Borrow Excavation, and the material shall also meet the requirements for Borrow Excavation in this subsection. The material requirements of this subsection apply to all material used in the Work regardless of its origin. The requirements of this subsection are not applicable to in situ subgrade material.

(27) SECTION 203: BORROW EXCAVATION (FOR SHOULDERS):

This Work shall consist of satisfactory placement of all materials necessary to bring the shoulder grade to within 2 inches of the final pavement edge grade. The Contractor shall furnish all earth material necessary to eliminate any edge of final pavement to shoulder gradient differential that exceeds 2 inches. The quantities shown on the Plans are the Engineering estimate of the number of units that will be necessary for this Project, actual field measurements may cause these quantities to vary.

Selected materials shall be used for this operation. The selected material shall consist of a friable material such as topsoil, etc., containing grass roots and having the properties of being comparatively porous, capable of growing grass and of a stable nature in that when compacted it will resist erosion and be capable of supporting vehicles when relatively wet. When the area where material is to be placed, is greater than 4 feet in width, it shall be scarified and/or disked to a minimum depth of 3 inches prior to placing any material. Scarifying or disked is not required for areas less than 4 feet in width. Borrow shall be mixed with the existing scarified and/or disked shoulder material in such a manner as to provide a seed bed in accord with Section 810.15 of the Standard Specifications. The Contractor has the option of placing the borrow material (a) Prior to placing final surface course or (b) Following the placing of the finished surface course.

The method of measurement will be the volume in cubic yards, determined in accordance with Section 203 of the Standard Specifications. The Contractor, at his option, may elect to base the quantity measured on the loose volume at the point of delivery by scaling and counting the loads, with a deduction of 35 percent made for shrinkage. All cost for borrow material including obtaining, hauling, and placing shall be included in the unit price.

(28) SECTION 203: BORROW PITS:

A. PERMITTING OF BORROW PITS

Prior to using borrow material from commercial or other borrow pits located wholly or in part in wetland areas, the Contractor shall submit written evidence that operations to obtain fill material from the borrow pit(s) have received all appropriate and necessary authorizations from federal, state, and/or local authorities.

Permitted Borrow Pits

If the appropriate federal, state, and local authorities have issued permits, the Contractor shall provide to SCDOT copies of all permits issued for such borrow pit sites.

B. BORROW PITS WITHOUT

For borrow pit sites for which a Section 404 Permit under the Clean Water Act has not been issued, the Contractor shall provide SCDOT with copies of documentation provided by the Contractor or its Subcontractor(s) to the U.S. Army Corps of Engineers, which shall, at a minimum, clearly define the location of the borrow pits and any wetlands on the borrow pit Site; describe the proposed activities and processes that will be used to prepare the Site, obtain fill material from the Site, and store material at the Site; and request the U.S. Army Corps of Engineers to confirm in writing that no Section 404 Permit is required for those operations. No operations shall take place at the borrow Sites for at least thirty days from the date of the submission of confirmation request to the U.S. Army Corps of Engineers. After thirty-one days the Contractor may begin Work. The Contractor shall also provide copies to SCDOT of any response(s) provided by the U.S. Army Corps of Engineers to its documentation.

C. RESPONSIBILITY

SCDOT has no obligation or duty to review, assess, evaluate, or act upon such documentation and maintains no authority or responsibility to alter, amend, reject, accept, or otherwise exercise any control over the Contractor or Subcontractor regarding compliance with Clean Water Act Section 404 and the implementing regulations for Section 404. Documentation submitted to SCDOT is for public information and coordination purposes only. The Contractor is responsible for all costs related to the selection, operation, and/or activities at any borrow pit Site in wetlands including fines, additional mitigation, and impact Delays related to failure to obtain any and all necessary federal, state, and local permits and approvals for borrow pits and operations. Nothing herein shall affect in any way SCDOT's right to accept or reject any fill material not meeting the required technical specifications.

(29) SECTION 205: HIGH-STRENGTH GEOTEXTILE FOR EMBANKMENT REINFORCEMENT:

April 21, 2015

A. DESCRIPTION

This Work shall consist of furnishing and installing construction geotextiles in accordance with the details shown in the Plans, specifications, or as directed by the RCE.

B. MATERIALS

A geotextile is defined as any permeable polymeric textile used with foundation, soil, rock, earth, or any other geotechnical engineering related material, as an integral part of a civil engineering project, structure, or system. Use geotextiles and thread used in joining geotextiles manufactured from fibers consisting of long-chain polymers, composed of at least 95 percent by weight of polyolefins or polyesters. Use geotextiles with fibers formed into a stable network such that the fibers or yarns retain their dimensional stability relative to each other, including selvages (edges) during shipping, handling, placement, and in service. Use geotextile free from Defects or tears.

1. **Minimum Average Roll Values:** All property values, with the exception of Apparent Opening Size (AOS), represent Minimum Average Roll Values (MARV) in the weakest principal direction. Provide geotextiles whose average test results from any roll sampled in a lot for conformance or quality acceptance testing meets or exceeds minimum values provided in this Section.
2. **Apparent Opening Size:** Values for Apparent Opening Size (AOS) represent maximum average roll values. Acceptance will be based on ASTM D 4759.
3. **Reinforcement Geotextile:** Use reinforcement geotextile within existing and/or proposed fills for slope reinforcement.

Furnish geotextiles meeting the property requirements outlined in Table 1.

Table 1: High Strength Geotextile Properties (Design Requirements)^{1,2}

Property	Test Method	Geotextile Property Requirements
Long-Term Design Strength, Tal, MD		22,800 lb/ft
Long-Term Design Strength, Tal, XD		2,280 lb/ft
Sewn Seam Breaking Strength ³	ASTM D4884	900 lbs/ft
AOS	ASTM D4751	<(1.0 to 2.0)D85(soil)
Permeability	ASTM D4491	>10ksoil
Default Pullout Friction Factor, F*	ASTM D6706	0.6Tan Φ
Default Alpha, α	ASTM D6706	0.6
Ultraviolet Stability	ASTM D4355	□ 50% after 500 hrs of exposure

- Notes:
1. The test procedures shall conform to the most recently approved ATSM geotextile test procedures.
 2. All numeric values represent Minimum Average Roll Value (MARV).
 3. Applies to factory or field sewn seams.

4. **Source Approval and Certification**

Prior to construction, the Contractor shall submit to the Resident Construction Engineer (RCE) a Certification Package prepared by the geotextile reinforcement manufacturer. The Contractor shall allow 21 calendar days from the day the Submittals are received by the RCE for review and acceptance. Submit the following information regarding each geotextile proposed for use:

- a. Manufacturer's name and current address;

- b. Full product name/number, including roll number;
- c. Geosynthetic material (i.e. polymer type) and structure (including fiber/yarn type);
- d. Proposed geotextile use(s); and
- e. Certified test results for the properties outlined in Table 1 and below in Section 4.

The Certification shall state that the furnished geotextile soil reinforcement is in full compliance with the design requirements as stated in this specification and the design drawings and is fit for use in long-term critical soil reinforcement applications. In addition to the minimum required properties in Table 1, the Submittal shall also certify the following values for each geotextile soil reinforcement used on the Project:

- a. The ultimate tensile strength, T_{ULT} , (MARV) for geogrid soil reinforcements, MD/XD
- b. The tensile strength at 5% strain, MD
- c. The creep reduced tensile strength, MD
- d. The geotextile's pullout coefficients (F^* , α)

The Contractor's Submittal package shall include, but not be limited to, actual test results for tension, creep, durability, construction damage, joint/seam strength, pullout and quality control. A Person having the legal authority to bond the manufacturer shall attest to the certificate. Any tests required shall be performed at no additional cost to the Department. If in the opinion of the RCE, the required documentation is not provided for individual reduction factors (RF) or pullout coefficients (F^* , α), default values for these design parameters shall be used in accordance with this specification.

a. Ultimate Tensile Strength (T_{ult}):

The ultimate tensile strength, T_{ult} , shall be determined from wide width tensile tests (ASTM D 4595). Geotextile samples tested in accordance with ASTM D 4595 shall be with an 8-inch width specimen, or a 4-inch specimen width with correlation to an 8-inch width. Correlation methodology shall be submitted to, and is subject to acceptance by the RCE. All geotextile strength tests (ASTM D 4595 and ASTM D 6637) shall be conducted at a strain rate of 10% per minute based on actual gage length necessary to meet the testing sample dimension requirements. Laboratory test results documenting the ultimate tensile strength, T_{ult} , in the reinforcement direction shall be based on the minimum average roll values (MARV) for the product.

b. Long-Term (Allowable) Design Tensile Strength (T_{al}):

The allowable tensile load per unit width of geotextile soil reinforcement, T_{al} , in accordance to the backfill type used shall be computed as follows:

$$T_{al} = \frac{T_{ult}}{RF}$$

c. Reduction Factor (RF):

The total reduction factor, RF, is the combined reduction factor for long-term degradation due to installation damage, creep, and durability. The total reduction factor, RF, shall be defined as follows:

$$RF = RF_{ID} \times RF_{CR} \times RF_D \geq 3.0$$

The individual reduction factors shall be documented in accordance with the Site conditions, design calculations, and specifications. When sufficient documentation is not provided for individual reduction factors, RF_{ID} , RF_{CR} , and RF_D , a reduction factor RF of 7.0 shall be used. The reinforcement manufacturer shall certify and document the individual reduction factors as follows:

d. Installation Damage Reduction Factor (RF_{ID}):

The reduction factor for installation damage, RF_{ID} , shall be documented by field and laboratory test results and literature review, as described in ASTM D 5818 for the reinforced backfill specified or for more severe soils. Samples subjected to installation damage shall be tested for tensile strength and deformation characteristics in accordance with ASTM D 4595. Recommended values for reduction factors for installation damage (RF_{ID}) for various soils shall also be documented. The minimum installation damage reduction factor, RF_{ID} , shall be 1.1, regardless of product specific test results.

e. Creep Reduction Factor (RF_{CR}):

Laboratory test results documenting creep performance over a range of load levels, for a minimum duration of 10,000 hours based on tension creep test (ASTM D 5262) shall be required. Creep test samples shall be of sufficient width to be representative of overall product creep response (fiber creep testing will not be accepted).

The creep-limiting strength, T_i , shall be based on extrapolating the 10,000 hours (or longer duration) tension creep tests to a 75-year design life, unless a 100-year design life is specified in the Plans. The creep extrapolation method shall be based on methods described in FHWA NHI-10-025, *"Design and Construction of Mechanically Stabilized Earth Walls and Reinforced Soil Slopes"* - Appendix "D". Laboratory test results and extrapolation methodology shall be documented.

The reduction factor for creep, RF_{CR} , is defined as the ratio of the average lot specific ultimate tensile strength, T_{ULTLOT} , to the creep-limiting strength, T_i . The average lot specific ultimate tensile strength, T_{ULTLOT} , for the lot of material used for creep testing, T_{ULTLOT} , shall be determined from wide width tensile test, ASTM D 4595.

f. Durability Reduction Factor (RF_D):

The total reduction factor for durability, RF_D , shall be defined as the combined effects of chemical and biological degradation. Laboratory test results, extrapolation techniques, and a comprehensive literature review shall document the reduction factor for durability for all material components in accordance with FHWA NHI-09-087, *"Corrosion / Degradation of Soil Reinforcements for Mechanically Stabilized Earth Walls and Reinforced Soil Slopes"*. The minimum durability reduction factor, RF_D , shall be 1.1, regardless of product specific test results.

g. Soil Reinforcement Pullout Coefficients (F^* , α):

The Certification Package shall document the pullout coefficients (F^* , α) meet or exceed the required coefficients necessary to obtain the T_{ai} provided above where,

$$F^* = \text{Minimum pullout friction factor} = C_i \tan \Phi,$$

C_i = Soil interaction coefficient ≥ 0.6

Φ = Soil Angle of Internal Friction

The pullout friction factor, F^* , and the scale effect correction factor, α , shall be documented by laboratory testing from pullout tests. Pullout testing shall be conducted for site-specific materials or for materials representative of the reinforced backfill at confining pressures specified by the Engineer. When laboratory tests are used from representative soils, the representative soils shall be documented by providing the soil's angle of internal friction, gradation, and coefficient of uniformity ($C_u = D_{60}/D_{10}$). Recommended pullout coefficients for various soil types shall also be documented. The pullout coefficients shall be determined by using the quick effective stress pullout tests ("Measuring Geosynthetic Pullout Resistance in Soil" per ASTM D 6706). The soil interaction coefficient, C_i , shall be documented when computing the pullout friction factor, F^* . When sufficient documentation is not provided for pullout coefficients, F^* and α , and the coefficient of uniformity, C_u , is greater or equal to 4, the default values indicated in this specification can be used. If the coefficient of uniformity of the reinforced backfill is less than 4, laboratory pullout test shall be required to determine pullout friction factor, F^* , and the default scale effect factor, α .

5. Sample Approval.

To confirm that the on-site geotextile meets the property values specified, random samples shall be submitted to the RCE for evaluation. The machine direction shall be marked clearly on each sample submitted for evaluation. The machine direction is defined as the direction perpendicular to the axis of the roll.

Cut a sample from the geotextile roll with the minimum dimensions of 4 feet by the full width of the roll beyond the first wrap. The geotextile samples shall be cut from the roll with scissors, sharp knife, or other suitable method that produces a smooth edge and does not cause geotextile ripping or tearing. Submit a manufacturer's certificate of compliance signed by an authorized manufacturer's official. The certificate must attest that the geotextile meets all the Minimum Average Roll Value (MARV) requirements specified in Table 1 as evaluated under the manufacturer's quality control program. Geotextiles supplied for construction of the Project shall be certified in accordance with the following criteria. The tests described in the specification shall be conducted by the manufacturer or by an approved independent testing laboratory on samples taken from the same lot number as the material actually shipped to the Project and at the specified frequency. The manufacturer or independent testing laboratory shall maintain the appropriate accreditations and must be preapproved by the Department. All rolls shall be marked with individual and distinct roll numbers. All roll numbers shall have traceable certified mill test reports from the given lot that they were manufactured. These test reports must be supplied to the Department prior to installation of any geotextile materials. After the sample and the required information have been submitted to the RCE, allow 30 calendar days for evaluation.

Product acceptance is determined by comparing the average test results of all specimens within a given sample to the Minimum Average Roll Values (MARV) listed in Table 1. Install geotextiles only after the material has been tested and/or evaluated and accepted. Replace all geotextiles installed prior to acceptance that do not meet specifications at Contractor's expense.

a. Sewn Seam Approval

If the geotextile seams are to be sewn in the field, the Contractor shall provide a section of sewn seam that can be sampled by the RCE before the geotextile is installed. The sewn seam shall be in accordance with ASTM 6193.

The seam sewn for sampling shall be sewn using the same equipment and procedures as will be used to sew the production seams. The seam sewn for sampling must be at least 6 feet in length. If the seams are sewn in the factory, the Contractor shall provide samples of the factory seam at random from any of the rolls to be used. Regardless of whether the seam is to be sewn in the factory or the field, the manufacturer and/or Contractor shall certify that the strength meets the requirement set forth in Table 1. If seams are to be sewn in both the machine and cross-machine direction, provide samples of seams from both directions. The seam assembly description shall be submitted by the Contractor to the Engineer and will be included with the seam sample obtained for testing. This description shall include the seam type, stitch type, sewing thread type(s), and stitch density.

If sewn seams are used for seaming the geotextile, use thread that consists of high strength polypropylene or polyester. Do not use nylon thread. Use thread that is of contrasting color to that of the geotextile itself.

If the manufacturer can provide a T_{al} MD that is greater than the sum of the required T_{al} MD and sewn seam breaking strength (for each specified in the Project Plans), the sewn seams may be eliminated and a minimum overlap of 1 foot may be used.

6. **Identification, Shipment and Storage**

Conform to ASTM D 4873, *Standard Guide for Identification, Storage, and Handling of Geotextiles*. Clearly label each roll of geotextile shipped to the Project with the name and address of the manufacturer, full product name/number, quantity, and roll number.

The RCE will reject materials that are mislabeled or misrepresented. Wrap each roll with a material that protects the geotextile, including ends of the roll, from damage due to shipment, water, sunlight, and contaminants. Maintain the protective wrapping during periods of shipment and storage. Do not damage the geotextile or wrapping when unloading or transferring from one location to another. Do not drag the rolls.

During storage, elevate geotextile rolls off the ground and adequately cover to protect them from the following:

- a. Site construction damage;
- b. Precipitation;
- c. Ultraviolet radiation including sunlight;
- d. Chemicals that are strong acids or strong bases;
- e. Flames including welding sparks, temperatures in excess of 140 °F (60 °C); and
- f. Mud, dirt, dust, debris and any other environmental condition that may damage the physical property values of the geotextile

C. **CONSTRUCTION REQUIREMENTS**

1. **General**

Prepare the surface on which the geotextile is to be placed so that no damage occurs to the geotextile. Do not drive or operate any construction equipment directly on the geotextile. Dispose of material with Defects, rips, holes, flaws, deterioration, or other damage. Do not use defective material in the Work. The manufacturer shall be present on Site for a minimum of two days of geotextile installation such that the manufacturer observes any field-sewn seams.

2. Installation Plan

Within thirty (30) calendar days after award of the contract or no later than thirty (30) calendar days before beginning high-strength geotextile installation, the Contractor shall submit to the Department for review a high-strength geotextile installation plan that includes as a minimum the following information:

- a. The Contractor shall certify and provide proof to the Department of experience in the Work described. The Contractor shall have successfully installed at least 500,000 square yards of any geotextile that has sewn seams during the last five years. In addition, the Contractor shall have successfully completed at least five projects within the last five years of similar size and complexity to that of the Project.

The Contractor's experience shall be documented by providing a project summary that includes for each referenced project, the project start and completion dates, total quantity of geotextile installed (specifically indicate if high-strength geotextile installed), and a detailed description of the project, Site conditions, and subsurface conditions. The project description shall include details of the geotextile materials, the equipment and technique used to install the geotextiles, the average and maximum area of geotextile installed, the client name and address, the name and telephone number of the Representative of the consultant and owner for whom the Work was performed and who can attest to the successful completion of the Work, and any other information relevant to demonstrating the Contractor's qualifications.

- b. Resume of supervisor documenting experience and qualifications in the installation of both normal and high-strength geotextile. The Contractor shall have a full-time supervisor who has been in responsible charge of supervising geotextile installation operations for at least five projects in the last five years. The supervisor shall be present at the Work Site at all times during installation operations. The acceptability of the supervisor, as well as any replacement for the supervisor, will be subject to the approval of the Department.
- c. Shop drawings showing the planned locations and elevations of all high-strength geotextiles. The installation sequence shall also be provided including any required staging. The shop drawings shall also show the location of the bridge abutment, and the limits of the final embankment and construction staging.
- d. Detailed description of proposed installation procedures
- e. Proposed methods and equipment for sewn seams

3. Site Preparation

Prepare the installation Site by clearing, grubbing, and excavating or filling the area to the design grade. This includes removal of topsoil or vegetation. The RCE will identify soft spots and unsuitable areas during Site preparation. This may include but not be limited to proof-rolling specific areas defined by the RCE. Excavate these areas and backfill with approved borrow or bridge lift material and compact as

specified. The area to be covered by the geotextile shall be graded to a smooth, uniform condition free from ruts, potholes, and protruding objects such as rocks or sticks.

The Contractor may construct a working platform, up to 2 feet in thickness, in lieu of grading the existing ground surface. A working platform is required where stumps or other protruding objects which cannot be removed without excessively disturbing the subgrade are present. These areas shall be prepared in accordance with the 2007 Standard Specifications for Highway Construction. The stumps shall be covered with at least 6 inches of fill before placement of the first geotextile layer.

4. Geotextile Placement

The geotextile shall be spread immediately ahead of the covering operation. The geotextile shall be laid with the machine direction perpendicular or parallel to centerline as shown in Plans. All seams shall be sewn. Seams to connect the geotextile strips end to end will not be allowed. The geotextile shall not be left exposed to sunlight during installation for a total of more than 14 calendar days. The geotextile shall be laid smooth without excessive wrinkles. Under no circumstances shall the geotextile be dragged through mud or over sharp objects, which could damage the geotextile.

Small soil piles or the manufacturer's recommended method shall be used as needed to hold the geotextile in place until the specified cover material is placed. Remove wrinkles and folds by pulling the geotextile taut as required.

Should the geotextile be torn or punctured or the sewn joints disturbed, as evidenced by visible geotextile damage, subgrade pumping, intrusion, or roadbed distortion, the backfill around the damaged or displaced area shall be removed and the damaged area repaired or replaced by the Contractor at no expense to the Department. The repair shall consist of a patch of the same type of geotextile placed over the damaged area. The patch shall be sewn at all edges.

If geotextile seams are to be sewn in the field or at the factory, the seams shall consist of two parallel rows of stitching, or shall consist of a J-seam, Type SSn-2. The two rows of stitching shall be 1 inch apart with a tolerance of plus or minus 0.5 inches and shall not cross, except for re-stitching. The stitching shall be a lock-type stitch. The minimum seam allowance, i.e., the minimum distance from the geotextile edge to the stitch line nearest to that edge, shall be 1.5 inches if a flat or prayer seam, Type SSa-2, is used. The minimum seam allowance for all other seam types shall be 1 inch. The seam, stitch type, and the equipment used to perform the stitching shall be as recommended by the manufacturer of the geotextile and as approved by the RCE.

The seams shall be sewn in such a manner that the seam can be inspected readily by the RCE or his Representative. The seam strength will be tested and shall meet the requirements stated herein.

5. Fill Placement.

Embankment construction shall be kept symmetrical at all times to prevent localized bearing capacity failures beneath the embankment or lateral tipping or sliding of the embankment. Place fill over the geotextile by dumping onto previously placed material and pushing the material into place. Stockpiling of fill on the geotextile will

not be allowed. Do not operate any construction equipment directly on the geosynthetic material under any circumstances.

Place the fill material in uniform layers so that there is a minimum lift thickness (loose) of 8 inches between the geosynthetic material and equipment tires or tracks at all times. The minimum thickness of the first lift is 8 inches. Do not allow construction equipment to turn on the first lift of material above the geosynthetic material. Do not blade the first lift placed over the geosynthetic material. If the subgrade is very soft with an undrained shear strength less than 500 psf, minimize pile heights to less than 3 feet and spread piles as soon as possible after dumping to minimize the potential for localized subgrade failure due to overloading of the subgrade.

Do not use sheepsfoot or studded compaction equipment on the first lift placed over the geosynthetic material. Stop vibrator on compaction equipment if pumping occurs. Do not operate any construction equipment that results in rutting in excess of 3 inches on the first lift. If rutting exceeds 3 inches, decrease the construction equipment size and/or weight or increase the lift thickness. Use only rubber-tired rollers for compaction if any foundation failures occur when placing subsequent lifts. Compact all lifts to the moisture and density requirements for each embankment specified in the Standard Construction Specifications. Do not blade material down to remove ruts. Fill any ruts or depressions with additional material and compact to the specified density.

A sandy material that meets the requirements of an A-2 AASHTO soil classification shall be the only borrow excavation soil allowed for placement between the lowest elevation geotextile and the bottom of the pavement section. The embankment fill soils shall be compacted in accordance with the 2007 Standard Specifications for Highway Construction. Fill shall be placed in 12-inch maximum lift thicknesses where heavy compaction equipment is to be used and 6-inch maximum uncompacted lift thicknesses where hand-operated equipment is used.

The geotextile shall be pretensioned during installation using either Method 1 or Method 2 as described herein. The method selected will depend on whether or not a mudwave forms during placement of the first one or two lifts. If a mudwave forms as fill is pushed onto the first layer of geotextile, Method 1 shall be used. Method 1 shall continue to be used until the mudwave ceases to form as fill is placed and spread. Once mudwave formation ceased, Method 2 shall be used until the uppermost geotextile layer is covered with a minimum of 1 foot of compacted fill. These special construction methods are not needed for fill construction above this level. If a mudwave does not form as fill is pushed onto the first layer of geotextile, then Method 2 shall be used initially and until the uppermost geotextile layer is covered with at least 1 foot of compacted fill.

Method 1

After the working platform, if needed, has been constructed, the first layer of geotextile shall be laid as outlined in the Project Plans and the joints sewn together. The geotextile shall be stretched manually to ensure that no wrinkles are present in the geotextile. The fill shall be end-dumped and spread from the edge of the geotextile. The fill shall first be placed along the outside edges of the geotextile to form access roads. These access roads will serve three purposes: to lock the edges of the geotextile to form access roads, to contain the mudwave, and to provide access as needed to place fill in the center of the embankment. These access roads shall be

approximately 16 feet wide. The access roads at the edges of the geotextile shall have a minimum height of 2 feet completed. Once the access roads are approximately 50 feet in length, fill shall be kept ahead of the filling operation, and the access roads shall be kept approximately 50 feet ahead of this filling operation. Keeping the mudwave ahead of this filling operation and keeping the edges of the geotextile from moving by use of the access roads will effectively pre-tension the geotextile. The geotextile shall be laid out no more than 20 feet ahead of the end of the access roads at any time to prevent overstressing of the geotextile seams.

Method 2

After the working platform, if needed, has been constructed, the first layer of geotextile shall be laid and sewn as in Method 1. The first lift of material shall be spread from the edge of the geotextile, keeping the center of the advancing fill lift ahead of the outside edges of the lift. The geotextile shall be manually pulled taut prior to fill placement. Embankment construction shall continue in this manner for subsequent lifts until the uppermost geotextile layer is completely covered with 1 foot of compacted fill.

(30) SECTION 205: VIBRO COMPACTION:

June 27, 2013

A. DESCRIPTION

This section presents administrative and procedural requirements for ground modification by Vibro-Compaction (VC). Vibro-compaction shall be implemented in and below the existing embankment fill material of both the beginning of bridge approach embankment and the end of bridge approach embankment. VC shall be provided as documented in the Plans. The purpose of the vibro-compaction program is to densify the granular soils at the specified locations and depths to mitigate liquefaction potential.

The Contractor shall perform layouts and measurements for VC Work. VC points may be surveyed in or located by measuring offsets from surveyed points (e.g., Project stations) using a tape measure.

The Contractor shall notify the Engineer a minimum of 48 hours prior to commencement of the VC operations at each approach embankment location.

Any change in the predetermined VC program necessitated by a change in the field conditions shall be immediately reported and submitted to the Geotechnical Engineer. Upon completion of the Work, the Contractor shall submit a drawing of as-built locations of vibro-compacted columns.

B. REFERENCES

The Geotechnical Engineer will coordinate all testing to determine compliance with the Project design.

1. Applicable Standards: The most recent version of the following testing methods or standards shall be employed:
 - a. ASTM D1586 "Standard Test Method for Standard Penetration Test (SPT) and Split-Barrel Sampling of Soils"
 - b. ASTM D5778 "Standard Test Method for Electronic Friction Cone and Piezocone Penetration Testing of Soils" (CPT)

- c. ASTM D6635 “Standard Test Method for Performing the Flat Plate Dilatometer” (DMT)

2. Reference Documents: Reference Documents to be used by the Contractor shall include:

- a. This specification
- b. Attached Plans
- c. Project geotechnical report prepared by the Geotechnical Engineer.

Prior to commencing Work, the Contractor shall examine the Site, drawings, records of existing utilities and other existing subsurface structures, and soil test boring logs made available in the original bid documents and those performed by the Geotechnical Engineer to help determine VC installation conditions.

C. SUBMITTALS

This section details all Submittals required prior to field Work, at completion of the test section, during production Work, and after the Work is completed.

1. Pre-Field Work Submittals: The following shall be submitted to the SCDOT by the Geotechnical Engineer prior to the start of the Work. VC operations may not commence until approval by the SCDOT is granted.
 - a. A Work Plan prepared by the Contractor for the production Work outlining the anticipated spacing, location and depth to achieve the Project design criteria.
 - b. Drawing(s) for review, indicating the spacing, location, and depth of the VC probes to achieve the Project design criteria.
2. Post Test Section and Pre-Production Work:
 - a. Results of the test section evaluation.
 - b. A revised Work plan and VC layout should the test section results require a modification to the original Work and production Plans.
3. During Production Submittals: The following shall be submitted to the SCDOT by the Geotechnical Engineer during the Work:
 - a. Any change in the predetermined VC program necessitated by a change in the field conditions.
4. Closeout Submittal: The following shall be submitted to the SCDOT by the Geotechnical Engineer within 14 days of the completion of the VC Work.
 - a. As-Built Plans: Drawings documenting any significant changes to the shop drawing. If no changes are noted, then no As-Built Plans are necessary following completion of the VC program.

D. EQUIPMENT AND MATERIALS

The Contractor shall supply equipment in good operating condition capable of performing the Work specified herein. The Contractor shall use equipment capable of efficiently accomplishing the required soil densification. The probe shall have durable markings on one-foot intervals allowing a visual determination of the depth of penetration when in use. The probe shall be of sufficient length to extend a minimum depth of thirty (30) feet below existing grade.

The VC procedure is not intended to be a ‘wet’ operation. Water shall be used sparingly to mitigate clogging of the VC equipment.

Settlement resulting from the VC operations is anticipated. Any required fill placement in the resulting deformations required to meet the design grade(s) shall meet the requirements outlined in the *Special Provision 40 – Section 203: Borrow Excavation* provided in the bid documents.

Groundwater is anticipated to emerge to the ground surface during VC operations. The Contractor shall implement Best Management Practices (BMP's) to efficiently control surface groundwater runoff in accordance with the Contract Documents.

E. CONSTRUCTION

Production VC criteria shall be as follows:

1. Depth of treatment: The probe tip shall penetrate to the minimum elevation specified in the above referenced Documents and Submittals.
2. Locations/Spacing: The center-to-center probe spacing shall adhere to the requirements in the above referenced Documents and Submittals. Probes shall be performed within 12 inches of the planned location
3. Limits of Work: The limits of the VC Work are shown on the drawings described in the above referenced Documents and Submittals.

F. QUALITY CONTROL

The details of the quality control program are as follows:

1. Technical Oversight: All VC operations shall be performed under the inspection of the Geotechnical Engineer's Representative.
2. Monitoring and Logging: Monitoring and logging of all VC operations for the test area and production Work shall be done by the Contractor.
3. Test Section: The Geotechnical Engineer's Representative shall monitor the performance of the test section and perform the testing indicated below:
 - a. A test section shall be performed before production Work, as follows:
 - 12) The test section location shall be agreed upon by the Geotechnical Engineer and the Contractor within the treatment area. The test section will consist of a minimum of twelve (12) VC points in three (3) rows of four (4) points each. For preliminary design considerations, the vibro-densification program will utilize a five (5) foot triangular grid for the indicated treatment area(s).
 - 13) The method of installation, materials, equipment, and procedures shall be the same as those to be used for production Work.
 - 14) Based on the results from the test section, modifications to the Work Plan may be implemented, as needed, to meet the Project design. Any changes to the Work Plan will be submitted to the SCDOT following completion of the test program.
 - b. The Geotechnical Engineer shall coordinate the following tests of the test section after a minimum wait period of four (4) days from completion of the VC activities of the test program: One (1) CPT (or SPT) test performed at the center of a VC probe location, and one (1) CPT (or SPT) test performed at the midpoint of adjacent VC probe locations.

- c. The primary method for determining the post-treatment soil strength parameters for production VC Work will be from the phi angle correlations in Chapter 7 of the SCDOT Geotechnical Design Manual. These equations are based on either the blow counts as determined from the SPT test, the cone tip resistance as determined from the CPT test, or the horizontal stress index as determined from the DMT test.
- d. The production VC criteria will be based on a minimum applied relative energy for a given time rate. Following completion of the test program, the Geotechnical Engineer will establish criteria for the production VC Work.
- 4. Daily Records: Monitoring and logging of VC operations for the test area and production Work shall be performed by the Contractor. At a minimum, the following information shall be collected for each VC probe location:
 - a. Equipment details and specifications
 - b. Embankment location
 - c. Probe ID (i.e. A-27)
 - d. Start and finish time
 - e. Maximum recorded energy reading
 - f. Depth of treatment
 - g. Comments or unusual observations

A sample monitoring log for the VC Work is provided with this specification.

- 5. Monitoring of Existing Structures: There are no structures within 500 feet of the planned VC Work, and, therefore, a vibration monitoring program is not required for the VC Work
- 6. The Contractor shall ensure that procedures and documentation conform to these specifications.

G. METHOD OF MEASUREMENT

The acceptance of the VC Work shall be solely based on the results from the pre-treatment test program outlined in this specification. The Geotechnical Engineer shall provide to the SCDOT a signed and sealed statement that the soil improvement conforms to requirements of the Project design.

H. RESTRICTIONS

The Contractor shall be responsible for obtaining the necessary State and municipal permits for the intended construction. The Contractor shall be responsible for the precise delineation of all above and below ground utilities and obstructions and shall accurately mark their layout at the Site. The following shall also be listed within this section when applicable:

- 1. Environmental restrictions
- 2. Work boundaries
- 3. Hours and days available for construction

(31) SECTION 205: DEEP SOIL MIXING (DSM):

September 22, 2011

A. DESCRIPTION

This Work shall consist of using deep soil mixing (DSM) construction techniques (also known as deep mixing methods, DMM) to improve weak subsurface soils by mixing a binder material with insitu soil to produce a DSM column composed of a soilbinder mixture that has increased compressive strength and stiffness properties compared to the original insitu soil properties. A column is defined as the extent that the existing ground is improved by insertion and removal of the mixing tool to the full improvement depth required in the Plans. DSM column mixing methods allowed are described in subsection A.1 and DSM column spacing requirements are described in subsection A.2. The purpose for constructing DSM columns is to improve weak subsurface soils in order to stabilize and/or improve performance of existing ground prior to constructing bridge foundations, embankments, mechanically stabilized earth (MSE) walls, retaining walls, and other transportation structures or facilities as specified herein and shown on the Plans and Contract Documents. References listed in subsection A.3 may be used in these special provisions and will be used to evaluate this Work.

The Work covered by this specification includes furnishing all necessary plant, labor, equipment, geotechnical subsurface investigation, pre-production laboratory testing, test section(s), surcharges/berms constructed at the DSM improved locations (if shown in the Plans or required to meet DSM column performance requirements), in-situ testing, sampling/coring, QA/QC testing, reporting, and other Work described below. The Contractor shall be familiar with Project geotechnical conditions and recognize that geotechnical data is available with geotechnical boring logs, laboratory testing results, and other pertinent information.

1. DSM Mixing Methods:

This special provision contains specifications for construction of DSM columns by either the wet or dry mechanical mixing method. The Contractor shall use the DSM mechanical mixing method specified in the Plans unless other methods are approved in writing by the Engineer. DSM column mixing method for the wet and dry mixing methods are defined as follows:

- a. **Wet Method:** The wet method consists of mixing a binder in slurry form (i.e. cement grout) with existing soils using auger-type equipment (paddles, augers, and other rigid mixing tools), without use of externally directed high pressure jets, to form a DSM column consisting of a homogeneous mixture of cement grout and in-situ soils. The wet mixing method typically produces spoils in the range of 10 to 60 percent of the treated volume. A soil-cement column formed by the wet mixing method is abbreviated herein as DSM-SCC).
- b. **Dry Method:** The dry method consists of mixing dry binders (i.e. limecement or cement) into the insitu soils. The dry binder is injected into the soil by using air pressure. The mixing tool blends the binder material with the insitu soil and water to form a DSM column of a homogeneous mixture of binder materials and insitu soils. The dry mixing method typically produces spoils less than 10 percent of the treated volume. A DSM column (limecement or cement) formed by the dry mixing method is abbreviated herein as DSM-LCC.

2. DSM Column Spacing:

The DSM columns shall be spaced and arranged as indicated on the Plans or as otherwise directed by the Engineer. DSM columns can be constructed by using group column spacing or by using block column spacing as described below:

- a. **Group Column Spacing (GCS):** DSM group column spacing (GCS) consists of constructing a single column (no overlap with adjacent DSM columns) with a diameter of 20 to 36 inches or as required in the Plans. The DSM columns group spacing is defined in the Plans by specifying a pattern (i.e. triangular, grid, etc.) and center-to-center spacing between DSM columns.
- b. **Block Column Spacing (BCS):** DSM block columns spacing (BCS) consists of constructing an improved soil zone with DSM columns overlapping adjacent DSM columns. Since the improved soil zone is continuous, the size of the DSM column is not specified in the Plans to accommodate variations in the Contractor's equipment dimensions. As a result of Contractor equipment variations in size, any variations in the dimensions of the zone of ground improvement shown in the Plans will require written approval by the Engineer. The centertocenter spacing shall be determined by the Contractor based on the DSM construction equipment in order to provide continuous overlapped DSM columns in accordance with the Plans and specifications. Continuous column spacing may be achieved by the use of DSM equipment capable of constructing multiple columns simultaneously. The DSM column overlap distance between adjacent DSM columns shall be a minimum of 20 percent of the DSM column diameter or as approved by the Engineer. The DSM column centertocenter spacing between adjacent columns shall be defined as the DSM column diameter minus the column overlap distance.

3. References:

The evaluation of this Work, including the DSM Installation Plan, test section(s), QC testing, and QA testing will be based on, but not limited to, the following references:

- a. Bruce, D.A. (2000). "An Introduction to the Deep Soil Mixing Methods as Used in Geotechnical Applications, Volume I," FHWA-RD-99-138.
- b. Bruce, D.A. (2000). "An Introduction to the Deep Soil Mixing Methods as Used in Geotechnical Applications, Volume II: Appendices," FHWA-RD-99-149.
- c. Bruce, D.A. (2001). "An Introduction to the Deep Mixing Methods as Used in Geotechnical Applications, Volume III: The Verification and Properties of treated Ground," FHWARD99-167.
- d. Elias, V., Welsh, J., Warren, J., Lukas, R., Collin, J.G., and Berg, R.R., (2006). "Ground Improvement Methods," Volumes I and II, FHWA NHI-06-019 and FHWA NHI-06-020, US Dept. of Transportation, Federal Highway Administration.
- e. Filz, G. M., Hodges, D. E., Weatherby, D. E., and Marr, W. A. (2005). "Standardized Definitions and Laboratory Procedures for Soil-Cement Specimens Applicable to the Wet Method of Deep Mixing." *Innovations in Grouting and Soil Improvement*, Reston, Virginia, 13.
- f. Filz, G. M. and Stewart, M. E. (2005). "Design of Bridging Layers in Geosynthetic-Reinforced, Column-Supported Embankments." Virginia Transportation Research Council, Charlottesville, VA
- g. Jacobson, J. R., Filz, G. M., and Mitchell, J. K. (2003). "Factors Affecting Strength Gain in LimeCement Columns and Development of a Laboratory Testing Procedure," Report prepared for the Virginia Transportation Research Council, Virginia Polytechnic Institute and State University, Report No. 57565, FHWA/VTRC 03CR16.
- h. Jacobson, J. R., Filz, G. M., and Mitchell, J. K. (2005). "Factors Affecting Strength of LimeCement Columns Based on a Laboratory Study of Three Organic Soils."

Deep Mixing'05: International conference on deep mixing best practice and recent advances.

- i. Larsson, S. (2005a). "State of Practice Report – Execution, monitoring and quality control," Volume 2, Deep Mixing '05: International Conference on Deep Mixing Best Practice and Recent Advances.
- j. Larsson, S. (2005b). "On the use of CPT for quality assessment of lime-cement columns." Deep Mixing '05: International Conference on Deep Mixing Best Practice and Recent Advances.
- k. McGinn, A. J. and O'Rourke, T. D. (2003). "Performance of deep mixing methods at Fort Point Channel." Cornell University.

B. MATERIALS

1. Wet Method (DSM-SCC):

Cement: Portland cement shall be low alkali Type II conforming to Section 701.2.1 and ASTM C150. Slag cement shall conform to Section 701.2.3 and ASTM C 989. All cement shall be homogeneous in composition and properties and shall be manufactured using the same methods at one plant by one Supplier. Tricalcium aluminate content shall not exceed 7 percent.

Water: Water shall conform to the requirements of Section 701.2.11.

Admixtures: Cement admixtures will not be allowed without written approval by the Engineer. Cement admixtures are ingredients that are used to permit efficient use of materials and proper workability of the binder material being mixed into the in-situ soils. The Contractor is required to submit any proposed admixtures and their intended effect when the binder mix design is submitted for approval by the Engineer.

Cement Grout: The cement grout shall be a stable homogeneous mixture of cement, admixtures (if approved), and water in proportions determined by the results of the test section and approved by the Engineer. The cement grout is mixed with the in-situ soils to form DSM-SCC columns.

Soil-Cement Mixture: The DSM column shall be composed of a stable and uniform soil-cement mixture of cement grout and in-situ soil that meets the Project compressive strength and other requirements in the Plans and these special provisions. The proposed ratios of concrete grout to in-situ soils and quantities of various components shall be determined by the results of the test section and approved by the Engineer.

2. Dry Method (DSMLCC):

Cement: Portland cement shall be low alkali Type II conforming to Section 701.2.1 and ASTM C150. Slag cement shall conform to Section 701.2.3 and ASTM C 989. All cement shall be homogeneous in composition and properties and shall be manufactured using the same methods at one plant by one Supplier. Tricalcium aluminate content shall not exceed 7 percent.

Quick Lime: Quick lime shall have at least 99 percent passing the #8 sieve (3.18 mm) and at least 90 percent passing a No. 12 Sieve (2.12 mm), an active CaO content greater than 80% and a Floatability of 70.

Premixed Lime-Cement: If a premixed quick lime and cement is to be used, the manufacturer of the mixture must certify that the proportions of lime and cement provided are in accordance with the design requirements developed from the test section and approved by the Engineer.

Admixtures: Cement admixtures will not be allowed without written approval by the Engineer. Cement admixtures are ingredients that are used to permit efficient use of materials and proper workability of the binder material being mixed into the in-situ soils. The Contractor is required to submit any proposed admixtures and their intended effect when the binder mix design is submitted for approval by the Engineer.

Binder: The binder will be a stable homogeneous mixture of cement, lime (if applicable), and admixtures (if approved), in proportions determined by the results of the test section and approved by the Engineer. The binder material is delivered using air pressure and is mixed with the in-situ soils to form DSM-LCC columns.

Soil-Binder Mixture: The DSM column will be composed of a stable and uniform soil-binder mixture that meets the Project design requirements and these special provisions. The proposed ratios of binder material to in-situ soils and quantities of various components shall be determined by the results of the test section and approved by the Engineer.

C. SUBMITTALS

A minimum of 45 calendar days prior to beginning the DSM Work, the Contractor shall submit a DSM Construction Plan and Shop Plans/Working Drawings for review and approval by the Engineer. The DSM Construction Plan and Shop Plans/Working Drawings shall be prepared, signed, and sealed by an agent/Representative of the DSM Contractor that is a Professional Engineer licensed in the State of South Carolina. The Contractor shall not commence DSM installation without the approval of all Submittals by the Engineer. Approval by the Engineer will not relieve the Contractor of its responsibilities to provide materials and equipment necessary to install DSM columns in accordance with the Plans and specifications. If, at any time, the Engineer considers that the Contractor's installation operation does not produce a satisfactory DSM column, the Contractor shall alter its method and/or equipment as necessary to comply with the Plans and specifications at no additional cost to the Department.

The Contractor shall submit 8 sets of the DSM Construction Plan and 8 sets of Shop Plans/Working Drawings to the Preconstruction Support Engineer (PSE) for review in accordance with the requirements provided herein. Send DSM Construction Plan and Shop Plans/Working Drawings for projects designed for the Department by a design consultant directly to the consultant. For DSM Construction Plan and Shop Plans/Working Drawings sent to the PSE, send a copy of the transmittal letter to the BCE, the OMR, and the RCE. For Shop Plans sent directly to a design consultant, send a copy of the transmittal letter to the PSE, the BCE, the OMR, and the RCE. Obtain the necessary mailing information at the Preconstruction Conference.

1. DSM Construction Plan:

The DSM Construction Plan shall document and provide, as a minimum, the following information:

- a. *Qualifications:* Evidence of six years of accumulated experience over a period of 10 years and competence to construct the required DSM columns by the mixing method (i.e. wet or dry) required for the project shall be submitted. As a minimum,

the Contractor shall submit a detailed description of three DSM projects completed using the required mixing method within the previous six years that demonstrate the Contractor's experience and competence. Jet grouting or penetration grouting projects will not be acceptable as representative of DSM construction techniques. Each DSM project submitted as proof of experience and competence shall have a minimum total treatment volume of not less than 20 percent of the DSM treatment volume for this project or 30,000 cubic yards of DSM treatment volume, whichever is greater, in high plasticity clay, sand, and silt. At least two of the submitted DSM projects using the required mixing method shall have average treatment depths greater than 60 feet. Each DSM project submitted shall have the following information:

- 1) Project name, location, and completion date
- 2) Current contact information (address, phone number, and email) of project owner, designer, geotechnical consultant, and contract manager
- 3) Surface and subsurface conditions, and strength (average, ranges, and means used to determine strength) of DSM columns installed
- 4) Minimum, maximum, and average rates of DSM installation
- 5) Project cost and duration of DSM installation
- 6) Average depths and ranges of depths of DSM columns installed. Provide total linear footage and volume (cubic yards) of DSM columns installed
- 7) Percent of project total based on QA/QC testing that met the project Acceptance criteria and percent of project total based on QA/QC testing that required remediation techniques after initial DSM installation

The Contractor shall also submit a list of completed ground improvement projects where they performed DSM column construction techniques over the past six years that includes items "a" and "e" listed above, type of DSM mixing, and DSM quantity constructed (similar to item "f" above).

The Contractor's proposed DSM superintendent shall have a minimum of three years of accumulated experience with DSM construction equipment and construction management within the past six years. The DSM superintendent shall have been employed by the Contractor for the most recent three years. The proposed DSM superintendent shall have been superintendent for the Contractor on at least one of the three DSM projects submitted by the Contractor as evidence of their experience. Experience and training records shall be submitted for proposed DSM superintendent and operators of construction equipment. Any changes in DSM construction personnel shall require Submittal of qualifications for approval.

The Independent Testing Laboratory shall have at least 5-years' experience as a materials testing laboratory, including the performance of testing comparable to that required herein. The Person in charge of the testing Work for the Independent Testing Firm shall be a Professional Civil Engineer, registered in the State of South Carolina. The Independent Testing Laboratory's supervisor and each field Representative who will take samples in the field shall have at least 5-years' experience in taking concrete samples in the field and performing compressive strength tests in accordance with AASHTO requirements and be accredited as required by SCDOT to obtain and form concrete test cylinders. The persons who will perform laboratory testing shall have at least 2-years' experience in performing the soil tests required herein.

- b. *Protection of Utilities:* Location of all subsurface utilities in the area and the plan to protect them in place if the utilities are not being relocated out of the affected area.
- c. *Construction Schedule:* A construction schedule for the DSM Work identifying start dates and durations for all portions of the Work, including equipment mobilization, equipment setup, test section(s) construction, production DSM construction at each location, and QC testing.
- d. *DSM Mixing Method:* Provide the type of mixing method (Wet or Dry) that will be used in accordance with the Plan Documents to construct the DSM columns in accordance with the Plans and these specifications.
- e. *Equipment and Procedures:* A detailed description of the equipment (include catalog cut sheets of equipment dimensions) and procedures to be used during all facets of the Project including, but not limited to the conduct of the following:
 - 1) Test section(s)
 - 2) Site preparation
 - 3) Stage construction of DSM test section(s) and production DSM (if required)
 - 4) Locating the DSM columns in the field
 - 5) DSM spoil containment, handling, and disposal
 - 6) Confirming method to check that the DSM are installed plumb
 - 7) Quality control program
 - 8) Monitoring quality control parameters
 - 9) Sample collecting for laboratory confirmation testing
- f. *DSM Test Section Subsurface Information and Location:* Submit the probe testing results used to preapprove the location of the test section(s). Provide a minimum of two probe test at each test section in accordance with Section H.2 for review and approval by the Engineer of the proposed location of the test section(s). Preapproval of the test section location(s) shall be required before commencing Preproduction field and laboratory testing (Section D). Probe testing shall be conducted to the production DSM depths plus 10 feet that the test section represents. Indicate on a Plan drawing the location of the test section(s), dimensions and layout of the test section(s), number of DSM columns (include designation of each DSM column), and location of probe testing performed (Section H.2). This information should be included in this Submittal even if submitted previously during preapproval of DSM test section location(s).
- g. *PreProduction DSM Binder Mix Design Report:* Final report of preproduction laboratory and field testing used to develop proposed wet or dry binder mix design for the construction of the test section(s). The preproduction laboratory and field testing shall conform to Section D of this special provision.
- h. *Cement and Cement Grout Mix Design (Wet Mixing Method, DSMSCC):* Proposed cement and cement grout mix design when DSM columns are constructed using the wet mixing method (DSMSCC). The design shall include the following:
 - 1) Cement type and Cement manufacturer's certificate of compliance.
 - 2) Cement grout water-cement ratio, by weight. Include details to fully describe and illustrate the methods for grout proportioning to achieve the design mix.
 - 3) Cement Factor (also known as Residual Cement Factor) which is the amount of cement, dry weight in pounds, that remains in the ground after mixing, per cubic yard of insitu soilcement.

These mix design parameters will be reviewed based on the pre-production field and laboratory testing results developed in accordance with Section D. The acceptance of the proposed grout mix/soil/cement mix design shall be contingent on the test section(s) results meeting the acceptance criteria of Section K. The Contractor may propose to expand the size of the test section to demonstrate that somewhat different grout water/cement ratio and/or cement factor is workable in achieving the required soil-cement strength under actual in-situ conditions. Provide documentation of calibration of the mixing plant.

- i. *Binder Mix Design (Dry Mixing Method, DSMLCC)*: Proposed binder mix design(s) when DSM columns are constructed using the dry mixing method (DSMLCC). Binder mix design shall include all materials, quantities, and dosages required to achieve the acceptance criteria (Section K). The design shall include the following:
 - 1) Cement type and Cement manufacturer's certificate of compliance
 - 2) Quick lime (if used) manufacturer's certificate of compliance
 - 3) Premixed limecement (if used) manufacturer's certificate of compliance
 - 4) Binder mix dosage of each material in the binder mix per volume
 - 5) Proportion of binder material to soil in the soilbinder mixture

These mix design parameters will be reviewed based on the pre-production field and laboratory testing results developed in accordance with section D. The acceptance of the proposed binder and soil/binder mix design shall be contingent on the test section(s) results meeting the acceptance criteria of section K. The Contractor may propose to expand the size of the test section to demonstrate that somewhat different binder mix design is workable in achieving the required soil-binder strength under actual in-situ conditions. Provide documentation of calibration of the mixing plant.

- j. *Independent Laboratory Testing*: Identification of all independent AASHTO certified materials laboratory testing facilities that will be used on the Project and the type of laboratory testing that will be conducted at each laboratory. All laboratory testing shall be performed at a materials laboratory with current AASHTO certification for the type of test being conducted. A single independent AASHTO certified materials testing laboratory shall be used to conduct all of the compressive strength testing that will be performed on the Project.
- k. *Calibrations*: Calibration tests for all metering equipment, including mixing systems, delivery systems, alignment systems, mixing tool rotational and vertical speed, injection pressure, rotation penetration/extraction rates, etc. that are applicable to the mixing method being used on the Project.
- l. *Surcharges/Berms*: Details of any surcharges/berms being constructed as indicated in the Plans or required to obtain DSM column performance in accordance with Plans and specifications. Provide a description of materials used (soil type, Atterberg limits, moisture content, etc.), location, and removal schedule (if required). Surcharges that are required to obtain DSM column performance and are not shown in the Plans shall be constructed after being approved by the Engineer and at no additional cost to the Department.
- m. *Runoff and Spoil Containment (Wet Mixing Method Only)*: Details of all runoff and spoil containment structures will be required when DSM columns are constructed using the wet mixing method (DSMSCC). These structures will be used to prevent the migration of either cement grout or soilcement return spoils, disturbed insitu soils, or other soil material beyond the immediate limits of the soilcement mixing

operation. Also provide description of processes and procedures to be used to collect and retain the soil-cement return and other spoil materials in such manner to allow the spoils to solidify for the necessary time to become a hardened material resembling a hard, dry cohesive material. The resulting hardened spoils shall be disposed of off-site, at no additional cost to the Department.

n. *Daily Production Control Report and Installation Log*: Provide a sample report and installation log in paper and electronic format that will be used to record the construction of all production DSM columns for the required mixing method. The Daily Production Control Report/Log shall contain at least the following information:

- 1) Project Name
- 2) DSM column number and reference drawing number
- 3) Date
- 4) Name of DSM Superintendent and equipment operator
- 5) Start/Finish time of DSM column installation
- 6) Machine/Rig Number
- 7) Type of mixing tool and indicate if single or multiple columns formed per stroke
- 8) DSM column(s) diameter/size
- 9) DSM column(s) total length (include top and bottom elevations)
- 10) DSM column centertocenter spacing from adjacent DSM column
- 11) Verticality of mixing tool in two orthogonal planes for each DSM column
- 12) Binder mix design designation used
- 13) A description of obstructions, interruptions, DSM column construction out of tolerance or other difficulties encountered during installation of DSM column and how they were resolved
- 14) *Material Certifications*: Supplier's certifications of binder materials quality and other additives, if used

Wet Mixing Method (DSM-SCC) reports shall include the following:

- 1) Final current draw for the drilling equipment at the bottom 2 feet of penetration or final hydraulic pressure, if hydraulic motors are used to turn the mixing tools
- 2) Grout injection pressure and volume
- 3) Estimate of spoil volume
- 4) Target and actual cement factors and grout specific gravity measurements per DSMSCC column
- 5) Date, time, Plan location, and elevation and other details of all soilcement wet grab samples and any other samples taken during work shift
- 6) The following information shall be logged using automated computer technology for each DSMSCC installed at intervals no greater than 4 feet and presented in table and graphical forms:
 - a) Elevation in feet
 - b) Mixing tool rotation penetration and withdrawal speed in revolutions per minute vs. depth in feet
 - c) Mixing tool rotation penetration and withdrawal rates in feet per minute vs. depth in feet
 - d) Mixing tool withdrawal rate in mm/revolution vs. depth in feet
 - e) Grout injection rate in gallons per minute vs. depth in feet
 - f) Average quantity of grout injected in gallons per foot injected per vertical foot of DSM-SCC vs. depth in feet

Dry Mixing Method (DSM-LCC) reports shall include the following:

- 1) Installation air pressure at tip and top of the lime-cement column
- 2) Target and actual binder dosage mixed per DSMLCC column
- 3) The following information shall be logged using automated computer technology for each DSMLCC installed at intervals no greater than 4 feet and presented in table and graphical forms:
 - a) Elevation in feet
 - b) Mixing tool rotation penetration and withdrawal speed in revolutions per minute vs. depth in feet
 - c) Mixing tool rotation penetration and withdrawal rates in feet per minute vs. depth in feet
 - d) Mixing tool withdrawal rate in mm/revolution vs. depth in feet
 - e) Quantity of binder reagent (i.e. quick lime, cement, and admixtures) injected in kg/ft
 - f) Average binder reagent injected in kg per foot injected per vertical foot of DSM-LCC vs. depth in feet.

2. Shop Plan/Working Drawing:

The Shop Plan/Working Drawing shall contain the location and extent of all production DSM columns that will be constructed as indicated in the Plans. Indicate DSM column spacing and overlap dimensions, including overall dimensions of ground improvement area. Provide the production DSM column numbering system/identification for each location where DSM columns will be constructed. Provide the sequence of DSM column construction that will be used to minimize the effects of ground movements on adjacent existing structures (i.e. MSE walls). The Shop Plan/Working Drawing shall be prepared, signed, and sealed by a Professional Engineer licensed in the State of South Carolina.

D. PRE-PRODUCTION FIELD AND LABORATORY TESTING

A pre-production field and laboratory testing program will be required to develop the proposed DSM wet or dry binder mix design prior to the construction of the test section(s). The field testing program consists of conducting a geotechnical subsurface investigation in accordance with subsection D.1 of this special provision. Soil samples obtained from the geotechnical subsurface investigation shall be used to develop and conduct the pre-production laboratory testing. The pre-production laboratory testing will be required to establish a "base line" of the degree of ground improvement that is possible under optimal construction circumstances for various DSM binder mixes for each distinct soil type that will be encountered during the conduct of the DSM ground improvement. It is recognized that the pre-production laboratory testing will be used as a general indicator of ground improvement that may be obtained in-situ because of substantial differences inherent between laboratory and in-situ mixing conditions. The Contractor shall take appropriate account of these differences, based on published Documents and the Contractor's experience, to develop a DSM binder mix design that can be used for constructing the test section(s) based on the results of the pre-production laboratory testing. A pre-production laboratory testing program shall be required for each test section. A DSM binder mix design shall be developed for each major soil type encountered throughout the depth of ground improvement. As a minimum, two binder mix designs for two types of soil shall be required per test section. The minimum pre-production laboratory testing requirements for wet and dry mixing methods are provided in subsections D.2 and D.3 of this special provision, respectively.

The Contractor shall submit the geotechnical subsurface investigation plan of the proposed field sampling and laboratory testing to the Engineer for review and approval a

minimum of 14 calendar days prior to commencing the geotechnical subsurface investigation. The Contractor shall submit the results of the geotechnical subsurface investigation and the pre-production laboratory testing plan to the Engineer for review and approval a minimum of 14 calendar days prior to commencing the pre-production laboratory testing. The results of the pre-production field and laboratory testing along with the proposed DSM binder mix designs shall be included in the DSM Installation Plan Submittal in accordance with section C.

1. Geotechnical Subsurface Investigation:

In-situ soils used for the pre-production laboratory testing shall be obtained from additional subsurface investigation conducted at or near the location of the approved test section(s) locations. The Contractor shall retain the services of a geotechnical consultant to drill several 3-inch continuously sampled soil borings to obtain sufficient material to perform the pre-production laboratory testing. The sampling shall be performed in such a manner that provides continuous, representative samples of the soil column. This can be effectively accomplished via Geo-probe sampling techniques, undisturbed sampling in fine-grained soils, split-spoon sampling, or any other sampling technique proposed by the Contractor and approved by the Engineer.

Contractor shall check for utility conflicts at boring locations with appropriate utility agencies, survey boring locations and survey locations tied to the Project baseline alignment. The borings shall extend from the ground surface to the bottom elevation of the DSM columns shown in the Plans to establish general soil and groundwater conditions in the vicinity of the Work prior to construction of the test section(s). The geotechnical investigation shall be done in conformance with the latest version of the SCDOT Geotechnical Design Manual (GDM). SCDOT practices including but not limited to boring logs and laboratory data reporting shall be used. The geotechnical consultant shall classify and record soil types within 7 days of obtaining the samples in the field. The Geotechnical consultant shall perform laboratory testing on representative samples of the entire soil profile that will be subject to ground improvement. As a minimum, test six representative samples of cohesive soils taken from different locations and four representative samples of cohesionless soils taken from different locations. The laboratory testing, as a minimum, will consist of the following:

- a. Cohesive and organic soils (i.e. peat) will be subject to laboratory tests that include, but not be limited to, moisture content, Atterberg limits, organic content, and unconfined compression tests.
- b. Cohesionless soils will be subject to laboratory tests that include, but not be limited to, grain size analysis, fraction passing #200 sieve, Atterberg Limits, and moisture content.

All soil samples to be used for the pre-production laboratory testing shall be stored in a manner that prevents any loss of moisture and in accordance with ASTM. Do not allow field samples of the clay to lose moisture between the time of removal from ground and pre-production laboratory mixing/testing.

2. PreProduction Laboratory Testing.

Pre-Production laboratory testing will require the development of a DSM binder mix testing program for each type of soil where ground improvement will be performed to demonstrate that the required 28-day compressive strength indicated in the Plans will be achieved. The soils obtained from the geotechnical subsurface investigation

performed (Subsection D.1) will be used to perform the laboratory testing. All soil and mixed samples shall be kept out of sunlight at 70 degrees F and under fully humid conditions throughout storage and curing that prevents loss of sample moisture via evaporation.

DSM constructed using the wet mixing method (DSM-SCC) will require that the testing laboratory prepare the soil, mix the binder reagent (i.e. cement, etc.) and water to make grout, and then mix grout and soil together. The specimens shall be mixed using a minimum of four different DSM binder mixes to provide insight into the relationship of cement factor and grout water/cement ratio on the 28-day compressive strength of the soil-binder specimens. Binder materials and individual proportions of cement or admixtures (if used) used shall be documented for each specimen. The procedures outlined by Filz and Stewart (2005) may be used to provide guidance in developing a laboratory testing program.

DSM constructed using the dry mixing method (DSM-LCC) will require that the testing laboratory prepare the soil, binder reagent, and then mix the soil (at the same in-situ moisture) and binder reagent together. The specimens shall be mixed using a minimum of four different DSM binder mixes to provide insight into the relationship of binder proportions on the 28-day compressive strength of the soil-binder specimens. Binder materials and individual proportions of lime, cement, and admixtures (if used) used shall be documented for each specimen. The procedures outlined by Jacobson et.al (2003, 2005) may be used to provide guidance in developing a laboratory testing program.

All test specimens shall be prepared using the lab mixing energy level similar to energy levels used by the Contractor's field equipment. Test specimen cylinders shall be prepared according to procedures submitted to the Department and approved. Strength test three cylinders of soil-binder mixture at 3, 7, 14, 28, and 56 days following mixing. Strength testing shall be performed in accordance with subsection H.4.

E. DELIVERY, STORAGE, AND HANDLING OF MATERIALS

1. DSM Wet Mixing Method (DSM-SCC):

Portland cement shall be measured, handled, transported, and stored in bulk in accordance with the manufacturer's recommendations. Portland cement packaged in cloth or paper bags shall be sealed with plastic or rubber vapor barriers. The Portland cement shall be stored to prevent damage by moisture. Materials that become caked due to moisture absorption shall not be used. Bags of cement shall be stacked no more than ten bags high to avoid compaction. Cement containing lumps or foreign matter of a nature that may be deleterious to the grout mixing or delivery or injection operations shall not be used.

2. DSM Dry Mixing Method (DSMLCC):

The quicklime and cement shall be stored in closed pressure tanks suitable to be used as pressure vessels, for all pressures required, including those used to load and unload the materials. Delivery trucks shall be loaded at the manufacturer's plant unless approval is given for an intermediate storage facility. Each truck shall have a certified record of the weight of each load of material. The material shall be transported to the Project Site and blown into the on-site storage tanks using a pneumatic system. The air evacuated from the storage tanks during the loading

process shall be filtered before being discharged to the atmosphere. A sealed refilling machine shall be used to transport material from the storage tanks to the DSM column mixing machine. This machine shall be refilled using a pneumatic system and an air filter, as specified above.

F. INSTALLATION EQUIPMENT

The DSM column construction equipment and support equipment shall be equipped with mixing tools that are capable of thoroughly blending the in-situ soils and binder material into a homogeneous column of soil-binder to the depths and size required in the Plans. The equipment shall be capable of advancing through previously installed and cured DSM columns as necessary for installing overlapping and end junction DSM columns. The DSM columns shall be constructed using computerized self-contained construction equipment.

1. DSM- SCC Construction Equipment:

The DSM-SCC construction equipment shall meet the following requirements:

- a. DSM-SCC shall be constructed using real-time computerized self-contained DSM-SCC construction equipment capable of monitoring, controlling, and recording installation data. The DSM-SCC construction equipment shall be equipped with electronic sensors, built into the soil mixing equipment, to perform the following:
 - 1) Determine vertical alignment of the leads in two directions: fore-aft and left-right. The verticality shall be measured using instrumentation that is capable of measure Deviations from verticality to an equivalent of 1-inch in 100-feet.
 - 2) Monitor cement and water proportioning, grout mixing, and watercement ratios.
 - 3) Monitor the mixing tool depth and penetration/withdrawal speed and mixing tool rotation speed.
 - 4) Monitor mixing tool withdrawal speed and mixing tool rotation speed.
 - 5) Monitor injection quantities and pressure with flow meter and other measuring equipment having precision accuracy not less than 99.5 percent.
 - 6) All output from the sensors shall be routed to a console that is visible to the operator and the Engineer during penetration and withdrawal.
 - 7) The sensors shall be calibrated at the beginning of the Project and calibration data provided to the Engineer. The calibration shall be repeated at intervals not to exceed one month.
 - 8) All of these monitored functions shall be fully adjustable during operation of the equipment.
- b. The DSM-SCC construction equipment power source for driving the mixing tool shall be sufficient to maintain the required revolutions per minute (RPM) or injection pressure and penetration rate from a stopped position at the maximum depth required as determined from the test section(s) for group and/or block DSM column spacing. The Contractor shall also consider the wide range of expected subsurface conditions, indicated by the available geotechnical information.
- c. The DSM-SCC construction equipment shall utilize sufficient mixing and injecting equipment to adequately produce a homogeneous distribution of cement grout throughout the mixed insitu soils that meet the acceptable criteria. The mixing tools shall uniformly inject cement grout through hollow stem or other piping at locations that distribute the grout across the full diameter of the mixing tools and such that the full auger/mixing paddle assembly passes through the column of soil after the grout is introduced, on both the insertion and withdrawal strokes. Grout shall only

be injected in direction within the diameter of the augers or mixing paddles. If grout injection jets are used, they shall not spray beyond the auger diameter.

- d. Continuous auger flights longer than 3 feet or with more than one full, uninterrupted revolution of auger are not allowed as part of the mixing tools. Auger flights and mixing paddles on a shaft shall all reach to the full column diameter and shall have discontinuous lengths and be so oriented as to thoroughly break up the insitu soils and disperse and blend soils with injected cement grout to form a homogeneous soilcement mixture.
- e. The auger mixing equipment shall form the required diameter and size of the DSMSCC as submitted by the Contractor's approved Submittals.
- f. Injection volume estimates shall be only made by precision inline flow meters. Counting or measuring grout pump strokes shall not be acceptable. Injection quantities must be measured in real time by direct measurements of volume and/or mass for each DSM column having injection capabilities, with flow meters and other measuring equipment having precision accuracy not less than 99.5%. Gages and flow meters and other measuring equipment shall be calibrated and certified as precise and accurate before the start of the equipment's work on the Project, and then again every 4 months.
- g. The DSM-SCC construction equipment shall be adequately marked to allow the Engineer to confirm the penetration depth to within 6 inches during construction.
- h. The cement grout batching plant shall include all storage silos and sheds, pumps, scales, mixers, valves, gauges, and regulating devices required to continuously measure and mix cement grout in real time. Grout shall be mixed in a mixing plant, using a batch process, which combines dry materials and water in predetermined proportions. The plant mixer shall consist of grout mixer, grout agitator, grout pump, automatic batching scales, and a computer control unit. The mixing plant shall meet the following requirements:
 - 1) To accurately control grout mix proportions, the addition of water and cement shall be determined by weight using automatic batch scales in the mixing plant.
 - 2) Admixtures, if used, may be delivered to the mixing plant by calibrated auger provided the Contractor can demonstrate that the auger can deliver the material at the same accuracy as by weight.
 - 3) The mixing components shall be calibrated prior to beginning the Work and monthly thereafter. The calibration data shall be provided to the Engineer.
 - 4) The mixing plant shall have tanks or silos with adequate storage for continuous production. The tanks shall be equipped with air filters.
- i. Positive displacement pumps shall be used to transfer the grout from the mixing plant to the mixing tool. If the DSM-SCC construction equipment has multiple shafts, and multiple mixing tools, the grout shall be delivered to each shaft by an individual positive displacement pump.
- j. All gauges, flow meters, metering equipment, and other measuring equipment shall be calibrated and certified as precise and accurate before starting DSM column construction (i.e. test section(s) or production DSM columns), and then again every 4 months or at least every 325,000 feet of DSM column installed, whichever is sooner. The calibrations and certifications shall be supplied to the Engineer.

2. DSM- LCC Construction Equipment:

The DSM-LCC construction equipment shall meet the following requirements:

- a. DSM-LCC shall be constructed using real-time computerized self-contained DSM-LCC construction equipment capable of monitoring, controlling, and recording installation data. The DSM-LCC construction equipment shall be equipped with electronic sensors, built into the soil mixing equipment, to perform the following:
 - 1) Determine vertical alignment of the leads in two directions: fore-aft and left-right. The verticality to an equivalent of 1-inch in 100-feet
 - 2) Monitor the mixing tool depth, penetration/withdrawal speed, mixing tool rotation speed, and injection pressure
 - 3) All output from the sensors shall be routed to a console that is visible to the operator and the Engineer during penetration and withdrawal
 - 4) The sensors shall be calibrated at the beginning of the Project and calibration data provided to the Engineer. The calibration shall be repeated at intervals not to exceed one month
 - 5) An alternative display/monitoring system may be used subject to review and approval by the Engineer prior to use
 - 6) All of these monitored functions shall be fully adjustable during operation of the equipment
- b. The DSMLCC construction equipment power source for driving the mixing tool shall be sufficient to maintain the required revolutions per minute (RPM) or injection pressure and penetration rate from a stopped position at the maximum depth required as determined from the test section. The Contractor shall also consider the wide range of expected subsurface conditions, indicated by the available geotechnical information.
- c. The DSM-LCC construction equipment shall be adequately marked to allow the Engineer to confirm the penetration depth to within 6 inches during construction.
- d. All gauges, flow meters, metering equipment, and other measuring equipment shall be calibrated and certified as precise and accurate before the starting DSM column construction (i.e. test section(s) or production DSM columns), and then again every 4 months or at least every 325,000 feet of DSM column installed, whichever is sooner. The calibrations and certifications shall be supplied to the Engineer.

G. CONSTRUCTION REQUIREMENTS

The Contractor shall furnish all materials, labor and equipment necessary to construct the DSM columns in accordance with the Plans and specification. The DSM columns shall be constructed to the lines, grades, and cross sections indicated in the Plans. The completed DSM improved zone shall be a homogeneous mixture of binder material constructed in accordance with the method of mixing and column spacing indicated in the Plans.

Production DSM shall be constructed using the same equipment and construction criteria (i.e. mix design, mixing parameters, etc.) established in the accepted test section construction (subsection I). DSM construction that is out of tolerance (subsection G.4) or is subject to unforeseen conditions (subsection G.5) shall be evaluated and corrected as approved by the Engineer with no additional cost or schedule impact to the Department.

1. Site Preparation:

The presence and location of buried pipes, sewers, and other utilities shall be identified and precautions taken to protect the utilities from damage during the construction of the DSM columns. The Contractor shall be responsible for any damage resulting from the construction of the DSM columns. The Site shall be cleared

and grubbed in accordance with the Contract Documents. Limit grubbing to that needed to remove previous construction materials, trees, stumps, and large roots. Fill in holes left by construction materials, stumps and root extraction and grade to provide level working surface. Place bridge lift materials as required in the Plans and Contract Documents

Establish DSM column limits and locations by a licensed surveyor. Individual column locations shall be marked. Sufficient horizontal and vertical control shall be provided to establish that DSM columns are located accurately and reach the required Plan depths.

2. **DSMSCC Soil-Grout Mixing:**

Soil shall be broken up and blended with grout in place by the pugmill type action of the soil mixing equipment. The completed DSM-SCC shall be a uniform mixture of cement and the in-situ soils. The soil-grout mixture shall achieve an average unconfined compressive strength in 28 days as indicated in the Plans. Soil mixing shall be performed with the following minimum requirements:

- a. *Grout Preparation:* The dry materials shall be fed to the mixers for agitation and shearing. The mixing ratio of the grout shall be controlled by measuring the weight of grout components using automatic batch scales in the mixing plant. Grout mixture shall be mixed for a minimum of three minutes, with a maximum holding time of two hours, calculated from the beginning of initial mixing. The specific gravity of the grout (determined in the test section) shall be tested at least once per shift per rig, using the methods outlined in ASTM D 4380, and shall not deviate more than three percent from the calculated specific gravity for the design cement ratio. Additional tests may be required by the Engineer. If the specific gravity or density is lower than the design mix, the Contractor shall add additional cement, remix, and/or recalibrate batch scales and retest the grout until the design density is achieved, at no additional cost to the Department.
- b. *Grout Injection:* The grout shall be pumped through and injected from the mixing tool. The grout injection rate per vertical foot of DSM-SCC shall be in accordance with the requirements of the design mix established during the test section. Injection rates falling below this requirement, shall require the DSM-SCC to be remixed and additional grout injected (at the design grout-soil ratio) to a depth at least three feet below the deficient zone, at no additional cost to the Department. The Contractor may sample using wet grab methods for his own purposes. The Department will not accept results from wet sampling for quality control purposes.
- c. *Rotation Speeds:* The mixing tool rotational speeds (measured in RPM) and penetration/withdrawal rates shall be in accordance with the parameters established during the test section(s). If these parameters are varied more than 15 percent from those determined during the test section(s), the DSM-SCC section shall be remixed while injecting grout at the design grout ratio to a depth at least three feet below the deficient zone, at no additional cost to the Department.
- d. *OnBoard Computer:* The preset data in the on-board computer shall be verified for each column as correct and adjusted if necessary. The operator shall monitor and adjust as necessary during column installation the feeding of material, the grout injection rate, the mixing tool rates of rotation, and penetration/withdrawal rates of the mixing tool.

- e. *Changes in Grout Mix Design:* The Contractor may request that the established grout mix be modified during the production DSM-SCC installation. To verify acceptable results for the modified mix design, the Engineer may require additional testing or a new test section, at no additional cost to the Department.
- f. *Spoils:* During the course of soil-cement stabilization, return/spoil material shall not be dumped into or otherwise be allowed to enter the soilcement column. The Contractor shall develop a spoil containment system that allows the channeling of the spoils to the temporary holding pit in such a direction and manner as to keep the spoils away from the Site perimeter, and out of the traveled paths. Soil-cement return and spoil material shall be piped or channeled to holding ponds or other retention structures within the Work area. The Contractor shall remove all excess grout and grout mixed soil generated from ground improvement activities from the construction Site in accordance with the approved DSM Installation Plan.

The Contractor shall take all necessary precautions and implement measures to prevent any soil-cement return, other spoil material or stockpiled materials from entering storm drain structures, drainage courses, other utility lines, or from leaving the Site via surface runoff. The Contractor shall prevent soil-cement return, fluid, ponded spoil material, or stockpiled solidified materials from migrating into any water body. In the event soil-cement return, spoil material or stockpiled materials enter storm drain structures, drainage courses, or other utilities, including, but not limited to, surface water bodies beyond Site limits of soil-cement mixing operations, the Contractor shall collect and remove all of these materials, and perform all other required/necessary remediation that may be directed by the Engineer or responsible environmental agency, at no additional cost or schedule impact to the Department. The Contractor shall conduct all soil-cement operations to conform to sedimentation and turbidity control requirements of federal, state, and local agencies having jurisdiction over the Work.

- g. *Delays:* The installation of each DSM-SCC column shall be continuous without interruption. If an interruption of more than two hour occurs, the DSM-SCC shall be remixed for the entire column height using fresh cement grout as though there had not been any cement grout installed, or the column may be abandoned, at no cost or schedule impact to the Department. The Contractor shall install additional columns if the interrupted columns cannot be acceptably remixed.
- h. *Instability:* Soil-cement column which exhibits partial or total instability at any time or collapses as a result of mechanical failure of any equipment; inadequacy of cement, water supplies, cement grout; improper drilling, injection or mixing procedures; or other cause, the Contractor shall halt DSMSCC construction and backfill to ground surface with cement grout. After the backfill has attained sufficient strength to stabilize the ground, complete the required installation by re-drilling from ground surface, at no additional expense to the Department. The Engineer will evaluate the potential impacts of the instability and may require one or more additional re-drilled columns at overlapping or adjacent locations as determined by the Engineer, and at no additional expense to the Department.
- i. *Daily Quality Control Report:* The Contractor shall submit a Daily Quality Control Report for each day that DSMSCC Work is performed. The log shall contain as a minimum the information listed in Section C. The report shall be delivered to the Engineer by the end of the next working day following the report date.

- j. *Protective Covers:* Immediately after completing a soil-cement column, the Contractor shall install protective covers to prevent persons from falling or stepping into the unhardened soil-cement column.

3. **DSMLCC Soil-Binder Mixing:**

Soil shall be broken up with the mixing tool. As the mixing tool is raised the binder material (i.e. lime-cement or cement) is injected using air pressure. The binder-soil mixture shall achieve an average unconfined compressive strength in 28 days as indicated in the Plans. Soil mixing shall be performed with the following minimum requirements:

- a. *Binder Injection:* The binder (i.e. lime-cement or cement) volume flow rate per vertical foot of DSM-LCC shall be in accordance with the requirements of the design mix established during the test section. Injection rates falling 10 percent below this requirement, shall require the DSM-LCC to be remixed and additional binder injected (at the design rate) to a depth at least three feet below the deficient zone, at no additional cost to the Department.
- b. *Rotation Speeds:* The mixing tool rotational speeds (RPM) and the penetration/withdrawal rates shall be in accordance with the parameters established during the test section(s). If these parameters are varied by more than 15 percent from those determined during the test section(s), the DSM-LCC section shall be remixed using the design binder volume flow rate to a depth of at least three feet below the deficient zone, at no additional cost to the Department.
- c. *OnBoard Computer:* The preset data in the on-board computer shall be verified for each column as correct and adjusted if necessary. The operator shall monitor and adjust as necessary during DSM column installation the feeding of material, the injection air pressure, and the rates of rotation and rise.
- d. *Changes in Binder Mix Design:* The Contractor may request that the established mixing parameters be modified during the production DSM-LCC installation. To verify acceptable results for the modified parameters, the Engineer may require additional testing or a new test section, at no additional cost to the Department.
- e. *Delays:* The installation of each DSM-LCC column shall be continuous without interruption. If an interruption of more than two hours occurs, the DSM-LCC shall be remixed for the entire column height using design binder rates as though there had not been any binder installed, or the column may be abandoned, at no cost or schedule impact to the Department. The Contractor shall install additional columns if the interrupted columns cannot be acceptably remixed.
- f. *Daily Quality Control Report:* The Contractor shall submit a Daily Quality Control Report for each day that DSMLCC Work is performed. The log shall contain as a minimum the information listed in Section C. The report shall be delivered to the Engineer by the end of the next working day following the report date.

4. **DSM Column Construction Tolerances:**

- a. *Horizontal Alignment:* The location of the DSM column shown in the Plans shall be accurately staked by a licensed surveyor before beginning installation. The horizontal alignment of DSM columns with group column spacing (GCS) shall be within 4 inches of the planned DSM top location. The horizontal alignment of DSM columns with block column spacing (BCS) shall be within 20 percent of the DSM column diameter, not to less than four inches, of the planned DSM top location in order to obtain sufficient DSM column overlap.

- b. *Vertical Alignment:* The equipment operator shall control vertical alignment of the equipment and constructed DSM column. Two measures of verticality shall be monitored, longitudinal and transverse to the DSM column alignment. The DSM column shall be installed at an inclination that deviates no more than 1:100 (horizontal to vertical).
- c. *DSM Column Lengths:* The tops of the DSM columns shall begin at the ground surface. The top of DSM column elevations shown in the Plans are approximate. Natural soils above the water table, at the completion of DSM installation, shall have been treated to produce the full column design strengths up to within 3 feet of the ground surface. If the top of the DSM columns is being constructed within a surcharge or berm, the top of DSM column elevations shown in the Plans shall be used.

The bottom of DSM columns shall extend to the line and grades shown in the Plans. The DSM column bottom elevations indicated in the Plans provide the minimum required penetration of the DSM columns. The Engineer may require the Contractor to shorten or deepen the bottom of DSM columns indicated in the Plans.
- d. *DSM-LCC Width:* When DSM columns are constructed using group column spacing (GCS) the DSM column diameter shown in the Plans shall be the minimum required diameter. The diameter of DSM columns constructed using block column spacing (BCS) may vary to accommodate variations in the Contractor's equipment dimensions, provided that the plan area of ground improvement does not exceed the dimensions shown in the Plans more than six inches and is approved by the Engineer.

5. Unforeseen Conditions and Corrective Remediation:

Unforeseen conditions that result in deficient DSM column construction shall be remediated by the DSM Contractor at no additional cost to the Department. DSM column construction deficiencies and how they were addressed shall be noted in the DSM Daily Production Control Report and Installation Log. DSM column deficiencies that result from changes in rotation speeds of mixing tools, rate of penetration/withdrawal of mixing tools, changes in the rate of grout/binder injection, Delays, or changes in binder mix shall be corrected as indicated in subsections G.2 and G.3 for DSM-SCC mixing and DSM-LCC mixing, respectively.

If unforeseen conditions result in DSM column interruptions that do not meet the DSM construction requirements (subsection G.2 or G.3), the DSM column installation shall be re-drilled a minimum of 1 foot below the elevation of the interruption and the DSM column construction restarted.

When interruption of the installation process occurs because of unknown obstructions or a very dense layer above the planned tip elevation, the Contractor shall document the interruption on the DSM Daily Production Control Report and Installation Log and notify the Engineer in writing by the end of that day of such encounter and shall provide all pertinent information relating to DSM column identification, Plan location coordinates, depth, and expected extent of the obstruction. The Contractor shall be prepared to penetrate very dense layers by first removing mixing tools from the excavation and then using auger drilling equipment or other approved methods to allow the installation of the DSM column. When unknown obstructions are encountered, the Contractor shall submit a Proposal to the Engineer for review that delineates the Contractor's proposed means and methods to overcome the unknown obstruction, including equipment and labor time estimated for this operation. Such

construction to remove an unanticipated obstruction shall only be performed with the written authorization of the Engineer. When the obstruction cannot be penetrated or removed, the DSM column shall be completed to the maximum depth penetrated. The need for an alternate design or remedial construction shall then be determined by the Engineer.

Deficient DSM columns due to out of tolerances (subsection G.4) or not in compliance with DSM construction acceptance (subsection G.6) will require that the DSM Contractor to submit proposed remedial measures to the Engineer for review and approval. Remedial Plans shall show the location, depth, construction exceptions requested, and proposed method of remediating the deficient DSM ground improved areas. Remedial Plans, if accepted, shall be at no cost or schedule impact to the Department.

6. DSM Construction Acceptance:

The QC reporting (logs), testing, and acceptance procedures for the DSM test section(s) and production DSM columns shall be the same. QC testing methods are described in Section H and acceptance criteria are provided in Section K.

H. DSM TESTING METHODS

QC testing of DSM columns consists of using field and laboratory testing techniques to evaluate the integrity, consistency, and strength of the DSM column for the entire full depth of soil improvement. QC testing methods that will be used include probe testing (subsection H.1), soil borings and undisturbed sampling with Shelby tubes (subsection H.2), and coring and sampling (subsection H.3). Samples obtained by undisturbed sampling with Shelby Tubes or coring shall have samples tested for compressive strength testing (subsection H.4). DSM testing shall be conducted in accordance with the SCDOT Geotechnical Design Manual, version 1.1 (2010), or later.

The results of the compressive testing shall be used to develop correlations for use with probe testing and therefore improve the reliability of the probe testing results. This will be accomplished by performing continuous undisturbed Shelby tube sampling and/or coring in one quadrant of the DSM column and probe testing in another quadrant of the same DSM column.

Any of the DSM testing methods presented may be used on production DSM columns to evaluate deficiencies based on construction records or field observations.

1. Probe testing:

- a. Probe testing shall be conducted using the seismic cone penetrometer test with pore pressure measurements (SCPTu). The SCPTu testing results (i.e. tip resistance, friction sleeve resistance, pore pressure, and shear wave velocity vs. depth of penetration) shall be provided graphically and in electronic file format to the Engineer.
- b. Probe testing shall be performed in the presence of the Engineer, unless otherwise directed. The Contractor shall notify the Engineer at least seven calendar days in advance and confirmed 2-days (48 hours) prior to beginning SCPTu operations.
- c. The SCPTu testing shall be conducted in accordance with the SCDOT Geotechnical Design Manual.

- d. Probe testing shall be performed after the soilbinder mixture has hardened sufficiently, but before it has cured to the extent to cause refusal to the SCPTu equipment.
- e. Unless directed otherwise by the Engineer, probe tests shall be performed along an essentially vertical alignment located within one of the quadrants of the DSM column and shall include inclinometer measurements that confirm the verticality of the SCPTu test data such that the entire probe test is determined to have been advanced within the DSM column. The SCPTu shall be taken at a distance of $\frac{2}{5}$ the DSM column radius from the center of the DSM column.
- f. If seismic cone shear wave testing results are inconclusive, the SCPTu may be discontinued and cone penetrometer test with pore pressure measurements (CPTu) may be used with written approval from the Engineer.
- g. The CPT testing equipment shall be sized to allow full penetration and testing to the depth of the planned test DSM column plus 10 feet.
- h. If standard fullsize CPT truck equipment (i.e. 20-30 ton reaction truck) is not capable of testing to the desired depths, the Contractor shall conduct SPT testing in accordance with the SCDOT Geotechnical Design Manual, at no additional cost to the Department. SPT shall be conducted on a maximum five-foot center interval to the depth of the planned test DSM column plus 10 feet.
- i. All probe test holes shall be filled with cement grout that will obtain 28-day strength equal to or greater than the DSM column compressive design strength required in the Plans.

2. Soil Borings and Undisturbed Sampling:

- a. Soil borings and undisturbed Shelby tube sampling shall be performed in the presence of the Engineer, unless otherwise directed. The Contractor shall notify the Engineer at least seven calendar days in advance and confirmed 2-days (48 hours) prior to beginning soil boring operations.
- b. Soil borings and sampling shall be conducted in accordance with the SCDOT Geotechnical Design Manual.
- c. High quality undisturbed sampling shall be obtained after the soilbinder mixture has hardened sufficiently to a minimum compressive strength of 3 psi (430 psf) but not greater than 55 psi (7,900 psf).
- d. Unless directed otherwise by the Engineer, soil borings shall be obtained along an essentially vertical alignment located within one of the quadrants of the DSM column. The soil boring shall be taken at a distance of $\frac{2}{5}$ the DSM column radius from the center of the DSM column.
- e. Sampling shall be conducted using a thin wall Shelby tube sampler and/or pitcher barrel sampler in accordance with the SCDOT Geotechnical Design Manual.
- f. Upon Shelby tube retrieval, the samples shall be logged visually without extraction of the samples from the Shelby tube and sealed to prevent loss of moisture during transport.
- g. Undisturbed samples shall be transported by the Contractor to the independent AASHTO certified materials testing laboratory where the samples will be extracted, stored, and tested.
- h. Upon extraction of the samples at the independent materials testing laboratory, the samples shall be logged and documented by taking pictures. The percent recovery

per Shelby tube sampler based on the sampler penetration shall be documented. Samples shall be selected for testing and submitted to the Engineer for approval. Samples shall be stored and cured in accordance with ASTM D 1632 until the test date.

- i. All soil boring holes shall be filled with cement grout that will obtain 28-day strength equal to or greater than the DSM column compressive design strength required in the Plans.

3. **Coring and Sampling:**

- a. Coring/sampling shall be performed in the presence of the Engineer, unless otherwise directed. The Contractor shall notify the Engineer at least seven calendar days in advance and confirmed 2-days (48 hours) prior to beginning coring/sampling operations.
- b. High quality continuous core sampling shall be obtained after the soilbinder mixture has hardened sufficiently to approximately a compressive strength of 42 psi (6,050 psf).
- c. Unless directed otherwise by the Engineer, core runs shall be obtained along an essentially vertical alignment located within one of the quadrants of the DSM column. The core run shall be taken at a distance of $\frac{2}{5}$ the DSM column radius from the center of the DSM column.
- d. Coring shall be conducted using double or triple tube samplers to obtain samples of 2.5 inches in diameter or greater. Triple tube core barrel may be required by the Engineer, at no additional cost to the Department, if the sample quality of the double tube core barrel is not providing high quality samples suitable for compression strength testing.
- e. Each core run shall be at least four feet in length and contain at least four acceptable test specimens. Three samples per core run are required to perform compressive strength testing with one reserve sample.
- f. A minimum core run recovery of 85 percent for each 4-foot-long core run shall be achieved. During coring, the elevation of the bottom of the holes shall be measured after each core run in order that the core recovery for each run can be calculated. The core recovery and RQD for every core run shall be reported in the logs. Additional cores may be required, at no additional cost to the Department, if core run recovery is less than 85 percent.
- g. Upon retrieval, the samples shall be field logged and documented by taking pictures. Samples shall be selected for testing and submitted to the Engineer for approval.
- h. Following logging and test specimen selection, the entire full-depth sample, including the designated test specimens, shall be immediately sealed in plastic wrap to prevent drying, placed in suitable core boxes, and transported to the materials testing laboratory by the Contractor within 24 hours.
- i. All core holes shall be filled with cement grout that will obtain 28-day strength equal to or greater than the DSM column compressive design strength required in the Plans.
- j. Cores shall be transported by the Contractor to the independent AASHTO certified materials testing laboratory where the samples will be stored and tested. Samples shall be stored and cured in accordance with ASTM D 1632 until the test date.

4. Strength Testing of Samples:

- a. All samples shall be kept out of sunlight at 70 degrees F and under fully humid conditions throughout storage and curing that prevents loss of sample moisture via evaporation.
- b. Samples suitable for strength testing shall have a height to diameter ratio of 2.0.
- c. Strength testing shall be performed by unconfined compression testing method per AASHTO specification T-208-96, but with strain rate not faster than 0.5% per minute, but not slower than 0.25%/minute, and with test equipment set up to record in both tabular and graphical form the axial stress and strain constant increments of axial strain no larger than every 0.05% axial strain. The Contractor will be permitted to perform UU Triaxial Compressive Tests, with approval of the Engineer, in lieu of performing unconfined compressive strength test, at no additional cost to the Department.
- d. Compressive strength testing results shall be transmitted to the Engineer for review within 24 hours of the compression test completion. The remaining portions of the full-depth samples that are not tested shall be retained by the Contractor, until completion and acceptance of the Work, for possible inspection and confirmation testing by the Engineer.

I. DSM TEST SECTION AND QC TESTING PROGRAM

The QC testing program for each test section will be submitted to the Engineer within 5 days after test section DSM column installation and shall be based on the results of DSM pre-production laboratory testing, early probe testing (3 and 5 days after column installation), and review of samples obtained for strength testing. The approved compressive strength testing program (i.e. Plan location, sample depth, and elapsed time after construction to perform compressive testing) shall then be submitted to the Contractor's independent AASHTO certified laboratory testing firm.

Unless otherwise directed by the Engineer, a minimum of four Plan locations shall have QC testing, per test section. QC testing at each Plan location shall consist of full-depth continuous soil borings or corings per subsections H.2 and H.3, respectively. Soil boring or coring sampling shall be performed in one DSM column quadrant, while probe testing, per subsection H.1, shall be performed in another DSM column quadrant. A minimum of six samples at each QC testing Plan location shall be selected by the Contractor and approved by the Engineer for compressive strength testing. Compressive strength testing of cores (subsection H.4) and probe testing (Section H.1) at QC testing Plan locations shall be conducted at 7, 14, 28, and 56 days after test DSM column installation. The results of the compressive testing shall be used to develop correlations for use with probe testing and therefore improve the reliability of the probe testing results. A test DSM column compressive strength testing report shall be compiled by the independent testing company and submitted to the Contractor and the Engineer. The compressive strength testing report shall document the soil boring/core sampling and compressive strength testing conducted on the cores.

In addition to probe testing conducted at QC testing Plan locations, full depth probe testing shall be conducted at two separate Plan locations within the test section in separate DSM column quadrants at 3, 7, 14 and 28 days after test DSM column installation. A probe testing report shall be compiled of all testing results in accordance with Section G.2.

The Contractor shall use the results of the test sections to establish the DSM production construction criteria. The DSM production construction criteria shall be developed to produce DSM columns that meet the acceptance criteria in Section K. DSM production construction criteria for DSM columns shall include as a minimum, the following criteria.

DSM-SCC production construction criteria:

1. Grout mix design including ratios of all materials mixed to form the grout
2. Grout specific gravity
3. Grout injection rates
4. Type of equipment
5. Mixing tool penetration and withdrawal rates
6. Mixing tool rotation speed
7. Construction procedures and techniques

DSM-LCC production criteria:

1. Binder mix design including ratios of all materials (i.e. lime-cement or cement) mixed to form the soil-binder material
2. Lime-cement injection rates
3. Type of equipment
4. Mixing tool penetration and withdrawal rates
5. Mixing tool rotation speed
6. Construction procedures and techniques

The Contractor shall use the results of the test sections to establish the Production Quality Control (QC) testing program per Section J.

Construction of production DSM columns may begin only after written acceptance by the Engineer of the “DSM Production Construction Criteria” and the “Production Quality Control (QC) Testing Program.” If construction criteria, construction procedures, equipment, new mobilizations, or changes in personnel are made, following acceptance of the test sections, the Department reserves the right to require the Contractor to construct a new test section at no additional cost to the Department.

J. PRODUCTION QC TESTING PROGRAM

The Production QC Testing program shall be developed by the Contractor and approved by the Engineer. The Production QC Testing program will be required to include probe testing per subsection H.1 and strength testing of samples per subsection H.4. The following minimum requirements shall be used to developing the Production QC Testing Program:

DSM QC Testing Program Minimum Requirements:

1. The Production QC Testing Program goal is to establish continuity/integrity of the columns and to obtain a measure of their strength. This is accomplished by using the QC Testing to evaluate if the DSM ground improvement is meeting the acceptance criteria in Section K.

2. Provided that acceptable correlations can be developed between probe testing and compression strength testing, QC probe testing per subsection H.1 shall be performed at a minimum frequency of 5 percent of production columns (1:20) but not less than 1 QC probe test for every 200 cubic yards of DSM stabilized volume.
3. One Soil boring/Coring full depth of DSM columns plus 10 feet with a minimum of one strength test per 5 feet of penetration of stabilized soil (Section H) shall be obtained adjacent to probe testing (adjacent DSM quadrant) at a minimum frequency of 0.5 percent of production columns (1:200) but not less than 1 QC probe test for every 2,000 cubic yards of DSM stabilized volume.
4. If acceptable correlations cannot be developed between probe testing and compression strength testing, one Soil boring/Coring full depth of DSM columns plus 10 feet with a minimum of one strength test per 5 feet of penetration of stabilized soil (Section H) shall be obtained adjacent to probe testing (adjacent DSM quadrant) at a minimum frequency of 1.0 percent of production columns (1:100) but not less than 1 QC probe test for every 1,000 cubic yards of DSM stabilized volume.
5. The QC Testing program shall define the limits of the production DSM testing based on the number of rigs operating, anticipated production schedule, and the minimum QC testing criteria defined above.
6. The QC Testing program shall include provisions for revising QC testing frequency as a result of failing DSM acceptance criteria, changes in construction criteria, construction procedures, equipment changes, new mobilizations, or changes in personnel that are made following acceptance of the test sections.
7. The Department reserves the right to require the Contractor to perform additional QC testing after review of the daily Quality Control Report/Log of the production DSM columns and/or review of QC Testing results. Although coring and conducting compressive strength testing of cores (Section G.1) is not intended to be a routine QC testing method, the Engineer reserves the right to use this QC testing method based on the results of the probe testing at any time.
8. The Contractor shall determine the time interval between DSM installation and QC testing. QC testing shall be performed on columns cured for a minimum of 3 days but no longer than 28 days, or as directed by the Engineer.
9. Only probe testing equipment and methods that have been calibrated during the test section shall be used for QC testing. If production DSM columns are being installed differently from the test section DSM column installation, a calibration of the probe testing with coring and compression testing shall be required unless approved otherwise by the Engineer.

K. ACCEPTANCE CRITERIA

Determination that the DSM columns meet the Acceptance Criteria (for DSM construction, DSM column continuity, and DSM compressive strength requirements) shall be evaluated solely by the Engineer based on a review of daily Quality Control Report/Log of the production DSM columns and QC testing results conducted by an independent testing company.

1. DSM Construction Acceptance Criteria:

DSM columns shall be considered acceptable when daily Quality Control Report/Log of the production DSM columns and any remediation reports indicate that the:

- a. Location of the top of the columns has been verified to be within design tolerances

- b. Penetration of the column has been verified as correct by the Engineer.
- c. Continuously recorded injection quantity of cement grout for DSMSCC and binder (limecement or cement) for DSMLCC columns has been verified to be within 10% of the design (preset) value established for the production DSM construction criteria based on the results of approved production DSM construction design criteria.

2. Evaluation of DSM Column Continuity:

Lumps of unimproved soils shall not amount to more than 15 percent of the total volume of any 4-foot section of continuous full-depth evaluation by either conducting continuous probe testing, soil borings, or coring. Any individual or aggregation of lumps of unimproved soil shall not be larger than 6 inches in greatest dimension. For evaluating the volume of unimproved lumps of soil, all of the unrecovered samples shall be assumed to be unimproved soil. In addition, within a sample, the sum length of unmixed or poorly mixed soil regions or lumps that extend entirely across or a portion thereof the diameter of the sample will be considered unimproved.

3. Design Compressive Strength Acceptance:

Unless directed otherwise by the Engineer, all DSM QC compressive strength test results shall indicate a minimum of 60 percent of the design compressive strength at 5 days or less. Failure to meet this criterion shall deem the DSM column to be in non-conformance of the DSM compressive strength acceptance criterion. The DSM column shall be retested (same DSM column, different quadrant) at 28 days where the average QC strength testing shall indicate 100 percent or more of the compressive design strength with no sample testing less than 85 percent of the compressive design strength. Failure to meet the 28-day QC strength testing criterion shall deem the DSM column to be in non-conformance of the DSM compressive strength acceptance criteria. The Contractor may elect to conduct additional QC strength testing in excess of 28 days, with approval of the Engineer, at no additional cost to the Department. Unless otherwise determined by the Engineer, the extent of the non-conformance QC test area shall be considered to include all DSM constructed during all rig shifts that occurred after construction when passing tests were achieved. Non-conforming DSM QC test areas shall be remedied by the Contractor by conducting the following procedures.

The Contractor may conduct two or more additional QC probe tests (locations designated by the Contractor and approved by the Engineer) to better define the limits of the non-conformance and submit the results of those tests for review by the Engineer at no additional cost to the Department. If a minimum of 60 percent of the design strength has been achieved at 5 days or less, the Engineer shall evaluate the DSM construction documentation to determine which DSM columns are in conformance. If compressive strength criteria are achieved, with approval of the Engineer, all or a portion of the production DSM QC testing area may be approved provided that any deficient production DSM columns are remedied by one of the following two options. Failure to meet the required design strength of the additional DSM QC testing shall require that the DSM QC test area be remedied by one of the following options as approved by the Engineer.

- a. Provide 2 or more additional QC tests (locations designated by the Engineer) within the DSM QC test area which demonstrate that at 28 days, the average QC strength testing is 100 percent or more of the compressive design strength with no sample testing less than 85 percent of the compressive design strength.

- b. Re-drilling all or a portion of the nonconforming DSM QC test area and mixing additional cement grout for DSMSCC columns or binder material (limecement or cement) for DSMLCC, while raising the mixing tool. The Contractor shall submit a proposed plan for remixing or repair of failed sections for review and approval by the Engineer. Repair Work of failed DSM columns shall be performed at no additional cost to the Department. Changing grout or binder quantities may require additional QC testing to calibrate QC probe testing. After reconstruction of the production DSM-LCC testing section, the affected DSM-LCC testing section will be subject to the compressive strength acceptance criteria as defined in this section.

L. AS-BUILT PLANS

Following completion of the production DSM column construction, the Contractor shall furnish to the Engineer a set of As-Built Plans detailing the locations of the DSM columns in terms of Project coordinates, top and bottom elevations, QC compressive strength testing results, and any other dimensions of the DSM columns that are pertinent to the Project.

M. MEASUREMENT AND PAYMENT

DSM constructed using group columns spacing (GCS) will be measured per linear foot of DSM column constructed and then accepted by the Engineer. DSM constructed using block column spacing (BCS) will be measured by the total neat-line ground improved volume (in cubic yards) accepted by the Engineer, where the neat-line is the rectangular plan area of the required ground improvement zone times the specified improvement depth. Material located outside of the tolerances specified will not be measured. Material used to remix an area found to be unacceptable to the Engineer will not be measured. The test section(s) will not be measured and is(are) considered incidental to the production DSM ground improvement.

Payment will be made at the unit Contract Price per linear feet for DSM constructed using group columns spacing (GCS) and per cubic yard for DSM constructed using block column spacing (BCS). Payment for DSM columns will be full pay to perform the Work as specified including construction and testing of test sections, QC testing, construction and removal of surcharges and berms, handling and hauling of excavated spoils, and Site cleanup.

Payment will be made under:

Item No.	Pay Item	Pay Unit
2051201	GROUND IMPROVEMENT (DEEP SOIL MIXING SOIL-CEMENT COLUMNS – BCS)	CY
2051202	GROUND IMPROVEMENT (DEEP SOIL MIXING SOIL-CEMENT COLUMNS - GCS)	LF
2051203	GROUND IMPROVEMENT (DEEP SOIL MIXING LIME-CEMENT COLUMNS- BCS)	CY
2051204	GROUND IMPROVEMENT (DEEP SOIL MIXING LIME-CEMENT COLUMNS- GCS)	LF

(32) SECTION 205: GROUND MODIFICATION – COMPACTION GROUTING COLUMNS:

June 28, 2013

A. GENERAL**1. Scope:**

The Work under this Section consists of furnishing all supervision, labor, material, equipment, and related services necessary to perform ground improvement by the compaction grout technique as indicated on the Contract Drawings and specified herein.

For this Project, the purpose of the compaction grouting is to reinforce the loose sand layers below embankments. The compaction grouting will serve to reinforce loose sand in the event of liquefaction during an earthquake.

The Work includes the delivery and placement of all concrete/grout material necessary for compaction grouting construction.

2. Compaction Grout Column Construction

The Work is to be accomplished using specifically designed equipment for compaction grouting. The drill is to be advanced to the specified compaction grouting depth. Concrete/grout shall then be injected through the drill pipe as the pipe is being withdrawn, in such a way as to exert a positive lateral pressure on the soil surrounding the concrete/grout filled grout hole.

3. Methods and Contractor Qualifications:

The Specialty Contractor performing the compaction grouting installation shall be one who can provide a minimum 3-year experience record documenting 5 recent, successful projects completed with these general Site conditions and improvement criteria. References asserting this documentation shall be submitted with the bid.

A detailed description of the proposed construction method (including equipment and personnel) and the qualifications of the proposed Specialty Subcontractor shall be submitted with the bid.

4. References:

American Society for Testing and Materials (ASTM) Standards

American Concrete Institute (ACI) Standards

Prior to commencing Work, the Contractor shall examine the Site, drawings, records or existing utilities and other existing subsurface structures, and soil boring logs made available by the Engineer to help determine compaction grouting installation conditions.

Any subsurface data provided by the Department is provided solely as general information for convenience of Contractor. It is expressly understood that the Department, Engineer, or the Engineer's consultants will not be responsible for interpretations or conclusions drawn there from by the Contractor. The Department and Engineer expressly encourage the Contractor to perform soil test borings or other subsurface explorations to determine whether the Contractor's proposed ground modification method is capable of installing the specified compaction grout columns. Additional test borings and other exploratory operations may be made by the Contractor at no additional cost to the Department.

5. Submittals:

The following data shall be submitted for the approval of the Engineer prior to beginning of Work.

- a. A detailed written procedure to be followed in installing the compaction grout columns and confirming that the specified Work requirements have been achieved. The written procedure shall include a detailed description of the specialized equipment to be used.
- b. Proposed compaction grout design mix and descriptions of materials to be used. These shall be in sufficient detail to indicate their compliance with the specifications and either 1.) Laboratory tests of trial mixes made with the proposed mix or 2.) Laboratory tests of the proposed mix used on previous projects.
- c. The Contractor shall be responsible for providing all lines and grades for compaction grouting, including locations of all utilities and surveying markers.
- d. The Contractor shall be responsible for all health and safety requirements including those associated with the handling and disposal of contaminated materials. The Contractor shall be responsible for providing written procedures including a Health and Safety Plan.

6. Site Preparation:

The Contractor shall ensure a firm base on which heavy equipment can be operated safely under its own power.

The Contractor shall accurately locate all compaction grout columns in accordance with approved drawings. Compaction grouting shall be adjusted, as approved by the Engineer, to avoid utilities, foundations, and all other underground construction.

The Contractor shall provide access and maintenance thereof, for the compaction grouting equipment, work force and delivery of materials to the Work Site.

B. PRODUCTS**1. Materials:**

- a. Portland Cement: Portland Cement shall conform to current ASTM standards, designation C 150. The use of cement replacement materials will be permitted subject to the approval of the Engineer and provided that they can be shown to have beneficial effects on concrete impermeability, heat generation during setting and general durability. The mix proportions of use shall be approved. For on-site batching, all cement and cement replacement materials shall be stored in separate containers according to type in waterproof stores or silos.
- b. Mineral Admixture: Mineral admixture, if used, shall be flyash or natural pozzolan which possesses the property of combining with the lime liberated during the process of hydration of Portland Cement to form compounds containing cementitious properties. The material shall conform to ASTM C 618, Class C or Class F.
- c. Fluidifier: Fluidifier shall be a compound possessing characteristics which will increase the fluidity of the mixture, act as water reducing agent and retardant.
- d. Water: Water shall be potable, fresh, clean and free of sewage, oil, acid, alkali, salts or organic matter.

- e. Fine Aggregate: Sand shall meet the requirements of current ASTM standards, designation C 33.

2. **Grout Mixes:**

The concrete/grout mix shall consist of Portland cement, sand, and water, and may also contain a mineral admixture and approved fluidifier. The components shall be proportioned and mixed to produce a concrete capable of maintaining the solids in suspension, which may be pumped without difficulty. These materials shall be proportioned to produce a hardened concrete/grout which will achieve the design strength within 28 days. The design 28-day concrete strength for this Project shall be 2500 psi.

All materials shall be accurately measured by volume or weight as they are fed to the mixer. Time of mixing shall be not less than one minute at the Site. If agitated continuously, the concrete/grout may be held in the mixer or agitator for a period not exceeding two- and one-half hours at concrete temperatures below 70 degrees F and for a period not exceeding two hours at higher temperatures, not exceeding 100 degrees F. Concrete/grout shall not be placed when its temperature exceeds 100 degrees F.

Protect concrete/grout from physical damage or reduced strength which could be caused by frost, freezing actions or low temperatures or from damage during high temperatures in accordance with ACI 305/306.

The concrete/grout mix shall be tested by making a minimum of six 2-inch cubes for each day during which compaction grouting is performed. A set of six cubes shall consist of two cubes to be tested at seven days, and two cubes to be tested at 28 days and two cubes held in reserve. Test cubes shall be cured and tested in accordance with ASTM C 109. Test the flow of each batch of concrete mix.

3. **Concrete/Grout Testing:**

- a. Sampling: Concrete/grout for the columns shall be sampled in accordance with ACI standards.
- b. Workability: The workability of concrete/grout shall be determined by the slump test as described in ACI standards or by an alternative approved method.
- c. Cube Tests: For each mix design of concrete, six cubes shall be made from a single batch when required for 65 cy of concrete/grout or part thereof in each day's Work. Testing shall be carried out by an independent and approved laboratory. Two cubes shall be tested at an age of 7 days, two at 28 days, and two cubes shall be held in reserve for further testing, if required. Alternatively, cubes may be tested in accordance with an approved accelerated testing regime. The Contractor shall submit certified copies of the results of all tests to the Engineer.
- d. Standard of Acceptance: The standard of acceptance of the concrete mix cubes shall be in accordance with ACI standards or as otherwise approved.
- e. Record of Tests: The Contractor shall keep a detailed record of the results of all tests on concrete/grout and concrete materials. Each test shall be clearly identified with the columns to which it relates.

4. **Batching Concrete/Grout:**

- a. General: Facilities shall be provided for the Engineer to inspect the concrete/grout mixing plant or plants when requested. Unless otherwise specified the requirements in Clauses 2.5.2, 2.5.3, 2.5.4 shall be met.
- b. Accuracy of Weighing and Measuring Equipment: The weighing and water-dispensing mechanisms shall be maintained at all times to within the limits of accuracy described in ACI standards.
- c. Tolerance in Weights: The weights of the quantities of each size of aggregate and of cement shall be within 2% of the respective weights per batch after due allowance has been made for the presence of free water in the aggregates, which shall be determined by the Contractor by an approved method.
- d. Moisture Content of Aggregates: The moisture content of aggregates shall be measured immediately before mixing and as frequently thereafter as is necessary to maintain consistency of mix.

5. **Mixing Concrete/Grout**

- a. Type of Mixer: The mixer shall be of the batch type, specifically designed for concrete/grout mixing.
- b. Tolerance of Mixer Blades: The mixing blades of pan mixers shall be maintained within the tolerances specified by the manufacturers of the mixers, and the blades shall be replaced when it is no longer possible to maintain the tolerances by adjustment.
- c. Cleaning of Mixers: Mixers which have been out of use for more than 30 minutes shall be thoroughly cleaned between the mixing of different types of cement
- d. Minimum Temperature: The temperature of fresh concrete/grout shall not be allowed to fall below 37° F. No frozen material or materials containing ice shall be used. Newly cast columns are to be covered to protect them against freezing unless the final cut off level is at least 0.8 ft. below the final head level as cast. Where a column is cast in frozen ground, appropriate precautions shall be taken to protect any section of the column in contact with the frozen soil where this occurs below the cut off level.

6. **Transporting Concrete/Grout**

- a. Method of Transporting: The method of transporting concrete/grout shall be submitted for approval. Concrete/grout shall be transported in uncontaminated watertight containers in such a manner that loss of material and segregation are prevented.
- b. Pumping Concrete/Grout: Pumped concrete/grout complying with this Specification may be used. The methods employed in its use shall be subject to approval.

7. **Ready-mixed Concrete/Grout**

- a. Conditions of Use: Subject to approval, the Contractor may use ready-mixed concrete/grout in accordance with ACI standards. Approval shall be obtained for each proposed use of ready-mixed concrete/grout in different sections of the Works and for each different mix, which shall comply with this Specification.
- b. Mixing Plant: Unless otherwise agreed by the Engineer, truck mixer units and their mixing and discharge performance shall comply with the requirements of ACI standards.

C. **EXECUTION**

1. General

The Compaction grout column technology employs a drill stem for both penetration and maintaining borehole stability. The concrete/grout is pumped into the column from the base of the drill stem. All materials and Work shall be in accordance with Sections A, B and C of this Specification.

2. Layout

The procedure for layout of columns and checking their positions shall be approved by the Engineer. The actual compaction grout columns shall be installed within 3 inches of the design location shown on the Drawings, approved shop drawings or as otherwise directed by the Engineer.

3. Diameter of Columns

The diameter of a column shall be not less than 24-in.

4. Equipment

The Contractor shall use a drill rig capable of penetrating all necessary soil layers or obstructions.

5. Penetration

- a. Penetration Near Recently Cast Columns: Columns shall not be advanced so close to other columns which have recently been cast and which contain workable or unset concrete/grout that a flow of concrete could be induced from or damage caused to any of the columns. A minimum distance of 8 ft (center-to-center) shall be kept between columns less than 24-hours old and on-going column installations.
- b. Removal of Drill Pipe from the Ground: Drill Pipe shall not be extracted from the ground during the penetration or construction of a column in such a way that an open unsupported void or inflow of water into the column section would result.
- c. Depth of Columns: Any failure of a column to reach the required depth, as given in the Specification or shown on the Drawings, shall be reported to the Engineer without Delay and a full statement of the reasons given.

6. Placing of Concrete/Grout

- a. Mix Design and Workability: Where not otherwise stated in this Section, the concrete shall comply with Section B of this Specification. The design and workability of concrete to be used in the formation of a column shall produce a mix which is suitable for pumping. It shall have a target slump of 4 to 6 inches unless otherwise approved and a minimum cement content of 580 lbs/yd³. The fine aggregate shall be in accordance with ACI standards. This mix shall be designed so that segregation does not occur during the placing process, and bleeding of the mix shall be minimized.
- b. Equipment for Supply of Concrete/Grout to Columns: Concrete/Grout shall be supplied to the column through suitable tubing and hoses.
- c. Commencement of Concrete/Grout Supply to Each Column: The technique and equipment used to initiate and maintain the concrete flow shall be such that a column of the full specified cross-section is obtained from the maximum depth to the final cut off level.

- d. Rate of Supply of Concrete/Grout: The concrete/grout shall be supplied to the column at a sufficient rate during drill pipe withdrawal to ensure that a continuous monolithic shaft of the full specified cross-section is formed, free from debris or any segregated concrete/grout. The rate of withdrawal of the drill pipe and pressures of concrete/grout shall be measured and recorded throughout the phase of vibrator withdrawal for each column. The Contractor shall submit Proposals for his method of monitoring construction for approval prior to the commencement of the Works.
- e. Completion of Columns: If the concrete/grout placing in any column cannot be completed in the normal manner, then the column shall be re-penetrated before concrete/grout has hardened and shall be completely replaced.
- f. Casting Level of Column Head: Concrete shall be cast to the commencing surface level or slightly above unless otherwise specified
- g. Disposal of Contaminated Material: The Contractor is responsible for disposal of all excavated soil, excess water, and spoil generated during installation of the compaction grouting installation at no extra cost. Manifests necessary for waste disposal shall be executed by the Engineer.

7. **Cutting of Column Heads**

When cutting off and trimming columns to the specified cut off level, the Contractor shall take care to avoid shattering or otherwise damaging the rest of the column. Any laitance, or contaminated, cracked or defective concrete/grout shall be cut away and the column made good in an approved manner to provide a full and sound section up to the cut off level.

8. **Documentation:**

Any proposed change in the approved construction program, necessitated by a change in the subsurface conditions, shall be submitted in writing to the Engineer for approval.

A daily log shall be submitted to the Engineer by the Contractor to include hole number, start/finish time of treatment, depth of treatment, diameter of drill hole, description of soil penetrated, and volume of grout/concrete placed at depth in no more than 2-ft increments.

D. **CONSTRUCTION**

The compaction grout columns shall be constructed prior to bridge foundations. Positive Site drainage shall be established prior to construction of compaction grouting. Contractor shall control all spoils generated during compaction grouting and prevent spoils from flowing off-site. Spoils generated by compaction grouting shall be disposed of properly and removed from the Site by the Contractor. No additional compensation shall be made for handling spoil.

Compaction grouting columns shall be constructed to the lines and elevation shown on the Plans, and in accordance with the Special Provisions.

Compaction grout columns shall extend from the existing ground surface to the elevations outlined in the Plans. No payment will be made for compaction grout columns installed within areas that are later excavated. The Contractor shall be responsible to construct compaction grout columns to the depths required and shall use the methods necessary

to penetrate to the required depth, including but not limited to drilling through stiff and dense layers that may be present, as well as obstructions from existing construction.

The compaction grout columns shall be installed to the minimum required Area Replacement Ratio. The required minimum area replacement ratio (A_r) achieved at any depth by the compaction grouting shall be that which is equivalent to the diameter of the grout columns at the center-to-center (c-c) spacing shown in the Plans and on a triangular pattern. The area replacement ratio shall be defined by the following relationships:

$$A_r = \frac{\text{Column Area}}{\text{Tributary Area}} \times 100\%$$

Tributary Area

Where: Column Area = Area of circle based on column diameter as defined below.

Tributary Area = $0.866 (\text{Column Spacing})^2$ for triangular spacing.

Acceptance of the constructed column will be based on the theoretical column diameter determined from the volume of concrete/grout installed.

The Contractor shall, at all times, protect structures, underground utilities and other construction from damage caused by grouting operations. Damaged material shall be replaced or repaired to the satisfaction of the Engineer at no additional cost to the Department.

E. METHOD OF MEASUREMENT

Compaction grouting shall include the delivery and placement of all concrete material necessary for compaction grout column construction. It shall also include disposal of all spoil (surface water, soil, etc.) in a manner acceptable to the South Carolina Department of Environmental Services and to the Engineer. Price and payment shall be full compensation for furnishing, hauling, treating, compacting of materials, removal of spoils and for all labor, equipment, tools, maintenance, and incidentals necessary to complete this item of Work.

(33) SECTION 401: ASPHALT BINDER ADJUSTMENT INDEX:

For this project the Basic Bituminous Material Index will be determined on the first calendar day of the month in which this project is let. The index and adjustment table will be available on the internet at <https://www.scdot.org/business/constructionletting-monthlyindex.aspx>, or may be obtained from the office of the Contracts' Administrator.

(34) SECTION 401: DRESSING OF SHOULDERS:

Prior to the placement of asphalt mixtures on existing roadways, the Contractor will be required to remove all vegetation adjacent to the edge of pavement which impedes the placement of the asphalt mixture to the specified width. The Contractor shall also remove and dispose of all excess asphalt which is disturbed during minor grading for widening, or during removal of debris or grass from existing surface during preparation of surface for new lift. After the asphalt mixture has been placed, the Contractor shall blade the disturbed material to the extent that the shoulder is left in a neat and presentable condition. All excess material shall be removed from the Project. No direct payment shall be made for this Work; all costs are to be included in the price of other items of Work.

(35) SECTION 401: HOT MIX ASPHALT (HMA) QUALITY ACCEPTANCE:

Reference is made to the Supplemental Technical Specification “Asphalt Mixture Quality Acceptance.” For the purposes of applying this Supplemental Technical Specification, pay factor adjustments will be based on a unit price of \$100 per ton.

(36) SECTION 401: FULL DEPTH ASPHALT PAVEMENT PATCHING:

The Contractor’s bid shall include 850 square yards of full depth asphalt (8”) pavement patching. If more than the estimated square yards of patching are required by SCDOT, the Contractor will be paid a unit price of \$100 per square yard. If less than the estimated square yards of patching are required by SCDOT, the Contractor shall reimburse SCDOT at the same unit price for the quantity of full depth patching that was not needed.

(37) SECTION 401: RIDEABILITY OF ASPHALT MIXTURES:

Reference is made to the Supplemental Technical Specification “Rideability for Asphalt Mixtures.” For the purposes of applying this Supplemental Technical Specification, pay factor adjustments will be based on a unit price of \$100 per ton.

(38) SECTION 410: STONE MATRIX ASPHALT MIXTURES

July 1, 2024

DESCRIPTION

Work specified in this section consists of mixing, placing and compacting a Stone Matrix Asphalt (SMA) mixture. SMA mixtures are required to be composed of crushed mineral aggregate, RAP (optional), GTR or aramid fibers, mineral filler, fiber stabilizing additive (if required), warm mix additive (compaction aid) and polymer modified asphalt binder. The SMA courses are produced through an asphalt plant and constructed in accordance with applicable Special Provisions and Standard Specifications, except as noted herein.

MATERIALS

- 1) **Aggregates:** Crushed aggregate is required for all fine and coarse aggregates with the exception of RAP. The crushed coarse aggregate must have 2 or more freshly mechanically induced fractured faces on at least 90% (based on count) of the material retained on the 4.75 mm (No. 4) sieve in accordance to AASHTO T335. The crushed coarse aggregate and the parent aggregate of the crushed fine aggregate in the SMA courses must have a maximum abrasion loss of 45.0% and a maximum absorption of 1.5% when tested in accordance with AASHTO T 96 and T 85, respectively.
 - a) Ensure the coarse aggregate is sufficiently washed to produce a clean aggregate, free from lumps, disintegrated particles, vegetation or deleterious substances and adherent coatings which may be considered detrimental to the performance of the SMA. Crushed coarse aggregate must not have more than 1.5% passing the 75µm (No. 200) sieve. Limestone, slag, and crusher-run aggregates will not be permitted in the SMA courses.
 - b) Ensure all fine aggregate consist of a blend of 100% crushed manufactured sand and has a minimum fine aggregate angularity of 45.0% when tested in accordance with AASHTO T 304.

- c) Fractionated RAP is permitted at a maximum aged binder percentage of 15.0% as compared to the optimum binder content of the mix design. RAS is not permitted in SMA mix designs.
- 2) **Hydrated Lime:** Use hydrated lime that meets the requirements of AASHTO M 303, Type 1, and the product is listed on Qualified Product List 39. Hydrated lime is required to be blended with the damp aggregate at a rate of 1.0% by weight of dry aggregate. Blending of the hydrated lime is required according to subsection 401.4.11, Blending of Hydrated Lime, of the Standard Specifications.
- 3) **Polymer Modified Asphalt Binder:** Use an asphalt binder that meets the Supplemental Specification “Asphalt Binder and Additives” for Performance Grade 76-22 (PG 76-22). A warm mix additive must be used to help aid in the compaction and mixing process. A Performance Grade 64-22 (PG 64-22) may be used instead of a PG 76-22 if Ground Tire Rubber (GTR) is being used as the durability additive.
- 4) **Durability Additive:** Use one or both of the following to increase the durability of the SMA mixture if cellulose fibers are not used:
- a) **Aramid Fiber:** Use a single dose application (2.1 ounces (+/- 10%) per ton of SMA mixture) tested in accordance with the Aramid Fiber Reinforcement for Asphalt Mixture special provision.
- b) **Ground Tire Rubber (GTR): Dry Process**

Use a rubber that consists of 100% recycled tire rubber combined from auto and/or light truck tires that in its finished state consists of a fine ground material. Heavy equipment tires are not permitted. 99.9% of metal found in tires must be removed during the grinding-manufacturing process per ASTM D5603. Use 30 mesh size ambient or cryogenic ground tire rubber at a minimum 10% by weight of neat asphalt binder content. Ensure that the ground tire rubber is chemically treated with a workability additive that prevents clumping in storage and helps to achieve better particle distribution. Any proposed and permitted technologies must be approved for use by the Asphalt Materials Engineer (AME) prior to use.” Varying percentage blends of crumb rubber and approved additives may be used, at the discretion of the AME.

Table 1 - Dry Process GTR: Product Physical Requirements

GTR Gradation prior to treatment ASTM D 5603 – Class 30	100 % Passing No. 30 Sieve
Specific Gravity ASTM D5603	1.02 – 1.20
Flash Point AASHTO T 48	475°F min.
Auto-Ignition Temperature	700°F min.
Solubility in Water	1.0% max.
Recycled Tire Content (finished product)	95% min.
Percent of Fiber by Weight of GTR ASTM D 5603	0.02% max.

Handling of Dry Process Rubber: Ensure GTR is properly stored and kept covered in dry storage prior to use to ensure equipment can add the rubber into the mix uniformly at the desired

dosage. Ensure GTR moisture does not cause swelling and foaming of the GTR particles when introduced into the asphalt plant.

5) **Stabilizing Fiber Additive:** Use a fiber that meets the properties described below if the mix design fails drain-down requirements (SC-T-90).

a) **Cellulose Fibers:** Use cellulose fibers at a dosage rate between 0.2% and 0.4%, by weight of the total mix as approved by the AME. The actual amount may be adjusted outside of this range if deemed necessary by the AME to prevent excessive drain-down. Fiber properties will be as follows:

Fiber length (maximum):	6.35mm (0.25 inch)
Moisture Content (AASHTO T 255)	5.0% maximum
Sieve Analysis:	
Test Method: (AASHTO T 27)	
Passing 850µm (No. 20) sieve	80.0 – 95.0%
Passing 425µm (No. 40) sieve	45.0 – 85.0%
Passing 150µm (No. 100) sieve	5.0 – 40.0%

6) **Mineral Filler:** Use mineral filler that consist of crushed aggregate fines, marble dust, or fly ash. Ensure the filler is sufficiently dry to flow freely and be free from lumps.

Table 2 - Mineral Filler Properties - Mineral Filler will be graded within the following limits

Sieve Size	Percent Passing (AASHTO T 27)
600µm (No. 30)	100.0
300µm (No. 50)	95.0 – 100.0
75µm (No. 200)	55.0 – 100.0

Ensure the Mineral Filler is free from organic impurities using AASHTO T 21 and has a plasticity index not greater than 4 using AASHTO T 90. Provide a certificate of analysis ensuring that the material filler meets these requirements.

COMPOSITION OF MIXTURE

Combine the aggregates and hydrated lime in proportions so the composite gradation and the volumetric properties meet the following criteria during the mix design procedure in accordance with AASHTO T 312, "Preparation and Determining the Density of Hot-Mix Asphalt (HMA) Specimens by Means of the Gyratory Compactor." Corrected Optimum Asphalt Content (COAC) is not permitted in SMA mixtures.

Table 3. Job Mix Formula and Design Limits

Mixture Control Tolerances		Asphalt Mixture	9.5 mm SMA	12.5 mm SMA
		Grading Requirements	Percent Passing	
Gradation	See 401.2.3.3 for tolerance for Surface Courses	19.0mm (3/4") Sieve	100.0	95-100
		12.5mm (1/2") Sieve	90.0 – 100.0	83.0 – 93.0
		9.5mm (3/8") Sieve	75.0 – 90.0	60.0 – 80.0
		4.75mm (No. 4) Sieve	32.0 – 54.0	22.0 – 36.0
		2.36mm (No. 8) Sieve	17.0 – 30.0	14.0 – 26.0
		0.60 mm (No. 30) Sieve	12.0 – 20.0	10.0 – 22.0
		300µm (No. 100) Sieve	9.0 – 15.0	9.0 – 15.0
		75µm (No. 200) Sieve	8.00 – 13.00	8.00 – 12.00

Mixture Control Tolerances		Asphalt Mixture	9.5 mm SMA	12.5 mm SMA
		Grading Requirements	Percent Passing	
Design Requirements				
Volumetrics	See SC-M-400 Surface Courses	Range for % AC	5.80 – 7.00	5.60 – 7.00
		Range for Air Voids (%)	3.0 – 4.0	3.0 – 4.0
		VMA, %	16.5 min	16.5 min
		VFA, %	65.0 – 85.0	65.0 – 85.0
		Drain-down, % Retention (SC-T-90)	99.7 min	99.7 min
		Tensile Strength Ratio (SC-T-70)	85% min	85% min
		Rutting Susceptibility (AASHTO T 340)	3.0 mm max	3.0 mm max
	AASHTO T 19 / R46	Voids in Coarse Aggregate Tests	VCAdrc > VCAmix Volume of CA (Dry-Rodded Condition) vs. Volume of CA (Mixture)	
	Dust to Asphalt Ratio			N/A
Gyrations Level			35	

PLANT PRODUCTION

SMA Mixing Plant: Provide an asphalt plant that can prepare the SMA mixture that conforms to SC-M-401 with the following additions:

Handling and Addition of Mineral Filler: Provide adequate dry storage for the mineral filler and ensure equipment can add the filler into the mixture so it is done uniformly in the desired percentage according to the job mix formula. Introduce mineral filler into a batch plant by adding it directly into the weigh hopper. Add mineral filler in a drum mix plant directly into the drum mixer. Provide appropriate equipment for accurately proportioning the relatively large amounts of mineral filler required for an SMA mixture.

Fiber Addition: Provide adequate dry storage for the cellulose fibers and ensure equipment is in place to proportion fiber into the mixture uniformly and in the desired percentage according to the job mix formula.

Drum Mix Plant - Add fiber at the plant to ensure complete blending of the fiber into the mix. For this purpose, when adding loose fiber, a separate fiber feed system is required to uniformly introduce fiber into the drum at such a rate as not to limit the normal production of mix through the drum. Ensure that no fiber is returned into the baghouse or returned/wasted baghouse fines.

GTR Addition: Introduce GTR into the asphalt plant to achieve an uniform blended mixture. Ensure that the system is interlocked electronically with the asphalt plant control system. Use a separate automated feed supply system that uniformly introduces and blends the GTR into the mix during production.. Ensure that the system includes a means to agitate the GTR particles to prevent clumping, along with a waterproof tarp or other shelter to ensure that no moisture enters the material hopper when not in service. Control the proportion of the GTR accurately to within plus or minus 10% of the GTR required. Verify the feed rate in pounds per minute and equip the system to provide continuous in-process monitoring, consisting of a printout of the feed rate that

is printed out at a 20-minute interval. Provide and interlock flow indicators or sensing devices for the GTR system with the plant controls, so that an audible warning alarm sounds if introduction of the GTR fails, or if the output rate is not within the required tolerance for more than 60 seconds. Stop production immediately when no flow of GTR is detected to ensure mix quality is not compromised. Verify the amount of dry process GTR used during production by comparing the amount used with the amount of liquid asphalt binder used daily and record on the daily asphalt plant report.

Mix Storage: If the SMA mixture is not to be hauled immediately to the project and placed, provide storage bins. All storage must be either surge bins to balance production capacity with hauling and placing capacity or silos that are heated and insulated to prevent temperature excessive loss. Extended storage of any SMA mixture will be permitted up to 4 hours maximum.

CONSTRUCTION REQUIREMENTS

Perform all work according to Section 401 of the Standard Specifications and other applicable Special Provisions except as noted in this specification. Place the SMA course in a manner to prevent segregation, provide the required in-place compaction, and produce a smooth riding surface. Use a non-tracking emulsion from QPL 38 as a tack for the bonding layer between the existing riding surface and the SMA. Ensure the product is placed at a minimum of 0.08 gallons per square yard or as deemed necessary by the AME. A PG 64-22 binder from QPL 37 may be applied in lieu of the non-tracking emulsion. Adjust the distributor accordingly to allow for a uniformly applied product, and ensure all safety precautions are made prior to production. Ensure when the HMA mixture is delivered to the paver, mix temperature is not less 300°F and no greater than 350°F. If the mixture is produced using Warm Mix Technology, ensure the WMA mixture is produced no greater than the job mix formula allows to prevent excessive binder drain down. Monitor mix temperature on each load prior to dumping the SMA mixture into the spreader.

ACCEPTANCE CRITERIA

Acceptance of the SMA mixtures will be based on SC-M-400 – “Asphalt Mixture Quality Acceptance” using the same criteria as the Surface Type A.

(39) DIVISION 500: CONCRETE PAVEMENT – PAVEMENT RIDEABILITY:

August 14, 2025

The following modifications are made to Supplemental Technical Specification for PCC Pavement Rideability SC-M-502 (01/25):

The second sentence of Section 5.2.2.3 is revised as follows:

Ensure that the land area between the grooves is between 0.060 and 0.125 inch wide and the peaks of the ridges shall be 1/8 inch +/- 1/16 inch higher than the bottom of the grooves.

The fifth sentence of Section 5.2.2.4 is revised as follows:

Texture not less than 98 percent of any 100-foot section of the specified surface. No untextured area shall exceed dimensions of 3 feet by 3 feet.

(40) DIVISION 500: CONCRETE PAVEMENT – PCC PAVEMENT MIX AND PROPORTIONING STRENGTH:

August 14, 2025

The following modifications are made to Supplemental Technical Specification for PCC Pavement Mix and Proportioning Strength SC-M-501 (01/25):

In Section 5.6.1, the compressive strength of 4000 psi is removed and replaced with 4500 psi.

Section 6 is removed and replaced with the following:

6.1 General

6.1.1 Provide adequate space at the concrete production facility, or otherwise, onsite for the placement of QA testing laboratory for concrete pavement. Coordinate with SCDOT as necessary for the availability of water and electricity if required.

6.1.2 Provide temperature-controlled curing boxes for the temporary storage of QA compressive strength cylinders. Provide access to boxes, relocate as necessary while work progresses, and provide any necessary power sources to operate boxes.

Sections 7.4.2 and 7.4.3 are removed and replaced with the following:

7.4.2 When the 28-day compressive strength for a lot falls below 4500 psi, the unit price for Concrete Pavement will be adjusted according to Table below.

Schedule for Adjusted Payment Based on 28-day Compressive Strength	
Compressive Strength	Adjusted Unit Price
Greater than 4220 psi	100 %
4219 psi to 4050 psi	90 %
4049 psi to 3825 psi	70 %

7.4.3 If the 28-day compressive strength is less than 3825 psi, the District Construction Engineer may either require that the lot be removed and replaced or accepted at an adjusted unit price of 50%. Selection of corrective action for this condition is at the sole discretion of the Department. For purposes of applying any payment adjustments associated with these Supplemental Technical Specification, a unit price of \$90/SY will be used.

(41) SECTION 501: NONWOVEN GEOTEXTILE INTERLAYER FABRIC:

This Special Provision describes the construction and material requirements for installation of an interlayer fabric to be used between concrete pavement and cement stabilized aggregate base.

A. REFERENCED DOCUMENTS

ASTM D 4355 Standard Test Method for Deterioration of Geotextiles by Exposure to Light, Moisture and Heat in a Xenon Arc Type Apparatus

ASTM D 4491 Standard Test Methods for Water Permeability of Geotextiles by Permittivity

ASTM D 4595 Standard Test Method for Tensile Properties of Geotextiles by the Wide-Width Strip Method

ASTM D 4716 Standard Test Method for Determining the (In-plane) Flow Rate per Unit Width and Hydraulic Transmissivity of a Geosynthetic Using a Constant Head

ASTM D 5199 Standard Test Method for Measuring the Nominal Thickness of Geosynthetics

ASTM D 5261 Standard Test Method for Measuring Mass per Unit Area of Geotextiles

B. GENERAL

1. Provide and install a non-woven geotextile interlayer to be placed between concrete pavements and cement stabilized aggregate bases. The fabric is intended to provide limited drainage, separation between the base and pavement to retard the transmission of cracking, and relief of bedding stress due to movement of the concrete pavement on a stiff base. Attach the fabric firmly to the base to prevent movement during paving operations. Conduct paving operations to minimize fabric damage due to vehicle movements. Repair any damage prior to paving to ensure complete coverage of the base.

C. MATERIAL REQUIREMENTS

1. Geotextile requirements:
 - a. Fabric type: Provide a nonwoven needle-punched geotextile. Thermal treatment (calendaring or IR) is not acceptable.
 - b. Color: Ensure that the color is uniform and uses nominally the same color fibers throughout.
 - c. The following requirements must be met by 95% of samples. Minimum Average Roll Values (MARV) are also acceptable:
 - 1) Mass per unit area: Ensure that mass per unit area is greater than 450 grams per square meter (13.3 ounces per square yard) and less than or equal to 550 grams per square meter (16.2 ounces per square yard) when tested in accordance with ASTM D 5261.
 - 2) Thickness under load (pressure): Ensure that the thickness under load is greater than or equal to 3.0 mm at 2 kPa (0.12 inch at 0.29 psi), greater than 2.5 mm at 20 kPa (0.10 inch at 2.9 psi), and greater than 1.0 mm at 200 kPa (0.04 inch at 29 psi) when tested in accordance with ASTM D 5199.
 - 3) Wide-width tensile strength: Ensure the tensile strength is greater than 10 kN per meter (685 pounds per foot) when tested in accordance with ASTM D 4595.
 - 4) Maximum elongation: Ensure that the maximum elongation is less than or equal to 130 percent when tested in accordance with ASTM D 4595.
 - 5) Water permeability in normal direction under load (pressure): Ensure that the water permeability in the normal direction under load (pressure) is greater than or equal to 1×10^{-4} meters per second (3.3×10^{-4} feet per second) when tested in accordance with modified ASTM D 4491 at 20 kPa (2.9 psi) or ASTM D 5493.

- 6) In-plane water permeability: Ensure that the in-plane water permeability (transmissivity) under load (pressure) is greater than or equal to 5×10^{-4} meters per second (1.6×10^{-3} feet per second) when tested in accordance with modified ASTM D 4716 at 20 kPa (2.9 psi) and is greater than or equal to 2×10^{-4} meters per second (6.6×10^{-4} feet per second) when tested in accordance with modified ASTM D 4716 at 200 kPa (29 psi) or ASTM D 6574.
 - 7) Weather resistance: Ensure that the retained strength after 500 hours of weathering is greater than or equal to 60 percent of the initial strength when tested in accordance with ASTM D 4355.
 - 8) Alkali resistance: Provide a manufacturer certification that the supplied material is composed of 96% or more polypropylene/polyethylene.
- d. Certification: Prior to incorporation in the Work, provide the RCE with a manufacturer's certification stating that the material being used meets all requirements of this Special Provision for each batch or lot of material. Ensure that the provided certification references the batch number(s) supplied and is attested to by the notarized signature of an officer of the manufacturing company. Also provide the RCE with a copy of the manufacturer's independent test data showing results for all the properties given in this section obtained by the test methods provided. Test data does not have to be batch or lot-specific.
2. Anchor system requirements:
- a. Fasteners: Use hardened steel pin fasteners with a galvanized finish intended for insertion in concrete by a powered fastening tool. Select a diameter and length adequate to anchor the geotextile such that normal paving operations do not dislodge the pins and the base is not damaged by the insertion.
 - b. Discs: Provide thin, galvanized steel discs ranging from 2.0 to 2.8 inches in diameter with small stamped claws for holding the fabric and distributing the anchoring load.

D. CONSTRUCTION

- 1. Preparation of base: Repair any damaged or defective areas in the base to the satisfaction of the RCE. Thoroughly sweep the base immediately prior to fabric placement and ensure that the surface is free of loose debris.
- 2. Timing of placement: Place fabric no more than 3 days ahead of paving operations. If concrete is being placed by trucks directly in front of the paver, do not place fabric more than 650 feet ahead of the paver.
- 3. Placement: Roll the material onto the base, keeping the fabric tight with no wrinkles or folds. Roll out the sections of the fabric in a sequence that will facilitate good overlapping, prevent folding or tearing by construction traffic and minimize the potential that the material will be disturbed by the paver. Overlap sections of the fabric a minimum of 6 inches and a maximum of 10 inches. Ensure that no more than three layers overlap at any point. Extend the fabric a minimum of 12 inches beyond the edge of the concrete pavement.
- 4. Anchoring: Secure the fabric with fasteners punched through the steel discs into the base. Space the anchors as necessary to securely hold the fabric in position during paving operations. However, maintain a maximum anchor spacing of 6 feet under all circumstances.
- 5. Construction traffic: Keep all nonessential traffic off of the fabric. Ensure that operations are staged such that no vehicles make sharp turning motions on the fabric.

Remove and replace damaged fabric using required placement overlaps and sufficient anchors.

6. Moisture: Lightly but completely dampen the fabric ahead of the paving operations to ensure that the fabric does not draw water from the concrete. If the fabric is wetted due to precipitation or other reasons to the point of standing water or that free water appears when the fabric is walked on, allow the fabric to dry to a moist condition before continuing paving operations.

(42) SECTION 502: FULL DEPTH CONCRETE PAVEMENT PATCHING:

The Contractor's bid shall include 750 square yards of full depth concrete pavement patching. If more than the estimated square yards of patching are required by SCDOT, the Contractor will be paid a unit price of \$500 per square yard. If less than the estimated square yards of patching are required by SCDOT, the Contractor shall reimburse SCDOT at the same unit price for the quantity of full depth patching that was not needed.

(43) SECTION 503: PORTLAND CEMENT CONCRETE PAVEMENT UNIT COST:

The Contractor is obligated to comply with the 2025 Standard Specifications regarding compressive strength and thickness. This Special Provision establishes the Portland Cement Concrete unit cost for any payment adjustments associated with Supplemental Technical Specification SC-M-501, SC-M-502, SC-M-503, regarding compressive strength, rideability, and thickness. For purposes of applying any payment adjustments associated with these Supplemental Technical Specification, a unit price of \$90/SY will be used. Supplemental Technical Specification "PCC Pavement Rideability" applies to this contract unless noted otherwise in Exhibit 4c.

(44) DIVISION 600: INTELLIGENT TRANSPORTATION SYSTEM

A. GENERAL PROVISIONS FOR INTELLIGENT TRANSPORTATION SYSTEM

The Contractor is not responsible for the maintenance or construction of the permanent ITS Elements; however, Contractor shall be responsible for the removal and disposal of existing ITS infrastructure and for coordinating impacts to the existing fiber-optic cable backbone within the project limits. The Contractor shall maintain connectivity of this fiber line for the duration of this Project.

All existing ITS Elements impacted by construction shall be disposed of per the following section: REMOVAL SALVAGE AND DISPOSAL OF EQUIPMENT AND MATERIALS.

B. REMOVAL SALVAGE AND DISPOSAL OF EQUIPMENT AND MATERIALS

This item consists of the removal and salvage, or the removal and disposal of ITS equipment and materials, impacted during the construction of this project. Construction includes new installations, and the modification, or removal of existing ITS devices. They shall be disposed of, as stated below:

1. GENERAL

a. Removal and Salvage

As directed by SCDOT, salvageable items are to be carefully removed from the job site, protected, and delivered to SCDOT. The Contractor shall deliver, (and obtain a RECEIPT for), the salvaged equipment, to: ****SCDOT Intelligent Transportation Systems Maintenance Facility**** in Columbia, SC. Contact the ITS Field Operations Manager at (803)-737-0394 for deliveries.

b. Disposal

Material NOT to be salvaged shall be removed from the job site and will become the property of the Contractor. Materials should be properly disposed of by the Contractor, at an APPROVED LAND FILL (or material reclamation yard). Any materials designated as HAZARDOUS WASTE shall be disposed in accordance with regulations enforced by the SC Department of Environmental Services (SCDES), Bureau of Solid and HAZARDOUS Waste; (803)-734-5000 for information.

c. Inspection

Removal and disposal quantities will not be measured as pay items but shall be included in the price bid for Removal, Salvage, and Disposal. Final Acceptance and Final Payment will be withheld if the Contractor has not removed unneeded equipment from the job site and if the Contractor cannot present RECEIPTS from the Shop showing that the salvaged equipment has been delivered to SCDOT as specified.

d. Holes

All holes remaining after removal of old equipment shall be filled the same day. Holes shall be backfilled, compacted, and reseeded/sodded, to the satisfaction of SCDOT. Holes in PAVEMENT shall be cleanly side-trimmed, then brought to grade and finished with the same pavement material and thickness as the adjacent pavement. Any sections of sidewalk damaged by removal of old equipment shall be completely replaced (complete section from nearest joint to joint), using forms and expansion material.

2. SPECIFIC ITEMS

a. Controllers and Cabinets

Controllers and Cabinets will be removed by SCDOT. Contractor will be responsible for coordination with SCDOT's ITS Field Operations Manager for removal of cabinets and controllers. Contractor will be responsible for the removal of foundations of ground-mounted cabinets, which shall be removed completely or to a minimum of 0.3 meters (1 ft.) below ground.

b. Cameras

Camera units will be removed by SCDOT. Contractor will be responsible for coordination with SCDOT's ITS Field Operations Manager for removal of cameras.

c. Wooden Poles

Service poles that are not utilized in the ITS system, and are not required by other utilities, shall be removed and disposed of by the Contractor, to include all back guys, grounding systems, and miscellaneous hardware.

d. Concrete Poles

Concrete poles shall be removed and disposed of by the Contractor.

e. Miscellaneous Equipment

Minor equipment shall be removed from the site and properly disposed of by the Contractor. This includes steel cable, electrical cable, fiber optic cable, concrete pads, and splice boxes/pull boxes/hand boxes Underground conduit and detector loops not utilized shall be abandoned in place.

C. **SUBMISSION:**

No deliverables are anticipated for ITS; however, all permanent ITS devices and poles that are to be removed shall be shown and called out on the Roadway Plans.

(45) DIVISION 600: MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES:

The Contractor is advised that all Work involving design or installation of traffic control devices, including but not limited to signs, pavement markings, Elements of Work zone traffic control, signals, etc., shall be in compliance with the FHWA's Manual on Uniform Traffic Control Devices (MUTCD), latest edition. The latest edition is defined as the edition that the Traffic Engineering Division of SCDOT recognizes as having been officially adopted (Engineering Directive, Memorandum 19) at the time the Project is let, unless stated otherwise in the Special Provisions.

(46) DIVISION 600: FURNISH AND INSTALL DETECTOR LOOPS:

The Contractor is hereby notified that All Catalog descriptions and documentation are to be submitted within (5) days after the bid openings to the Contracts Administrator.

The loops shall be installed in the surface course on all projects in Engineering Districts 3, 4, 5, 6, & 7.

The loops shall be installed in the binder course for all projects in Engineering District 1.

The loops shall be on installed in the binder course on new construction projects, and in the surface for resurfacing projects in Engineering District 2.

(47) SECTION 610: ROAD CLOSURE AND DETOUR REQUESTS

Section 610.3.9 of the 2025 Standard Specifications is hereby supplemented with the following:

Temporary road closures that require the detouring of traffic are only permitted with prior written approval by SCDOT. Any detours not allowed in the Agreement or approved as an ATC shall be requested via formal Change Request (CR) a minimum of 30 days prior to scheduling of any detours.

Include in the request:

- a map showing the road to be closed and the proposed detour route;
- the anticipated start time, end time, and duration of each detour;
- the reason that the detour is necessary for construction;
- any needed improvements or mitigations along the detour route;
- all message boards to be erected a minimum of 3 days prior to the detour with planned messages to be programmed;
- the location, diagram and MUTCD identifier of all proposed detour signs;

- Contractor-imposed liquidated damages associated with the detour;
- Confirmation of any lane closure violation contractual language; and
- any other site-specific information required by SCDOT.

SCDOT reserves the right to deny any detour requests deemed to be overly inconvenient to the public, that may conflict with large public events that may increase traffic volumes on the route, or that may result in damage to the detour routes. SCDOT also may, at its sole discretion, require the Contractor to perform a traffic study to demonstrate the feasibility of the requested detour. Detour routes shall only be state-maintained roads unless written approval is obtained from the road owner by the Contractor and submitted with the detour request. SCDOT reserves the right to rescind the approval of any Contractor-proposed detours in response to unapproved deviations from the approved detour plans, unanticipated severe traffic impacts, safety concerns, observed damage to detour routes, public outcry, and any major unforeseen consequences.

SCDOT will provide public notice via social media and press releases a minimum of 5 business days prior to any detours of interstate mainline or ramp traffic, and a minimum of 3 business days for any other detours. Provide to SCDOT regular schedule updates for all approved detours. SCDOT reserves the right to cancel any detours if the Contractor fails to provide accurate schedule updates.

SCDOT and the Contractor shall jointly inspect and thoroughly document the condition of any Contractor-proposed detour routes prior to starting the work. The Contractor is responsible for repairs to any Contractor-proposed detour routes damaged by the increased traffic to SCDOT's satisfaction.

(48) SECTION 602: PROVIDING AND MAINTAINING TRAFFIC CONTROL DEVICES IN THE WORK ZONE

D. GENERAL REQUIREMENTS FOR PROVIDING AND MAINTAINING TRAFFIC CONTROL DEVICES IN THE WORK ZONE

Sub-section 602.2.3.1 Work Zone Sign Substrates (paragraph 1)

Fabricate each sign from one the following types of sign substrates:

1. Aluminum sign blanks that meet the requirements of **Section 651** (0.080 in. or 0.100 in. thick),
2. Aluminum laminated composite material (0.118 in. maximum thickness) included on the *Approved Products List For Traffic Control Devices in Work Zones*, or
3. Roll-up retroreflective fabric material fabricated with a background material constructed of an approved retroreflective fabric material substrate attached to two or more fiberglass battens.

E. TRUCK-MOUNTED CHANGEABLE MESSAGE SIGN (SUB-SECTION 607.3.2)

Sub-section 607.3.2.2 Operational Requirements (paragraph 4)

Provide a truck-mounted changeable message sign to display the "PREPARE TO STOP" message in advance of a traffic queue during a stationary lane closure. When using a truck-mounted changeable message sign to display the "PREPARE TO STOP" message, a truck-mounted attenuator is not required under the following specific conditions:

4. The sign is mounted on a vehicle with a gross vehicular weight (GVW) of 6000 lb or less.
5. The truck remains on the shoulder and does not encroach onto a travel lane open to traffic or a closed travel lane adjacent to a travel lane open to traffic, except to relocate with extreme caution through an area with insufficient shoulder width such as a bridge structure.

F. HIGH VISIBILITY SAFETY APPAREL (SECTION 612)

Sub-section 612.3.3 Performance Class 3 Requirements (paragraph 1)

Ensure that all workers who perform job duties as a “Flagger” during nighttime flagging operations wear hard hats and high-visibility safety apparel consisting of a safety vest and safety pants that meets the ANSI/ISEA 107–2010 High-Visibility Safety Apparel Performance Class 3 requirements.

(49) SECTION 610: DEPARTMENT OF PUBLIC SAFETY AND LOCAL LAW ENFORCEMENT:

G. GENERAL

Assistance from the Department of Public Safety (DPS) or local law enforcement (Non-DPS) is required as referenced in Section 600 of the Standard Specifications, Standard Drawings, and as needed to enhance safety and enforce traffic laws within/near the project limits during active traffic control operations. The Contractor shall coordinate traffic control with the RCE and DPS/Non-DPS officers. The priority for employing assistance is utilizing DPS first and then, if DPS is not available, utilize local law enforcement with jurisdiction. This coordination shall be initiated by the Contractor a minimum of two (2) weeks prior to starting work.

(50) DIVISION 700: ANTI-GRAFFITI COATING:

October 4, 2019

A. GENERAL

The anti-graffiti coating system shall be applied to locations as described in TP Section 700 and in accordance with this Specification. Anti-graffiti coatings intended for use under this Specification shall be of a composition capable of preventing the adhesion of and facilitating the removal of acrylic, polyurethane, and alkyd spray paint. Use a clear, non-sacrificial anti-graffiti coating for all applications.

B. MATERIALS

All anti-graffiti coatings must possess the physical and handling characteristics that are compatible with the requirements of this Specification. Anti-graffiti coating shall be manufactured by one of the approved manufacturers listed on the most recent Qualified Product List 7, titled “Qualified Spray-On/Brush-On Surface Coatings for Concrete Finish”. Contractor shall ensure that the anti-graffiti coating is compatible with the selected applied Finish Coating, if applicable.

Anti-graffiti coatings shall contain less than 5.0 lb/gal volatile organic compounds (VOC) as defined by 40 CFR Part 59, Subpart D. The manufacturer shall supply the following additional information:

1. Technical data sheet that includes installation instructions and graffiti removal instructions by pressure washing with water.

2. Certification that non-sacrificial anti-graffiti coating shall not blister, crack, check, chalk, delaminate, or exhibit a color change of more than 8 dE94 (or dE76) CIELAB units for a period of one year after installation.

Additionally, submit a certification that the coating meets the following laboratory performance requirements:

Additional Laboratory Performance and Tests		
Test	Method	Limits
Graffiti Resistance	ASTM D 6578; Use identified marking materials; initial and re-cleanability; and after exposure initial and re-cleanability	Cleanability Level 8, 9, or 10.
Fluid Resistance	ASTM D 1308; Paint thinner, gasoline	No blistering, discoloration, softening, or adhesion loss

C. APPLICATION

Apply an anti-graffiti coating or coating system in accordance with manufacturer's product data sheet and as specified herein, when the ambient temperature is between 40° and 90°F, and the surface temperature is between 50° and 85°F and rising.

Ensure all concrete has cured a minimum of 30 days before applying anti-graffiti coating. Do not apply coating when precipitation is expected within 12 hours of the completion of application or the relative humidity exceeds that specified by the manufacturer.

D. PROTECTION OF ADJACENT SURFACES

Consider wind direction, velocity and geographic location as having a major impact on all cleaning and anti-graffiti coating operations. Use all necessary precautions to prevent cleaning and anti-graffiti coating materials from being dispersed outside the Work Site. If conditions are such that material is dispersed to areas where vehicles or other property may be damaged, suspend operations until conditions improve and Work can continue without affecting adjacent property.

Protect all surfaces not intended to be coated, which are adjacent to, or in close proximity to the surfaces to be coated, during the application of anti-graffiti coating. Clean surfaces that are to be coated, as per the manufacturer's product data sheet.

E. SURFACE PREPARATION

Prior to applying any anti-graffiti coatings, prepare all surfaces to be coated in accordance with ASTM D 4261 or ASTM D 4258 and the manufacturer's product data sheet. When the anti-graffiti coating or coating system is to be applied over an existing coating, apply a test patch (minimum area of 4 square feet) in accordance with this Specification. Allow the test patch to cure a minimum of 7 days without any Defects. No time extension will be granted as a result of this test requirement.

F. BASE COAT

Apply the base coat, if part of the system, as specified by the manufacturer. Unless otherwise specified by the manufacturer, ensure the cured base coat has a minimum dry film thickness of 4.0-8.0 mils.

G. FINISH COAT

When applicable, ensure the base coat surface is clean and cured to a dry hard state according to the manufacturer's instructions before applying the finish coat. Mix finish coat and apply in accordance with the manufacturer's instructions. Ensure the cured

finish coat of the two-coat system has a minimum dry film thickness of 2.5-5.0 mils. Apply as many coats as necessary to provide a finish coat which is a uniform continuous film over the entire surface, free of pinholes, runs, sags, or any other deficiencies. Finish coat shall be considered "non-sacrificial".

H. CORRECTION OF DEFICIENCIES

Remove all applied anti-graffiti coatings identified by the Engineer as damaged, defective, or otherwise not meeting these Specifications, in accordance with the manufacturer's recommendations. Prepare the surface and reapply the coating in accordance with the manufacturer's recommendations and as specified herein, at no additional cost to the Department.

I. REMOVAL OF GRAFFITI BEFORE ACCEPTANCE

Remove all graffiti from areas receiving anti-graffiti coating, at no additional cost to the Department. Ensure all federal, state, and local environmental regulations are met when removing graffiti. Removal shall be in accordance with manufacturer's recommendations.

(51) SECTION 700: NOISE BARRIER WALLS:

Design, furnish, and construct noise barrier walls in accordance with the requirements of the Technical Provisions and this Specification.

A. GENERAL

Secure joints and connections in such a manner as to be structurally sufficient with no visible openings for sound transmission and as to not be a secondary source of sound transmission due to vibration.

Conform top of walls to the elevation shown and construct walls to conform to the horizontal alignments, corners and offsets shown in the Plans. Provide all drainage related items in order to control the buildup of moisture from storm water runoff. Follow the design requirements for the type, gradation, and method of placement of backfill required. Exercise due caution in placing backfill at noise barrier wall foundation so as to maintain proper wall alignment.

B. PRECAST CONCRETE PANELS

Precast concrete panels shall conform to the following requirements:

1. Cast all precast panels in a precasting facility approved by the Materials and Research Engineer.
2. When required by the Final Design noise analysis or NEPA commitment, provide sound absorptive material integral with the panels on the interstate traffic face. Sound absorptive material shall be approved by SCDOT prior to construction. For approval, provide certified laboratory test data documenting the acoustical, freeze thaw, fire rating, and salt scaling requirements listed below. Also provide documentation of a minimum of 10-year performance history of no deterioration or delaminating.

Acoustical:

ASTM C423 and ASTM E795 Minimum Noise Reduction Coefficient (NRC) = 0.80

Freeze Thaw:

ASTM C666 Procedure A or B

Maximum Mass Loss = 5% @ 300 Cycles

Fire Rating:

ASTM E84 Class A Flame Spread Rating

Salt Scaling:

ASTM C672 Visual Rating = 0 after 5 Cycles; 1 after 25 Cycles; and 2.5 after 50 Cycles

The certification shall state that the named product conforms to the Department's requirements and that representative samples thereof have been sampled and tested as specified.

The certification shall be accompanied with a certified copy of the test results.

The certification shall state that the panel manufacturer's structural concrete mix design and composite panel production process are compatible with the sound absorptive material to prevent the delamination at the structural concrete/absorptive material interface.

The certification shall give the name and address of the manufacturer, the testing agency, and the date of tests, and shall set forth the means of identification which will permit field determination of the product delivered to the Project as being the product covered by the certification.

3. Prior to construction of complete noise barrier, provide a full-scale sample representative of the panels to be used, and showing the architectural finish pattern on at least the median height of the noise barrier for approval by the RCE. Coordinate with the RCE if the sample panels will be reviewed on-site or at the precast facility. After approval, Sample panels may be used in the permanent structure as long as they are fabricated with the same structural details as the permanent noise barrier.
4. Acceptability of the panels will be determined from the compressive strength of cylinders made and cured in the same manner as the panels, and by inspection during the manufacturing process. The manufacturer of the panels shall furnish such facilities and assistance as may be required to carry out the sampling and daily testing in an expeditious and satisfactory manner.
5. Cast panels on a steel surface with steel side forms prepared so that there is no damage to panel finish. Do not strip forms until a minimum concrete strength of 2400 psi is attained. Vertical forms are required to provide the surface relief specified on each side of the panel.
6. Place concrete in each panel without interruption and consolidate by the use of vibrators supplemented by hand tamping and rodding so as to force the concrete into the corners of the forms and eliminate stone pockets, cleavage planes, and air bubbles.
7. Repair minor honeycombing and voids within 24 hours of the removal of forms.
8. Cure the panels as specified in SCDOT Standard Specification Subsection 702.4.4 for a sufficient length of time so that the concrete will develop the specified compressive strength. Do not use a curing period less than 72 hours under normal summer temperature conditions. In colder weather extend the curing period, as directed by the RCE, to provide equivalent curing. Protect the curing panels from freezing and evaporation from the time the concrete is placed until curing is complete.

As an alternate to the wet cure method, steam cure the panels as specified in Section 704.

9. On each panel, include the date cast and the Inspector's approval stamp. Acceptance by the Inspector at the precast yard will not preclude rejection at the erection point if any damage or Defects are discovered.
10. Erect the panels in accordance with Plan details and dimensions.
11. After erection is complete and before final acceptance of the Project, clean the Sound Barrier Wall to remove any dirt or stain in an environmentally safe procedure.
12. Panels will be subject to rejection due to failure to meet any of the requirements specified above. In addition, any of the following Defects will be cause for rejection:
 - a. Defects that indicate imperfect mixing and casting.
 - b. Honeycomb or open texture.
 - c. Exposure of the reinforcement.
 - d. Failure to meet the specified concrete compressive strength at 28 days.
13. For items damaged during shipment or installation, repair/replace procedure shall be approved by the RCE.
14. Handle and ship panels in as close to vertical position as possible as directed by the manufacturer to prevent damage to the finish.

C. TEST WALL

Erect a portion of the wall as directed by the RCE (not less than 50 feet in length) which will be used for testing and acceptance. The RCE will use this portion of the wall to determine if the Contractor's methods and equipment are sufficient to produce a Sound Barrier Wall that meets the requirements of the Contract Documents including sound reduction performance, appearance, and texture. The Contractor may revise his methods and equipment as necessary in order to satisfactorily meet all contract requirements. If this portion of wall does not meet the requirements of the Contract Documents of any rejected portions at no expense to the Department.

D. TOLERANCES

Limit vertical Deviation from plumb for walls and posts to: ½ inch for wall heights less than 10 feet; 1 inch for wall heights 10 feet to 20 feet; and 1 ½ inches for wall heights greater than 20 feet.

Limit horizontal tolerance for walls to prevent panels from slipping out of the post joints.

Set posts within ½ inch of their intended location. For Sound Barrier Walls that are built on top of earth berms, construct the berms of earthwork fill material and compacted to ninety-five percent (95%) of the maximum density as determined by AASHTO T 99.

(52) SECTION 701: SAND LIGHTWEIGHT CONCRETE:

Use sand lightweight concrete, where specified in the Plans, complying with the requirements of this Special Provision.

Sand lightweight concrete is composed of portland cement, fine aggregate, lightweight coarse aggregate, water, and admixtures. Provide sand lightweight concrete that

complies with the applicable requirements of Section 701 of the Standard Specifications and the additional requirements herein.

At least 35 days prior to the proposed use, submit for approval a mix design from a testing laboratory accredited by the AASHTO Accreditation Program. Provide a mix that obtains a 28-day design compressive strength equal to or greater than 4000 psi and satisfies the following design criteria:

TEST	TEST METHOD	REQUIREMENT
Max. Unit Weight, plastic, lbs/ft ³	AASHTO T 121	120
Max. Unit Weight, dry, lbs/ft ³	ASTM C567 using equilibrium (air dried) unit weight	115
Min. Relative Dynamic Modulus, (percent)	AASHTO T 161 Procedure A	80

When submitting the mix design, include the source of the aggregates, cement, and admixtures and the gradation, specific gravity, and fineness modulus (fine aggregate only) of the aggregates. Submit test results showing the mix design conforms to the criteria, including the 28-day compressive strength of a minimum of six cylinders. Provide a mix design that produces an average compressive strength sufficient to ensure that a minimum strength of 4000 psi is achieved in the field.

Produce an additional mix in accordance with AASHTO M 195 to determine the drying shrinkage. The maximum drying shrinkage for this mix is 0.07%.

For lightweight coarse aggregate, use expanded shale or slate that meets the requirements of AASHTO M 195. Provide lightweight coarse aggregate that meets the gradation table below.

GRADATION OF LIGHTWEIGHT CONCRETE AGGREGATE	
Sieve Size	Passing Square Opening Sieves (Percent by Weight)
1"	100
3/4"	90-100
3/8"	10-50
No. 4	0-15

Determine the soundness in accordance with AASHTO T 104. Loss of more than 10% of the lightweight aggregate in five cycles of the accelerated soundness test using sodium sulfate is not permitted.

Ensure the lightweight aggregate will have a wear of not more than 40% when tested in accordance with AASHTO T 96.

Ensure that lightweight aggregate has an absorbed moisture content equal to the 24 hours absorption as determined by AASHTO T 84 or T 85 when it is proportioned and incorporated into the mix. Consult with the lightweight aggregate Supplier regarding minimum absorption required for proper performance of aggregate in concrete mixtures.

Have a Representative from the manufacturer of the lightweight aggregate attend and participate in the Pre-pour Conference and also provide technical assistance in the production of the lightweight concrete at the batch plant and/or Site for the first day of lightweight concrete mixing and placement operations.

Do not use AASHTO T 152 to determine the air content. Determine air content in accordance with AASHTO T 196.

Determine the plastic density (unit weight) of lightweight concrete in accordance with AASHTO T 121. Perform density tests for acceptance of lightweight concrete after final corrections for entrained air and slump have been made. When a density test is made and the results of the test exceed the specified maximum, perform a check test immediately from the same load of concrete. If the average of the 2 test results exceeds the specified maximum density, the load is rejected.

The quantity for Sand Lightweight Concrete is the volume of specified concrete within the neat lines of the structure as shown on the Plans or as revised by the RCE and is measured by the cubic yard (CY) of concrete, complete, and accepted. Deductions are made for the volume of embedded items, except for reinforcing steel; however, no deduction is made for edge chamfers of $\frac{3}{4}$ inch or smaller.

(53) SECTION 701: NON-CONFORMING CONCRETE

For purposes of applying the reduced payment and below strength provisions of Subsection 701.2.12.4 of the Standard Specifications, a unit price of \$1200 dollars per cubic yard will be used for normal weight concrete and a unit price of \$1500 dollars per cubic yard will be used for sand lightweight concrete.

(54) SECTION 709: POT BEARINGS:

GENERAL

This item shall consist of furnishing, fabrication and installation of pot bearings in accordance with AASHTO LRFD Bridge Design Specifications, the Standard Specifications, the manufacturer's recommendations and details shown on Plans and as specified herein.

Fixed pot bearings consist of a sole plate, anchor bolt assemblies, a disc of elastomeric in a steel cylinder with a snug fitting steel piston and masonry plate. Guided pot bearings consist of a sole plate, anchor bolt assemblies, a top steel plate with a polished stainless steel sheet facing, bearing on a fixed pot bearing with a layer of virgin TFE polytetrafluoroethylene material on its top, masonry plate, anchor bolt assembly which includes anchor bolts, nuts, washers, pipe sleeves, a closure plate, grout and various sizes of standard pipe and any other necessary material as detailed on the Plans.

MATERIALS

All pot bearings shall be manufactured by the same manufacturer.

All steel in the pot bearings shall be AASHTO M270 Grade 50. The plates in the pot bearing assemblies, except for the areas with special facings shall be cleaned, coated, and sealed in accordance with Special Provision for "Thermal Sprayed Coatings (Metallization)". Metallization of the internal surfaces of the pot is permitted provided these surfaces are then polished to a surface smoother than 63 micro inches root mean square. Coat surfaces to a thickness of 6 mils minimum on all external parts. Repair surfaces that are abraded or damaged after the application of metallization in accordance with the Special Provision for "Thermal Sprayed Coatings (Metallization)".

Fill plate, when specified on the Plans, shall be galvanized.

When the maximum Plan dimension of the sheet is 12" or less, provide a stainless steel sheet in guided pot bearings that is at least 16 gage or 1/16". When the maximum Plan dimension is greater than 12" provide a stainless steel sheet that is at least 11 gage or 1/8". Ensure that all stainless steel sheets are in conformance with ASTM A240/A167 Type 304 and polished to a minimum #8 mirror surface finish.

Blast clean the surface of the plate that will be attached to the stainless sheet to a near white condition in accordance with the Standard Specifications. Position and clamp the back of the stainless sheet that is to be in contact with the steel plate on the steel plate. Apply the stainless steel to the blast cleaned surface of the steel plate as soon as possible after blasting and before any visible oxidation of the blast cleaned surface occurs. Weld the stainless sheet continuously around its perimeter using a tungsten inert gas, wire-fed welder.

For the PTFE sheet, used as a mating surface for the stainless sheet, provide an unfilled virgin PTFE Sheet (Recessed) or a glass-fiber filled PTFE sheet, resulting from skiving billets formed under hydraulic pressure and heat. Provide resin that conforms to the requirements of ASTM D4894 or D4895.

To bond the PTFE and the piston, use heat cured high temperature epoxy capable of withstanding temperature of -320°F to 500°F.

Provide a neoprene elastomer with a durometer hardness of 50 that allows for a minimum rotation of 0.02 radians. Place a 1/64" thick unfilled PTFE disc on either side of the neoprene inside the bearing. Use other material if the Engineer approves. Use a brass sealing ring with the neoprene elastomer.

DESIGN

Pot bearings shall be designed by the manufacturer for the loads and movements shown on the contract drawings. However, use the anchor bolt size, length, spacing and masonry plate thickness as shown on the contract Plans. The Contractor shall adjust the bridge seat, cap and top of column elevations if the bearing assembly depth varies from the assumed depth shown on the contract Plans. Plans for any adjustments shall be submitted to the Department for approval 30 days before constructing the columns.

Either combine, cast as a single piece, or weld together the sole plate and top plate/piston and the cylinder with the masonry plate.

In the design of the bearings, the following allowable bearing stresses shall be used:

On confined elastomeric: 3500 psi

On PTFE Sliding Surface

Filled or unfilled PTFE (recessed): 3500 psi

The Contractor shall submit shop drawings in accordance with TP Attachment 110-2 and one set of design calculations for the Engineer's approval. The shop drawings and design calculations shall be checked and sealed by a South Carolina Registered Professional Engineer.

SAMPLING AND TESTING

Sampling

The manufacturer shall select, at random, sample bearings from completed lots of bearings for testing by the manufacturer. The manufacturer shall provide certification that the completed bearings and their components have been tested and are in compliance with the requirements of this Special Provision. The results of the manufacturer's tests shall be furnished to the Research and Materials Engineer.

Testing

Proof Load Test

A test bearing shall be loaded to 150% of the bearing's rated design capacity and simultaneously subjected to a rotational range of 0.02 radians (1.146°) for a period of one hour.

The bearing will be visually examined both during the test and upon disassembly after the test. Any resultant visual Defects, such-as extruded or deformed elastomer or PTFE, damaged seals or rings, or cracked steel, shall be cause for rejection of the lot.

During the test, the steel bearing plate and steel piston shall maintain continuous and uniform contact for the duration of the test. Any observed lift-off will be cause for rejection of the lot.

Sliding Coefficient of Friction

For all guided and fixed expansion type bearings, the sliding coefficient of friction shall be measured at the bearing's design capacity in accordance with the test method described below, and on the fifth and fiftieth cycles, at a sliding speed of 1 inch per minute.

The sliding coefficient of friction shall be calculated as the horizontal load required to maintain continuous sliding of one bearing, divided by the bearing's vertical design capacity.

The test results will be evaluated as follows:

The measured sliding coefficients of friction shall not exceed the lessor of the design value stated in the Plans or 3 percent.

The bearings will be visually examined both during and after the test. Any resultant visual Defects, such as bond failure, physical destruction, cold flow of PTFE to the point of debonding, or damaged components, shall be cause for rejection of the lot.

Bearings not damaged during testing may be used in the Work.

The test method and equipment shall include the following requirements:

The test must be arranged so that the coefficient of friction on the first movement of the manufactured bearing can be determined.

The bearing surface shall be cleaned prior to testing.

The test shall be conducted at maximum working stress for the PTFE surface with the test load applied continuously for 12 hours prior to measuring friction.

The first movement static and dynamic coefficient of friction of the test bearing shall be determined at a sliding speed of less than 1 inch per minute and shall not exceed:

.04	unfilled	PTFE
.08	filled	PTFE

The bearing specimen shall then be subjected to 100 movements of at least 1 inch of relative movement and, if the test facility permits, the full design movement at a speed of less than 1 foot per minute. Following this test, the static and kinetic coefficient of friction shall be determined again and shall not exceed the values measured in (d). The bearing or specimen shall show no appreciable sign of bond failure or other Defects.

Bearings represented by test specimens passing the above requirements will be approved for use in the structure subject to on-site inspection for visible Defects.

INSTALLATION

Prior to shipment, seal the joint between the steel piston and the steel cylinder with a bead of caulk. Store pot bearings delivered to the bridge Site under cover on a platform above the ground surface. Protect the bearings from injury at all times and, before placing the bearings, dry and clean all dirt, oil, grease or other foreign substances from the bearing. Do not disassemble the bearings during installation, except at the manufacturer's direction. Place the bearings in accordance with the recommendations of the manufacturer, Contract Drawings, and as directed by the Engineer. If there is any discrepancy between the recommendations of the manufacturer, Special Provisions, and Contract Drawings, the Engineer is the sole judge in reconciling any such discrepancy.

Preformed bearing pads shall be provided under the masonry plates and shall conform to the following:

Preformed bearing pads shall be composed of multiple layers of 8 ounce per square yard cotton duck impregnated and bound with high quality natural rubber, or equally suitable materials approved by the Engineer, which have been compressed into pads of uniform thickness. The thickness of the preformed bearing pads shall be 3/16" with a tolerance of $\pm 1/16$ ". Cotton duck shall meet the requirements of Military Specification MIL-C882-D for 8 ounce per square yard cotton army duck or equivalent. The number of pads shall be such as to produce the required thickness after compressing and vulcanizing. The finished pads shall withstand compressive loads perpendicular to the plane of the laminations of not less than 10,000 psi without detrimental extrusion or reduction in thickness.

The Contractor shall furnish certification stating the preformed bearing pads meet the requirements stated above.

No bearing shall be installed before it is approved by the Engineer.

(55) SECTION 709: THERMAL SPRAYED COATINGS (METALLIZATION)

GENERAL

A thermal sprayed coating and sealer shall be applied to metal surfaces as specified herein when called for on the Plans or by other Special Provisions, or when otherwise

approved by the Engineer. See the "Pot Bearings" Special Provision for bearing surfaces requiring metallization.

MATERIALS

Wire material shall be zinc, or 85/15 zinc/aluminum alloy as certified by the manufacturer. The size of wire material shall be in accordance with the manufacturer's recommendations for the Flame, or Arc Sprayed method. Powder material shall not be used. The SSPC-CS 23.00(I) Specification governs anything not addressed in this Special Provision.

The seal coating shall be a vinyl wash primer meeting the requirements of SSPC paint 27 or an approved equal.

CONSTRUCTION

Blast clean surfaces to be metallized with a grit or sand abrasive in accordance with Steel Structures Painting Council SSPC SP-10 to impart a surface profile of 2 - 4 mils. If flash rusting occurs prior to metallizing, blast clean the metal surface again. Grind flame cut edges to remove the carbonized surface prior to blasting. Bevel all flame cut edges to an approximate 1/16" chamfer. Coat the surfaces within 8 hours after blasting. Apply the thermal sprayed coating only when the surface temperature of the steel is at least 10°F above the dew point. Preheat if required.

Conduct a bend test in accordance with 6.5 of SSPC-CS 23.00(I) at the beginning of each Work period or shift. Any debonding or delamination of the coating that exposes the substrate requires corrective action, additional testing, and the Engineer's approval before resuming the metallizing process.

Surfaces shall be metallized to a thickness called for on the Plans or Special Provisions for the bridge component to which the coating is applied.

The seal coating shall be applied to metallized surfaces within 8 hours and in accordance with the manufacturer's recommendations.

The Contractor shall not weld items to the metallized surface unless specifically permitted by the Engineer.

Metallized surfaces that are damaged prior to shipment shall be cleaned and coated as described above. Metallized surfaces damaged after shipment shall be repaired. Excessive damage to metallized surfaces as determined by the Engineer shall be cause for rejection at no expense to the Department.

The Contractor shall provide the Engineer with certification for all materials and documentation which indicates that the applicator has performed successful thermal spray operations within the last 12 months.

(56) SECTION 714: SMOOTH WALL PIPE:

REFERENCE:

SCDOT Supplemental Technical Specification SC-M-714

(57) SECTION 714: PIPE END TREATMENTS (2/5/2010):**A. REFERENCE**

SCDOT Supplemental Technical Specification SC-M-714

B. DESCRIPTION

For exposed pipe culvert ends, provide an end treatment in accordance with this special provision.

C. MATERIALS

Rigid pipe culvert is Reinforced Concrete Pipe (RCP: 714-205-00). Flexible pipe culvert is either Spiral Ribbed Aluminum Pipe (SRAP: 714-610-00), High Density Polyethylene pipe (HDPE: 714-705-00), or Corrugated Aluminum Alloy Pipe (CAAP: 714-605-00).

Use minimum Class B riprap for pipe up to 84" diameter. Use minimum Class C riprap for pipe 84" diameter or larger.

Use minimum Class 4000 concrete (4000P for precast).

Use ASTM A-706 grade 60, low-alloy steel deformed rebar.

Use minimum AASHTO M-196 Alclad 3004-H32 alloy aluminum.

Use Type M Mortar Grout unless specified otherwise.

D. CONSTRUCTION REQUIREMENTS

Use one of the following end treatments as specified in the Plans or special provisions:



For all exposed crossline pipe ends, when an end treatment is not specified in the Plans, use **Pipe Riprap Protection** (804-3xx-xx). For flexible pipe larger than 24" diameter, install pipe straight headwall, pipe end structure, flared end section, or wingwall section in addition to riprap. For all exposed driveway pipe ends where no end treatment is specified in the Plans, use **Pipe Riprap Protection** (804-3xx-xx) unless directed otherwise by the engineer.



Use **Beveling of Pipe End** (719-610-00) when specified in the Plans or special provisions. Beveled ends may only be used on flexible

pipe up to 24" diameter and on rigid pipe up to 60" diameter. When beveling of pipe ends is specified on flexible pipe larger than 24" diameter, install pipe straight headwall, pipe end structure, flared end section, or wingwall section. Use factory fabricated beveled ends for all pipe types unless approved by the Engineer.



Use **Pipe Straight Headwall** (719-605-00) when specified in the Plans or special provisions. Use straight headwall only in locations where pipe exposed end does not face the direction of traffic.



Use **Pipe End Structure** (719-615-00) when specified in the Plans or special provisions. Use pipe end structure in locations where pipe exposed end faces the direction of traffic. Pipe end structures may be used in other locations if approved by the RCE.



Use **Pipe Flared End Section** when specified in the Plans or special provisions.

Use **Pipe Wingwall**

Section when specified in the Plans or special provisions.

Completely seal interface between pipe and end treatment with grout. If bricks or shims are used to place pipe, take care to remove all air pockets and voids when grouting.

For systems not designed in the SCDOT Standard Drawings, provide shop drawings, installation procedure and design calculations for review by RCE. Design must include provision to control erosion around the structure and prevent the separation of the end treatment from the pipe system. Design must provide for a proper seal at all construction joints including the interface between the pipe and the structure. Design must be self-supporting and not induce any additional loads on the pipe. Submit designs for consideration as new standard drawings to the Design Standards Engineer at the address listed in the SCDOT Standard Drawings book.

E. **MEASUREMENT**

Measure pipe in accordance with SC-M-714

Measure end treatments in accordance with Standard Specifications, Standard Drawings, or Special Provisions

F. **PAYMENT**

Beveling of pipe ends will be in addition to the standard pipe pay item. Payment for the item Beveling of Pipe Ends includes all labor required to factory (or field, if approved) fabricate a bevel on one end of pipe.

Pipe culvert and end treatments, measured as provided in **SC-M-714 Subsection x.4**, are paid for at the contract unit price for the respective items, which price and payment is compensation for furnishing all material, labor, equipment, tools including hauling and placing all pipe sections and materials, excavation of the entire standard trench, bedding, and pipe backfill as described in the measurement section (both structural and embankment backfill in this region), removal of existing pipe to be replaced, constructing pipe joints, removal of old end treatments, cleaning out pipe, disposal of surplus materials, all visual inspection, and all incidentals necessary to complete the Work.

Add the following paragraph to SC-M-714 subsections x.5:

Payment for riprap and geotextile for erosion control under riprap as measured in subsection x.4 includes all direct and indirect costs and expenses necessary to complete the Work.

(58) **SECTION 719: CAST IN PLACE CONCRETE PIPE COLLAR:**

A. **DESCRIPTION**

A cast in place concrete pipe collar is used to provide a permanent connection between two pipe culverts of the same diameter but different joint profiles. Pipe collars can be used between two pipes of the same material or different material. Use only pipe that conforms to SC-M-714, Permanent Pipe Culverts.

a. MATERIAL

Use minimum class 4000 concrete.

Use reinforcement steel conforming to ASTM A706 Grade 60.

See SCDOT Standard Drawings or Project Plans for other material requirements and design details.

b. CONSTRUCTION REQUIREMENTS - GENERAL

Follow SCDOT Standard Drawings 719-705-xx for minimum dimensions and details. Use geotextile wrap on joint to minimize concrete intrusion into the joint during the forming and curing process.

(59) SECTION 727: CROSSHOLE SONIC LOGGING OF DRILLED SHAFT FOUNDATIONS:

Crosshole Sonic Logging (CSL) Testing is required for all drilled shafts. SCDOT shall be responsible for all CSL Testing.

(60) SECTION 805: TL3 TYPE T TANGENT END TERMINALS:

Qualified Product List 49 provides minimum length of continuous w-beam from the impact head for each proprietary Test Level 3 product. All radius, kinks, and transition sections must occur outside of the pay limits of the Leading End Treatments shown on SCDOT Standard Drawings for MASH MT3 and PREMASH Type T TL3 devices. Use only PREMASH devices in locations where existing guardrail installations are retained or adjusted. Where the design requires immediate transition from w-beam to thrie-beam at the end of the tangent end treatment pay limits, provide adequate space and guardrail shoulder break in advance of the impact head to conform or exceed the geometry shown on the corresponding standard drawings. Alternate PREMASH guardrail shoulder break (Standard Drawing 805-605-11 detail 2) may only be considered in locations where upgrading to standard guardrail shoulder break geometry does not fit within SCDOT Right of Way.

(61) SECTION 805: NON-MOW STRIP UNDER GUARDRAIL:

B. GENERAL

Provide non-mow strip under guardrail as shown in the plans, in accordance with plan details, standard drawings 805-525-01 & 805-525-02, and these special provisions. Non-mow strips under guardrail shall only be placed where shown in the plans, specified in the RFP or as directed by the Engineer.

C. MATERIALS

Construct the non-mow strip using a minimum 4" HMA Surface Course or a 4" Class 2500 Portland Cement Concrete. Proprietary non-mow strip products are not allowed.

(62) SECTION 806: TEMPORARY BARRIER FENCE FOR ENVIRONMENTAL BOUNDARY:

See attached Supplemental Specification dated **May 1, 2013**.

The Contractor is hereby advised that all Jurisdictional Waters (i.e. streams & wetlands) that are adjacent to or within the construction limits shall be protected with a double row of Silt Fence or other means of double perimeter control as approved by RCE.

(63) SECTION 809: RIGHT OF WAY PLAT:

ROW Plat will be required by the Standard Specifications

(64) SECTION 815: EROSION CONTROL MEASURES:

In accordance with the NPDES General Permit, the Contractor must sign a Contractor Certification. The Contractor shall refer to the Construction Extranet for the certification form. By signing this form, the Contractor acknowledges that upon award and execution of the Contract, he/she accepts/ understands the terms and conditions of the *Storm Water Pollution Prevention Plan (SWPPP)* as required by the NPDES General Permit and may be legally accountable to SCDES for compliance with the terms and conditions of the *SWPPP*. In addition, the Contractor certifies that the NPDES certification statement status is made part of all its subcontracts.

The Contractor will complete and forward an updated SCDOT approved *Notice of Intent (NOI)* to the SCDOT Construction office to submit to SCDHEC. If the Coastal Zone Consistency (CZC) permit has not been approved it shall be forwarded by the Contractor to SCDOT to submit to SCDHEC as part of *NOI* package. If SCDHEC does not send a letter within 10 business days of receipt of the *NOI*, authorizing coverage, or denying coverage, coverage will be automatically granted.

FHWA-1273 – Revised October 23, 2023

REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

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- I. General
- II. Nondiscrimination
- III. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- XII. Use of United States-Flag Vessels: ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633.102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services). 23 CFR 633.102(e).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier

subcontractor or service provider. 23 CFR 633.102(e).

Form FHWA-1273 must be included in all Federal-aid design- build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services) in accordance with 23 CFR 633.102. The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract). 23 CFR 633.102(b).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

II. NONDISCRIMINATION (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR Part 60, 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR Part 60, and 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR Part 230, Subpart A, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal Employment Opportunity (EEO) requirements not to discriminate and to take affirmative action

to assure equal opportunity as set forth under laws, executive orders, rules, regulations (see 28 CFR Part 35, 29 CFR Part 1630, 29 CFR Parts 1625-1627, 41 CFR Part 60 and 49 CFR Part 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140, shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract. 23 CFR 230.409 (g)(4) & (5).

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action or are

substantially involved in such action, will be made fully cognizant of and will implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will

identify sources of potential minority group employees and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to ensure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs (i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance). In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. 23 CFR 230.409. Actions by the contractor, either directly or through a contractor's association

acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with

Disabilities Act and all rules and regulations established thereunder. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors, suppliers, and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

10. Assurances Required:

a. The requirements of 49 CFR Part 26 and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or

(4) Disqualifying the contractor from future bidding as non-responsible.

c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E are incorporated by reference. 49 CFR Part 21.

11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women.

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of more than \$10,000. 41 CFR 60-1.5.

As prescribed by 41 CFR 60-1.8, the contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location under the contractor's control where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101.

Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and

Highway Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA- 1273 format and FHWA program requirements.

1. Minimum wages (29 CFR 5.5)

a. *Wage rates and fringe benefits.* All laborers and mechanics employed or working upon the site of the work (or otherwise working in construction or development of the project under a development statute), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act ([29 CFR part 3](#))), the full amount of basic hourly wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. As provided in paragraphs (d) and (e) of 29 CFR 5.5, the appropriate wage determinations are effective by operation of law even if they have not been attached to the contract. Contributions made or costs reasonably anticipated for bona fide fringe benefits under the Davis-Bacon Act ([40 U.S.C. 3141\(2\)\(B\)](#)) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.e. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics must be paid the appropriate wage rate and fringe benefits on the wage determination for the classification(s) of work actually performed, without regard to skill, except as provided in paragraph 4. of this section. Laborers or mechanics performing work in more

than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph 1.c. of this section) and the Davis-Bacon poster (WH-1321) must be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. *Frequently recurring classifications.* (1) In addition to wage and fringe benefit rates that have been determined to be prevailing under the procedures set forth in [29 CFR part 1](#), a wage determination may contain, pursuant to § 1.3(f), wage and fringe benefit rates for classifications of laborers and mechanics for which conformance requests are regularly submitted pursuant to paragraph 1.c. of this section, provided that:

(i) The work performed by the classification is not performed by a classification in the wage determination for which a prevailing wage rate has been determined;

(ii) The classification is used in the area by the construction industry; and

(iii) The wage rate for the classification bears a reasonable relationship to the prevailing wage rates contained in the wage determination.

(2) The Administrator will establish wage rates for such classifications in accordance with paragraph 1.c.(1)(iii) of this section. Work performed in such a classification must be paid at no less than the wage and fringe benefit rate listed on the wage determination for such classification.

c. *Conformance.* (1) The contracting officer must require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract be classified in conformance with the wage determination. Conformance of an additional classification and wage rate and fringe benefits is appropriate only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is used in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) The conformance process may not be used to split, subdivide, or otherwise avoid application of classifications listed in the wage determination.

(3) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken will be sent by the contracting officer by email to DBAconformance@dol.gov. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer will, by email to DBAconformance@dol.gov, refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(5) The contracting officer must promptly notify the contractor of the action taken by the Wage and Hour Division under paragraphs 1.c.(3) and (4) of this section. The contractor must furnish a written copy of such determination to each

affected worker or it must be posted as a part of the wage determination. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 1.c.(3) or (4) of this section must be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

d. *Fringe benefits not expressed as an hourly rate.* Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor may either pay the benefit as stated in the wage determination or may pay another bona fide fringe benefit or an hourly cash equivalent thereof.

e. *Unfunded plans.* If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, in accordance with the criteria set forth in § 5.28, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

f. *Interest.* In the event of a failure to pay all or part of the wages required by the contract, the contractor will be required to pay interest on any underpayment of wages.

2. Withholding (29 CFR 5.5)

a. *Withholding requirements.* The contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in this section for violations of this contract, or to satisfy any such liabilities required by any

other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work all or part of the wages required by the contract, or upon the contractor's failure to submit the required records as discussed in paragraph 3.d. of this section, the contracting agency may on its own initiative and after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with paragraph 2.a. of this section or Section V, paragraph 3.a., or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901](#)–3907.

3. Records and certified payrolls (29 CFR 5.5)

a. *Basic record requirements* (1) *Length of record retention.* All regular payrolls and other basic records must be maintained by the contractor and any subcontractor during the course of the work and preserved for all laborers and mechanics working at the site of the work (or otherwise working in construction or development of the project under a development statute) for a period of at least 3 years after all the work on the prime contract is completed.

(2) *Information required.* Such records must contain the name; Social Security number; last known address, telephone number, and email address of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act); daily and weekly number of hours actually worked in total and on each covered contract; deductions made; and actual wages paid.

(3) *Additional records relating to fringe benefits.* Whenever the Secretary of Labor has found under paragraph 1.e. of this section that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act, the contractor must maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits.

(4) *Additional records relating to apprenticeship.* Contractors with apprentices working under approved programs must maintain written evidence of the registration of apprenticeship programs, the registration of the apprentices, and the ratios and wage rates prescribed in the applicable programs.

b. *Certified payroll requirements* (1) *Frequency and method of submission.* The contractor or subcontractor must submit

weekly, for each week in which any DBA- or Related Acts- covered work is performed, certified payrolls to the contracting agency. The prime contractor is responsible for the submission of all certified payrolls by all subcontractors. A contracting agency or prime contractor may permit or require contractors to submit certified payrolls through an electronic system, as long as the electronic system requires a legally valid electronic signature; the system allows the contractor, the contracting agency, and the Department of Labor to access the certified payrolls upon request for at least 3 years after the work on the prime contract has been completed; and the contracting agency or prime contractor permits other methods of submission in situations where the contractor is unable or limited in its ability to use or access the electronic system.

(2) *Information required.* The certified payrolls submitted must set out accurately and completely all of the information required to be maintained under paragraph 3.a.(2) of this section, except that full Social Security numbers and last known addresses, telephone numbers, and email addresses must not be included on weekly transmittals. Instead, the certified payrolls need only include an individually identifying number for each worker (e.g., the last four digits of the worker's Social Security number). The required weekly certified payroll information may be submitted using Optional Form WH-347 or in any other format desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division website at <https://www.dol.gov/sites/dolgov/files/WHDL/legacy/files/wh347/.pdf> or its successor website. It is not a violation of this section for a prime contractor to require a subcontractor to provide full Social Security numbers and last known addresses, telephone numbers, and email addresses to the prime contractor for its own records, without weekly submission by the subcontractor to the contracting agency.

(3) *Statement of Compliance.* Each certified payroll submitted must be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor, or the contractor's or subcontractor's agent who pays or supervises the payment of the persons working on the contract, and must certify the following:

(i) That the certified payroll for the payroll period contains the information required to be provided under paragraph 3.b. of this section, the appropriate information and basic records are being maintained under paragraph 3.a. of this section, and such information and records are correct and complete;

(ii) That each laborer or mechanic (including each helper and apprentice) working on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in [29 CFR part 3](#); and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification(s) of work actually performed, as specified in the applicable wage determination incorporated into the contract.

(4) *Use of Optional Form WH-347.* The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 will satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(3) of this section.

(5) *Signature.* The signature by the contractor, subcontractor, or the contractor's or subcontractor's agent must be an original handwritten signature or a legally valid electronic signature.

(6) *Falsification.* The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under [18 U.S.C. 1001](#) and [31 U.S.C. 3729](#).

(7) *Length of certified payroll retention.* The contractor or subcontractor must preserve all certified payrolls during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

c. *Contracts, subcontracts, and related documents.* The contractor or subcontractor must maintain this contract or subcontract and related documents including, without limitation, bids, proposals, amendments, modifications,

and extensions. The contractor or subcontractor must preserve these contracts, subcontracts, and related documents during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

d. *Required disclosures and access* (1) *Required record disclosures and access to workers.* The contractor or subcontractor must make the records required under paragraphs 3.a. through 3.c. of this section, and any other documents that the contracting agency, the State DOT, the FHWA, or the Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by § 5.1, available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and must permit such representatives to interview workers during working hours on the job.

(2) *Sanctions for non-compliance with records and worker access requirements.* If the contractor or subcontractor fails to submit the required records or to make them available, or refuses to permit worker interviews during working hours on the job, the Federal agency may, after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, that maintains such records or that employs such workers, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available, or to permit worker interviews during working hours on the job, may be grounds for debarment action pursuant to § 5.12. In addition, any contractor or other person that fails to submit the required records or make those records available to WHD within the time WHD requests that the records be produced will be precluded from introducing as evidence in an administrative proceeding under [29 CFR part 6](#) any of the required records that were not provided or made available to WHD. WHD will take into consideration a reasonable request from the contractor or person for an extension of the time for submission of records. WHD will determine the reasonableness of the request and may consider, among other things, the location of the records and the volume of production.

(3) *Required information disclosures.* Contractors and subcontractors must maintain the full Social Security number and last known address, telephone number, and email address of each covered worker, and must provide them upon request to the contracting agency, the State DOT, the FHWA, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or other compliance action.

4. Apprentices and equal employment opportunity (29 CFR 5.5)

a. *Apprentices (1) Rate of pay.* Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by the OA. A person who is not individually registered in the program, but who has been certified by the OA or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice, will be permitted to work at less than the predetermined rate for the work they perform in the first 90 days of probationary employment as an apprentice in such a program. In the event the OA or a State Apprenticeship Agency recognized by the OA withdraws approval of an apprenticeship program, the contractor will no longer be permitted to use apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(2) *Fringe benefits.* Apprentices must be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringe benefits must be paid in accordance with that determination.

(3) *Apprenticeship ratio.* The allowable ratio of apprentices to journeyworkers on the job site in any craft classification must not be greater than the ratio permitted to the contractor as to the entire work force under the registered program or the ratio applicable to the locality of the project pursuant to paragraph 4.a.(4) of this section. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in paragraph 4.a.(1) of this section, must be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under this section must be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(4) *Reciprocity of ratios and wage rates.* Where a contractor is performing construction on a project in a locality other than the locality in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyworker's hourly rate) applicable within the locality in which the construction is being performed must be observed. If there is no applicable ratio or wage rate for the locality of the project, the ratio and wage rate specified in the contractor's registered program must be observed.

b. *Equal employment opportunity.* The use of apprentices and journeyworkers under this part must be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and [29 CFR part 30](#).

c. *Apprentices and Trainees* (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeyworkers shall not be greater than permitted by the terms of the particular program.

5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

6. Subcontracts. The contractor or subcontractor must insert FHWA-1273 in any subcontracts, along with the applicable wage determination(s) and such other clauses or contract modifications as the contracting agency may by appropriate instructions require, and a clause requiring the subcontractors to include these clauses and wage determination(s) in any lower tier subcontracts. The prime contractor is responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this section. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and may be subject to debarment, as appropriate. 29 CFR 5.5.

7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

9. Disputes concerning labor standards. As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility. a. By entering into this contract, the contractor certifies that neither it nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

c. The penalty for making false statements is prescribed in the U.S. Code, Title 18 Crimes and Criminal Procedure, [18 U.S.C. 1001](#).

11. Anti-retaliation. It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#); or

d. Informing any other person about their rights under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#).

V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of

\$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety

Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchpersons and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph 1. of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages and interest from the date of the underpayment. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchpersons and guards, employed in violation of the clause set forth in paragraph 1. of this section, in the sum currently provided in 29 CFR 5.5(b)(2)* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1. of this section.

* \$31 as of January 15, 2023 (See 88 FR 88 FR 2210) as may be adjusted annually by the Department of Labor, pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990.

3. Withholding for unpaid wages and liquidated damages

a. *Withholding process.* The FHWA or the contracting agency may, upon its own action, or must, upon written request of an authorized

representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for any unpaid wages; monetary relief, including interest; and liquidated damages required by the clauses set forth in this section on this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to the Contract Work Hours and Safety Standards Act and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with Section IV paragraph 2.a. or paragraph 3.a. of this section, or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901](#)–3907.

4. Subcontracts. The contractor or subcontractor must insert in any subcontracts the clauses set forth in paragraphs 1. through 5. of this section and a clause requiring the subcontractors to include these clauses in any

lower tier subcontracts. The prime contractor is responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1. through 5. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and associated liquidated damages and may be subject to debarment, as appropriate.

5. Anti-retaliation. It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

- a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the Contract Work Hours and Safety Standards Act (CWHSSA) or its implementing regulations in this part;
- b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under CWHSSA or this part;
- c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under CWHSSA or this part; or
- d. Informing any other person about their rights under CWHSSA or this part.

VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency.

Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1 of Section VI refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes

the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish

(a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on long-standing interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph

(1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and

health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.327.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the

Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.327.

X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

1. Instructions for Certification – First Tier Participants:

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

* * * * *

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;.

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

* * * * *

3. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with

a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

* * * * *

4. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

a. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(1) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(2) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(3) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

b. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

* * * * *

XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31

U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.

2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

XII. USE OF UNITED STATES-FLAG VESSELS:

ATTACHMENT A - EMPLOYMENT AND MATERIALS PREFERENCE FOR APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS

ROAD CONTRACTS (23 CFR 633, Subpart B, Appendix B) This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

- a. To the extent that qualified persons regularly residing in the area are not available.
- b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.
- c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS

NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246)

1. The Offeror's or Bidders attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.
2. The goals and timetables for minority and female participation expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area are as follows:

Goals for Women Apply Nationwide

GOALS AND TIMETABLES	
<i>Timetable</i>	<i>Goals (percent)</i>
From Apr. 1, 1976 until March 31, 1979-----	3.1
From Apr. 1, 1979 until March 31, 1980-----	5.1
From Apr. 1, 1980 until March 31, 1981-----	6.9

Goals for Minority Participation

South Carolina

SMSA Counties:.....	16.0
Greenville, Pickens, Spartanburg	
Non-SMSA Counties:.....	17.8
Abbeville, Anderson, Cherokee, Greenwood, Laurens, Oconee, Union	
SMSA Counties:.....	23.4
Lexington, Richland	
Non-SMSA Counties.....	32.0
Calhoun, Clarendon, Fairfield, Kershaw, Lee, Newberry, Orangeburg, Saluda, Sumter	
Non-SMSA Counties.....	33.0
Chesterfield, Darlington, Dillon, Florence, Georgetown, Horry, Marion, Marlboro, Williamsburg	
SMSA Counties:.....	30.0
Berkeley, Charleston, Dorchester	

Non-SMSA Counties.....	30.7
Colleton	
Non-SMSA Counties.....	29.8
Beaufort, Hampton, Jasper	
Non-SMSA Counties.....	15.7
Chester Lancaster York	
Non-SMSA Counties.....	32.8
Barnwell, Edgefield, McCormick, Allendale, Bamberg	
SMSA Counties:.....	27.2
Aiken	

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical areas where the work is actually performed. With regard to this second area, the Contractor is also subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 Shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a) and its efforts to meet the goals established for the geographical area where the contract resulting from this solicitation is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees of trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals

will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction Subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the Subcontractor, employer identification number, estimated dollar amount of the Subcontract; estimated starting and completion dates of the Subcontract; and the geographical area in which the contract is to be performed.
4. As used in this Notice and in the contract resulting from this solicitation, the “covered area” is (insert description of the geographical areas where the contract is to be performed giving the state, county, and city, if any). The “covered area is the SMSA County or Counties or Non-SMSA County or Counties in which the contract work is performed.

**STANDARD FEDERAL EQUAL
EMPLOYMENT OPPORTUNITY
CONSTRUCTION CONTRACT
SPECIFICATIONS
(EXECUTIVE ORDER 11246)**

1. As used in these specifications:
 - a. “Covered area” means the geographical area described in the solicitation from which this contract resulted;
 - b. “Director” means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any Person to whom the Director delegates authority;
 - c. “Employer identification number” means the Federal Social Security number used on the Employers Quarterly Federal Tax Return, U. S. Treasury Department Form 941.
 - d. “Minority” includes:
 - (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or

South American or other Spanish Culture or origin regardless of race);

- (iii) Asian or Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
- (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
2. Whenever the Contractor, or any Subcontractor at any tier, Subcontracts a portion of the work involving any construction trade, it shall physically include in each Subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U. S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor’s or Subcontractor’s failure to take Good Faith Efforts to achieve the Plan goals and timetables.
4. The Contractor shall implement the specific affirmative action standards

provided in paragraphs 7a through p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in which it has employees in each construction trade in which it has employees in the covered area. Covered construction contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in Notices form and such Notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
6. In order for the non-working training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U. S. Department of Labor.
7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
 - a. Ensure and maintain a working Environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority of female individuals working at such sites or in such facilities.
 - b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available and maintain a record of the organization's responses.
 - c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may taken.
 - d. Provide immediate written notification to the Director when union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority Person or woman sent by the Contractor, or when the Contractor has other information that the union referral

- process has impeded the Contractor's efforts to meet his obligations.
- e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded by the Department of Labor. The Contractor shall provide Notice of these programs to the sources compiled under 7b above.
 - f. Disseminate the Contractor's EEO policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
 - g. Review at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., prior to the initialization of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
 - h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
 - i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures and tests to be used in the selection process.
 - j. Encourage present minority and female employees to recruit other minority persons and women and where reasonable, provide after school, summer, and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.
 - k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
 - l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
 - m. Ensure that all seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
 - n. Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

- o. Document and maintain a record of all solicitations of offers for Subcontracts from minority and female construction contractors and Suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
 - p. Conduct a review, at least annually of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a contractor association joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).
10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any Person because of race, color, religion, sex, or national origin.
11. The Contractor shall not enter into any Subcontract with any Person or firm debarred from the Government contracts pursuant to the executive Order 11246.
12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and the Equal Opportunity Clause, including suspensions, termination and cancellation of the existing Subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended. and its implementing regulations, by the Office if the Federal Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of the specifications and Executive Order 11246, as amended.
13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4-8.
14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any employee identification number when assigned, social security number, race, sex status (e.g., Mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of

pay, and location at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that the existing records satisfy this requirement, contractors shall not be required to maintain separate records.

15. Nothing herein provided shall be construed as a limitation upon the application of other Laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g. those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

"General Decision Number: SC20250034 01/03/2025

Superseded General Decision Number: SC20240034

State: South Carolina

Construction Type: Highway

Counties: Berkeley, Charleston, Dorchester and Horry Counties in South Carolina.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658.

Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(1).

If the contract is entered into on or after January 30, 2022, or the contract is renewed or extended (e.g., an option is exercised) on or after January 30, 2022:	Executive Order 14026 generally applies to the contract. The contractor must pay all covered workers at least \$17.75 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in 2025.
If the contract was awarded on or between January 1, 2015 and January 29, 2022, and the contract is not renewed or extended on or after January 30, 2022:	Executive Order 13658 generally applies to the contract. The contractor must pay all covered workers at least \$13.30 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on that contract in 2025.

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at <http://www.dol.gov/whd/govcontracts>.

Modification Number

Publication Date

0

01/03/2025

SUSC2011-032 09/15/2011

	Rates	Fringes
CARPENTER(FormWorkOnly)		
Berkeley,Dorchester.....	\$13.50**	
Charleston.....	\$13.07**	
Horry.....	\$14.29**	
CEMENTMASON/CONCRETEFINISHER		
Berkeley,Dorchester.....	\$14.79**	
Charleston.....	\$14.33**	
Horry.....	\$14.62**	
IRONWORKER,REINFORCING.....	\$15.35**	
LABORER		
Asphalt,IncludesAsphalt Distributor,Raker, Shoveler,andSpreader Berkeley,Charleston, Dorchester.....	\$11.62**	
Asphalt,IncludesAsphalt Distributor,Shovelerand Spreader Horry.....	\$11.54**	
CommonorGeneral		
Berkeley.....	\$10.06**	
Charleston.....	\$10.16**	
Dorchester.....	\$11.69**	
Horry.....	\$9.72**	
Luteman.....	\$11.61**	
MasonTender- Cement/Concrete.....	\$10.40**	
Pipelayer.....	\$13.98**	
TrafficControl-ConeSetter		
Berkeley,Charleston, Dorchester.....	\$13.19**	
Horry.....	\$12.63**	
TrafficControl-Flagger.....	\$11.07**	
POWEREQUIPMENTOPERATOR:		
Backhoe/Excavator/Trackhoe		
Berkeley.....	\$15.68**	
Charleston.....	\$16.09**	
Dorchester.....	\$16.06**	
Horry.....	\$15.04**	
Bulldozer.....	\$14.81**	
Crane		
Berkeley,Dorchester.....	\$20.00	4.73
Charleston.....	\$20.08	
Horry.....	\$20.58	
Grader/Blade.....	\$14.61**	

Hydroseeder.....	\$11.00**
Loader(FrontEnd/Track).....	\$16.80**
Mechanic	
Berkeley,Dorchester.....	\$19.07
Charleston.....	\$19.21
Horry.....	\$19.48
MillingMachine.....	\$11.84**
Paver	
Berkeley,Charleston,	
Dorchester.....	\$18.85
Horry.....	\$13.29**
Roller.....	\$15.17**
Scraper.....	\$12.71**
Screed.....	\$13.56**
Tractor.....	\$13.28**

TRUCKDRIVER

DumpTruck.....	\$10.67**
LowboyTruck.....	\$15.55**

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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** Workers in this classification may be entitled to a higher minimum wage under Executive Order 14026 (\$17.75) or 13658 (\$13.30). Please see the Note at the top of the wage determination for more information. Please also note that the minimum wage requirements of Executive Order 14026 are not currently being enforced as to any contract or subcontract to which the states of Texas, Louisiana, or Mississippi, including their agencies, are a party.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year.

Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at <https://www.dol.gov/agencies/whd/government-contracts>.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses

(29CFR 5.5 (a) (1) (iii)).

The body of each wage determination lists the classifications and wage rates that have been found to be prevailing for the type(s) of construction and geographic area covered by the wage determination. The classifications are listed in alphabetical order under rate identifiers indicating whether the particular rate is a union rate (current union negotiated rate), a survey rate, a weighted union average rate, a state adopted rate, or a supplemental classification rate.

Union Rate Identifiers

A four-letter identifier beginning with characters other than ""SU"", ""UAVG"", ?SA?, or ?SC? denotes that a union rate was prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2024. PLUM is an identifier of the union whose collectively bargained rate prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2024 in the example, is the effective date of the most current negotiated rate.

Union prevailing wage rates are updated to reflect all changes over time that are reported to WHD in the rates in the collective bargaining agreement (CBA) governing the classification.

Union Average Rate Identifiers

The UAVG identifier indicates that no single rate prevailed for those classifications, but that 100% of the data reported for the classifications reflected union rates. EXAMPLE: UAVG-OH-0010 01/01/2024. UAVG indicates that the rate is a weighted union average rate. OH indicates the State of Ohio.

The next number, 0010 in the example, is an internal number used in producing the wage determination. The date, 01/01/2024 in the example, indicates the date the wage determination was updated to reflect the most current union average rate.

A UAVG rate will be updated once a year, usually in January, to reflect a weighted average of the current rates in the collective bargaining agreements on which the rate is based.

Survey Rate Identifiers

The ""SU"" identifier indicates that either a single non-union rate prevailed (as defined in 29 CFR 1.2) for this classification in the survey or that the rate was derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As a weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SUFL2022-007 6/27/2024. SU indicates the rate is a single non-union prevailing rate or a weighted average of survey data for that classification. FL indicates the State of Florida. 2022 is the year of the survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 6/27/2024 in the example, indicates the survey completion date for the classifications and rates under that identifier.

?SU? wage rates typically remain in effect until a new survey is conducted. However, the Wage and Hour Division (WHD) has the discretion to update such rates under 29 CFR 1.6(c)(1).

State Adopted Rate Identifiers

The ""SA"" identifier indicates that the classifications and prevailing wage rates set by a state (or local) government were adopted under 29 C.F.R 1.3(g)-(h). Example: SAME2023-007 01/03/2024. SA reflects that the rates are state adopted. ME refers to the State of Maine. 2023 is the year during which the state completed the survey on which the listed classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination.

The date, 01/03/2024 in the example, reflects the date on which the classifications and rates under the ?SA? identifier took effect under state law in the state from which the rates were adopted.

WAGE DETERMINATION APPEALS PROCESS

1) Has there been an initial decision in the matter? This can be:

- a) a survey underlying a wage determination
- b) an existing published wage determination
- c) an initial WHD letter setting forth a position on a wage determination matter
- d) an initial conformance (additional classification and rate) determination

On survey related matters, initial contact, including requests for summaries of surveys, should be directed to the WHD Branch of Wage Surveys. Requests can be submitted via email to davisbaconinfo@dol.gov or by mail to:

Branch of Wage Surveys
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Regarding any other wage determination matter such as conformance decisions, requests for initial decisions should be directed to the WHD Branch of Construction Wage Determinations.

Requests can be submitted via email to BCWD-Office@dol.gov or by mail to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2) If an initial decision has been issued, then any interested party (those affected by the action) that disagrees with the decision can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Requests for review and reconsideration can be submitted via email to dba.reconsideration@dol.gov or by mail to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210.

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END OF GENERAL DECISION"

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