

South Carolina Division  
Project of Division Interest  
Stewardship & Oversight Plan

Federal Project Number: IM 88(020)

State Number: 932.038170

Estimated Cost: \$75 M

**Project Description**

I-26 DESIGN/BUILD PROJECT TO WIDEN AND REHABILITATE FROM I-77 TO EXIT 136; APPROXIMATELY 20 MILES IN CALHOUN AND LEXINGTON COUNTIES.

Phase/activities	Selected for Involvement	Scope of Oversight (Inspection, Program Review, CAP Review, etc.)
<b>Preconstruction (Design)</b>		
Design Standards (i.e. non-STA)		
Design Exceptions		
Bridge/Structures design	X	REVIEW & PROVIDE COMMENTS
Consultant Management and Use of Consultants		
Context Sensitive Solutions (CSS)		
Environmental Mitigation and Commitments	<del>X</del>	
ITS Project development -Standards & Systems Engineering		
Interstate Access Requests/Interstate Justification Report		
Pavement design	<del>X</del>	
Reviews:		
• Constructability		
• Design		
○ Initial Field		
○ Intermediate	X	} REVIEW & PROVIDE COMMENTS
○ Semi-final	X	
○ Final	X	
Road Safety Audits		

Phase/activities	Selected for Involvement	Scope of Oversight (Inspection, Program Review, CAP Review, etc.)
Roadside Hardware	<del>X</del>	
Traffic Analysis		
Traffic Control Plan / Work Zone Traffic Mgt	X	REVIEW & PROVIDE COMMENTS
Value Engineering (VE)		
Financial plans		
ROW encroachments		
Experimental Features		
<b>Preconstruction (PS&amp;E Approval, Advertising, &amp; Award)</b>		
Plans, Specifications, and Estimates (PS&E)		
Plans		
Specifications		
Standard Specifications		
Supplemental Specifications		
Special Provisions		
Contract Provisions (FHWA1273, Buy America(n), Changed conditions clauses, Incentive/Disincentives, Partnering, Warranties)		
Engineers Estimate		
Project Authorization and Project Agreements		D/B PROJECT HAS BEEN AWARDED
ROW Clearances		
Pre-qualification		
Authorize advertising for bids, addenda		
Conditional approvals		
Pre-bid meetings		
Bid analysis and concurrence in award		
Innovative contracting		
State contracting procedures		
Utility agreements	X	REVIEW & APPROVE
Railroad agreements		

Phase/activities	Selected for Involvement	Scope of Oversight (Inspection, Program Review, CAP Review, etc.)
<b>Project Construction &amp; Contract Administration</b>		
Preconstruction Meeting / Partnering workshop	X	ATTEND & DOCUMENT DISCUSSIONS
Inspections		
• Initial		
• Intermediate (frequency: _____)		
• In-Depth (3 REVIEWS)	X	INSPECTION REPORT; BRIDGE, WIDENING, REHABILITATION SECTIONS
• Final	X	INSPECTION REPORT; ASSESS CONFORMANCE WITH PLANS+ SPECS
• Special Reports		
• Process Reviews		
• Work Zone Review	X	JOINT REPORT WITH SCDOT; INCLUDE IN BI-ANNUAL WORK ZONE ASSESSMENT
Project Meetings	X	AS NEEDED; DOCUMENT DISCUSSIONS
Materials testing / certifications	X	REVIEW + ACCEPT FINAL CERT.
Change orders	X	REVIEW + PROVIDE PRIOR APPROVAL
Contractor claims	X	REVIEW + APPROVE SETTLEMENT
Pay Estimate Reviews		
Time extensions	X	REVIEW + APPROVE
Value Engineering change proposals	X	REVIEW + APPROVE
Liquidated damages	X	REVIEW + ACCEPT
DBE	X	MONITOR - REVIEW FILE
OJT	X	MONITOR - REVIEW FILE
Final Acceptance	X	PREPARE REPORT FOR FINAL
Other:		

Proposed Alise Traex  
 FHWA Engineer/Specialist

Approved [Signature]  
 FHWA Supervisor/Team Leader

Date 9/10/13

Date 9/17/13



FHWA Risk-Based Oversight

South Carolina Division

PoDI Identification Criteria

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Project Selection Criteria Use criteria to determine potential risk-based involvement Note: Check mark the areas of potential risk-based activity for informing your decision for risk-based project involvement.	Potential for Risk-Based Activity
<b>Significant Project :</b> <ul style="list-style-type: none"> <li>• Identified as a PoCI.....</li> <li>• Project size (relative to typical State/Division projects).....</li> <li>• Project on the NHS.....</li> <li>• High Volume / High Freight.....</li> <li>• Regional importance.....</li> <li>• Directly supports one or more USDOT/FHWA Initiatives (e.g. TIGER).....</li> <li>• Multimodal.....</li> <li>• Unique safety features or implications.....</li> </ul>	✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓
<b>Complex Project:</b> <ul style="list-style-type: none"> <li>• New design features.....</li> <li>• Access Control Issues.....</li> <li>• ROW impacts (major relocations).....</li> <li>• Innovative Construction methods.....</li> <li>• Innovative Contracting Techniques..... <i>P/B</i>.....</li> <li>• Traffic impacts.....</li> <li>• Difficult location (constructability issues).....</li> <li>• State Capacity.....</li> </ul>	✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓

<p>Complex Tunnel or Bridge Project:</p> <ul style="list-style-type: none"> <li>• New design features.....</li> <li>• Difficult locations for construction (over water ways, urban setting, etc.).....</li> <li>• Traffic impacts.....</li> <li>• State experience in design or construction of bridge type.....</li> <li>• State experience in design or construction of tunnels.....</li> </ul>	
<p>Environmental/Legal Considerations</p> <ul style="list-style-type: none"> <li>• Class (EIS/EA/CE)..... <i>EA</i></li> <li>• Natural Resources.....</li> <li>• Cultural Resources.....</li> <li>• Human impacts.....</li> <li>• Public Controversy.....</li> <li>• Law suits (pending or anticipated).....</li> </ul>	✓
<p>LPA Administered Projects</p> <ul style="list-style-type: none"> <li>• LPA capacity to manage project.....</li> <li>• LPA capacity to design project.....</li> <li>• LPA capacity to construct project.....</li> <li>• LPA experience with Federal-aid projects.....</li> <li>• State role/history of oversight of LPA projects.....</li> </ul>	
<p>Political/Public Interest</p> <ul style="list-style-type: none"> <li>• State (Governor, DOT, other stake holders).....</li> <li>• Congressional delegation.....</li> <li>• Other Federal agencies.....</li> <li>• Local governments/MPOs.....</li> <li>• Other stake holders.....</li> </ul>	

Notes
<p><i>DUE TO IDENTIFIED RISKS, DIVISION WILL CARRY OUT CONSTRUCTION OVERSIGHT INITIATIVES ON THIS PROJECT.</i></p>

FHWA Representative:

*Alie Travis*

Date: *9/9/13*