

**South Carolina Division
Project of Division Interest
Stewardship & Oversight Plan**

Federal Project Number: NO fed funding

State Number: 46.042331

Estimated Cost: \$13M

| Project Description |
|---|
| 1-77 at Gold Hill Rd. interchange (MM 88, SC 460) + reconfigure existing diamond into diverging diamond + York County sales tax |

| Phase/activities | Selected for Involvement | Scope of Oversight (Inspection, Program Review, CAP Review, etc.) |
|--|--------------------------|---|
| Preconstruction (Design) | | |
| Design Standards (i.e. non-STA) | ✓ | review and concur in design standard |
| Design Exceptions | | |
| Bridge/Structures design | | |
| Consultant Management and Use of Consultants | | |
| Context Sensitive Solutions (CSS) | | |
| Environmental Mitigation and Commitments | ✓ | attend public meetings, review + approve NEPA |
| ITS Project development -Standards & Systems Engineering | | |
| Interstate Access Requests/Interstate Justification Report | ✓ | review and approve IMR |
| Pavement design | | |
| Reviews: | | |
| • Constructability | | |
| • Design | ✓ | review prelim. plans |
| ○ Initial Field | | |
| ○ Intermediate | | |
| ○ Semi-final | | |
| ○ Final | ✓ | review for consistency with IMR/NEPA |
| Road Safety Audits | | |

| Phase/activities | Selected for Involvement | Scope of Oversight (Inspection, Program Review, CAP Review, etc.) |
|---|--------------------------|---|
| Roadside Hardware | | |
| Traffic Analysis | ✓ | review for IMR |
| Traffic Control Plan / Work Zone Traffic Mgt | | |
| Value Engineering (VE) | | |
| Financial plans | | |
| ROW encroachments | | |
| Experimental Features | | |
| | | |
| | | |
| Preconstruction (PS&E Approval, Advertising, & Award) | | |
| Plans, Specifications, and Estimates (PS&E) | | |
| Plans | | |
| Specifications | | |
| Standard Specifications | | |
| Supplemental Specifications | | |
| Special Provisions | | |
| Contract Provisions (FHWA1273, Buy America(n), Changed conditions clauses, Incentive/Disincentives, Partnering, Warranties) | | |
| Engineers Estimate | | |
| Project Authorization and Project Agreements | ✓ | review and approve PS&E if Fed. funds used |
| ROW Clearances | | |
| Pre-qualification | | |
| Authorize advertising for bids, addenda | | |
| Conditional approvals | | |
| Pre-bid meetings | | |
| Bid analysis and concurrence in award | | |
| Innovative contracting | | |
| State contracting procedures | | |
| Utility agreements | | |
| Railroad agreements | | |
| | | |
| | | |

| Phase/activities | Selected for Involvement | Scope of Oversight (Inspection, Program Review, CAP Review, etc.) |
|---|--------------------------|---|
| Project Construction & Contract Administration | | |
| Preconstruction Meeting / Partnering workshop | | |
| Inspections | | |
| • Initial | | |
| • Intermediate (frequency: _____) | | |
| • In-Depth | | |
| • Final | | |
| • Special Reports | LPA | OVERSIGHT |
| • Process Reviews | | |
| • Work Zone Review | | |
| Project Meetings | | |
| Materials testing / certifications | | |
| Change orders | | |
| Contractor claims | | |
| Pay Estimate Reviews | | |
| Time extensions | | |
| Value Engineering change proposals | | |
| Liquidated damages | | |
| DBE | | |
| OJT | | |
| Final Acceptance | | |
| Other: | | |
| | | |
| | | |
| | | |

Proposed Carolyn P. Fisher
 FHWA Engineer/Specialist

Date 9/23/2013

Approved [Signature]
 FHWA Supervisor/Team Leader

Date 9/23/2013

FHWA Risk-Based Oversight

South Carolina Division

PoDI Identification Criteria

Federal Project Number: No fed funding

State Number: 46.042331

Estimated Cost: \$13M

| Project Description |
|---|
| <p>1-77 at Gold Hill Rd. interchange (MM 88, SC 460) + reconfigure to diverging diamond + York County</p> |

| Project Selection Criteria | Potential for Risk-Based Activity |
|---|-----------------------------------|
| <p>Use criteria to determine potential risk-based involvement Note: Check mark the areas of potential risk-based activity for informing your decision for risk-based project involvement.</p> | |
| <p>Significant Project :</p> <ul style="list-style-type: none"> • Identified as a PoCI..... • Project size (relative to typical State/Division projects)..... • Project on the NHS..... • High Volume / High Freight..... • Regional importance..... • Directly supports one or more USDOT/FHWA Initiatives (e.g. TIGER)..... • Multimodal..... • Unique safety features or implications..... | <p>✓</p> |
| <p>Complex Project:</p> <ul style="list-style-type: none"> • New design features..... • Access Control Issues..... • ROW impacts (major relocations)..... • Innovative Construction methods..... • Innovative Contracting Techniques..... • Traffic impacts..... • Difficult location (constructability issues)..... • State Capacity..... | <p>✓ ✓</p> |

| | |
|---|---|
| Complex Tunnel or Bridge Project: <ul style="list-style-type: none"> • New design features..... • Difficult locations for construction (over water ways, urban setting, etc.)..... • Traffic impacts..... • State experience in design or construction of bridge type..... • State experience in design or construction of tunnels..... | |
| Environmental/Legal Considerations <ul style="list-style-type: none"> • Class (EIS/EA/CE)..... • Natural Resources..... • Cultural Resources..... • Human impacts..... • Public Controversy..... • Law suits (pending or anticipated)..... | ✓ |
| LPA Administered Projects <ul style="list-style-type: none"> • LPA capacity to manage project..... • LPA capacity to design project..... • LPA capacity to construct project..... • LPA experience with Federal-aid projects..... • State role/history of oversight of LPA projects..... | |
| Political/Public Interest <ul style="list-style-type: none"> • State (Governor, DOT, other stake holders)..... • Congressional delegation..... • Other Federal agencies..... • Local governments/MPOs..... • Other stake holders..... | |

| |
|--|
| Notes |
| <p>No current federal funding. However, York County has submitted an application for CMAQ funding. S+O will likely not change based on funding.</p> |

FHWA Representative:

Carolyn P. Fisher

Date: 9/23/2013